

Redmayne-Bentley's Pension Dealing Account Form

This form is to be completed by the Members and Trustees of the pension scheme. It should be read in conjunction with Redmayne-Bentley's *Terms and Conditions* and *Guide to Services and Charges*.

Name of Pension _____

Type of Pension (please select as appropriate) SIPP SSAS QROPS Other (please specify) _____

PRIMARY CONTACT

Your role within the scheme (Tick all that apply)

Member Trustee

Title (eg. Mr. Mrs. Dr.) _____ Surname _____

First Names (in full) _____

Address _____

Postcode _____

E-mail _____

Tel No. (Day) _____

Tel No. (Evening) _____

Tel No. (Mobile) _____

Date of Birth ____/____/____

Country and Place of Birth _____

Country(ies) where taxed _____

National Insurance No. _____

Occupation _____

Employer _____

Residential Status: With Parents Home Owner Tenant Other

If there are more than two Trustees, please tick this box

You will need an additional form in order to complete the details of the additional Trustees. Photocopies of this form are accepted.

Plan/Policy Number (if applicable) _____

INDIVIDUALS AUTHORISED TO GIVE DEALING INSTRUCTIONS

1 _____

Further addresses for copy contract notes:

Position: Member/Employer/IFA/Other (Delete as applicable)

Title (eg. Mr. Mrs. Dr.) _____ Surname _____

First Names (in full) _____

Company Name _____

Address _____

Postcode _____

E-mail _____

Tel No. (Day) _____

2 _____

Position: Member/Employer/IFA/Other (Delete as applicable)

Title (eg. Mr. Mrs. Dr.) _____ Surname _____

First Names (in full) _____

Company Name _____

Address _____

Postcode _____

E-mail _____

Tel No. (Day) _____

CLIENT WEB ACCESS (CWA)

If you have provided an e-mail address as part of this application, you will be given secure access to view your account through our CWA service. A CWA username will be sent to you via e-mail, with your CWA password to be sent by post. E-mail notifications will advise you when any future contract notes and statements are available to view within your CWA account. You have the option to switch to receiving paper contract notes and statements at any time within the 'Preferences' section of your CWA account or by checking the boxes below.

I wish to receive paper: Statements Contract Notes

CUSTODY AND PAYMENTS (Please complete in BLOCK CAPITALS)

All stock will be administered using the Redmayne-Bentley Nominee and cash deposit facilities. If you wish to appoint your own custodian, please tick this box and indicate the custodian's CREST participant ID _____

All interest, dividends, sale and corporate action proceeds will accrue to a designated deposit account held by Redmayne-Bentley. If you wish to hold all funds yourself and remit funds to us on receipt of a contract note, please tick this box

Please provide details of the Trustee Bank account to which funds should be transferred for payment of eg. fees, income withdrawal etc. We require evidence of your Bank or Building Society account; please provide a hard copy document sent from your Bank or Building Society detailing your name, account number and sort code, such as a statement with full headers, recent correspondence or a spoiled cheque.

Name of Bank/Building Society _____

Bank Address _____

_____ Postcode _____ Bank Account Holder's Name(s) _____

Sort Code _____ / _____ / _____ Bank Account Number _____

DECLARATION (Please complete)

1. I am a member of an authorised pension scheme or QROPS (member(s) only).
2. I/We have been supplied with a copy of Redmayne-Bentley's *Terms and Conditions* and *Guide to Services and Charges*.
3. If I am named as the Primary point of contact, I agree to pass on details of all corporate actions to the member(s) in a timely manner.
4. I/We give Redmayne-Bentley the authorisation to administer my/our* account(s) using the Redmayne-Bentley Nominee and cash deposit facilities.
5. I/We agree that in certain circumstances, investment restrictions may be placed upon Redmayne-Bentley by professional Trustees which may restrict any trading I/we may undertake.
6. Whilst most orders undertaken will be in accordance with our published Best Execution Policy, please note that Redmayne-Bentley may execute orders outside of a recognised market or Multilateral Trading Facility (MTF). You agree that we may do this as we see fit in order to achieve a similar or better result. Please contact us if you do not understand this or require further information.
7. This is our standard agreement, upon which we intend to rely, for allowing Trustees to undertake transactions on behalf of a pension scheme or QROPS. For your own benefit and protection you should read Redmayne-Bentley's *Terms and Conditions* and *Guide to Services and Charges* carefully. If you do not understand any point please ask for further information.
8. I/We declare that this application form has been completed to the best of my/our knowledge.

Please sign here

Date

Date

X

(Signatory One)

_____/_____/_____

X

(Signatory Two (if applicable))

_____/_____/_____

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