

FORESTERS EQUITY REGISTERED MEMO # FC 13-06

TO: All Associated Persons
FROM: Judith Gil, VP Compliance
DATE: June 28, 2013
RE: Q1 – 2013 Continuing Education Summary Report

This Summary Report is part of the firm's on-going Continuing Education Program. All associated persons are required to acknowledge having read the communications.

The items below were provided to you, electronically, between January and March 2013. *If you missed the communications or it was prior to your hire date, it is important that you review these important issues accessible under the Tools & Resources Tab on the firm's website @ www.forestersequity.com*

<u>Registered Memos</u>	<u>Indicate if Read</u>	<u>News Flashes</u>	<u>Indicate if Read</u>
FC 13- 01 Q3 & Q4 CE Summary Rpt	___	Jan Mandatory Webinar	___
FC 13-02 OBA Confirmation	___	Suitability Webinar	___
LR 13-03 New U4 Disclosure Event Filing Fee	___	Feb Foresters Equity New Acct. App	___
FC 13-04 Social Media Electronic Communications Training	___	Hartford Enhanced Surrender Value	___
		Product Updates	___
		Reminder Upcoming Due Dates	___
		Mar Final Reminder OBA Due	___
		New Product Available Product Updates	___

<u>Market Smart Newsletters</u>	<u>Indicate if Read</u>		
Apr 2013	___		

Sign and return to the Compliance Department @ FAX 858 244 4984 - No Later Than July 31, 2013. Thank you.

Print Your Name

Signature

Branch #

CC:

Frank L. Smith, President & CEO
 Stephen Cheeseman, CCO
 Administrative Staff