Foresters Equity Services, Inc.

FESCO REGISTERED MEMO # **FC-11-01**

TO: **All Registered Persons and FESCO Home Office Staff**

FROM: **Judith E. Gil, Asst Vice President Compliance, Primary AML Officer, Advertising Principal, Continuing Education Administrator**

DATE: **February 11, 2011**

RE: **Continuing Education Summary Report – Q4 2010**

In our effort to keep you current on compliance, regulatory, ethical and sales practice standards we send out articles, newsletters, registered memos and retirement information for you to read and understand.

This is part of the firm's on-going Continuing Education Program in which all licensed Registered Representatives are required to participate and acknowledge having read.

Listed below are the items provided to you between October 13, 2010 and December 31, 2010. *If this material was prior to your hire date, it is important that you review the material on our website for an understanding of these important issues.*

FESCO 2010 Registered Memos	*Check if Read*
FC 10-14 Monthly Correspondence Review	_____
FC 10-15 2010 Annual Compliance Reqmts	_____
FC 10-16 Q2 – Q3 CE Summary	_____
FC 10-17A Br. Mgr Failures to Complete	_____
FC 10-17B Non-Prod Failures to Complete	_____
FC 10-17C RRs Failures to Complete	_____
FC 10-17D H.O Failures to Complete	_____
FC 10-18 Paper Suppression /Mail Received	_____
FC 10-19 Minimum GDC Policy	_____

2010 Market Smart Newsletters	*Check if Read*
October 2010	_____

2010 News Flashes	*Check if Read*
October 10 – Annual Compliance Reqmts	_____
November 16 – Financial Profiles Software	_____
December 03 – Make A Note Save the Date	_____

Indicate which materials you have received and read. Sign and return (fax will suffice 858 550 4813) to the attention of the Compliance Department - No Later Than February 28, 2011.

If you are missing any of the materials listed above, please refer to the Registered Memos available on the Foresters Equity website. If you have any questions, contact Compliance at 800-350-9885 ext. 4866.

_____	_____	_____	_____	_____
Print RR Name	RR Signature	Rep#	B/O #	Date