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**UCC – ANNEX A-IA**

FORMATS AND CODES OF THE  
COMMON DATA REQUIREMENTS FOR  
APPLICATIONS AND DECISIONS

**Document history**

<b>Revision No</b>	<b>Date</b>	<b>Purpose</b>	<b>Main changes</b>
DIH 14/002 UCC Annex A-IA		To be discussed during the DIH 27 meeting on 30-1-2014	<ul style="list-style-type: none"><li>• New structure: formats and codes in Annex A-IA</li><li>• Update of legal references</li><li>• Actions resulting from the RC on Doc. 758632 Rev. 4</li></ul>
DIH 14/002 UCC Annex A-IA Rev. 1		To be discussed during the DIH 29 meeting on 3+4-4-2014	<ul style="list-style-type: none"><li>• Adaptations following the changes on Doc. DIH 14/001 Rev. 1</li><li>• Actions resulting from the RC on Doc. DIH 14/002</li></ul>
DIH 14/002 UCC Annex A-IA Rev. 2			<ul style="list-style-type: none"><li>• Inclusion of recurrence of data elements</li><li>• Actions following the review cycle on Doc. DIH 14/002 Rev. 1</li><li>• Implications of the changes on Doc. DIH 14/001 Rev. 2 UCC Annex A-DA</li></ul>

## **Annex A - IA**

### **Formats and codes of the common data requirements for applications and decisions**

#### **General provisions**

1. The provisions included in these notes are applicable to all Titles of this Annex.
2. The formats and the codes included in this Annex are applicable in relation with the data requirements for applications and decisions as provided for in Annex A of the UCC related Delegated Act.
3. The formats and the codes defined in this Annex shall apply to applications and decisions made by using an electronic data processing technique as well as to paper-based applications and decisions.
4. Title I includes the formats of the data elements.
5. Whenever the information in an application or decision dealt with in Annex A of the UCC related Delegated Act takes the form of codes, the code-list provided for in Title II shall be applied.
6. The size of a data element shall not prevent the applicant to provide sufficient information. Where the details necessary cannot fit within a given data element format, attachments shall be used.
7. The term 'type/length' in the explanation of an attribute indicates the requirements for the data type and the data length. The codes for the data types are as follows:
  - a alphabetic
  - n numeric
  - an alphanumericThe number following the code indicates the admissible data length. The following applies. The optional two dots before the length indicator mean that the data has no fixed length, but it can have up to a number of digits, as specified by the length indicator. A comma in the data

length means that the attribute can hold decimals, the digit before the comma indicates the total length of the attribute, the digit after the comma indicates the maximum number of digits after the decimal point.

Examples of field lengths and formats:

a1 1 alphabetic character, fixed length

n2 2 numeric characters, fixed length

an3 3 alphanumeric characters, fixed length

a..4 up to 4 alphabetic characters

n..5 up to 5 numeric characters

an..6 up to 6 alphanumeric characters

n..7,2 up to 7 numeric characters including maximum 2 decimals, a delimiter being allowed to float.

8. The abbreviations and acronyms used in the Annex shall be interpreted the following way:

<b>Abbreviation/acronym</b>	<b>Meaning</b>
D.E.	Data element
n.a.	Not applicable

9. The recurrence refers to the number of recurrence of a given data element within the application or decision concerned.

## Title I

### Formats of the common data requirements for applications and decisions

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title I	1/1	Application/Decision code type	an..4	1x	Y	
Title I	1/2	Continuation part	n.a.		N	Data element used only for paper-based applications.
Title I	1/3	Signature/ authentication	an..256	1x	N	
Title I	1/4	Type of application	<i>Code:</i> n1 <i>Authorisation reference number:</i> - country code: a2 - decision code type: an..4 - reference number: an..29	1x	Y	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title I	1/4a	Geographical validity	<i>Code:</i> n1 <i>Country code:</i> a2	1x	Y	As for the country code, the code defined in Commission Regulation (EU) No 1106/2012 of 27 November 2012 implementing Regulation (EC) No 471/2009 of the European Parliament and of the Council on Community statistics relating to external trade with non-member countries, as regards the update of the nomenclature of countries and territories <sup>1</sup> shall be used.
Title I	1/6	Decision reference number	<i>Country code:</i> a2 <i>Decision code type:</i> an..4 <i>Reference number:</i> an..29	1x	Y	The structure is defined in Title II
Title I	2/1	Other applications and decisions	<i>Country of application:</i>	999x	N	

<sup>1</sup> OJ L 328, 28.11.2012, p. 7-15

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
		relating to binding information held	a2 <i>Place of application:</i> an..35 <i>Date of application:</i> n8 (yyyymmdd) <i>BTI decision reference number:</i> a2 (country code) + an..4 (decision code type) + an..29 (reference number) <i>Start date of validity:</i> n8 (yyyymmdd) <i>Customs Nomenclature Code:</i> an..22			
Title I	2/2	Decisions relating to binding information issued to other Holders	<i>Decision reference number:</i> a2 (country code) + an..4 (decision code type)	999x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			+ an..29 (reference number) <i>Start date of validity:</i> n8 (yyyymmdd) <i>Customs Nomenclature Code:</i> an..22			
Title I	2/3	Legal or administrative procedures pending	<i>Country code:</i> a2 <i>Address of the court:</i> an..512	999x	N	
Title I	2/4	Attached documents	<i>Document type:</i> an..3 <i>Document identifier:</i> an..35	999x		
Title I	2/5	Identification number of the storage facility	an..35	999x	N	
Title I	3/1	Applicant /Holder of the decision	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			<i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70			
Title I	3/1a	Applicant /Holder of the decision identification	an..17	1x	N	
Title I	3/2	Representative	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35	1x	N	



Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			<i>E-mail address:</i> an..70			
Title I	3/2a	Representative identification	an..17	1x	N	
Title I	3/5	Name and contact details of the person responsible for customs matters	<i>Name:</i> an..70 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70	1x	N	
Title I	3/6	Contact person responsible for the application	<i>Name:</i> an..70 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70	1x	N	
Title I	3/9	Person in charge of the applicant company or exercising control over its management	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9	999x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			<i>City:</i> an..35 <i>National identification number:</i> an..35 <i>Date of birth:</i> n8 (yyyymmdd)			
Title I	4/1	Place	n.a.		N	Data element used only for paper-based applications and decisions.
Title I	4/2	Date	n8 (yyyymmdd)	1x	N	
Title I	4/3	Place where main accounts for customs purposes are held or accessible	<i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>UN/LOCODE:</i> an..17	1x	N	If the UN/LOCODE is used to define the location concerned, the structure shall follow the description provided for in UN-ECE Recommendation 16 on UN/LOCODE – Code for ports and other locations.
Title I	4/4	Place where records are kept	<i>Street and number:</i> an..70 <i>Country:</i> a2	1x	N	If the UN/LOCODE is used to define the location concerned, the structure shall follow the description provided for in UN-ECE

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			<i>Postcode:</i> an..9 <i>City:</i> an..35 <i>UN/LOCODE:</i> an..17			Recommendation 16 on UN/LOCODE – Code for ports and other locations.
Title I	4/6	[Requested] Start date of the decision	n8 (yyyymmdd)	1x	N	
Title I	4/7	Date of expiry of the decision	n8 (yyyymmdd)	1x	N	
Title I	4/8	Location of goods	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>UN/LOCODE:</i> an..17	999x	N	As regards the UN/LOCODE, the structure defined for D.E. 4/3 Place where main accounts for customs purposes are held or accessible in Title II shall be used.
Title I	4/9	Customs office(s) of placement	an8	999x	Y	The structure of the codes is defined in Title II.
Title I	4/10	Customs office(s) of discharge	an8	999x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
						office(s) of placement.
Title I	4/11	Customs office of guarantee	an8	1x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs office(s) of placement.
Title I	4/12	Supervising customs office	an8	1x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs office(s) of placement.
Title I	4/12-1	Customs office(s) of presentation	an8	999x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs office(s) of placement.
Title I	4/14	Time-limit	n..4	1x	N	
Title I	4/15	Period for discharge	<i>Period: n..2</i> <i>Tick-box: n1</i> <i>Free text: an..512</i>	1x	N	
Title I	4/16	Deadline for submitting the bill of discharge	n8 (yyyymmdd)	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title I	5/1	Commodity code	<p><i>1<sup>st</sup> subdivision (Combined Nomenclature code): an..8</i></p> <p><i>2<sup>nd</sup> subdivision (TARIC subheading): an2</i></p> <p><i>3<sup>rd</sup> subdivision (TARIC additional code(s)): an4</i></p> <p><i>4<sup>th</sup> subdivision (TARIC national additional code): an..4</i></p>	<p>999x</p> <p>As regards decisions on binding information:</p> <p>1x</p>	N	
Title I	5/2	Description of goods	<p><i>Free text: an..512</i></p> <p>As regards the application and the decision for Binding Tariff Information, the format should be an..2560</p>	<p>999x</p> <p>As regards decisions on binding information:</p> <p>1x</p>	N	
Title I	5/3	Goods quantity	<i>Measurement unit:</i>	999x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			an..4 <i>Quantity:</i> n..16,6			
Title I	5/4	Goods value	<i>Currency:</i> a3 <i>Amount:</i> n..16,2	999x	N	The ISO-alpha-3 currency codes (ISO 4217) shall be used for the currency.
Title I	5/5	Rate of yield	<i>Free text:</i> an..512	999x	N	
Title I	5/6	Equivalent goods	<i>Commodity code:</i> an8 <i>Code:</i> n1 <i>Commercial quality/technical characteristics:</i> an..512	999x	N	The codes provided for D.E. 5/8. Identification of goods in Title II may be used.
Title I	5/7	Processed products	<i>Commodity code:</i> an8 <i>Commercial quality/technical characteristics:</i> an..512	999x	N	
Title I	5/8	Identification of goods	<i>Code:</i> n1	999x	Y	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			<i>Free text: an..512</i>			
Title I	5/9	Reference amount	<i>Currency: a3</i> <i>Amount: n..16,2</i>	1x	N	The ISO-alpha-3 currency codes (ISO 4217) shall be used for the currency.
Title I	5/10	Excluded categories or movement of goods	<i>Free text: an..512</i>	1x	N	
Title I	6/4	Prohibitions and restrictions	<i>Free text: an..512</i>	1x	N	
Title I	6/5	Economic conditions	n..2 <i>Free text: an..512</i>	999x	Y	
Title I	6/6	General remarks	<i>Free text: an..512</i>	1x	N	
Title I	7/1	Type of transaction	<i>Tick-box: n1</i> <i>Type of special procedure: a..70</i>	999x	N	
Title I	7/2	Type of customs procedures	<i>Procedure code: an2</i> <i>Authorisation identifier</i>	999x	N	The codes provided for in Annex B-IA concerning D.E. 1/10 Procedure

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			<i>(Country code: a2 + decision code type: an..4 + Reference number: an..29)</i>  <i>Date of application: n8 (YYYYMMDD)</i>			shall be used.
Title I	7/3	Type of declaration	<i>Type of declaration: a1</i>  <i>Authorisation identifier (Country code: a2 + decision code type: an..4 + Reference number: an..29)</i>  <i>Date of application: n8 (YYYYMMDD)</i>	999x	N	Type of declaration: the codes defined in Annex B-IA for D.E. 1/2 Additional declaration type shall be used.
Title I	7/4	Number of operations	n..7	1x	N	
Title I	7/5	Business activities	an..4	999x	N	The codes provided for in Regulation (EC) No 1893/2006 of the European Parliament and of the Council of 20 December 2006



Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
						establishing the statistical classification of economic activities NACE Revision 2 and amending Council Regulation (EEC) No 3037/90 as well as certain EC Regulations on specific statistical domains <sup>2</sup> shall be used.
Title I	7/6	Details of planned activities	<i>Free text:</i> an..512	1x	N	
Title I	8/1	Type of main accounts for customs purposes	<i>Free text:</i> an..512	1x	N	
Title I	8/2	Type of records	<i>Free text:</i> an..512	1x	N	
Title I	8/3	Samples etc.	n.a.		N	
Title I	8/4	Additional information	<i>Free text:</i> an..512	1x	N	
Title I	8/5	Guarantee	<i>Tick-box:</i> n1 <i>GRN:</i> an..24	1x	N	0: Guarantee not required 1: Guarantee required

<sup>2</sup> OJ L 393, 30.12.2006, p. 1-39

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title I	8/7	Movement of goods	n..2	1x	N	
Title I	8/8	Decision taking customs authority	<i>Coded:</i> an8 or <i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35	1x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs office(s) of placement.
Title I	8/9	Keywords	<i>Free text:</i> an..512	999x	N	
Title I	8/10	Details about the storage facilities	<i>Free text:</i> an..512	1x	N	
Title I	8/11	Storage of Union goods	<i>Tick-box:</i> n1	1x	N	
Title I	8/12	Consent for publication in the list of authorisation holders	<i>Tick-box:</i> n1	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title II	II/1	Reissue of a BTI	<i>Tick-box: n1</i> <i>BTI Decision reference number: a2 (country code) + an..4 (decision code type) + an..29 (reference number)</i> <i>BTI Decision validity: n8 (yyyymmdd)</i> <i>Customs nomenclature code: an..22</i>	1x	N	
Title II	II/2	Customs nomenclature	<i>Tick-box: n1</i> <i>an..70</i>	1x	N	
Title II	II/3	Commercial denomination and additional information	<i>Free text: an..2560</i>	1x	N	
Title II	II/4	Justification of the classification of the goods	<i>Free text: an..2560</i>	1x	N	
Title II	II/5	Material provided by the applicant on the basis of which the BTI	<i>Tick-box: n1</i>	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
		decision has been issued				
Title II	II/7	Images	<i>Tick-box:</i> n1	1x	N	
Title II	II/12	End date of extended use	n8 (yyyymmdd)	1x	N	
Title II	II/13	Invalidation reason	n2	1x	Y	
Title II	II/14	Registration number of the application	<i>Country code:</i> a2 <i>Decision code type:</i> an..4 <i>Reference number:</i> an..29		N	The structure defined in Title II for D.E. 1/6 Decision reference number shall be used.
Title III	III/1	Legal basis	n.a.		N	
Title III	III/2	Composition of the goods	n.a.		N	
Title III	III/3	Conditions enabling the determination of origin	n.a.		N	
Title III	III/4	Indicate which data should be treated as confidential	n.a.		N	
Title III	III/5	Country of origin and legal	n.a.		N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
		framework				
Title III	III/6	Justification of the assessment of the origin	n.a.		N	
Title III	III/7	Ex-works price	n.a.		N	
Title III	III/8	Principal materials used, country of origin, CN code and value	n.a.		N	
Title III	III/9	Description of the processing required in order to obtain origin	n.a.		N	
Title III	III/10	Language	n.a.		N	
Title IV	IV/1	Legal status of applicant	an..35	1x	N	
Title IV	IV/2	Date of establishment	n8 (yyyymmdd)	1x	N	
Title IV	IV/3	Role(s) of the applicant in the international supply chain	<i>To be defined later</i>	999x	Y	
Title IV	IV/4	Member States where customs related activities are carried out	<i>Country:</i> a2 <i>Street and number:</i> an..70	999x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			<i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Type of facility:</i> an..70 <i>(free text)</i>			
Title IV	IV/5	Border crossing information	an8	999x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs office(s) of placement.
Title IV	IV/6	Simplifications and facilitations already granted, certificates mentioned in Article IA-2-28 (2) and (3) [ <i>ex-123-09 (2)</i> ], or AEO-equivalent certificates issued in third countries	<i>Type of simplification/facilitation</i> an..5 <i>Certificate identification number:</i> an..35 <i>Country code:</i> a2 <i>Customs procedure code:</i> an2	999x	N	
Title IV	IV/8	Consent for the exchange of the information in the AEO authorisation in order to ensure the	<i>Tick-box:</i> n1	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
		proper functioning of systems set out in international agreements/ arrangements with third countries related to mutual recognition of the status of authorised economic operator and measures related to security.				
Title IV	IV/9	Permanent Business Establishment (PBE)	<i>Name</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>VAT number:</i> an..17	999x	N	
Title IV	IV/10	Office(s) where customs documentation is kept and accessible	<i>Name</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2	999x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
			<i>Postcode:</i> an..9 <i>City:</i> an..35			
Title IV	IV/12	Place where general logistical activities are conducted	<i>Name</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35	1x	N	
Title V	V/1	Subject and nature of the simplification	<i>Free text :</i> an..512	1x	N	
Title VI	VI/1	Amount of duty and other charges	<i>Currency:</i> a3 <i>Amount:</i> n..16,2	1x	N	The ISO-alpha-3 currency codes (ISO 4217) shall be used for the currency.
Title VI	VI/3	Average period between the placing of goods under the procedure and the discharge of the procedure	<i>Free text:</i> an...35	1x	N	
Title VI	VI/4	Financial solvency	<i>Free text:</i> an..512	1x	N	



Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title VI	VI/5	Level of guarantee	<i>Free text:</i> an..512	1x	N	
Title VI	VI/6	Form of the guarantee	<i>Name</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Free text:</i> an..35	1x	N	
Title VIa	VIa/1	Customs declaration	an18	1x	N	
Title VIa	VIa/2	Customs office where the customs debt was notified	an8	1x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs office(s) of placement.
Title VIa	VIa/3	Customs office responsible for the place where the goods are located	an8	1x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs office(s) of placement.
Title VIa	VIa/4	Comments of the customs office responsible for the place where the	<i>Free text:</i> an..512	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
		goods are located				
Title VIa	VIa/5	Customs procedure (request for prior completion of formalities)	<i>Procedure code:</i> an2 <i>Tick-box:</i> n1	1x	N	The codes provided for in Annex B-IA concerning D.E. 1/10 Procedure shall be used.
Title VIa	VIa/6	Customs value	<i>Currency:</i> a3 <i>Amount:</i> n..16,2	1x	N	The ISO-alpha-3 currency codes (ISO 4217) shall be used for the currency.
Title VIa	VIa/7	Amount of repayment/remission of duties applied for	<i>Currency:</i> a3 <i>Amount:</i> n..16,2	1x	N	The ISO-alpha-3 currency codes (ISO 4217) shall be used for the currency.
Title VIa	VIa/8	Type of customs duties	<i>Union codes:</i> a1+n2 <i>National codes:</i> n1+an2	999x	N	The codes provided for in Annex B-IA concerning D.E. 4/5 Calculation of taxes – tax type shall be used.
Title VIa	VIa/9	Legal basis	<i>Free text:</i> an..512	1x	N	
Title VIa	VIa/10	Use or destination of goods	<i>Free text:</i> an..512	1x	N	
Title VIa	VIa/11	Time-limit for completion of formalities	n..3	1x	N	
Title VIa	VIa/12	Statement of the decision-taking customs authority	<i>Free text:</i> an..512	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title VII	VII/1	Name of the owner of the place subject to approval	<i>Name:</i> an..70 <i>EORI number:</i> an..17 <i>Description of the relation with the applicant:</i> an..35	1x	N	
Title VII	VII/2	Dimensions of the approved place(s)	<i>Measurement unit:</i> an..3 <i>Size measure:</i> n..15	1x	N	
Title VII	VII/3	Information regarding the security of the place(s)	<i>Document type:</i> an..3 <i>Document identifier:</i> an..3	1x	N	The codes included in the CS/RD table shall be used for the document type.
Title IX	IX/1	Member State(s) concerned by the regular shipping service	a2	999x	N	The country codes provided for in Commission Regulation (EC) No 1106/2012 of 27 November 2012 on implementing Regulation (EC) No 471/2009 of the European Parliament and of the Council on Community statistics relating to external trade with non-member countries, as regards the update of

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
						the nomenclature of countries and territories <sup>3</sup> shall be used.
Title IX	IX/2	Name of vessels	<i>Name of vessel an..35</i> <i>IMO number of vessel: IMO + n..7</i>	999x	N	
Title IX	IX/3	Ports of call	<i>Port of call: an8</i>	999x	N	For the indication of the ports concerned, the UN/LOCODE shall be used.
Title IX	IX/4	Undertaking	<i>Tick-box: n1</i>	1x	N	
Title X	X/1	Economic activity	<i>Tick-box: n1</i>	1x	N	
Title X	X/2	Weighing equipment	<i>Free text: an..512</i>	1x	N	
Title X	X/3	Additional guarantees	<i>Free text: an..512</i>	1x	N	
Title X	X/4	Use of banana weighing certificate	<i>Tick-box: n1</i>	1x	N	
Title X	X/5	Advanced notification to customs authorities	<i>Free text: an..512</i>	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title XI	XI/1	Request for waiver of a supplementary customs declaration	a1	1x	Y	
Title XI	XI/2	Deadline for the submission of a supplementary declaration	n..2	1x	N	
Title XI	XI/3	Subcontractor	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70	1x	N	
Title XI	XI/4	Subcontractor identification	an..17	1x	N	
Title XII	XII/1	Companies involved in the authorisation in other Member	<i>Name</i> an..70 <i>Street and number:</i>	999x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
		States	<i>an..70</i> <i>Country: a2</i> <i>Postcode: an..9</i> <i>City: an..35</i> <i>EORI number: an..17</i>			
Title XII	XII/3	Identification of the fiscal and statistical authorities	<i>Name an..70</i> <i>Street and number: an..70</i> <i>Country: a2</i> <i>Postcode: an..9</i> <i>City: an..35</i>	999x	N	
Title XII	XII/4	VAT-exempt supply to another Member State	<i>Tick-box: n.a.</i>	1x	N	
Title XII	XII/5	VAT and statistical information	<i>Free text: an..512</i>	1x	N	
Title XIII	XIII/1	Notification waiver of the availability of the goods for customs controls	<i>Tick box: n.a.</i> <i>Free text: an..512</i>	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
Title XIII	XIII/2	Additional benefits in case of export	<i>Tick box:</i> n.a. <i>Free text:</i> an..512	1x	N	
Title XIII	XIII/3	Customs office responsible for the place where the goods are available for controls	an8	1x	N	The structure of the codes is defined in Title II for D.E. 4/9 Customs office(s) of placement.
Title XIII	XIII/4	Deadline for submitting the particulars of the complete customs declaration	n..2	1x	N	
Title XIV	XIV/1	Identification of formalities and controls to be delegated to the economic operator	a1	999x	Y	
Title XV	XV/1	Calculation of the amount of the import duty in accordance with Article 86(3) of the Code	<i>Tick-box:</i> n1	1x	N	
Title XV	XV/2	Prior exportation (IP EX/IM)	<i>Tick-box:</i> n1 <i>Time limit:</i> n..2	1x	N	
Title XV	XV/3	Release for free circulation by use of	<i>Tick-box:</i> n1.	1x	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Recurrence	Code-list in Title II (Y/N)	Notes
		bill of discharge				
Title XVI	XVI/1	Standard exchange system	<i>Tick-box:</i> n1	1x	N	
Title XVI	XVI/2	Replacement products	<i>Commodity code:</i> an..8  <i>Description:</i> an..512  <i>Code :</i> n1	999x	Y	The codes provided for D.E. 5/8. Identification of goods in Title II may be used.
Title XVI	XVI/3	Prior import of processed products (OP IM/EX)	<i>Tick-box:</i> n1  <i>Time limit:</i> n..2	1x	N	
Title XVI	XVI/4	Prior import of replacement products	<i>Tick-box:</i> n1  <i>Time limit:</i> n..2	1x	N	
Title XVII	XVII/3	Temporary removal	<i>Tick-box:</i> n1	1x	N	
Title XVII	XVII/5	Loss rate	<i>Free text:</i> an..512	1x	N	
Title XVIII	XVIII/1	Identification measures	<i>Free text:</i> an..512	1x	N	
Title XIX	XIX/1	Type of seal	<i>Free text:</i> an..512	1x	N	



## Title II

### Codes in relation with the common data requirements for applications and decisions

#### 1. Introduction

This Title contains the codes to be used on applications and decisions.

#### 2. Codes

##### 1/1. Application/Decision code type

The following codes shall be used:

<b>Code</b>	<b>Application/Decision type</b>	<b>Table column heading in Annex A-DA</b>
BTI	Decision on Binding Tariff Information	1a
BOI	Decision on Binding Origin Information	1b
AEOC	Authorisation for the status of Authorised Economic Operator – Customs simplifications	2
AEOS	Authorisation for the status of Authorised Economic Operator – Security and safety	2
AEOF	Authorisation for the status of Authorised Economic Operator – Customs simplifications/Security and safety	2
CVA	Application or authorisation for the simplification of the determination of amounts being part of the customs value of goods	3
CGU	Application or authorisation for the provision of a comprehensive guarantee, including possible reduction or waiver	4a
DPO	Application or authorisation for the deferment of payment	4b
REP	Application or decision for the repayment of the amounts of import or export duties	4c
REM	Application or decision for the remission of the amounts of	4c

	import or export duties	
APG	Application or approval of a place for the purpose of presenting the goods	5a
TST	Application or authorisation for the operation of storage facilities for the temporary storage of goods	5b
RSS	Application or authorisation of regular shipping service	6a
ACP	Application or authorisation for the status of authorised consignor to establish the proof of Union status	6b
AWB	Application or authorisation for the status of authorised weigher of bananas	7a
SDE	Application or authorisation to use simplified declaration	7b
CCL	Application or authorisation for centralised clearance	7c
EIR	Application or authorisation for making a customs declaration through an entry of data in the declarant's records, including for the export procedure	7d
SAS	Application or authorisation for self-assessment	7e
IPO	Application or authorisation for the use of inward processing procedure	8a
OPO	Application or authorisation for the use of outward processing procedure	8b
EUS	Application or authorisation for the use of end use	8c
TEA	Application or authorisation for the use of temporary admission	8d
CWP	Application or authorisation for the operation of storage facilities for the customs warehousing of goods in a private customs warehouse.	8e
CW1	Application or authorisation for the operation of storage facilities for the customs warehousing of goods in a public customs warehouse type I	8e
CW2	Application or authorisation for the operation of storage facilities for the customs warehousing of goods in a public	8e

	customs warehouse type II.	
ACT	Application or authorisation for the status of authorised consignee for TIR procedure	9a
ACR	Application or authorisation for the status of authorised consignor for Union transit	9b
ACE	Application or authorisation for the status of authorised consignee for Union transit	9c
TRD	Application or authorisation to use transit declaration with a reduced dataset	9d
SSE	Application or authorisation for the use of special seals	9e
ETD	Authorisation for the use of an electronic transport document as customs declaration	9f

#### **1/4. Type of application**

The following codes shall be used:

- 1 first application
- 2 application for amendment of the authorisation
- 3 application for renewal of the authorisation

#### **1/4a Geographical validity**

The following codes shall be used:

- 1 application or authorisation valid in all Member States
- 2 application or authorisation limited to certain Member States
- 3 application or authorisation limited to one Member State

#### **1/6. Decision reference number**

The decision reference number is structured as follows:

Field	Content	Format	Examples
1	Identifier of the Member State where	a2	PT

	the decision is taken (alpha 2 country code)		
2	Decision code type	an..4	SSE
3	Unique identifier for the decision per country	an..29	1234XYZ123456 78909876543210 AB

Field 1 as explained above.

Field 2 shall be filled in with the code of the decision as defined for D.E. 1/1 Decision code type in this Title.

Field 3 shall be filled in with an identifier for the decision concerned. The way that field is used is under the responsibility of national administrations but each decision taken within the given country must have a unique number in relation to the decision type concerned.

#### **4/9. Customs office(s) of placement**

The structure of the codes is the following:

- the first two characters (a2) serve to identify the country by means of the country code as defined in Commission Regulation (EU) No 1106/2012 of 27 November 2012 implementing Regulation (EC) No 471/2009 of the European Parliament and of the Council on Community statistics relating to external trade with non-member countries, as regards the update of the nomenclature of countries and territories<sup>4</sup>,
- the next six characters (an6) stand for the office concerned in that country. It is suggested that the following structure be adopted:

The first three characters (a3) would be taken up by the UN/LOCODE<sup>5</sup> location name and the last three by a national alphanumeric subdivision (an3). If this subdivision is not used, the characters '000' should be inserted.

Example: BEBRU000: BE = ISO 3166 for Belgium, BRU = UN/LOCODE location name for the city of Brussels, 000 for the unused subdivision.

#### **5/8. Identification of goods**

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OJ L 328, 28.11.2012, p. 7-15

Codes to be used for the identification of goods are the following:

- 1 serial or manufacturer's number
- 2 affixing of plumbs, seals, clip-marks or other distinctive marks
- 3 structured information (INF)
- 4 taking of samples, illustrations or technical descriptions
- 5 carrying out of analyses
- 6 information document set out in *[ex-Annex 104]* (only suitable for outward processing)
- 7 other means of identification (provide an explanation on the means of identification to be used)
- 8 without identification measures according to Article 250(2)(b) of the Code (only suitable for temporary admission)

#### **6/5. Economic conditions**

Codes to be used for economic conditions:

(a) the application concerns

##### **Inward or outward processing**

- Code 1 the processing of goods which are not covered by *[ex-Annex 73]*,
- Code 2 the processing of goods to ensure their compliance with technical requirements for their release for free circulation,
- Code 3 the processing of goods of a non-commercial nature,
- Code 4 the processing of goods obtained under a previous authorisation the issuing of which was subject to an examination of the economic conditions,
- Code 5 the processing of solid and fluid fractions of palm oil, coconut (copra) oil, fluid fractions of coconut (copra) oil, palm kernel oil, fluid fractions of palm kernel oil, babassu oil or castor oil into products which are not destined for the food sector,
- Code 6 the processing into products to be incorporated in or used for civil aircraft for which an airworthiness certificate is issued,

- Code 7 the processing into products which may benefit from the autonomous suspension of import duties on certain weapons and military equipment,
- Code 8 the processing of goods into samples,
- Code 9 the processing of any electronic type of components, parts, assemblies or any other materials into information technology products,
- Code 10 the processing of goods falling within CN codes 2707 and 2710 into products falling within CN codes 2707, 2710 and 2902,
- Code 11 the reduction to waste and scrap, destruction, recovery of parts or components,
- Code 12 denaturing,
- Code 13 usual forms of handling referred to in Article 220 of the Code,

### **Inward processing**

- Code 14 repair under inward processing with subsequent permanent re-export of the processed products,
- Code 15 processing of the goods placed under inward processing which are directly or indirectly put at the disposal of the applicant, carried out according to the specifications on behalf of a person established outside of the customs territory of the Union, generally against payment of processing costs alone with subsequent permanent re-export of the processed products,
- Code 16 the processing of durum wheat into pasta under inward processing with subsequent permanent re-export of the processed products,
- Code 17 a document issued by a competent authority permitting the placement under inward processing with subsequent permanent re-export of the processed products in the limits of the quantity determined on the basis of a supply balance in accordance with Article 11 of Council Regulation (EC) No 1216/2009,

The processing of goods under inward processing with subsequent permanent re-export of the processed products which are covered by *[ex-Annex 73]* and the economic conditions are identified by one of the following codes:

- Code 18 Unavailability of goods produced in the Union sharing the same 8-digit Combined Nomenclature code, the same commercial quality and same technical characteristics (comparable goods) as the goods to be placed under inward processing referred to in the application or authorisation. The unavailability covers the total absence of Union production of comparable goods, the unavailability of a sufficient quantity of those goods in order to carry out the processing operations envisaged or the fact that comparable Union goods cannot be made available to the applicant in time for the proposed commercial operation to be carried out, despite a request having been made in good time.
- Code 19 Although available, comparable goods cannot be used because their price would make the proposed commercial operation economically unviable. In deciding whether the price of comparable goods produced in the Union would make the proposed commercial operation economically unviable, it shall be necessary to take account, *inter alia* of the impact that the use of Union-produced goods would have on the cost price of the processed product and hence on the disposal of the product on the third-country market, having regard to:
- the price before duty of the goods for processing and the price of comparable goods produced in the Union less domestic taxes refunded or refundable on export, taking into account the conditions of sale and any refunds or other amounts applying under the common agricultural policy,
  - the price obtainable for the processed products on the third-country market, as ascertained from commercial correspondence or other information.
- Code 20 Comparable goods which do not conform to the expressly stated requirements of the third-country purchaser of the processed products or the processed products must be obtained from goods intended to be placed under inward processing in order to comply with provisions concerning the protection of industrial or commercial property rights (contractual obligations),
- (b)
- Code 21 the aggregate amount of import duties applicable to the goods placed under the processing procedure, if they were released for free circulation and the *erga omnes* import duty rate was applied, per applicant and per calendar year for

each 8-digit Combined Nomenclature code, does not exceed 150 000 EUR with regard to goods which are covered by *[ex-Annex 73]*

Code 22 the aggregate amount of import duties applicable to the goods placed under the processing procedure, if they were released for free circulation and the erga omnes import duty rate was applied, per applicant and per calendar year for each 8-digit Combined Nomenclature code, does not exceed 300 000 EUR for goods which are not covered by *[ex-Annex 73]*

(c)

Code 23 the application does not concern any of the cases mentioned above under (a) or (b).

## **II/13. Invalidation reason**

Enter one of the following codes:

- 55 Annulled
- 61 Invalidated due to customs nomenclature code changes
- 62 Invalidated due to a Union measure
- 63 Invalidated due to national legal measure
- 64 Revocation due to incorrect classification
- 65 Revocation for other reasons than classification
- 66 Invalidated due to limited validity of nomenclature code at the time of issue

## **XIV/1. Identification of formalities and controls to be delegated to the economic operator**

The following codes shall be used:

- A determination of amount of import or export duty payable;
- B establishing proof of Union status of goods;
- C movement, processing, storage of goods under one of the customs procedures mentioned in *[ex-Article 525-2-01 (1)a) to (1)f)]*
- D confirming the exit of goods where the authorisation holder has carried them out of the customs territory of the Union
- E ensuring compliance with prohibitions and restrictions mentioned in D.E. 6/4 Prohibitions and restrictions



F providing information to customs authorities and other agencies

**XVI/1. Standard exchange system**

The following codes shall be used:

- 1 Standard exchange system without prior importation of replacement products
- 2 Standard exchange system with prior importation of replacement products

**XVI/2. Replacement products**

The following codes shall be used:

- 3 Structured information (INF)
- 4 Taking of samples, illustrations or technical descriptions
- 5 Carrying out of analyses
- 7 Other means of identification