

LEGAL & GENERAL INVESTOR PORTFOLIO SERVICE – SELF DIRECTED – NBS ONLINE INVESTMENTS

TAX YEAR 2015/2016

ISA TRANSFER APPLICATION FORM.

Complete and return this form to transfer an existing stocks and shares or cash ISA from another ISA manager to a stocks and shares ISA with IPS.

FOR OFFICE USE ONLY

Agency number Segment

Please complete in **BLOCK CAPITALS** using black ink and return to: Investor Portfolio Service, Legal & General, PO Box 1112, Chelmsford CM99 2UX

Please ensure you've read the current version of the following Product Documents before you make any investment decisions:


- Key Investor Information Document (KIID)
- Investing with IPS
- IPS Terms and Conditions
- Important Fund Information
- Nationwide Self Directed Customer Agreement
- Nationwide Online Tariff of Charges.

If you don't understand any point please ask for further information. You can contact us on 0345 272 0089 and we'll be happy to help. Call charges will vary. We may record and monitor calls.

SECTION 1. Personal details – complete this section in full

Mr/Mrs/Ms/Miss/Other	<input type="text"/>	Existing IPS customer reference (if applicable)	<input type="text"/>
Surname	<input type="text"/>	Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Forename(s) in full	<input type="text"/>	Please tick Male <input type="checkbox"/> Female <input type="checkbox"/>	
Address	<input type="text"/>	Do you have a National Insurance number? Yes <input type="checkbox"/> No <input type="checkbox"/>	
We need your permanent residential address including the postcode, we can't accept a c/o address.	<input type="text"/>	If Yes, please provide your National Insurance number	<input type="text"/>
	<input type="text"/>	Please confirm the number of ISA transfer authority forms attached	<input type="checkbox"/>
Postcode	<input type="text"/>		
If you've lived at your current address for less than three months, please provide your previous address:	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>		
Contact telephone number	<input type="text"/>		
Email address	<input type="text"/>		
Tax residency	<input type="checkbox"/> I confirm that I am solely UK resident for tax purposes and not a US citizen.		
Please read the following sentence and confirm by ticking the box if applicable.	If you can't confirm and tick the box, please complete the Individual Self-Certification form available from Customer Services.		

EVERY DAY MATTERS.®



1

If you wish to complete a Cash ISA transfer in to Legal & General Stocks & Shares ISA please include your details here

2

If you are an existing IPS customer please ensure you make note of your IPS customer number. If you are new to IPS, please leave blank

3

The funds are displayed on the form; please inform us of the percentage you wish to invest in each fund

SECTION 2. Transfer investment choices

Find the fund(s) you want to invest into from the list below, and tell us what percentage of the amount being transferred you want to invest into each fund. We'll invest the proceeds of the investments being sold into the commission-free share class of the funds you choose.

Fund management company and full fund name	Unit/share type	Percentage to invest in this fund
Legal & General Mixed Investment 0-35% C Fund	ACC	%
Legal & General Mixed Investment 20-40% C Fund	ACC	%
Legal & General Mixed Investment 40-85% C Fund	ACC	%
L&G (N) Tracker CTrust	ACC	%
Legal & General Global Equity Index C Fund	ACC	%
Total transfer amount		100%

SECTION 3. Nominated bank account – complete this section in full

You need to provide the details of your bank/building society account so we can make any payments by BACS. We may use these details to pay you the proceeds of any future withdrawals.

Name and full postal address of your bank/building society	Bank/building society account number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	Branch sort code
<input type="text"/>	<input type="text"/> - <input type="text"/> - <input type="text"/>
Postcode	Roll number (if applicable)
<input type="text"/>	<input type="text"/>
Name(s) of account holder(s)	
<input type="text"/>	
<input type="text"/>	

This account must be held, or jointly held, by the named applicant(s). We can't make payments to a third party. If any of these details change in the future, please write and tell us.

SECTION 4. Service Charge

This section confirms the Service Charge you agree to pay Nationwide Building Society. Legal & General will facilitate payment of this from your IPS Cash Account in line with the IPS Terms and Conditions.

ANNUAL SERVICE CHARGE: 0.40%

By signing the declaration and authority, I authorise Legal & General to pay a Service Charge, as set out within the Nationwide Self Directed Customer Agreement and Nationwide Online Tariff of Charges, of 0.40% each year of my investment's value to Nationwide Building Society. Please calculate and pay this on a monthly basis from my IPS Cash Account.

You'll find more information on the Service Charge in the Nationwide Online Tariff of Charges.

It's important that you have enough money in your IPS Cash Account each month to pay the Service Charge and any other fees and charges you have. One way to do this is to add money to your IPS Cash Account in advance through one of the following methods:

- by sending us a cheque;
- by bank transfer from your Nominated Bank Account;
- by using part of the income received from your investments held on IPS.

Another way is to use our Sale for Regular Payment service.

SECTION 5. Sale for Regular Payment mandate

One way to ensure you have enough money in your IPS Cash Account to pay the Service Charge, and any other fees and charges you have, is to sign up to our Sale for Regular Payment service.

The Sale for Regular Payment service helps you make regular payments by selling units from your investments. Please see the 'Sale for Regular Payment' form for more information.

By signing the declaration and authority, when there are insufficient funds in my IPS Cash Account, I authorise Legal & General to sell units from my IPS investments in line with the IPS Terms and Conditions each month to provide the funds required to pay my Service Charge.

I am aware that:

- For ISAs and all unit trusts/OEICs held on an individual basis, units will be sold from the largest value commission-free fund across those products.
- For all joint unit trusts/OEICs units will be sold from the largest value commission-free fund in that product only.
- This will result in the reduction of my capital.
- I will permanently lose the ISA tax benefits on any ISA units sold.
- This may give rise to a capital gains tax liability on my unit trust/OEIC.
- Units will be sold in the same way to pay for my Platform Fee when there are insufficient funds in my IPS Cash Account to pay it.
- This replaces any existing Sale for Regular Payment instruction.
- I can complete a 'Sale for Regular Payment' form to choose which product you sell units from.

SECTION 6. Declaration and Authority

GENERAL DECLARATION

Please read the General Declaration, then sign and date. Please also complete, sign and date the Transfer Authority Form(s).

I declare that:

- the information given in this application form is full and accurate to the best of my knowledge and belief and that I will inform Legal & General (Portfolio Management Services) Limited without delay of any change in my circumstances affecting any of the information in this form.

For current tax year ISA transfers:

- I wish to transfer my existing ISA and subscribe to this stocks and shares ISA for the tax year 2015/2016 and each subsequent year until further notice.
- All subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year.
- I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Legal & General if I cease to be so resident or to perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.

For all ISA transfers:

- I authorise the account manager to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims for tax relief in respect of ISA investments. Details about residence are available from my tax office or on HM Revenue & Customs' website.
- I authorise my existing ISA manager to provide Legal & General (Portfolio Management Services) Limited with any information, written or non-written, concerning the ISA and to accept any instructions from them relating to the ISA being transferred. By signing this declaration I am consenting that a copy of this form will be provided to my existing ISA manager in order to demonstrate that you have my consent to the transfer.

IMPORTANT PLEASE READ: DATA PROTECTION

Use of your information: Legal & General takes your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

- Providing you with our products and services and dealing with your enquiries and requests;
- Administering your investment;
- Carrying out market research, statistical analysis and customer profiling; and
- Sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services we offer to our customers.

By signing you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box.

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

Disclosures: We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business and suppliers we engage to process data on our behalf and when necessary to a reinsurer.

If you have been dealing with a financial adviser, we will give them information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.

Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:

- carry out market research, statistical analysis and customer profiling; and
- send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services we offer to our customers.

By signing overleaf, you agree to receive the information as described in (b) above by post or telephone, unless you tell us otherwise by writing to Legal & General, PO Box 1112, Chelmsford CM99 2UX.

Access: You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to us at the above address.

Service Charge:

- I agree to the Service Charge specified in section 4 of this application form, as defined in the Nationwide Self Directed Customer Agreement and Nationwide Online Tariff of Charges documents. I authorise Legal & General to collect this fee from my IPS Cash Account and pay it to Nationwide on my behalf. If there is insufficient money in my IPS Cash Account, I authorise Legal & General to sell enough of my assets to pay the Service Charge in accordance with the IPS Terms and Conditions.
- I confirm that I have received the Nationwide Self Directed Customer Agreement and Nationwide Online Tariff of Charges documents.
- I agree that my signed application form and the Nationwide Self Directed Customer Agreement and Nationwide Online Tariff of Charges documents form the basis of my agreement with Nationwide.

I confirm that:

- I agree to the use of my information as set out above.
- I understand that a copy of the completed application form and/or Terms and Conditions is available on request.
- I have been provided with the Terms and Conditions of the IPS Platform and by signing this application form I agree to be bound by them.
- I confirm that I have received the Product Documents and Key Investor Information Documents (KIID) relating to my investment.
- I understand that I will pay the IPS Platform Fee for use of the IPS service and I authorise Legal & General to collect this fee from my IPS Cash Account. If there is insufficient money in my IPS Cash Account, I authorise Legal & General to sell enough of my assets to pay the fee in accordance with the Terms and Conditions.
- I understand that my signed application form and the Terms and Conditions form the basis of my agreement with Legal & General.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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4

Please sign and date, giving us authority to contact your ISA provider to arrange the transfer



Please read, sign and date the transfer authority on page 5.



Legal & General (Portfolio Management Services) Limited
 Registered in England and Wales No. 2457525
Registered office: One Coleman Street, London EC2R 5AA
 Authorised and regulated by the Financial Conduct Authority.
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LEGAL & GENERAL INVESTOR PORTFOLIO SERVICE – SELF DIRECTED – NBS ONLINE INVESTMENTS

ISA TRANSFER AUTHORITY FORM.

A separate authority will be required for each manager, so if you're transferring from more than one account/plan manager, please use more Transfer Authority Forms.

FOR OFFICE USE ONLY

Agency number Segment

I hereby instruct my current ISA manager to withdraw the assets within my ISA with immediate effect, and forward the proceeds as specified below to my new manager, Legal & General (Portfolio Management Services) Limited, HM Revenue & Customs Ref Z1160.
Investor Portfolio Service, Legal & General, PO Box 1112, Chelmsford CM99 2UX
I authorise my current ISA manager to provide Legal & General (Portfolio Management Services) Limited with any information, written or non-written, concerning the ISA and to accept any instructions from them relating to the ISA being transferred.

Please ensure you complete this section in full. If you don't this may cause a delay or mean we are unable to process your request.


5 Please confirm the details of your current Cash ISA provider

6 If you are an existing IPS customer please ensure you make note of your IPS customer number. If you are new to IPS, please leave blank

7 Please sign and date, giving us authority to contact your ISA provider to arrange the transfer

	FUND MANAGER DETAILS	YOUR DETAILS								
Name of manager you're transferring from	<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text" value="Mr/Mrs/Ms/Miss/Other"/>								
Address	<input style="width: 100%; height: 20px;" type="text"/> <input style="width: 100%; height: 20px;" type="text"/> <input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text" value="Surname"/>								
Existing client reference (if you have one)	<input style="width: 100%; height: 20px;" type="text"/> <input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text" value="Forename(s) in full"/>								
	<input style="width: 100%; height: 20px;" type="text" value="Postcode"/>	<input style="width: 100%; height: 20px;" type="text" value="Date of Birth"/> <table style="width: 100%; text-align: center; border-collapse: collapse;"><tr><td style="border: 1px solid #008000; width: 20px; height: 20px;">D</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">D</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">M</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">M</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">Y</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">Y</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">Y</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			
		<input style="width: 100%; height: 20px;" type="text" value="National Insurance Number"/> <input style="width: 100%; height: 20px;" type="text" value="Address"/> <input style="width: 100%; height: 20px;" type="text" value="Postcode"/>								
		<input style="width: 100%; height: 40px;" type="text" value="Signature"/> <input style="width: 100%; height: 20px;" type="text" value="Date"/> <table style="width: 100%; text-align: center; border-collapse: collapse;"><tr><td style="border: 1px solid #008000; width: 20px; height: 20px;">D</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">D</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">M</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">M</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">Y</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">Y</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">Y</td><td style="border: 1px solid #008000; width: 20px; height: 20px;">Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			

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DAY
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**Legal &
General**


SECTION 1. Your ISA transfer instruction

If you're transferring an ISA invested in the **current tax year** (either a stocks and shares or a cash ISA), it must be transferred in full. The minimum investment amount is £20. Please ensure you tick the 'Current Tax Year ISA' box in the table below.

If you're transferring an ISA invested in a **previous tax year** (either a stocks and shares or a cash ISA), it can be transferred in **whole or in part**. The minimum investment amount is £20. Please ensure you specify the percentage or amount in the 'Previous Tax Years ISA' box in the table below.

Full fund or cash ISA name	Account or plan numbers (this must be completed)	CURRENT TAX YEAR ISA (Please tick)	PREVIOUS TAX YEARS ISA (% or £)	Date you wish funds to be sold
			% £	
			% £	
			% £	
			% £	
			% £	
			% £	

Please ensure that you've signed both the Transfer Application form and this Transfer Authority form.



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