

SPECTRUM TECHNOLOGY SUPPLEMENTAL APPLICATION



These questions are arranged in sections based on specific classes of business. Only the questions in the section designated for the insured's class of business need be answered.

COMPUTER CONSULTANTS, CUSTOM COMPUTER PROGRAMMING & APPLICATIONS SERVICES, WEBSITE DESIGN & DEVELOPMENT SERVICES, SOFTWARE & INTERNET DESIGN SERVICES

(CLASS CODES: 65891, 42751, 41801, 11071, 11061, 11051, 11171)

1. Describe below the insured's business operations and products in detail.

2. Indicate services/products you provide and percent of revenue generated.

- | | |
|---|---------------|
| <input type="checkbox"/> Hardware Development/Sales (includes firmware for your hardware products only) | _____ % sales |
| <input type="checkbox"/> Hardware Installation/Integration/Maintenance | _____ % sales |
| <input type="checkbox"/> Pre-packaged Software Development | _____ % sales |
| <input type="checkbox"/> Custom Programming Services and Software Development | _____ % sales |
| <input type="checkbox"/> Software Installation/Integration/Maintenance | _____ % sales |
| <input type="checkbox"/> Application Services, Rental, Leasing (ASP – applications or services accessed and utilized by customers via the Internet) | _____ % sales |
| <input type="checkbox"/> Systems Outsource/Systems Facility Management/ Administration | _____ % sales |
| <input type="checkbox"/> Consulting Services (advice only – no other products/services included) | _____ % sales |
| <input type="checkbox"/> Internet Access | _____ % sales |
| <input type="checkbox"/> Website Design (include hosting for design customers only) | _____ % sales |
| <input type="checkbox"/> Website Hosting Services | _____ % sales |
| <input type="checkbox"/> E-Commerce Applications | _____ % sales |
| <input type="checkbox"/> Other (describe fully) _____ | _____ % sales |

3. Indicate functions your services/products perform:

- ☐ Training Education
- ☐ Fire/Security/Emergency
- ☐ CAD (computer aided design)
- ☐ Human Resources
- ☐ Scientific/Weather (seismology, etc.)
- ☐ Medical Purposes (diagnostics, patient care, biotech, non-administrative)*
- ☐ Utility/Natural Resource Processes (oil & gas/power/nuclear energy/waste disposal, etc.)
- ☐ Chemical Processing
- ☐ Manufacturing Processes/Controls (robotics, factory automation, CAM – computer aided manufacturing)
- ☐ Financial Software (funds transfer, trading, financial modeling)
- ☐ Aerospace/Aircraft
- ☐ Transportation (automotive, watercraft, railroads, etc.)
- ☐ Computer Systems Security Advice/Products
- ☐ Pollution/Environmental Testing & Remediation
- ☐ Administrative (billing, sales, marketing, etc.)
- ☐ Accounting Software (no funds transfer)

- ☐ Military/Defense (weapons procurement, guidance system, tracking, etc.):**
- ☐ Agriculture/Mining
- ☐ Machinery/Equipment Control (control of operating/moving parts of machinery, equipment toys, appliances)
- ☐ Supplying Internet Content for Others
- ☐ Other _____

4. Indicate percentage of employees working remote or via telecommuting _____ %

5. What are total values of EDP equipment owned, leased or rented \$ _____

If values are over \$250,000, is there a Central Station Fire/Smoke/Sprinkler alarm?

6. Does the insured have the authority to direct and control people (other than insured's own employees/subcontractors) on the job or in the field?

7. When performing services for others, what level of customer supervision is customary?

- ☐ Direct supervision by customer
- ☐ Limited supervision by customer with regular customer sign-off
- ☐ Limited supervision by customer without regular customer sign-off
- ☐ No direct supervision by customer but regular customer sign-off
- ☐ No direct supervision by customer and no regular customer sign-off

8. Does the insured have Technology E&O coverage?

*this refers to medical/healthcare operations or equipment not to associated business functions such as accounting, billing, appointment scheduling or general office management.

** this refers to military/defense operations or applications (such as weapons procurement, ballistic missile technology) not to general office management such as general accounting or non-weapons, non-combat related procurement.

APPLICATION SERVICE PROVIDERS – GENERAL BUSINESS APPLICATIONS, APPLICATION SERVICE PROVIDERS – INDUSTRY VERTICALS, SOFTWARE DEVELOPERS, TECHNOLOGY SERVICE PROVIDERS, INTERNET SEARCH & INDEXING TOOL PROVIDERS, DATA PROCESSING

(CLASS CODES 11011, 11021, 73371, 11041, 42161, 42071)

1. Describe below the insured's business operations and products in detail.

2. Indicate services/products you provide and percent of revenue generated.

- | | | |
|---|-------|---------|
| <input type="checkbox"/> Hardware Development/Sales (includes firmware for your hardware products only) | _____ | % sales |
| <input type="checkbox"/> Hardware Installation/Integration/Maintenance | _____ | % sales |
| <input type="checkbox"/> Pre-packaged Software Development | _____ | % sales |
| <input type="checkbox"/> Custom Programming Services and Software Development | _____ | % sales |
| <input type="checkbox"/> Software Installation/Integration/Maintenance | _____ | % sales |
| <input type="checkbox"/> Application Services, Rental, Leasing (ASP – applications or services accessed and utilized by customers via the Internet) | _____ | % sales |
| <input type="checkbox"/> System Outsource/Systems Facility Management/Administration | _____ | % sales |
| <input type="checkbox"/> Consulting Services (advice only – no other products/services included) | _____ | % sales |
| <input type="checkbox"/> Internet Access | _____ | % sales |
| <input type="checkbox"/> Website Design (include hosting for design customers only) | _____ | % sales |
| <input type="checkbox"/> Website Hosting Services | _____ | % sales |
| <input type="checkbox"/> E-Commerce Applications | _____ | % sales |
| <input type="checkbox"/> Other (describe fully) _____ | _____ | % sales |

3. Indicate functions your services/products perform:

- ☐ Training Education
- ☐ Fire/Security/Emergency
- ☐ CAD (computer aided design)
- ☐ Human Resources
- ☐ Scientific/Weather (seismology, etc.)
- ☐ Medical Purposes (diagnostics, patient care, biotech, non-administrative)*
- ☐ Utility/Natural Resource Processes (oil & gas/power/nuclear energy/waste disposal, etc.)
- ☐ Chemical Processing
- ☐ Manufacturing Processes/Controls (robotics, factory automation, CAM – computer aided manufacturing)
- ☐ Financial Software (funds transfer, trading, financial modeling)
- ☐ Aerospace/Aircraft
- ☐ Transportation (automotive, watercraft, railroads, etc.)
- ☐ Computer Systems Security Advice/Products
- ☐ Pollution/Environmental Testing & Remediation
- ☐ Administrative (billing, sales, marketing, etc.)
- ☐ Accounting Software (no funds transfer)
- ☐ Military/Defense (weapons procurement, guidance system, tracking, etc.)**
- ☐ Agriculture/Mining
- ☐ Machinery/Equipment Control (control of operating/moving parts of machinery, equipment, toys, appliances)
- ☐ Supplying Internet Content for Others
- ☐ Other _____

4. What are total values of EDP equipment owned, leased or rented \$ _____
If values are over \$250,000, is there a Central Station Fire/Smoke/Sprinkler alarm?
-

5. Are software programs, Website programming and data essential to business operations backed up daily with back-up stored off-site?
-

6. Does the insured have Technology E&O coverage?
-

*this refers to medical/healthcare operations or equipment not to associated business functions such as accounting, billing appointment scheduling or general office management.

**this refers to military/defense operations or applications (such as weapons procurement, ballistic missile technology) not to general office management such as general accounting or non-weapons, non-combat related procurement.

**COMPUTER SYSTEMS INTEGRATION SERVICES, COMPUTER FACILITIES MANAGEMENT,
COMPUTER INSTALLATION, REPAIR & MAINTENANCE SERVICES – COMMERCIAL,
COMPUTER SERVICE OR REPAIR**

(CLASS CODES 11081, 11121, 11131, 48811, 48812, 48813)

1. Describe below the insured's business operations and products in detail.

2. Indicate services/products you provide and percent of revenue generated.

- | | | |
|---|-------|---------|
| <input type="checkbox"/> Hardware Development/Sales (includes firmware for your hardware products only) | _____ | % sales |
| <input type="checkbox"/> Hardware Installation/Integration/Maintenance | _____ | % sales |
| <input type="checkbox"/> Pre-packaged Software Development | _____ | % sales |
| <input type="checkbox"/> Custom Programming Services and Software Development | _____ | % sales |
| <input type="checkbox"/> Software Installation/Integration/Maintenance | _____ | % sales |
| <input type="checkbox"/> Application Services, Rental, Leasing (ASP – applications or services accessed and utilized by customers via the Internet) | _____ | % sales |
| <input type="checkbox"/> Systems Outsource/Systems Facility Management/Administration | _____ | % sales |
| <input type="checkbox"/> Consulting Services (advice only – no other products/services included) | _____ | % sales |
| <input type="checkbox"/> Internet Access | _____ | % sales |
| <input type="checkbox"/> Website Design (include hosting for design customers only) | _____ | % sales |
| <input type="checkbox"/> Website Hosting Services | _____ | % sales |
| <input type="checkbox"/> E-Commerce Applications | _____ | % sales |
| <input type="checkbox"/> Other (describe fully) _____ | _____ | % sales |

3. Indicate functions your services/products perform:

- ☐ Training Education
- ☐ Fire/Security/Emergency
- ☐ CAD (computer aided design)
- ☐ Human Resources
- ☐ Scientific/Weather (seismology, etc.)
- ☐ Medical Purposes (diagnostics, patient care, biotech, non-administrative)*
- ☐ Utility/Natural Resource Processes (oil & gas/power/nuclear energy/waste disposal, etc.)
- ☐ Chemical Processing
- ☐ Manufacturing Processes/Controls (robotics, factory automation, CAM – computer aided manufacturing)
- ☐ Financial Software (funds transfer, trading, financial modeling)
- ☐ Aerospace/Aircraft
- ☐ Transportation (automotive, watercraft, railroads, etc.)
- ☐ Computer Systems Security Advice/Products
- ☐ Pollution/Environmental Testing & Remediation
- ☐ Administrative (billing, sales, marketing, etc.)
- ☐ Accounting Software (no funds transfer)
- ☐ Military/Defense (weapons procurement, guidance system, tracking, etc.)**
- ☐ Agriculture/Mining
- ☐ Machinery/Equipment Control (control of operating/moving parts of machinery, equipment, toys, appliances)
- ☐ Supplying Internet Content for Others
- ☐ Other _____

4. Are back-up procedures performed on all customer systems or equipment to be worked on immediately prior to beginning work? If no, explain below.

5. Is all electrical work performed by licensed electricians? If no, explain below.

6. Indicate percentage of operations:

% residential

% commercial

% on insured's premises

% on customer premises

7. Does the insured have Technology E&O coverage?

8. For all classes other than Computer Service or Repair 48811, 48812, 48813:

When performing services for others, what level of customer supervision is customary?

- ☐ Direct supervision by customer
- ☐ Limited supervision by customer with regular customer sign-off
- ☐ Limited supervision by customer without regular customer sign-off
- ☐ No direct supervision by customer but regular customer sign-off
- ☐ No direct supervision by customer and no regular customer sign-off

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HOSTING RESELLERS

(CLASS CODE 11031)

1. Describe below the insured's business operations and products in detail.

2. Are any Internet access or connectivity services provided? If yes, describe below.

3. Are any hosting services performed? If yes, describe below.

4. Does the insured have Technology E&O coverage?

ELECTRONICS & HARDWARE MANUFACTURERS

(CLASS CODES 35711, 35712, 43501, 43502, 35721, 35722, 43511, 43512, 35751, 35752, 43521, 43522, 35771, 35772, 43531, 43532, 35781, 35782, 43541, 43542, 35791, 35792, 43551, 43552, 36511, 36512, 43641, 43642, 36521, 36522, 43561, 43562, 36611, 36612, 43651, 43652, 36631, 36632, 43661, 43662, 36711, 36712, 43571, 43572, 36721, 36722, 43581, 43582, 36751, 36752, 43591, 43592, 36761, 36762, 43601, 43602, 36781, 36782, 43611, 43612, 36791, 36792, 43621, 43622, 36951, 36952, 43631, 43632, 43671, 43672, 43681, 43682, 43691, 43692, 43701, 43702, 43711, 43712, 43721, 43722)

1. Describe below the insured's business operations and products in detail.

2. Indicate services/products you provide and percent of revenue generated.

- | | |
|---|---------------|
| <input type="checkbox"/> Hardware Development/Sales (includes firmware for your hardware products only) | _____ % sales |
| <input type="checkbox"/> Hardware Installation/Integration/Maintenance | _____ % sales |
| <input type="checkbox"/> Pre-packaged Software Development | _____ % sales |
| <input type="checkbox"/> Custom Programming Services and Software Development | _____ % sales |
| <input type="checkbox"/> Software Installation/Integration/Maintenance | _____ % sales |
| <input type="checkbox"/> Application Services, Rental, Leasing (ASP – applications or services accessed and utilized by customers via the Internet) | _____ % sales |
| <input type="checkbox"/> Systems Outsource/Systems Facility Management/Administration | _____ % sales |
| <input type="checkbox"/> Consulting Services (advice only – no other products/services included) | _____ % sales |
| <input type="checkbox"/> Internet Access | _____ % sales |
| <input type="checkbox"/> Website Design (include hosting for design customers only) | _____ % sales |
| <input type="checkbox"/> Website Hosting Services | _____ % sales |
| <input type="checkbox"/> E-Commerce Applications | _____ % sales |
| <input type="checkbox"/> Other (describe fully) _____ | _____ % sales |

3. Indicate functions your services/products perform:

- ☐ Training Education
- ☐ Fire/Security/Emergency
- ☐ CAD (computer aided design)
- ☐ Human Resources
- ☐ Scientific/Weather (seismology, etc.)
- ☐ Medical Purposes (diagnostics, patient care, biotech, non-administrative)*
- ☐ Utility/Natural Resource Processes (oil & gas/power/nuclear energy/waste disposal, etc.)
- ☐ Chemical Processing
- ☐ Manufacturing Processes/Controls (robotics, factory automation, CAM – computer aided manufacturing)
- ☐ Financial Software (funds transfer, trading, financial modeling)
- ☐ Aerospace/Aircraft
- ☐ Transportation (automotive, watercraft, railroads, etc.)
- ☐ Computer Systems Security Advice/Products
- ☐ Pollution/Environmental Testing & Remediation
- ☐ Administrative (billing, sales, marketing, etc.)
- ☐ Accounting Software (no funds transfer)
- ☐ Military/Defense (weapons procurement, guidance system, tracking, etc.)**
- ☐ Agriculture/Mining
- ☐ Machinery/Equipment Control (control of operating/moving parts of machinery, equipment, toys, appliances)

☐ Supplying Internet Content for Others

☐ Other _____

4. Does the insured have Technology E&O coverage?

*this refers to medical/healthcare operations or equipment not to associated business functions such as accounting, billing, appointment scheduling or general office management.

** this refers to military/defense operations or applications (such as weapons procurement, ballistic missile technology) not to general office management such as general accounting or non-weapons, non-combat related procurement.

Agency _____ Code _____ Sub-Code _____

Insured's Name and Address (Street, City, State and Zip Code)

Agent's Signature

(Not applicable in Colorado, Hawaii, Nebraska, Ohio, Oklahoma, Oregon, Utah and Vermont)

Any person who knowingly and with intent to defraud any insurance company or another person files an application for insurance containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects the person to criminal and (NY: substantial) civil penalties. In the District of Columbia, Louisiana, Maine, Tennessee and Virginia, insurance benefits may also be denied.

Applicable in Ohio

Any person who, with intent to defraud or knowing that he/she is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

Applicable in Oklahoma

WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds or an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

Applicable in Colorado

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policy holder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

Applicable in Virginia

The representations and statements contained herein are incorporated in and constitute part of the Coverage Part.