



# A7: NO AUDIT TRACKING SOFTWARE? NO PROBLEM

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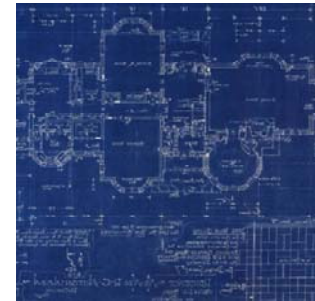
AHIA 32<sup>nd</sup> Annual Conference – August 25-28, 2013 – Chicago, Illinois

[www.ahia.org](http://www.ahia.org)

# What we will cover

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- Background
- Annual Audit \_\_\_\_\_
- Individual Audit Planning
- Audit Fieldwork
- Audit Reporting
- Audit Follow-\_\_\_\_\_
- Audit Software Evaluation



# Question for you

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- No audit software
- Planning to implement audit software
- Already implemented audit software

# Renown Health



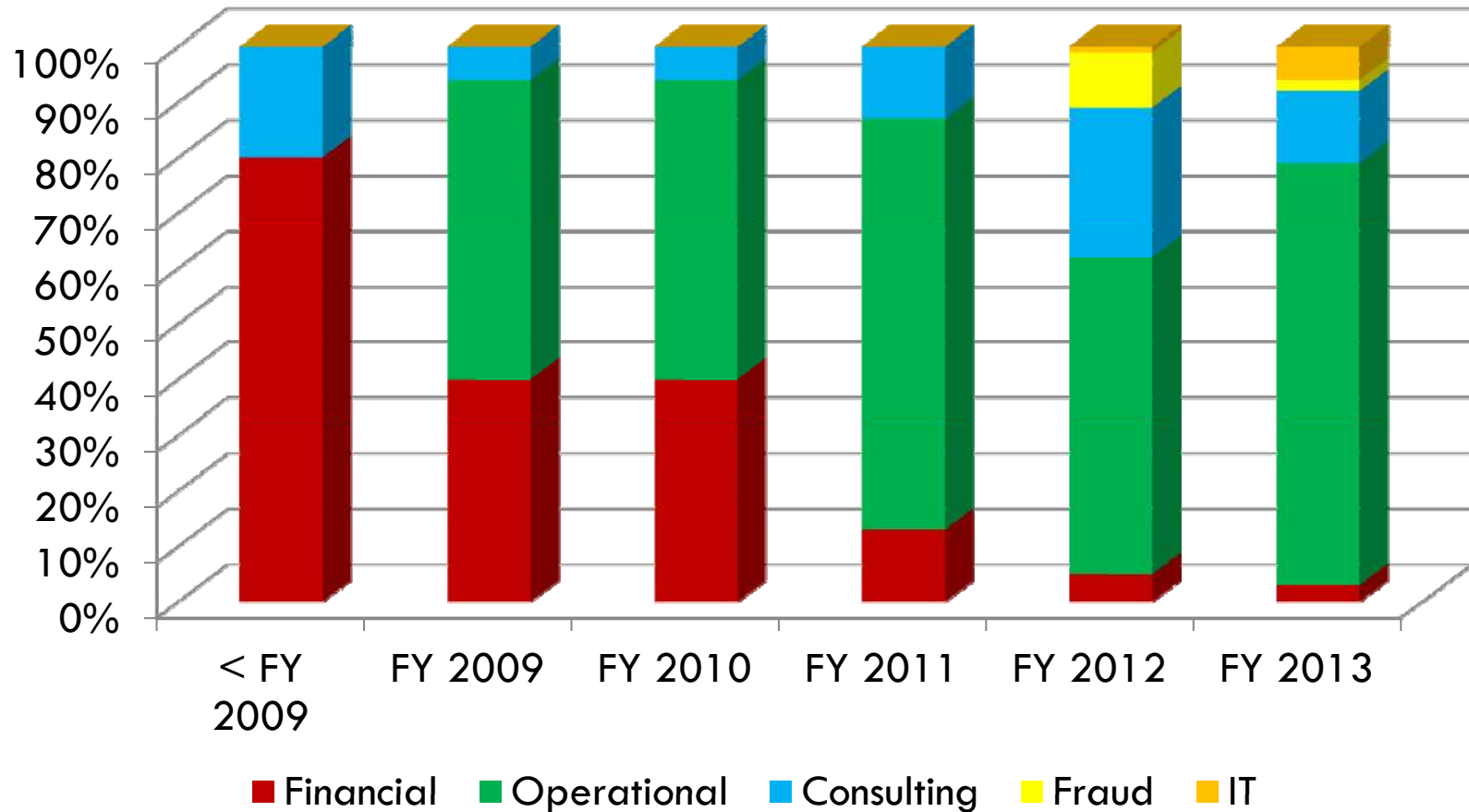
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- 2 acute care facilities (884 licensed beds)
  - ▣ Including Children's Hospital, Trauma Center
- Rehab, Skilled Nursing, Home Care
- 6 urgent care locations 16 medical group locations
- 7 physician offices; 12 imaging locations
- 10 \_\_\_\_\_ draw locations
- Various joint ventures
- Institutes for neuroscience, \_\_\_\_\_ pain, heart & vascular, robotic surgery, and cancer

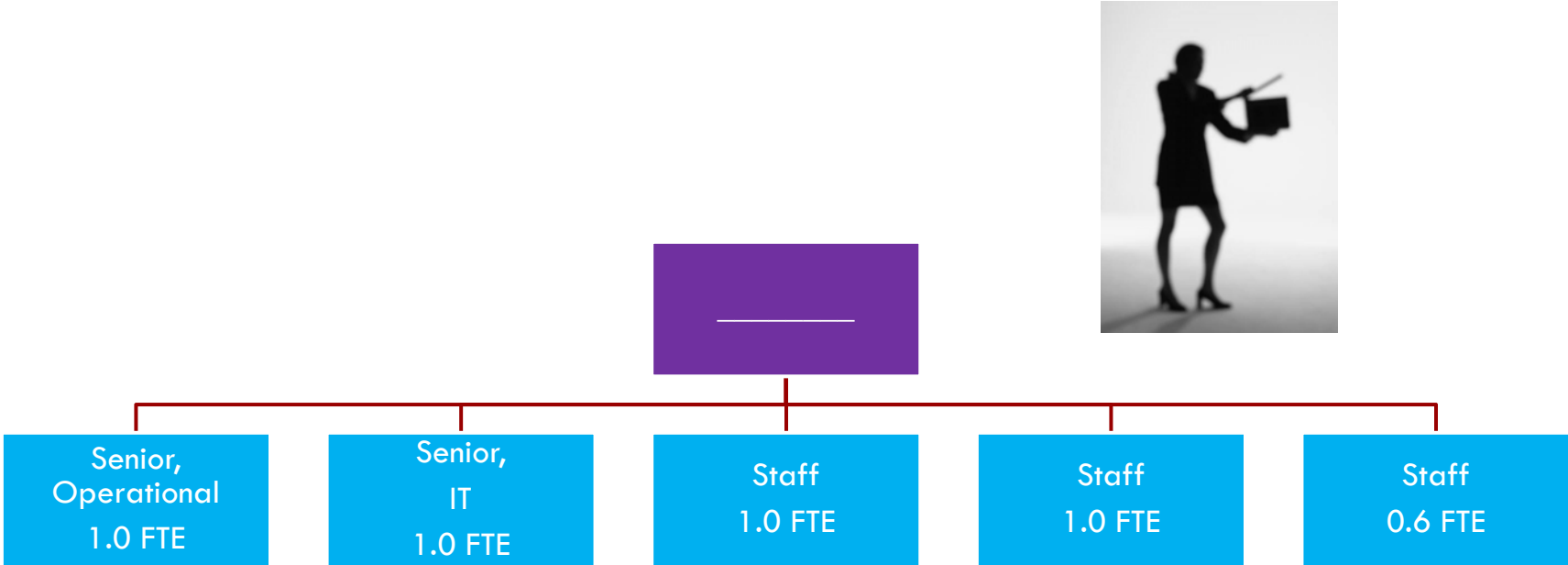


# Internal Audit Department – Productive Time Breakdown

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# Staffing as of June 30, 2013



# Capital Budget Request



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- FY 2009 – 👎
- FY 2010 – 👎
- FY 2011 – 👎
- FY 2012 – 👎
- FY 2013 – 👍 but funds not released
- FY 2014 – 👍 waiting

What's a department to do?

# What we did

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- Develop templates that can be incorporated into audit software when purchased and implemented
  
- 
  - ▣ Standardization
  - ▣ Set expectations as to what we want from software
  
- 
  - ▣ Still inefficient
  - ▣ Productivity could be better



# Annual Audit Plan Tracking

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- \_\_\_\_\_ sheet
- \$ by Project
- Hours by Project
- Hours by Category
- Audits Status
- Consulting Project Status
- Staffing Plan



# Individual Audit Plan Tracking

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- Audit Status Template
- Requests Status Template

# Individual Audit Planning

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- Initial Contacts Template
- Background Research Template
- Initial Interview and Documentation Template
- Risk Inventory Template
- \_\_\_\_\_ through Template
- Announcement Letter Template



# Audit Fieldwork

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- Working Paper Template
- Working Paper \_\_\_\_\_



# Audit Reporting

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- Detail Report template
- Management Control Maturity Assessment
- Impact Criteria
- \_\_\_\_\_ Summary Template



# Audit Follow-Up

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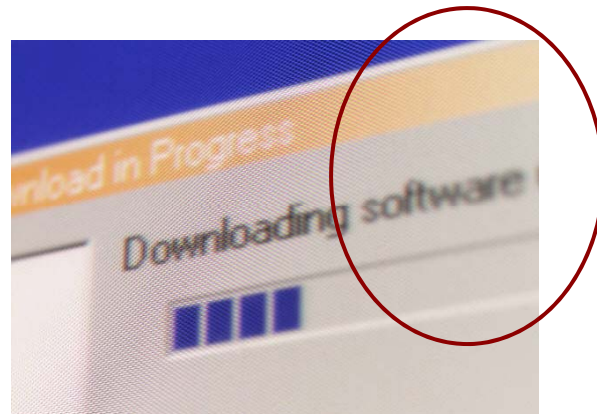
- Follow-Up Process
- Follow-Up \_\_\_\_\_
- Follow-Up Report Template



# Audit \_\_\_\_\_

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- Evaluation Criteria



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# Contact Information

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# Save the Date

# September 21-24, 2014

**ahia**  
Assoc. of **Healthcare** Internal Auditors

**33<sup>rd</sup> Annual Conference**  
**Austin, Texas**

