

# UNITED STATES SECURITIES AND EX CH ANGE COMMISSION Washington, D.C. 20549

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## INITIAL STATEMENT OF BENEFICIAL OWNERSH IP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|   |                     | of Event Rent (MM/DD  |  |                                | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                                       |  |   |  |  |  |
|---|---------------------|---|--|--------------------------------|--|--|---|--|--|--|
| AVI Partners, LLC 7/16  |                     | 7/16/201  | 15   | Y uMe Inc [                    | YuMe Inc [YUME]  |  |   |  |  |  |
| (Last) (First) (Middle)   | 4. Relat            | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) |  |                                |  |  |   |  |  |  |
| 555 E. LANCASTER AVENUE, SUITE 520  Officer (give   |                     |   | below)   |                                | X 10% Owner<br>Other (specify below)   |  |   |  |  |  |
| (Street)  |                     | nendment, D<br>Filed (мм/   |  | Υ)                             | 6. Individual or Joint/Group Filing (Check Applicable Line)                              |  |   |  |  |  |
| RADNOR, PA 19087  |                     |   |  | Form filed by O                | Form filed by One Reporting Person<br>_ X _ Form filed by More than One Reporting Person |  |   |  |  |  |
| (City) (State) (Zip)  |                     |   |  |                                |  |  |   |  |  |  |
| Table I - Non-Derivative Securities Beneficially Owned  |                     |   |  |                                |  |  |   |  |  |  |
| 1.Title of Security<br>(Instr. 4)   |                     |   | 2. A mount of Securities<br>Beneficially Owned<br>(Instr. 4) |                                | 3. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 5)                     | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |   |  |  |  |
| Common Stock, \$ 0.001 Par Value (1)  |                     |   | 3003560  |                                | I  | By AVI Capital Yankee, LP (2)                            |   |  |  |  |
| Common Stock, \$ 0.001 Par Value (1)  |                     |   | 72433  |                                | I  | By AVI Capital Partners, LP (3)                          |   |  |  |  |
| Common Stock, \$ 0.001 Par Value (1)  |                     |   | 271822   |                                | I  | By Managed Account of AVI<br>Partners, LLC (4)           |   |  |  |  |
| Table II - Derivative Securities Beneficially Owned ( $e.g$ puts, calls, warrants, options, convertible securities) |                     |   |  |                                |  |  |   |  |  |  |
| 1. Title of Derivate Security (Instr. 4)  2. Date Exercisable and Expiration Date (MM/DD/YYYY)                      |                     | on Date<br>Y)   | Secur<br>Deriv<br>(Instr.                                    | ,                              | or Exercise Price of Derivative  | 5. Ownership Form of Derivative Security: Direct (D) or  | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |  |  |  |
|   | Date<br>Exercisable |   |  | A mount or Number of<br>Shares | Security   | Indirect (I)<br>(Instr. 5)                               |   |  |  |  |

#### Explanation of Responses:

- ( This Form 3 is filed jointly by AVI Capital Yankee, LP ("AVIY ankee"), AVI Capital Partners, LP ("AVILP"), AVI Partners, LLC ("AVI Partners"), AVI
- 1) Management, LLC ("AVI Management"), James A. Dunn, Jr., and Darren C. Wallis (collectively, the "Reporting Persons"). Each Reporting Person is a member of a reporting group that owns in the aggregate more than 10% of the Issuer's outstanding shares of Common Stock. As such, each Reporting Person may be deemed to beneficially own more than 10% of the Issuer's outstanding shares of Common Stock. Each Reporting Person disclaims beneficial ownership of the shares of Common Stock reported herein except to the extent of his or its pecuniary interest therein, and this report shall not be deemed to be an admission that any Reporting Person is the beneficial owner of such shares of Common Stock for purposes of Section 16 or for any other purpose.
- Shares of Common Stock beneficially owned by AVI Y ankee. AVI Partners, as the general partner of AVI Y ankee, may be deemed to be the beneficial owner of the shares of Common Stock beneficially owned by AVI Y ankee. AVI Management, as the investment manager of AVI Y ankee, may be deemed to be the beneficial owner of the shares of Common Stock beneficially owned by AVI Y ankee. Each of Messrs. Dunn and Wallis, as a managing partner of AVI Partners and a managing member of AVI Management, may be deemed to be the beneficial owner of the shares of Common Stock beneficially owned by AVI
- ( Shares of Common Stock beneficially owned by AVILP. AVI Partners, as the general partner of AVILP, may be deemed to be the beneficial owner of the
- 3) shares of Common Stock beneficially owned by AVILP. AVI Management, as the investment manager of AVILP, may be deemed to be the beneficial owner of the shares of Common Stock beneficially owned by AVILP. Each of Messrs. Dunn and Wallis, as a managing partner of AVI Partners and a managing member of AVI Management, may be deemed to be the beneficial owner of the shares of Common Stock beneficially owned by AVILP.
- ( Shares of Common Stock held in an account managed by AVI Partners. Each of Messrs. Dunn and Wallis, as a managing partner of AVI Partners, may be
- 4) deemed to be the beneficial owner of the shares of Common Stock beneficially owned by AVI Partners.

## **Reporting Owners**

| The porting owners             | 1             | D al ati anala |         |       |  |
|--------------------------------|---------------|----------------|---------|-------|--|
| Reporting Owner Name / Address | Relationships |                |         |       |  |
|                                | Director      | 10% Owner      | Officer | Other |  |
| AVI Partners, LLC              |               |                |         |       |  |
| 555 E. LANCASTER AVENUE        |               | х              |         |       |  |
| SUITE 520                      |               | ^              |         |       |  |
| RADNOR, PA 19087               |               |                |         |       |  |
| AVI Capital Yankee, LP         |               |                |         |       |  |
| 555 EAST LANCASTER AVENUE      |               | х              |         |       |  |
| SUITE 520                      |               |                |         |       |  |
| RADNOR, PA 19087               |               |                |         |       |  |
| AVI Capital Partners, LP       |               |                |         |       |  |
| 555 E. LANCASTER AVENUE        |               | х              |         |       |  |
| SUITE 520                      |               |                |         |       |  |
| RADNOR, PA 19087               |               |                |         |       |  |
| AVI MANAGEMENT, LLC            |               |                |         |       |  |
| 555 E. LANCASTER AVENUE        |               | х              |         |       |  |
| SUITE 520                      |               |                |         |       |  |
| RADNOR, PA 19087               |               |                |         |       |  |
| Dunn James A Jr                |               |                |         |       |  |
| 555 E. LANCASTER AVENUE        |               | х              |         |       |  |
| SUITE 520                      |               | ^              |         |       |  |
| RADNOR, PA 19087               |               |                |         |       |  |
| Wallis Darren C                |               |                |         |       |  |
| 555 E. LANCASTER AVENUE        |               | v              |         |       |  |
| SUITE 520                      |               | Х              |         |       |  |
| RADNOR, PA 19087               |               |                |         |       |  |

### **Signatures**

| AVI Partners, LLC; By: /s/ Darren C. Wallis, Managing Partner   |                                 |           |  |
|---|---------------------------------|-----------|--|
| **Signature of Reporting Person  AVI Capital Yankee, LP; By: AVI Partners, LLC, General Partner; By: /s/ Darren C. Wallis, Managing Partner |                                 |           |  |
|   |                                 |           |  |
| AVI Capital Partners, LP; By: AVI Partners, LLC, General Partner; By: /s/ Darren C. Wallis, Managing Partner                                |                                 |           |  |
|   | **Signature of Reporting Person | Date      |  |
| AVI Management, LLC; By: /s/ Darren C. Wallis, Managing Member  |                                 |           |  |
|   | **Signature of Reporting Person | Date      |  |
| James A. Dunn, Jr.; By: /s/ Darren C. Wallis, Attorney in Fact  |                                 |           |  |
|   | **Signature of Reporting Person | Date      |  |
| By: /s/ Darren C. Wallis  |                                 | 7/20/2015 |  |
| **Signature of Reporting Person   |                                 |           |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.