

**CERTIFICATION AND REQUEST TO REDEEM AN ACCELERATION CERTIFICATE  
UNDER THE HUMANITARIAN AWARDS PILOT PROGRAM (Page 1 of 1)**

Certificate Number:

Control Number or Nonprovisional Application Number:

**THE UNDERSIGNED HEREBY CERTIFIES AND REQUESTS THE FOLLOWING FOR THE ABOVE-IDENTIFIED REEXAMINATION PROCEEDING/APPLICATION.**

1. The undersigned is/represents: (i) the holder of the above-identified certificate; (ii) the agent of (i); or (iii) an entity with a controlling interest in (i).
2. The undersigned has, or is the representative of an entity having, an ownership interest in the patent being reexamined in the above-identified *ex parte* reexamination proceeding or in the above-identified patent application.
3. Acceleration is requested for (check one box below):
  - The above-identified *ex parte* reexamination proceeding, including one appeal to the Patent Trial and Appeal Board (PTAB) from that proceeding.
    - a. The certificate is being redeemed either (i) with the request for reexamination or (ii) during the period for patent owner comment after grant of proceeding. The Patent Owner's Statement will be considered to be waived, if filed with the request for reexamination.
    - b. No more than three new independent claims and twenty total new claims may be added during an accelerated reexamination. New claims are those beyond the number contained in the patent at the time of the reexamination request. Claims may be added without triggering this limit by canceling an equal number of existing claims.
    - c. Petitions filed in the reexamination proceeding must be filed in good faith. Revival and Request for Continued Reexamination petitions may not be filed.
  - The above-identified patent application, including one appeal to the PTAB from that application.
    - a. The application contains no more than four independent claims and thirty total claims.
    - b. All submissions in an accelerated examination must be filed electronically.
  - An appeal to the PTAB of a claim twice rejected in the above-identified patent application or reissue application or finally rejected in the above-identified *ex parte* reexamination proceeding.
    - a. The certificate is being redeemed after a docketing notice has issued and before the matter has been assigned to a panel. The appeal number is \_\_\_\_\_.

Signature	Date
Name (Print/Typed)	Practitioner Registration Number

**Note:** This form must be signed in accordance with 37 CFR 1.33 See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required - see below\*.

\*Total of \_\_\_\_\_ forms are submitted.

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.