## NYCIDA PROJECT COST/BENEFIT ANALYSIS September 10, 2015

APPLICANT Gallant & Wein Corporation 11-20 43<sup>rd</sup> Road Long Island City, New York 11101

### **PROJECT LOCATION**

11-20 43<sup>rd</sup> Road 11-10 43<sup>rd</sup> Road 43-55 11<sup>th</sup> Street Long Island City, New York 11101

## A. Project Description:

Gallant & Wein Corporation (the "Company"), is a New York corporation that distributes power and communication cables and related products.

The Company and its affiliate J&B Realty, LLC ("J&B"), a New York Limited liability company, is seeking assistance in connection with: (i) the acquisition, renovation, furnishing and equipping of an existing approximately 3,892 square foot building located on an approximately 2,200 square foot parcel of land, (ii) the renovation, construction, furnishing and of an existing 11,500 square foot building located on an approximately 13,528 square foot parcel of land; and (iii) the renovation, furnishing and equipping of an existing 21,000 square foot building located on an approximately 10,500 square foot building located on an approximately 10,500 square foot parcel of land (the "Project"). The Project will enable the Company and J&B to connect the three aforementioned facilities, which will house the Company's headquarters and manufacturing and warehousing operations. The total project costs will be approximately \$4.40 million.

The Company currently has 28 fulltime equivalent employees and expects to hire an additional 3 fulltime equivalent employees by year 3.

| <b>B.</b> Costs to City (New York City taxes to be exempted): |                 |
|---|-----------------|
| Mortgage Recording Tax Benefit:                               | \$<br>60,938    |
| Land Tax Abatement (NPV, 25 years):                           | 1,098,120       |
| Building Tax Exemption (NPV, 25 years):                       | 1,620,885       |
| Sales Tax Exemption:  | 50,400          |
| Total Cost to NYC   | \$<br>2,830,343 |

| C. Benefit to City (Estimated NYC direct and indirect taxes to be | \$ 13,816,959 |
|---|---------------|
| generated by Company) (estimated NPV 25 years @ 6.25%):           | φ 13,010,959  |





# **BENEFITS APPLICATION**

| Applicant Name: Gallant & Wein Corp      | Э.  |  |        |   |             |
|--|---|--|--------|---|-------------|
| Name of operating company (if differe    | nt from Applicant):                         |  |        |   |             |
| Operating Company Address: 11-20         | 43 <sup>rd</sup> Rd. Long Island City, Quee | ens, 11101                               |        |   |             |
| Website Address: GALWEIN.COM             |   |  |        |   |             |
| EIN #:                                   |   | NAICS Code:                              |        |   |             |
| State and date of incorporation or form  | nation: 1934 New York                       | Qualified to conduct business in NY? xxx | 🚺 Yes  | 1 | No          |
| Applicant is (check one of the followin  | g, as applicable):                          |  |        |   |             |
| 🔲 General Partnership                    | Limited Partnership                         | C Corporation                            |        | S | Corporation |
| Limited Liability Company                | Natural Person                              | 501(c)(3) Organization                   | Other: |   |             |
| Are any securities of Applicant publicly | y traded? 🗌 Yes 🛛 🙀                         | No                                       |        |   |             |

# Applicable Financial Assistance (check all that apply)

Please note the following: When Build NYC is the entity providing Financial Assistance, the Project Financial Assistance may be limited to deferral from mortgage recording taxes and tax-exempt conduit bond financing.

|          | Bond Financing                  |  |
|----------|---------------------------------|--|
| xxx      | Real Estate Tax Benefits        |  |
| XXX<br>I | Sales Tax Waiver                |  |
| xxx      | Mortgage Recording Tax Deferral |  |

## **Applicant Contact Information**

|                             | Name/Title       | Company             | Address | Email | Phone |
|-----------------------------|------------------|---------------------|---------|-------|-------|
| Applicant<br>Contact Person | Harold Rosenberg | Gallant & Wein Corp |         |       |       |
| Attorney                    | Glen Edelman,Esq | Okin Edelman PC     |         |       |       |
| Accountant                  | Dennis Carmen    | Carmen & Pearl      |         |       |       |
| Consultant/Other            | Robert Morel     | City One Associates |         |       |       |

## **Background**

Please provide a brief description of the Applicant's history and nature of its business, including a description of the industry, competitors and services offered, on a separate sheet.

## **Proposed Project Activities**

| Please provide answers to the following four questions on a separate page.   |   |
|--|---|
| <ol> <li>Please provide a brief overview of the entire proposed Project. If necessary, break down by ta<br/>Project Location.</li> </ol> | ax lot to describe activities at each<br>SEE ATTACHED |
| 2. Please provide a brief description of how the proposed Project will affect current operations.  | SEE ATTACHED  |
| 3. Please provide a brief description of renovations/construction of the proposed Project.   | SEE ATTTACHED   |
| 4. Please provide a brief timeline for the entire proposed Project.  | SEE ATTTACHED   |

## **Project Financing**

#### loan 1: Acquisition of 43 – 55 11 Street Loan 2: Renovation of 11 - 10 & 11 - 20 43<sup>Rd</sup> Rd

Amounts provided should be aggregates for all Project Locations.

| Sources of Funds<br>(If needed use an additional sheet to indicate all sources and uses) |  |                                     |                                     |                                 |                     |                  |                      |            |
|--|--|-------------------------------------|-------------------------------------|---------------------------------|---------------------|------------------|----------------------|------------|
| Uses of Funds  | Bond<br>Proceeds   | Commercial<br>Financing<br>(Loan 1) | Commercial<br>Financing<br>(Loan 2) | Affiliate/<br>Employee<br>Loans | Capital<br>Campaign | Company<br>Funds | Other<br>(Identify): | Total Uses |
| Land & Building<br>Acquisition   | <ul> <li>Access Approximate (1) To the transmission</li> </ul> | 1,750,000                           |                                     |                                 |                     | 500,000          |                      | 2,250,000  |
| Construction Hard<br>Costs   |  |                                     | 2,000,000                           |                                 |                     | 0                |                      | 2,000,000  |
| Construction Soft<br>Costs   |  |                                     |                                     |                                 |                     |                  |                      |            |
| Fixed Tenant<br>Improvements   |  |                                     |                                     |                                 |                     |                  |                      |            |
| Furnishings &<br>Equipment   |  |                                     |                                     |                                 |                     |                  |                      |            |
| Debt Service<br>Reserve Fund   |  |                                     |                                     |                                 |                     |                  |                      |            |
| Capitalized Interest   |  |                                     |                                     |                                 |                     |                  |                      |            |
| Costs of Issuance  |  |                                     |                                     |                                 |                     |                  |                      |            |
| Fees (explain):<br>closing costs   |  |                                     |                                     |                                 |                     | 150,000          |                      | 150,000    |
| Other (explain)  |  |                                     |                                     |                                 |                     |                  |                      |            |
| Total Sources  |  | 1,750,000                           | 2,000,000                           |                                 |                     | 650,000          |                      | 4,400,000  |

# **Operating Pro Forma** (for NYCIDA applicants only)

Please provide an operating pro forma or other financial analysis demonstrating how NYCIDA assistance is needed in order to make the Project feasible.

## Sourcing

Please list where machinery, equipment and furnishings will be purchased and what percentage of total machinery, equipment, and furnishings relating to the Project this will represent:

| New York City                       | assumed 75% | % of Total? |
|-------------------------------------|-------------|-------------|
| New York State (excluding NYC)      | assumed 25% | % of Total? |
| United States (excluding NYS & NYC) |             | % of Total? |
| Outside United States               |             | % of Total? |

# **Project Location Detail**

| Project Location  | Project Location # 1 of 3   |
|---|---|
| Borough/Block/Lot: QUEENS BLOCK 445, lot 13   | Street address and zip code: 43 – 55 11 Street. LIC 11101   |
| Zoning: M1-4  | Number of Floors: 2   |
| Square footage of existing building: 3892 ft. <sup>2</sup>  | Square footage of land: 2,250   |
| Anticipated square footage of building following construction and/or renovation:<br>4500 ft. <sup>2</sup>   | Anticipated square footage of non-building improvements following construction and/or renovation (i.e., parking lot construction): not applicable |
| Intended use(s) of site (check all that apply):   |   |
| Retail Xxx Manufacturing/Industrial   | Xxx Office INon-profit  |
| For ALL USES other than Non-profit or Retail, please also complete  | Energy Questionnaire  |
| Is there any improved space which is currently occupied by existing s<br>Will any improved space be occupied by subtenant(s) (whether Affilia<br>If yes to either, please attach a separate page and provide details sur<br>(2) square footage of subtenant operations, (3) subtenant lease com | tes or otherwise)? ☐ Yes xxxxxx ☐ No<br>ch as (1) name of subtenant business(es) (whether Affiliates or otherwise),                               |
|   | AT IDA CLOSING, BOILDING WILL DE VACANT   |

# **Anticipated Ownership of Premises**

1. Please check all that apply:

| (Projected) Acquisition date: 12/1/15         |
|---|
| (Projected) Lease signing date:               |
|   |
|   |
|   |
| st in the Project Location, which may be more |
|   |

2. If an Affiliate owns or controls (or will own or control) a Project Location, then describe such Affiliate by choosing one of the following selections and completing the chart provided below:

| General Partnership                    | Limited Partnership   | C Corporation                        |
|--|-----------------------|--------------------------------------|
| S Corporation                          | Limited Liability Com | pany Discrete 501(c)(3) Organization |
| 🗋 Natural Person                       | Other (specify):      | STRUCTURE NOT YET DETERMINED         |
| Name of Affiliate: To Be Determined    |                       | EIN # of Affiliate:                  |
| Address of Affiliate:                  |                       |                                      |
| Affiliation of Affiliate to Applicant: |                       |                                      |
| Contact Person:                        |                       | Title of Contact Person:             |
| Phone Number(s):                       |                       |                                      |

**Project Location Detail** 

| Project Location   | Project Location # 2 of 3  |  |
|--|--|--|
| Borough/Block/Lot: 445- lot 1  | Street address and zip code: 11 – 20 43rd Rd. LIC, Queens NY 11101   |  |
| Zoning: M1 – 4   | Number of Floors: 2  |  |
| Square footage of existing building: 21,000  | Square footage of land: 10,500   |  |
| Anticipated square footage of building following construction and/or renovation:<br>Connection to 11-10 43 <sup>rd</sup> Rd / no anticipated increase              | r Anticipated square footage of non-building improvements following construction and/or renovation (i.e., parking lot construction): No change |  |
| Intended use(s) of site (check <u>all</u> that apply):<br>Retail XXX Manufacturing/Industrial For ALL USES other than Non-profit or Retail, please also complete I |  |  |
| Is there any improved space which is currently occupied by existing s<br>Will any improved space be occupied by subtenant(s) (whether Affilia                      | subtenant(s) (whether Affiliates or otherwise)? Pres INO   |  |

(2) square footage of subtenant operations, (3) subtenant lease commencement and termination dates, and (4) copies of leases.

# The operating company, and IDA applicant, Gallant and Wein Corp. occupies 100% of this site through a Realty holding entity J and B Realty LLC

# **Anticipated Ownership of Premises**

1. Please check all that apply:

| Applicant or an Affiliate is or expects to be the fee simple owner of the Project Location   | (Projected) Acquisition date: owned by applicant |
|--|--|
| Applicant or an Affiliate leases or expects to lease the Project Location  | (Projected) Lease signing date:                  |
| If you checked the box above, please select one of the following:  |  |
| Lease is for an entire building and property   |  |
| Lease is for a portion of the building and/or property.  |  |
| ☐ None of the above categories fully describe Applicant's interest or intended interest accurately described in a supplementary document (attached). | st in the Project Location, which may be more    |

2. If an Affiliate owns or controls (or will own or control) a Project Location, then describe such Affiliate by choosing one of the following selections and completing the chart provided below:

|   | Conorol | Dortnorohin |
|---|---------|-------------|
| 1 | General | Partnership |

|     | 0 | Corporation |  |
|-----|---|-------------|--|
| 4 1 | ~ | Cornoration |  |

| Limited Pa | artnersh |
|------------|----------|
|------------|----------|

Limited Liability Company

nip

C Corporation

501(c)(3) Organization

| Natural Perso            | n 🗌 🤇                                   | Other (specify):                      |                   |                |              |      |
|--------------------------|---|---------------------------------------|-------------------|----------------|--------------|------|
| Name of Affiliate: J     | & B Realty LLC                          | El                                    | N # of Affiliate: |                |              |      |
| Address of Affiliate:    | 11-20 43 <sup>rd</sup> Road LIC NY 1110 | )1                                    |                   |                |              | <br> |
| Affiliation of Affiliate | to Applicant: realty owner of c         | operating applicant                   |                   |                |              | <br> |
| Contact Person:          | Mr. Harold Rosenberg                    | Ti                                    | tle of Contact P  | erson: Secreta | ry-Treasurer | <br> |
| Phone Number(s):         |   | · · · · · · · · · · · · · · · · · · · |                   |                |              | <br> |

# **Project Location Detail**

| Project Location  | Project Location # 3 of 3   |
|---|---|
| Borough/Block/Lot: 445- lot 12  | Street address and zip code: 11 – 10 43 <sup>rd</sup> Rd. LIC, Queens NY 11101  |
| Zoning: M1 – 4  | Number of Floors: 1   |
| Square footage of existing building: 11,500   | Square footage of land: 13,528  |
| Anticipated square footage of building following construction and/or renovation:<br>a new 2 <sup>nd</sup> floor approximately 8000 ft. <sup>2</sup> Total 19,500 ft. <sup>2</sup> | Anticipated square footage of non-building improvements following construction and/or renovation (i.e., parking lot construction): No change to 2,000 parking lot |
| Intended use(s) of site (check <u>all</u> that apply):  Retail XXX Manufacturing/Industrial For ALL USES other than Non-profit or Retail, please also complete it                 | -   |
| Is there any improved space which is currently occupied by existing s   | subtenant(s) (whether Affiliates or otherwise)? PYes INO  |

Will any improved space be occupied by subtenant(s) (whether Affiliates or otherwise)? No No

If yes to either, please attach a separate page and provide details such as (1) name of subtenant business(es) (whether Affiliates or otherwise), (2) square footage of subtenant operations, (3) subtenant lease commencement and termination dates, and (4) copies of leases.

The operating company, and IDA applicant, Gallant and Wein Corp. occupies 100% of this site through a Realty holding entity J and B Realty LLC

## Anticipated Ownership of Premises

1. Please check all that apply:

| (Projected) Acquisition date: owned by applicant |
|--|
| (Projected) Lease signing date:                  |
|  |
|  |
|  |
| t in the Project Location, which may be more     |
|  |

2. If an Affiliate owns or controls (or will own or control) a Project Location, then describe such Affiliate by choosing one of the following selections and completing the chart provided below:

|  | Limited | Partnership |
|--|---------|-------------|
|--|---------|-------------|

Limited Liability

| S Corporation |  |
|---------------|--|
|---------------|--|

| L | Linnico | armeno |
|---|---------|--------|
|   |         |        |
|   |         |        |

C Corporation

| Company |  |
|---------|--|
|---------|--|

501(c)(3) Organization

| Natural Perso            | n                                    | Other (specify):       |                        |                    |      |  |
|--------------------------|--------------------------------------|------------------------|------------------------|--------------------|------|--|
| Name of Affiliate: J     | & B Realty LLC                       |                        | EIN # of Affiliate:    |                    |      |  |
| Address of Affiliate:    | 11-20 43 <sup>rd</sup> Road LIC NY 1 | 1101                   |                        |                    |      |  |
| Affiliation of Affiliate | to Applicant: realty owner           | of operating applicant |                        |                    |      |  |
| Contact Person:          | Mr. Harold Rosenberg                 | I                      | Title of Contact Perso | n: Secretary-Treas | urer |  |
| Phone Number(s):         |                                      |                        | <u>-</u>               |                    | _ !  |  |

## **Employment Information**

The following information will be used as part of the Agency's calculation of the benefit of the Project, and as a basis for the comparison with the employment information that the Applicant will be required to report on an annual basis for the term of the Project Agreement.

In addition, information included in the Estimated New-growth Employment (section 6) will be used to determine eligibility for participation in the HireNYC Program. For program information, visit nycedc.com/hirenyc. If eligible for HireNYC Program participation, NYCEDC will provide additional details.

For all responses below, please note that part-time employees work an average of between 17.5 and 35 hours per week, and full-time employees work 35 hours or more per week. Hourly wages should represent the pay rate and are exclusive of overtime. For any salaried employees, please divide the annual salary by 1,820 (working hours per year) to produce an hourly wage. Wage information should exclude principals.

1. Anticipated Facility Operations Start Date at Project Location: 2017

 Regarding employees the Applicant employed throughout New York City as of the last pay period:

 Number of part-time employees: 0
 Number of full-time employees: 28

3. Regarding employment if Applicant currently occupies and operates at the Project Location:Hourly wage of lowest compensated part-time employee:0Number of part-time employees:0Hourly wage of lowest compensated full-time employees:14/hourNumber of part-time employees:0Number of full-time employees:28

4. <u>Regarding employees the Applicant expects to employ throughout New York City on the Facility Operations Start Date:</u> Number of part-time employees: 0 Number of full-time employees: 28

How many of these employees are expected to be relocated to the Project Location on or about the Facility Operations Start Date? Number of part-time employees: 0 Number of full-time employees: 28 operating at current location

#### 5. Regarding all employees at the Project Location on the Facility Operations Start Date:

Average hourly wage per part-time employee: not applicableAverage hourly wage per full-time employee: \$20/hourHourly wage of highest compensated part-time employee:Hourly wage of highest compensated full-time employee: \$80/hourHourly wage of lowest compensated part-time employee:Hourly wage of lowest compensated full-time employee: \$14/hourNumber of part-time employees:Number of full-time employees: 28

6. Estimated New-growth Employment. Complete the following chart to indicate the number of new employees that are expected to be hired at the Project Location in each year. Note: Year 1 is the year following the Facility Operations Start Date; Year 2 is the second year following that date; Year 3 is the third, etc.

| Years following<br>Facility Operations<br>Start Date | 1 | 2 | 3 | 4 | 5 | 6 | 7 | Total New<br>Growth |
|--|---|---|---|---|---|---|---|---------------------|
| Permanent Full-time                                  | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 7                   |
| Permanent Part-time                                  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0                   |

## Wage and Benefits Information

7. For all new employees at the Project Location (again, excluding Principals) expected to be hired during the three-year period following the Facility Operations Start Date, please project the following: Average hourly wage per part-time employee: 0 Average hourly wage per full-time employee: \$18 per hour Hourly wage of lower composed port time employee: 0 Hourly wage of lowerst composed foll time employee: \$14 per hour

Hourly wage of lowest compensated part-time employee: 0 Hourly wage of lowest compensated full-time employee: \$14 per hour

- Generally describe all other forms of compensation and benefits that Permanent Employees will receive. Examples: healthcare, employercontributions for retirement plans, on-the-job training, reimbursement for educational expenses, etc. Healthcare benefits through union plan; 401(k) matching pension contributions; subsidies for transportation to work for salesmen; sliding scale vacation benefits based on length of employment
- 9. Please indicate whether the Applicant or any of its Affiliates will be required to provide health coverage to its employees pursuant to the federal Patient Protection and Affordable Care Act (the "Act"). If yes, please provide an overview of the applicable requirements under the Act and an explanation of how the Applicant plans to comply with such requirements. If no, please explain why. Healthcare Benefits offered to all employees
- 10. Is your company currently providing paid sick time to employees in accordance with the Earned Sick Time Act (Chapter 8 of Title 20 of the NYC Administrative Code) and otherwise in compliance with such law? If yes, please provide an explanation of your company's paid and unpaid sick time policy. If no, please explain why. Company exceeds earned NYC Sick Time Act Benefits

#### <u>Labor</u>

The Applicant and its Affiliates hereinafter will be referred to collectively as the "Companies" or individually as a "Company." If none of the following questions apply to any of these Companies, answer "NO"; but, for any question that does apply, be sure to specify to which of the Companies the answer is relevant.

- Have any of the Companies during the current calendar year or any of the five preceding calendar years experienced labor unrest situations, including actual or threatened labor strikes, hand billing, consumer boycotts, mass demonstrations or other similar incidents?
  - Yes xx No
- 2. Have any of the Companies received any federal and/or state unfair labor practices complaints asserted during the current calendar year or any the five calendar years preceding the current calendar year?

If Yes, please explain on an attached sheet

- Yes xxx No If Yes, please describe and explain current status of complaints on an attached sheet
- 3. Do any of the Companies have pending or threatened requests for arbitration, grievance proceedings or other labor disputes during the current calendar year or any of the five calendar years preceding the current calendar year?
  - Yes xxx No If Yes, please explain on an attached sheet
- 4. Are all employees of the Companies permitted to work in the United States?
  - xxx Yes INO If No, please provide details on an attached sheet.

Do the Companies complete and retain all required documentation related to this inquiry, such as Employment Eligibility Verification (I-9) forms?

- xxx Yes No If No, please explain on an attached sheet
- 5. Has the United States Department of Labor, the New York State Department of Labor, the New York City Office of the Comptroller or any other local, state or federal department, agency or commission having regulatory or oversight responsibility with respect to workers and/or their working conditions and/or their wages, inspected the premises of any Company or audited the payroll records of any Company during the current or preceding three year calendar years?

🗌 Yes xxx💭 No

If "Yes," please use an attached sheet to briefly describe the nature and date of the inspection and the inspecting governmental entity. Briefly describe the outcome of the inspection, including any reports that may have been issued and any fines or remedial or other requirements imposed upon any of the Companies as a consequence.

6. Have any of the Companies incurred, or potentially incurred, any liability (including withdrawal liability) with respect to an employee benefit plan, including a pension plan?

Yes xxx No If "Yes," please use an attached sheet to quantify the liability and briefly describe its nature. Refer to any governmental entities that have had regulatory contact with the Company in connection with the liability.

7. Are the practices of any of the Companies now, or have they been at any time during the current or preceding five calendar years, the subject of any complaints, claims, proceedings or litigation arising from alleged discrimination in the hiring, firing, promoting, compensating or general treatment of employees?

Yes xxx 🗑 No If "Yes," provide details on an attached sheet. Note "discrimination" includes sexual harassment.

## **Financials**

1. Has Applicant, any Affiliate, or Principal, or any close relative of any Principal, ever received, or is any such person or entity currently receiving, financial assistance or any other kind of non-discretionary benefit from any Public Entities?

xxx Yes If Yes, please provide details on an attached sheet. 2012 Town of Babylon IDA benefits and

#### **SBA** Financing

2. Has Applicant, or any Affiliate or Principal, or any existing or proposed occupant at the Project Location(s), obtained, or is any such person or entity in the process of obtaining, or contemplating obtaining, other assistance from the NYCIDA/Build NYC and/or other Public Entities?

XXX Yes INO If Yes, please provide details on an attached sheet. ECSP

3. Has Applicant, or any Affiliate or Principal, ever defaulted on a loan or other obligation to a Public Entity?

Yes XXX No If Yes, please provide details on an attached sheet.

4. Has real property in which Applicant, or Affiliate or Principal, holds or has ever held an ownership interest and/or controlling interest of 25 percent or more, now or ever been (i) the subject of foreclosure (including a deed in lieu of foreclosure), or (ii) in arrears with respect to any type of tax, assessment or other imposition?

Yes XXX No If Yes, please provide details on an attached sheet.

5. Does Applicant, or any Affiliate or Principal, have any contingent liabilities not already covered above (e.g., judgment liens, lis pendens, other liens, etc.)? Please include mortgage loans and other loans taken in the ordinary course of business only if in default.

Yes XXX No If Yes, please provide details on an attached sheet.

6. Has Applicant, or any Affiliate or Principal, failed to file any required tax returns as and when required with appropriate governmental authorities?

Yes XXX No If Yes, please provide details on an attached sheet.

For questions 7 through 12, below, please answer the following questions relating to the Applicant (if the space provided below is insufficient, please provide complete information on an attached sheet):

#### 7. List major customers: SEE ATTACHMENT

| Company Name | Address | Contact | Phone | Fax | Email | % of Revenues |
|--------------|---------|---------|-------|-----|-------|---------------|
|              |         |         |       |     |       |               |
|              |         |         |       |     |       |               |
|              |         |         |       |     |       |               |

## 8. List major suppliers: SEE ATTACHMENT

| Company Name | Address | Contact | Phone | Fax | Email |
|--------------|---------|---------|-------|-----|-------|
|              |         |         |       |     |       |
|              |         |         |       |     |       |
|              |         |         |       |     |       |

#### List major Funding sources (if applicable):

| Company Name | Address | Contact | Phone | Fax                                   | Email |
|--------------|---------|---------|-------|---------------------------------------|-------|
|              |         |         |       |                                       |       |
|              |         |         |       | · · · · · · · · · · · · · · · · · · · |       |
|              |         |         |       |                                       |       |



31 National Road Edison, NJ 08817 Tel: 732.246.3282 • Fax: 732.246.3235

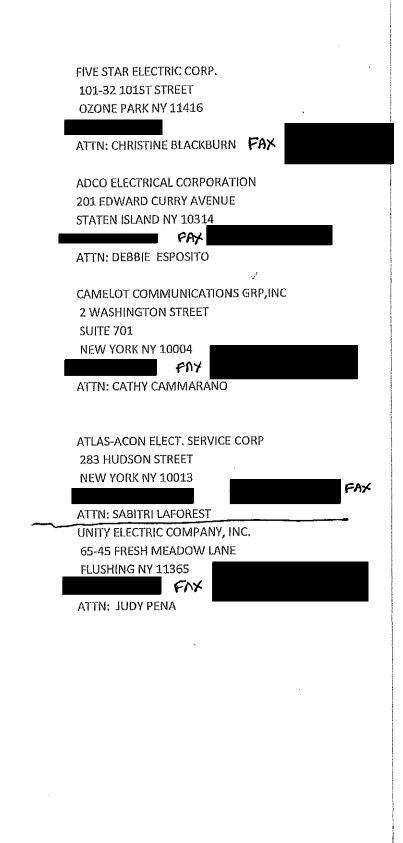
11-20 43<sup>rd</sup> Road Long Island City, NY 11101 Tel: 718.784.5210 \* Fax: 718.937.6426

TRADE REFERENCES

CERROWIRE 91163 COLLECTIONS CENTER DRIVE CHICAGO, IL 60693 CONTACT: JACKIE SOUTH TELE:

SOUTHWIRE COMPANY 75 REMITTANCE DRIVE STE# 6815 CHICAGO, IL 60675 CONTACT: DONNA BACK TELE: FAX :

> ENCORE WIRE CORP. P.O. BOX 841490 DALLAS, TX 75284-1490 CONTACT: LEA C. JONES TELE: FAX:



#### 10. List unions (if applicable):

| Union Name   | Address  | Contact | Phone | Fax | Email |
|--|--|---------|-------|-----|-------|
| International<br>Brotherhood Electrical<br>Workers LOCAL 3 | 158-11 Harry Van<br>Arsdale blvd, Flushing ,<br>NY 11365 | Angela  |       |     |       |
|  |  |         |       |     |       |
|  |  |         |       |     |       |
|  |  |         |       |     |       |

#### 11. List banks:

| Bank Name | Address   | Contact  | Phone | Fax | Email | Account Type and<br>Number |
|-----------|-----------|----------|-------|-----|-------|----------------------------|
| FLUSHING  | 42-11 Be  | ll Gus   |       |     |       | Corporate/checking         |
| BANK      | Blvd ^    | Buitrago |       | 1   |       |                            |
|           |           |          |       |     |       |                            |
|           | · · · · · |          |       |     |       |                            |
|           |           |          |       |     |       |                            |
|           |           |          |       |     |       |                            |

#### 12. List licensing authorities (if applicable):

| Company Name | Address | Contact | Phone | Fax | Email |
|--------------|---------|---------|-------|-----|-------|
| NA           |         |         |       |     |       |
|              |         |         |       |     |       |
|              |         |         |       |     |       |
|              |         |         |       |     |       |

## Anti-Raiding

1. Will the completion of the Project result in the relocation of any plant or facility located within New York State, but outside of New York City, to New York City? 🗌 Yes xxx

If "Yes," please provide the names of the owners and addresses of the to-be-removed plant(s) or facility(ies):

2. Will the completion of the Project result in the abandonment of any plants or facilities located in an area of New York State other than New York City? Yes xxxx No

If "Yes," please provide the names of the owners/operators and the addresses of the to-be-abandoned plant(s) or facility(ies):

If the answer to question 1 or 2 is "Yes," please continue and answer questions 3 and 4.

- 3. Is the Project reasonably necessary to preserve the competitive position of this Applicant, or of any proposed occupants of the Project, in its industry? 
  Yes No
- Is the Project reasonably necessary to discourage the Applicant, or any proposed occupant of the Project, from removing such plant or facility to a location outside New York State?
   Yes
   No

If the answer to question 3 or 4 is "Yes," please provide on a detailed explanation on a separate sheet of paper.

## Certification

I, the undersigned officer/member/partner of Applicant, on behalf of Applicant and its Affiliates, hereby request, represent, certify, understand, acknowledge and agree as follows:

I request that this Application, together with all materials and data submitted in support of this Application (collectively, these "Application Materials"), be submitted for review to the applicable Agency's Board of Directors (the "Board"), in order to obtain from the Board an expression of intent to provide the benefits requested herein for the Project.

I represent that I have the authority to sign these Application Materials on behalf of, and to bind, Applicant and its Affiliates.

I certify to the best of my knowledge and belief, after due investigation, the information contained in these Application Materials is accurate, true and correct and does not contain a misstatement of a material fact or omit to state a material fact necessary to make the statements contained herein not misleading. I understand that an intentional misstatement of fact, or, whether intentional or not, a material misstatement of fact, or the providing of materially misleading information, or the omission of a material fact, may cause the Agency's Board to reject the request made in the Application Materials. I understand that the Agency will rely on the information contained within these Application Materials in producing and publishing a public notice and convening a public hearing. If any information in these Application Materials is found to be incorrect, the Applicant may have to provide new information and a new public notice and public hearing may be required. If a new public notice and public hearing is required, they will be at the Applicant's expense.

I understand the following: that Applicant and Principals will be subject to a background check and actual or proposed subtenants may be subject to a background check, and if such background check performed by the Agency with respect to Applicant or any Affiliates reveals negative information, Applicant consents to any actions that the Agency or NYCEDC may take to investigate and verify such information; that the Agency may be required under SEQRA to make a determination as to the Project's environmental impact and that in the event the Agency determines that the Project will have an environmental impact, Applicant will be required to prepare, at its own expense, an environmental impact statement; that the decision of the Board to approve or to reject the request made in the Application Materials is a discretionary decision; that no Bonds may be issued (if Bonds are being requested) unless such Bonds are approved by the Mayor of the City; that under the New York State Freedom of Information Law ("FOIL"), the NYCIDA/Build NYC may be required to disclose the Application Materials and the information contained therein (see the Disclosure Policy section of the Polices and Instructions provided to Applicant and signed by Applicant on or about the date hereof (the "Policies and Instructions")); and that Applicant shall be entirely responsible and liable for the fees referred to in these Application Materials.

#### I further understand and agree as follows:

That notwithstanding submission of this Application, the Agency shall be under no obligation to present Applicant's proposed Project to its Board for approval. If the Agency presents Applicant's proposed Project to its Board for approval, the Agency does not guaranty that such approval will be obtained. If upon presenting Applicant's proposed Project to its for approval the Agency obtains such approval, such approval shall not constitute a guaranty from the Agency to Applicant that the Project transaction will close.

That preparation of this Application and any other actions taken in connection with the proposed Project shall be entirely at Applicant's sole cost and expense. Under all circumstances, the Application Fee is non-refundable, including but not limited to the circumstance where the Agency decides, in its sole discretion, to not present Applicant's proposed project to the the Agency Board of Directors for Approval.

That each of Applicant and each of its Affiliates (collectively, the "Indemnitors") hereby releases NYCIDA, Build NYC, NYCEDC and their respective directors, officers, employees and agents (collectively, the "Indemnitees") from and against any and all claims that any Indemnitor has or could assert and which arise out of, or are related to, any Application Materials, any actions taken in connection therewith or any other actions taken in connection with the proposed Project (collectively, the "Actions"). Each Indemnitor hereby indemnifies and holds harmless each of the Indemnitees from and against any and all claims and damages brought or asserted by third parties, including reasonable attorneys' fees, arising from or in connection with the Actions. As referred to herein, "third parties" shall include, but shall not be limited to, Affiliates.

That in the event the Agency discloses the Application Materials in response to a request made pursuant to FOIL, Applicant hereby authorizes the Agency to make such disclosure and hereby releases the Agency from any claim or action that Applicant may have or might bring against the Agency, their directors, officers, agents, employees and attorneys, by reason of such disclosure; and that Applicant agrees to defend, indemnify and hold the Agency and the NYCEDC and their respective directors, officers, agents, employees and attorneys harmless (including without limitation for the cost of reasonable attorneys' fees) against claims arising out of such disclosure as such claims may be made by any party including the Applicant, Affiliate, Owner or Principal, or by the officers, directors, employees and agents thereof.

That capitalized terms used but not defined in this Application have the respective meanings specified in the Policies and Instructions.

I acknowledge and agree that the Agency reserves its right in its sole and absolute discretion to request additional information, waive any requirements set forth herein, and/or amend the form of this Application, to the full extent permitted by applicable law.

Requested, Represented, Certified, Acknowledged, Understood and Agreed by Applicant,

| This 27 day of Joly , 20 15                            | ·                         |
|--|---------------------------|
| Name of Applicant:                                     | AllANT Y WEIN Corp        |
| Signatory: HAROLD<br>Title of Signatory:<br>Signature: | Rosening Ry<br>Soct/Trens |

I certify that, using due care, I know of no misstatement of material fact in the Application Materials, and know of no material fact required to be stated in the Application Materials to make the statements made therein not misleading. Certified by Preparer,

| This 27 day of July | 2015         |
|---------------------|--------------|
| Name of Preparer:   | Robert Morel |
| Signatory:          | fil          |
| Title of Signatory: | COUSULTANT   |
| Signature:          |              |

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# Core IDA application Brief PROJECT ACTIVITY Answers

#### 1 Project Overview:

Gallant & Wein Corp. was induced by the IDA for an expansion project in 2014 but the project did not move forward when the seller did not live up to the terms of the proposed and negotiated contract of sale. The company has now entered into a contract of sale to buy a 3,800sq ft. building which is immediately adjacent their existing 32,500 sq. ft. operating headquarters and manufacturing plant located at 11-10 43<sup>rd</sup> Rd (one story 11,500 sq. ft. building- and an adjacent two story 21,000 building at 11-20 43<sup>rd</sup> Rd.) . The company will renovate the interior of 11-10 43<sup>rd</sup> Rd so that it can expand its production and warehousing on the ground floor and build a 2<sup>nd</sup> floor on top of the adjacent building and will use a part of the 3800 ft.<sup>2</sup> building for additional warehousing and expanded office space and the remainder for a new loading dock. There will also be renovation on the other adjacent two story building facilitating the interconnection of the 3 buildings. The renovation and construction will cost approximately \$2 million and a mortgage will be placed on the existing building. The acquisition price of the adjacent 3800 ft.<sup>2</sup> building is \$2,250,000, will have a separate mortgage of approximately \$1,750,000. This project will enable the company to increase its production and warehousing and enable the company to increase its employment.

**2.** How will the project affect the current operations: The Company is operating in extremely cramped quarters with production inefficiencies and limited space for growth. By acquiring this adjacent 3800 ft.<sup>2</sup> building, the company will be able to expand its existing production and warehousing capacity, expanding their product line, and add new customers and will set up a new division which will fabricate outlet and other electrical devices creating new product lines . Very importantly, the company will be able to build a new, 2<sup>nd</sup> interior loading dock on 11<sup>th</sup> St. allowing for much more efficient shipping and receiving. The company plans to hire a minimum of 7 new employees in the next 7 years to handle this expansion. It is very conceivable that the actual increase in employment will be closer to 10 to 15 union positions but the company is trying to be very conservative with the 7 new job commitment and projection.

**3. Proposed renovation/construction**: The company will be spending approximately \$2 million in renovations, gutting the existing 2500 square feet of offices in their existing building and relocating expanded corporate and staff offices to a new 2<sup>nd</sup> floor consisting of conference room, corporate offices, production offices, support staff offices, as well as warehousing for higher-priced and less bulky inventory and raw materials. By moving the existing offices upstairs and expanding into the new building they are buying adjacent their existing two buildings, the company should be able to expand their production by 4000 to 6000 ft.<sup>2</sup>, expand their warehousing by approximately 4000 to 6000 ft.<sup>2</sup> as well as added an additional loading dock , facilitating shipping and receiving and becoming much more efficient.

The company has now entered into a contract of sale to buy a 3,800sq ft. building which is immediately adjacent their existing 32,500 sq. ft. operating headquarters and manufacturing plant located at 11-10 43<sup>rd</sup> Rd (one story 11,500 sq. ft. building- and an adjacent two story 21,000 building at 11-20 43<sup>rd</sup> Rd.) . The company will renovate a large part of the interior of 11-10 43<sup>rd</sup> Rd

so that it can expand its production and warehousing on the ground floor and build a 2<sup>nd</sup> floor on top of the adjacent building and will use a part of the 3800 ft.<sup>2</sup> building for additional warehousing and expanded office space and the remainder for a new loading dock. There will also be renovation as part of the expansion on the other adjacent two story building creating a interconnect new second floor between all 3 buildings.

**4. Timeline**: The real estate/IDA closing is expected to be sometime in November/ December 2015. The renovations will take approximately 24-30 months. Since there will be ongoing production and warehousing operations in the facility, the construction of the 8000 ft.<sup>2</sup> 2<sup>nd</sup> floor will take more time than a typical construction, hence, the 2 ½ year construction timeline.

## Full Environmental Assessment Form Part 1 - Project and Setting

## **Instructions for Completing Part 1**

**Part 1 is to be completed by the applicant or project sponsor.** Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification.

Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information; indicate whether missing information does not exist, or is not reasonably available to the sponsor; and, when possible, generally describe work or studies which would be necessary to update or fully develop that information.

Applicants/sponsors must complete all items in Sections A & B. In Sections C, D & E, most items contain an initial question that must be answered either "Yes" or "No". If the answer to the initial question is "Yes", complete the sub-questions that follow. If the answer to the initial question is "No", proceed to the next question. Section F allows the project sponsor to identify and attach any additional information. Section G requires the name and signature of the project sponsor to verify that the information contained in Part 1 is accurate and complete.

#### A. Project and Sponsor Information.

| Name of Action or Project:  |            |           |
|---|------------|-----------|
| Project Location (describe, and attach a general location map):     |            |           |
| Brief Description of Proposed Action (include purpose or need):     |            |           |
| Name of Applicant/Sponsor:  | Telephone: |           |
|   | E-Mail:    |           |
| Address:  |            |           |
| City/PO:  | State:     | Zip Code: |
| Project Contact (if not same as sponsor; give name and title/role): | Telephone: |           |
|   | E-Mail:    |           |
| Address:  |            |           |
| City/PO:  | State:     | Zip Code: |
| Property Owner (if not same as sponsor):                            | Telephone: |           |
|   | E-Mail:    |           |
| Address:  |            |           |
| City/PO:  | State:     | Zip Code: |

#### **B.** Government Approvals

| <b>B. Government Approvals Funding, or Sponsorship.</b> ("Funding" includes grants, loans, tax relief, and any other forms of financial assistance.)  |   |   |  |  |
|---|---|---|--|--|
| Government Entity   | If Yes: Identify Agency and Approval(s)<br>Required | Application Date<br>(Actual or projected) |  |  |
| a. City Council, Town Board, Yes No<br>or Village Board of Trustees   |   |   |  |  |
| b. City, Town or Village Yes No<br>Planning Board or Commission   |   |   |  |  |
| c. City Council, Town or Yes No<br>Village Zoning Board of Appeals  |   |   |  |  |
| d. Other local agencies Yes No  |   |   |  |  |
| e. County agencies Yes No   |   |   |  |  |
| f. Regional agencies Yes No   |   |   |  |  |
| g. State agencies Yes No  |   |   |  |  |
| h. Federal agencies Yes No  |   |   |  |  |
|   | r the waterfront area of a Designated Inland W      | aterway? Yes No                           |  |  |
| If Yes,       ii. Is the project site located in a community with an approved Local Waterfront Revitalization Program?       Yes No         iii. Is the project site within a Coastal Erosion Hazard Area?       Yes No |   |   |  |  |

## C. Planning and Zoning

| C.1. Planning and zoning actions.  |                 |
|--|-----------------|
| <ul> <li>Will administrative or legislative adoption, or amendment of a plan, local law, ordinance, rule or regulation be the only approval(s) which must be granted to enable the proposed action to proceed?</li> <li>If Yes, complete sections C, F and G.</li> <li>If No, proceed to question C.2 and complete all remaining sections and questions in Part 1</li> </ul> | □Yes□No         |
| C.2. Adopted land use plans.   |                 |
| a. Do any municipally- adopted (city, town, village or county) comprehensive land use plan(s) include the site where the proposed action would be located?   | <b>Yes</b> No   |
| If Yes, does the comprehensive plan include specific recommendations for the site where the proposed action would be located?  | □Yes□No         |
| <ul> <li>b. Is the site of the proposed action within any local or regional special planning district (for example: Greenway Brownfield Opportunity Area (BOA); designated State or Federal heritage area; watershed management plan; or other?)</li> <li>If Yes, identify the plan(s):</li> </ul>   | ∐Yes <u></u> No |
|  |                 |
| <ul> <li>c. Is the proposed action located wholly or partially within an area listed in an adopted municipal open space plan, or an adopted municipal farmland protection plan?</li> <li>If Yes, identify the plan(s):</li> </ul>  | ∐Yes No         |
|  |                 |

| C.3. Zoning   |          |
|---|----------|
| a. Is the site of the proposed action located in a municipality with an adopted zoning law or ordinance.<br>If Yes, what is the zoning classification(s) including any applicable overlay district? | ☐Yes ☐No |
|   |          |
| b. Is the use permitted or allowed by a special or conditional use permit?  | ☐Yes No  |
| <ul><li>c. Is a zoning change requested as part of the proposed action?</li><li>If Yes,</li><li><i>i</i>. What is the proposed new zoning for the site?</li></ul>                                   | □Yes□No  |
| C.4. Existing community services.   |          |
| a. In what school district is the project site located?   |          |
| b. What police or other public protection forces serve the project site?  |          |
| c. Which fire protection and emergency medical services serve the project site?   |          |
| d. What parks serve the project site?   |          |
|   |          |

# D. Project Details

# D.1. Proposed and Potential Development

| a. What is the general nature of the proposed action (e.g., residential, industrial components)?   | l, commercial, recreational; if mixed, inc  | clude all              |
|--|---|------------------------|
| b. a. Total acreage of the site of the proposed action?  | acres                                       |                        |
| b. Total acreage to be physically disturbed?   | acres                                       |                        |
| c. Total acreage (project site and any contiguous properties) owned  |   |                        |
| or controlled by the applicant or project sponsor?   | acres                                       |                        |
| <ul> <li>c. Is the proposed action an expansion of an existing project or use?</li> <li><i>i.</i> If Yes, what is the approximate percentage of the proposed expansion and square feet)? % Units:</li> </ul> | identify the units (e.g., acres, miles, hou | Yes No<br>using units, |
| d. Is the proposed action a subdivision, or does it include a subdivision?   | Γ   | Yes No                 |
| If Yes,  |   |                        |
| <i>i</i> . Purpose or type of subdivision? (e.g., residential, industrial, commercial; if  | t mixed, specify types)                     |                        |
| <i>ii.</i> Is a cluster/conservation layout proposed?  | Г   | Yes No                 |
| <i>iii.</i> Number of lots proposed?   | -   |                        |
| <i>iv.</i> Minimum and maximum proposed lot sizes? Minimum Max   | ximum                                       |                        |
| e. Will proposed action be constructed in multiple phases?   |   | Yes No                 |
| <i>i</i> . If No, anticipated period of construction:  | months                                      |                        |
| <i>ii</i> . If Yes:  |   |                        |
| Total number of phases anticipated   |   |                        |
| • Anticipated commencement date of phase 1 (including demolition)  | month year                                  |                        |
| • Anticipated completion date of final phase   | monthyear                                   |                        |
| Generally describe connections or relationships among phases, includ determine timing or duration of future phases:  | ling any contingencies where progress of    |                        |
|  |   |                        |

| f. Does the proje                     | ct include new resid                  | lential uses?        |                         |   | Yes No                   |
|---------------------------------------|---------------------------------------|----------------------|-------------------------|---|--------------------------|
|                                       | nbers of units propo                  | osed.                |                         |   |                          |
|                                       | One Family                            | <u>Two Family</u>    | Three Family            | Multiple Family (four or more)                |                          |
| Initial Phase                         |                                       |                      |                         |   |                          |
| At completion                         |                                       |                      |                         |   |                          |
| of all phases                         |                                       |                      |                         |   |                          |
| g. Does the prop                      | osed action include                   | new non-residenti    | al construction (inclu  | iding expansions)?                            | <b>∏</b> Yes <b>∏</b> No |
| If Yes,                               | osed detion mende                     | new non-residentia   | ar construction (men    |   |                          |
| · · · · · · · · · · · · · · · · · · · | r of structures                       |                      |                         |   |                          |
| ii. Dimensions                        | (in feet) of largest p                | roposed structure:   | height;                 | width; andlength                              |                          |
| iii. Approximate                      | extent of building                    | space to be heated   | or cooled:              | square feet                                   |                          |
| h. Does the prop                      | osed action include                   | construction or oth  | ner activities that wil | l result in the impoundment of any            | ☐Yes ☐No                 |
|                                       | s creation of a wate                  | er supply, reservoir | , pond, lake, waste l   | agoon or other storage?                       |                          |
| If Yes,                               | • • •                                 |                      |                         |   |                          |
| <i>i</i> . Purpose of the             | e impoundment:                        | ainal source of the  | water                   | Ground water Surface water strea              | ma Othar anaaifu         |
|                                       | boundment, the prin                   | cipal source of the  |                         |   | insOther specify.        |
| <i>iii</i> . If other than v          | water, identify the ty                | ype of impounded/    | contained liquids an    | d their source.                               |                          |
| iv Approximate                        | size of the propose                   | d impoundment        | Volume:                 | million gallons: surface area:                | acres                    |
| v. Dimensions of                      | of the proposed dam                   | or impounding st     | ructure:                | million gallons; surface area: height; length | deres                    |
| vi. Construction                      | method/materials f                    | for the proposed da  | m or impounding st      | ructure (e.g., earth fill, rock, wood, con    | crete):                  |
|                                       |                                       | · ·                  |                         |   | ·                        |
|                                       |                                       |                      |                         |   |                          |
| D.2. Project Op                       | perations                             |                      |                         |   |                          |
|                                       |                                       |                      |                         | uring construction, operations, or both       | ? Yes No                 |
|                                       |                                       | ation, grading or ir | stallation of utilities | or foundations where all excavated            |                          |
| materials will                        | remain onsite)                        |                      |                         |   |                          |
| If Yes:                               | 6.4                                   | . 1 1 . 0            |                         |   |                          |
|                                       | urpose of the excava                  |                      |                         | o be removed from the site?                   |                          |
|                                       |                                       |                      |                         |   |                          |
|                                       | nat duration of time                  |                      |                         |   |                          |
|                                       |                                       |                      | e excavated or dred     | ged, and plans to use, manage or dispos       | se of them.              |
|                                       |                                       |                      |                         |   |                          |
| in Will there ha                      | ancita damataning                     |                      |                         |   |                          |
|                                       | e onsite dewatering                   |                      |                         |   | Yes No                   |
| 11 yes, uesel                         |                                       |                      |                         |   |                          |
| v. What is the to                     | otal area to be dredo                 | ed or excavated?     |                         | acres   |                          |
| <i>vi</i> . What is the n             | naximum area to be                    | worked at any one    | e time?                 | acres   |                          |
| vii. What would                       | be the maximum de                     | pth of excavation    | or dredging?            | feet  |                          |
|                                       | avation require blas                  |                      |                         |   | Yes No                   |
| <i>ix</i> . Summarize si              | te reclamation goals                  | s and plan:          |                         |   |                          |
|                                       |                                       |                      |                         |   | ·····                    |
|                                       | · · · · · · · · · · · · · · · · · · · |                      |                         |   | ······                   |
| 1 337 11.1                            | 1                                     | a, • a•              | <u> </u>                |   |                          |
|                                       |                                       |                      |                         | crease in size of, or encroachment            | <b>Yes</b> No            |
| Into any exist.<br>If Yes:            | ing wenand, waterd                    | ouy, shorenne, dea   | ach or adjacent area?   |   |                          |
|                                       | vetland or waterbod                   | which would be       | affected (by name       | water index number, wetland map num           | per or geographic        |
|                                       |                                       |                      |                         |   |                          |
|                                       |                                       |                      |                         |   |                          |
|                                       |                                       |                      |                         |   |                          |

| <i>ii.</i> Describe how the proposed action would affect that waterbody or wetland, e.g. excavation, fill, placement of alteration of channels, banks and shorelines. Indicate extent of activities, alterations and additions in square   |                                       |
|--|---------------------------------------|
| <i>iii.</i> Will proposed action cause or result in disturbance to bottom sediments?<br>If Yes, describe:  | ☐Yes No                               |
| <i>iv.</i> Will proposed action cause or result in the destruction or removal of aquatic vegetation?   | Yes No                                |
| If Yes:  |                                       |
| acres of aquatic vegetation proposed to be removed:  |                                       |
| <ul> <li><u>expected acreage of aquatic vegetation remaining after project completion:</u></li> <li>purpose of proposed removal (e.g. beach clearing, invasive species control, boat access):</li> </ul>                                   | <u></u>                               |
|  |                                       |
| proposed method of plant removal:  |                                       |
| • if chemical/herbicide treatment will be used, specify product(s):  |                                       |
| v. Describe any proposed reclamation/mitigation following disturbance:   |                                       |
|  |                                       |
| c. Will the proposed action use, or create a new demand for water?   | □Yes <b>N</b> o                       |
| If Yes:<br>Total antiginated water wage/domend nor down  |                                       |
| <i>i.</i> Total anticipated water usage/demand per day: gallons/day<br><i>ii.</i> Will the proposed action obtain water from an existing public water supply?  | Yes No                                |
| If Yes:  |                                       |
| • Name of district or service area:  |                                       |
| • Does the existing public water supply have capacity to serve the proposal?   | Yes No                                |
| • Is the project site in the existing district?  | Yes No                                |
| • Is expansion of the district needed?   | Yes No                                |
| • Do existing lines serve the project site?  | ☐ Yes ☐ No                            |
| iii. Will line extension within an existing district be necessary to supply the project?   | Yes No                                |
| If Yes:     Describe extensions or capacity expansions proposed to serve this project:   |                                       |
|  |                                       |
| • Source(s) of supply for the district:  |                                       |
| If, Yes:   | ☐ Yes No                              |
| Applicant/sponsor for new district:  |                                       |
| Date application submitted or anticipated:   |                                       |
| <ul> <li>Proposed source(s) of supply for new district:</li> <li>v. If a public water supply will not be used, describe plans to provide water supply for the project:</li> </ul>  |                                       |
| v. If a public water supply will not be used, describe plans to provide water supply for the project.  |                                       |
| vi. If water supply will be from wells (public or private), maximum pumping capacity: gallons/minute   |                                       |
| d. Will the proposed action generate liquid wastes?  | Yes No                                |
| If Yes:  |                                       |
| <i>i.</i> Total anticipated liquid waste generation per day: gallons/day<br><i>ii.</i> Nature of liquid wastes to be generated (e.g., sanitary wastewater, industrial; if combination, describe all combination, describe all combination. | mnononta and                          |
| approximate volumes or proportions of each):   | inponents and                         |
|  | ·····                                 |
|  |                                       |
| <i>iii.</i> Will the proposed action use any existing public wastewater treatment facilities?<br>If Yes:   | ☐Yes ☐No                              |
|  |                                       |
| <ul> <li>Name of wastewater treatment plant to be used:</li> <li>Name of district:</li> </ul>  | · · · · · · · · · · · · · · · · · · · |
| <ul> <li>Name of district:</li> <li>Does the existing wastewater treatment plant have capacity to serve the project?</li> </ul>  | Yes No                                |
| <ul> <li>Is the project site in the existing district?</li> </ul>  | Yes No                                |
| • Is expansion of the district needed?   | Yes No                                |
|  |                                       |

| <ul> <li>Do existing sewer lines serve the project site?</li> <li>Will line outersion within an anisting district he necessary to serve the project?</li> </ul>   | □Yes□No          |
|---|------------------|
| <ul> <li>Will line extension within an existing district be necessary to serve the project?<br/>If Yes:</li> </ul>  | □Yes□No          |
| <ul> <li>Describe extensions or capacity expansions proposed to serve this project:</li> </ul>  |                  |
|   |                  |
| <i>iv.</i> Will a new wastewater (sewage) treatment district be formed to serve the project site?<br>If Yes:  | <b>Yes</b> No    |
| Applicant/sponsor for new district:   |                  |
| Date application submitted or anticipated:  |                  |
| What is the receiving water for the wastewater discharge?   |                  |
| <ul> <li>what is the receiving water for the wastewater discharge:</li></ul>  | citying proposed |
| <i>vi</i> . Describe any plans or designs to capture, recycle or reuse liquid waste:  |                  |
|   |                  |
| <ul> <li>e. Will the proposed action disturb more than one acre and create stormwater runoff, either from new point sources (i.e. ditches, pipes, swales, curbs, gutters or other concentrated flows of stormwater) or non-point source (i.e. sheet flow) during construction or post construction?</li> <li>If Yes:</li> </ul> | ∐Yes <b>∏</b> No |
| <i>i.</i> How much impervious surface will the project create in relation to total size of project parcel?<br>Square feet or acres (impervious surface)   |                  |
| Square feet or acres (impervious surface)<br>Square feet or acres (parcel size)   |                  |
| <i>ii.</i> Describe types of new point sources.   |                  |
| <i>iii.</i> Where will the stormwater runoff be directed (i.e. on-site stormwater management facility/structures, adjacent p groundwater, on-site surface water or off-site surface waters)?  | properties,      |
| If to surface waters, identify receiving water bodies or wetlands:  |                  |
| • Will stormwater runoff flow to adjacent properties?<br><i>iv.</i> Does proposed plan minimize impervious surfaces, use pervious materials or collect and re-use stormwater?   | Yes No<br>Yes No |
| f. Does the proposed action include, or will it use on-site, one or more sources of air emissions, including fuel combustion, waste incineration, or other processes or operations?   | ∐Yes <b>N</b> o  |
| If Yes, identify:<br><i>i</i> . Mobile sources during project operations (e.g., heavy equipment, fleet or delivery vehicles)  |                  |
| <i>ii.</i> Stationary sources during construction (e.g., power generation, structural heating, batch plant, crushers)   |                  |
| <i>iii.</i> Stationary sources during operations (e.g., process emissions, large boilers, electric generation)  |                  |
| <ul> <li>g. Will any air emission sources named in D.2.f (above), require a NY State Air Registration, Air Facility Permit, or Federal Clean Air Act Title IV or Title V Permit?</li> <li>If Yes:</li> </ul>  | ∐Yes∐No          |
| <ul> <li><i>i.</i> Is the project site located in an Air quality non-attainment area? (Area routinely or periodically fails to meet ambient air quality standards for all or some parts of the year)</li> <li><i>ii.</i> In addition to emissions as calculated in the application, the project will generate:</li> </ul>       | □Yes□No          |
| •Tons/year (short tons) of Carbon Dioxide (CO <sub>2</sub> )  |                  |
| <ul> <li>Tons/year (short tons) of Nitrous Oxide (N<sub>2</sub>O)</li> <li>Tons/year (short tons) of Perfluorocarbons (PFCs)</li> </ul>   |                  |
| <ul> <li>Tons/year (short tons) of Year (unit tons) of Sulfur Hexafluoride (SF<sub>6</sub>)</li> </ul>  |                  |
| Tons/year (short tons) of Carbon Dioxide equivalent of Hydroflourocarbons (HFCs)  |                  |
| Tons/year (short tons) of Hazardous Air Pollutants (HAPs)   |                  |

| <ul> <li>h. Will the proposed action generate or emit methane (including, but not limited to, sewage treatment plants, landfills, composting facilities)?</li> <li>If Yes: <ul> <li><i>i</i>. Estimate methane generation in tons/year (metric):</li> <li><i>ii</i>. Describe any methane capture, control or elimination measures included in project design (e.g., combustion to generation).</li> </ul> </li> </ul>  | Yes No                                  |
|---|---|
| electricity, flaring):  |   |
| <ul> <li>i. Will the proposed action result in the release of air pollutants from open-air operations or processes, such as quarry or landfill operations?</li> <li>If Yes: Describe operations and nature of emissions (e.g., diesel exhaust, rock particulates/dust):</li> </ul>  | Yes No                                  |
| <ul> <li>j. Will the proposed action result in a substantial increase in traffic above present levels or generate substantial new demand for transportation facilities or services?</li> <li>If Yes: <ul> <li><i>i</i>. When is the peak traffic expected (Check all that apply):</li> <li>Morning</li> <li>Evening</li> <li>Weekend</li> <li>Randomly between hours of to</li> <li><i>ii</i>. For commercial activities only, projected number of semi-trailer truck trips/day:</li> <li><i>iii</i>. Parking spaces:</li> <li>Existing</li> <li>Proposed</li> <li>Net increase/decrease</li> <li><i>iv</i>. Does the proposed action include any shared use parking?</li> <li><i>v</i>. If the proposed action includes any modification of existing roads, creation of new roads or change in existing</li> </ul> </li> </ul> | _Yes_No<br>_Yes_No<br>access, describe: |
| <ul> <li>vi. Are public/private transportation service(s) or facilities available within ½ mile of the proposed site?</li> <li>vii Will the proposed action include access to public transportation or accommodations for use of hybrid, electric or other alternative fueled vehicles?</li> <li>viii. Will the proposed action include plans for pedestrian or bicycle accommodations for connections to existing pedestrian or bicycle routes?</li> </ul>   | Yes No<br>Yes No                        |
| <ul> <li>k. Will the proposed action (for commercial or industrial projects only) generate new or additional demand for energy?</li> <li>If Yes: <ul> <li><i>i</i>. Estimate annual electricity demand during operation of the proposed action:</li> <li><i>ii</i>. Anticipated sources/suppliers of electricity for the project (e.g., on-site combustion, on-site renewable, via grid/other):</li> </ul> </li> </ul>  |   |
| <i>iii.</i> Will the proposed action require a new, or an upgrade to, an existing substation?   | ∐Yes No                                 |
| 1. Hours of operation. Answer all items which apply.       ii. During Operations:         i. During Construction:       ii. During Operations:         • Monday - Friday:       • Monday - Friday:         • Saturday:       • Saturday:         • Sunday:       • Sunday:         • Holidays:       • Holidays:  |   |

| m. Will the proposed action produce noise that will exceed existing ambient noise levels during construction, operation, or both?  | ☐ Yes ☐ No |
|--|------------|
| If yes:<br><i>i</i> . Provide details including sources, time of day and duration:   |            |
| <i>ii.</i> Will proposed action remove existing natural barriers that could act as a noise barrier or screen? Describe:  | ☐Yes ☐No   |
| n Will the proposed action have outdoor lighting?  | ☐Yes ☐No   |
| If yes:<br><i>i</i> . Describe source(s), location(s), height of fixture(s), direction/aim, and proximity to nearest occupied structures:  |            |
| <i>ii.</i> Will proposed action remove existing natural barriers that could act as a light barrier or screen? Describe:  | ☐Yes ☐No   |
| <ul> <li>Does the proposed action have the potential to produce odors for more than one hour per day?</li> <li>If Yes, describe possible sources, potential frequency and duration of odor emissions, and proximity to nearest occupied structures:</li> </ul>   | ☐Yes ☐No   |
|  |            |
| <ul> <li>p. Will the proposed action include any bulk storage of petroleum (combined capacity of over 1,100 gallons) or chemical products 185 gallons in above ground storage or any amount in underground storage?</li> <li>If Yes: <ul> <li><i>i</i>. Product(s) to be stored</li> <li><i>ii</i>. Volume(s) per unit time (e.g., month, year)</li> </ul> </li> </ul> | ☐Yes ☐No   |
| <i>ii.</i> Volume(s) per unit time (e.g., month, year)<br><i>iii.</i> Generally describe proposed storage facilities:  |            |
| <ul> <li>q. Will the proposed action (commercial, industrial and recreational projects only) use pesticides (i.e., herbicides, insecticides) during construction or operation?</li> <li>If Yes: <ul> <li><i>i</i>. Describe proposed treatment(s):</li> </ul> </li> </ul>  | ☐ Yes ☐No  |
|  |            |
| ii. Will the proposed action use Integrated Pest Management Practices?   | Yes No     |
| <ul> <li>r. Will the proposed action (commercial or industrial projects only) involve or require the management or disposal of solid waste (excluding hazardous materials)?</li> <li>If Yes: <ul> <li>i. Describe any solid waste(s) to be generated during construction or operation of the facility:</li> </ul> </li> </ul>  | ☐ Yes ☐No  |
|  |            |
| Operation : tons per (unit of time)  |            |
| <ul> <li><i>ii.</i> Describe any proposals for on-site minimization, recycling or reuse of materials to avoid disposal as solid waste</li> <li>Construction:</li> </ul>  | ·····      |
| Operation:   |            |
| <ul> <li><i>iii.</i> Proposed disposal methods/facilities for solid waste generated on-site:</li> <li>Construction:</li> </ul>   |            |
| • Operation:   |            |

| s. Does the proposed action include construction or modi   | ification of a calid wasta man | agamant facility?              |             |
|--|--------------------------------|--------------------------------|-------------|
| s. Does the proposed action include construction of modil<br>If Yes:   | incation of a solid waste man  | agement facility?              | Yes No      |
| i Type of management or handling of waste proposed for the site (e.g., recycling or transfer station, composting, landfill, or |                                |                                |             |
| <ul> <li>ii. Anticipated rate of disposal/processing:</li> </ul>   |                                |                                |             |
| Tons/month, if transfer or other non-o   | combustion/thermal treatmen    | t, or                          |             |
| • Tons/hour. if combustion or thermal  | treatment                      |                                |             |
| <i>iii</i> . If landfill, anticipated site life:   | years                          | 1. 1. 0.1 1                    |             |
| t. Will proposed action at the site involve the commercia waste?   | I generation, treatment, stora | ge, or disposal of hazardous   | Yes No      |
| If Yes:  |                                |                                |             |
| <i>i</i> . Name(s) of all hazardous wastes or constituents to be   | e generated, handled or mana   | ged at facility:               |             |
|  |                                |                                |             |
| <i>ii.</i> Generally describe processes or activities involving h  | nazardous wastes or constitue  | ents:                          |             |
|  |                                |                                |             |
| <i>iii</i> . Specify amount to be handled or generatedto   | ons/month                      |                                |             |
| iv. Describe any proposals for on-site minimization, rec   | ycling or reuse of hazardous   | constituents:                  |             |
|  |                                |                                |             |
| v. Will any hazardous wastes be disposed at an existing  |                                |                                | Yes No      |
| If Yes: provide name and location of facility:   |                                |                                |             |
| If No: describe proposed management of any hazardous   | wastes which will not be sent  | t to a hazardous waste facilit | y:          |
|  |                                |                                |             |
|  |                                |                                |             |
| E. Site and Setting of Proposed Action   |                                |                                |             |
| E.1. Land uses on and surrounding the project site   |                                |                                |             |
| a. Existing land uses.   |                                |                                |             |
| <i>i</i> . Check all uses that occur on, adjoining and near the Urban Industrial Commercial Resid                              | project site.                  | 1 (non form)                   |             |
| Forest Agriculture Aquatic Other   | r (specify):                   | i (non-tarin)                  |             |
| <i>ii</i> . If mix of uses, generally describe:  |                                |                                |             |
|  |                                |                                |             |
| b. Land uses and covertypes on the project site.   |                                |                                |             |
| Land uses and covertypes on the project site.  | Current                        | Acreage After                  | Change      |
| Covertype  | Acreage                        | Project Completion             | (Acres +/-) |
| • Roads, buildings, and other paved or impervious  |                                |                                |             |
| <ul><li>surfaces</li><li>Forested</li></ul>  |                                |                                |             |
| <ul> <li>Meadows, grasslands or brushlands (non-</li> </ul>  |                                |                                |             |
| agricultural, including abandoned agricultural)  |                                |                                |             |
| • Agricultural   |                                |                                |             |
| <ul> <li>(includes active orchards, field, greenhouse etc.)</li> <li>Surface water features</li> </ul>                         |                                |                                |             |
| • Surface water features<br>(lakes, ponds, streams, rivers, etc.)  |                                |                                |             |
| Wetlands (freshwater or tidal)   |                                |                                |             |
| • Non-vegetated (bare rock, earth or fill)   |                                |                                |             |
| • Other  |                                |                                |             |
| Describe:  |                                |                                |             |

| <ul><li>c. Is the project site presently used by members of the community for public recreation?</li><li><i>i.</i> If Yes: explain:</li></ul>   | Yes No           |
|---|------------------|
| <ul> <li>d. Are there any facilities serving children, the elderly, people with disabilities (e.g., schools, hospitals, licensed day care centers, or group homes) within 1500 feet of the project site?</li> <li>If Yes, <ul> <li>i. Identify Facilities:</li> </ul> </li> </ul> | ☐Yes <u></u> No  |
|   |                  |
| <ul><li>e. Does the project site contain an existing dam?</li><li>If Yes:</li><li><i>i</i>. Dimensions of the dam and impoundment:</li></ul>  | Yes No           |
| Dam height:feet     Dam length:feet   |                  |
| Surface area:acres     Volume impounded:gallons OR acre-feet     ii. Dam's existing hazard classification:  |                  |
| <i>iii</i> . Provide date and summarize results of last inspection:   |                  |
|   |                  |
| f. Has the project site ever been used as a municipal, commercial or industrial solid waste management facility,<br>or does the project site adjoin property which is now, or was at one time, used as a solid waste management faci<br>If Yes:                                   | □Yes□No<br>lity? |
| <i>i</i> . Has the facility been formally closed?   | Yes No           |
| If yes, cite sources/documentation:   |                  |
| <i>ii</i> . Describe the location of the project site relative to the boundaries of the solid waste management facility:  |                  |
| <i>iii.</i> Describe any development constraints due to the prior solid waste activities:   |                  |
| g. Have hazardous wastes been generated, treated and/or disposed of at the site, or does the project site adjoin property which is now or was at one time used to commercially treat, store and/or dispose of hazardous waste?  | Yes No           |
| If Yes:<br><i>i</i> . Describe waste(s) handled and waste management activities, including approximate time when activities occurr  | ed:              |
|   |                  |
| <ul> <li>h. Potential contamination history. Has there been a reported spill at the proposed project site, or have any remedial actions been conducted at or adjacent to the proposed site?</li> <li>If Yes:</li> </ul>   | Yes No           |
| <i>i</i> . Is any portion of the site listed on the NYSDEC Spills Incidents database or Environmental Site Remediation database? Check all that apply:  | Yes No           |
| Yes – Spills Incidents database       Provide DEC ID number(s):         Yes – Environmental Site Remediation database       Provide DEC ID number(s):         Neither database       Provide DEC ID number(s):  |                  |
| <i>ii.</i> If site has been subject of RCRA corrective activities, describe control measures:   |                  |
| <i>iii.</i> Is the project within 2000 feet of any site in the NYSDEC Environmental Site Remediation database? If yes, provide DEC ID number(s):  | Yes No           |
| <i>iv.</i> If yes to (i), (ii) or (iii) above, describe current status of site(s):  |                  |
|   |                  |
|   |                  |

| v. Is the project site subject to an institutional control limiting property uses?   | Yes No   |
|--|--|
| <ul> <li>If yes, DEC site ID number:</li></ul>   |  |
| Describe any use limitations:  |  |
| <ul> <li>Describe any engineering controls:</li></ul>  |  |
|  | ☐ Yes ☐ No   |
| • Explain:   |  |
|  |  |
| E.2. Natural Resources On or Near Project Site   |  |
| a. What is the average depth to bedrock on the project site? feet  |  |
| b. Are there bedrock outcroppings on the project site?   | Yes No   |
| If Yes, what proportion of the site is comprised of bedrock outcroppings?%   |  |
| c. Predominant soil type(s) present on project site:   | _%   |
|  | _%   |
|  | _%   |
| d. What is the average depth to the water table on the project site? Average: feet   |  |
| e. Drainage status of project site soils: Well Drained: % of site  |  |
| Moderately Well Drained:% of site<br>Poorly Drained % of site  |  |
|  |  |
| f. Approximate proportion of proposed action site with slopes: $0-10\%$ :% of site10-15\%:% of site  |  |
| $\square 15\% \text{ or greater:} \qquad \_\% \text{ of site}$   |  |
| g. Are there any unique geologic features on the project site?<br>If Yes, describe:  | Yes No   |
|  |  |
|  |  |
| h Surface water features   |  |
| h. Surface water features.<br><i>i.</i> Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers,   | Yes No   |
| <i>i</i> . Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?   |  |
| <ul><li><i>i.</i> Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li><li><i>ii.</i> Do any wetlands or other waterbodies adjoin the project site?</li></ul>   | □Yes□No<br>□Yes□No   |
| <ul> <li><i>i</i>. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii</i>. Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> </ul>   | ∐Yes No  |
| <ul> <li><i>i.</i> Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii.</i> Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii.</i> Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> </ul>   |  |
| <ul> <li><i>i.</i> Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii.</i> Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii.</i> Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv.</i> For each identified regulated wetland and waterbody on the project site, provide the following information:</li> </ul>   | Yes_No<br>□Yes_No  |
| <ul> <li><i>i.</i> Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii.</i> Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii.</i> Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv.</i> For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> </ul> </li> </ul>   | Yes_No<br>_Yes_No  |
| <ul> <li><i>i.</i> Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii.</i> Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii.</i> Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv.</i> For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> <li>Lakes or Ponds:</li> <li>Name</li> <li>Wetlands:</li> <li>Name</li> </ul> </li> </ul>   | Yes_No<br>_Yes_No  |
| <ul> <li><i>i.</i> Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii.</i> Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii.</i> Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv.</i> For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> <li>Classification</li> <li>Classification</li> <li>Wetlands:</li> <li>Name</li> <li>Wetland No. (if regulated by DEC)</li> </ul> </li> <li><i>v.</i> Are any of the above water bodies listed in the most recent compilation of NYS water quality-impaired</li> </ul>  | Yes_No<br>_Yes_No  |
| <ul> <li><i>i</i>. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii</i>. Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii</i>. Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv</i>. For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> <li>Lakes or Ponds:</li> <li>Name</li> <li>Wetlands:</li> <li>Name</li> <li>Wetland No. (if regulated by DEC)</li> </ul> </li> </ul>  | □Yes□No<br>□Yes□No<br>□Yes□No                                    |
| <ul> <li><i>i.</i> Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii.</i> Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii.</i> Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv.</i> For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> <li>Classification</li> <li>Classification</li> <li>Wetlands:</li> <li>Name</li> <li>Wetland No. (if regulated by DEC)</li> </ul> </li> <li><i>v.</i> Are any of the above water bodies listed in the most recent compilation of NYS water quality-impaired waterbodies?</li> </ul>   | □Yes□No<br>□Yes□No<br>□Yes□No                                    |
| <ul> <li><i>i</i>. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii</i>. Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii</i>. Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv</i>. For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> <li>Classification</li> <li>Lakes or Ponds:</li> <li>Name</li> <li>Wetlands:</li> <li>Name</li> <li>Wetland No. (if regulated by DEC)</li> </ul> </li> <li><i>v</i>. Are any of the above water bodies listed in the most recent compilation of NYS water quality-impaired waterbodies?</li> <li>If yes, name of impaired water body/bodies and basis for listing as impaired:</li> <li><i>i</i>. Is the project site in a designated Floodway?</li> </ul>  | <br>Yes_No<br>Yes_No<br><br>Yes_No<br>No                         |
| <ul> <li><i>i</i>. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii</i>. Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii</i>. Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv</i>. For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li></ul></li></ul>  | No<br>□Yes□No<br>□Yes□No   |
| <ul> <li><i>i</i>. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii</i>. Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii</i>. Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv</i>. For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> <li>Classification</li> <li>Lakes or Ponds:</li> <li>Name</li> <li>Wetlands:</li> <li>Name</li> <li>Wetlands:</li> <li>Name</li> <li>Wetland No. (if regulated by DEC)</li> </ul> </li> <li><i>v</i>. Are any of the above water bodies listed in the most recent compilation of NYS water quality-impaired waterbodies?</li> <li>If yes, name of impaired water body/bodies and basis for listing as impaired:</li> <li><i>i</i>. Is the project site in a designated Floodway?</li> </ul>   | <br>Yes_No<br>Yes_No<br><br>Yes_No<br>No                         |
| <ul> <li><i>i</i>. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii</i>. Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii</i>. Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv</i>. For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> <li>Classification</li> <li>Uses or Ponds:</li> <li>Name</li> <li>Classification</li> <li>Wetlands:</li> <li>Name</li> <li>Approximate Size</li> <li>Wetland No. (if regulated by DEC)</li> <li><i>v</i>. Are any of the above water bodies listed in the most recent compilation of NYS water quality-impaired waterbodies?</li> <li>If yes, name of impaired water body/bodies and basis for listing as impaired:</li> <li><i>i</i>. Is the project site in a designated Floodway?</li> <li><i>j</i>. Is the project site in the 100 year Floodplain?</li> </ul> </li> <li>k. Is the project site in the 500 year Floodplain?</li> <li>I. Is the project site in calculated over, or immediately adjoining, a primary, principal or sole source aquifer?</li> </ul> | □Yes□No<br>□Yes□No<br>□Yes□No<br>□Yes□No<br>□Yes□No              |
| <ul> <li><i>i</i>. Does any portion of the project site contain wetlands or other waterbodies (including streams, rivers, ponds or lakes)?</li> <li><i>ii</i>. Do any wetlands or other waterbodies adjoin the project site?</li> <li>If Yes to either <i>i</i> or <i>ii</i>, continue. If No, skip to E.2.i.</li> <li><i>iii</i>. Are any of the wetlands or waterbodies within or adjoining the project site regulated by any federal, state or local agency?</li> <li><i>iv</i>. For each identified regulated wetland and waterbody on the project site, provide the following information: <ul> <li>Streams:</li> <li>Name</li> <li>Classification</li> <li>Wetlands:</li> <li>Name</li> <li>Approximate Size</li> </ul> </li> <li>Wetland No. (if regulated by DEC)</li> <li><i>v</i>. Are any of the above water bodies listed in the most recent compilation of NYS water quality-impaired waterbodies?</li> <li>If yes, name of impaired water body/bodies and basis for listing as impaired:</li> <li><i>i</i>. Is the project site in a designated Floodway?</li> <li><i>j</i>. Is the project site in the 500 year Floodplain?</li> </ul>  | No<br>YesNo<br>YesNo<br><br><br>YesNo<br>YesNo<br>YesNo<br>YesNo |

| m. Identify the predominant wildlife species that occupy or use the project   | site:                                   |                        |
|---|---|------------------------|
|   |   |                        |
|   |   |                        |
| <ul> <li>n. Does the project site contain a designated significant natural community?</li> <li>If Yes: <ul> <li><i>i</i>. Describe the habitat/community (composition, function, and basis for describe the habitat/community)</li> </ul> </li> </ul> |   | Yes No                 |
| : Course(a) of description on evolution.  |   |                        |
| <i>ii.</i> Source(s) of description or evaluation:  |   |                        |
| Currently:  | acres                                   |                        |
| Following completion of project as proposed:  | acres                                   |                        |
| • Gain or loss (indicate + or -):   | acres                                   |                        |
| o. Does project site contain any species of plant or animal that is listed by the   | ne federal government or NYS as         | Yes No                 |
| endangered or threatened, or does it contain any areas identified as habita   | t for an endangered or threatened speci | es /                   |
| p. Does the project site contain any species of plant or animal that is listed<br>special concern?  | by NYS as rare, or as a species of      | Yes No                 |
| q. Is the project site or adjoining area currently used for hunting, trapping, f  |   | Yes No                 |
| If yes, give a brief description of how the proposed action may affect that us  | Se:                                     |                        |
| E.3. Designated Public Resources On or Near Project Site  |   |                        |
| a. Is the project site, or any portion of it, located in a designated agricultural Agriculture and Markets Law, Article 25-AA, Section 303 and 304? If Yes, provide county plus district name/number:   | district certified pursuant to          | <b>Y</b> es <b>N</b> o |
| <ul> <li>b. Are agricultural lands consisting of highly productive soils present?</li> <li><i>i.</i> If Yes: acreage(s) on project site?</li> <li><i>ii.</i> Source(a) of soil rating(a):</li> </ul>  |   | Yes No                 |
| <i>ii.</i> Source(s) of soil rating(s):   |   |                        |
| <ul> <li>c. Does the project site contain all or part of, or is it substantially contiguou<br/>Natural Landmark?</li> <li>If Yes:</li> </ul>  | is to, a registered National            | ∐Yes <u></u> No        |
| <i>i</i> . Nature of the natural landmark: Biological Community<br><i>ii</i> . Provide brief description of landmark, including values behind designa   | tion and approximate size/extent:       |                        |
|   |   |                        |
| <ul> <li>d. Is the project site located in or does it adjoin a state listed Critical Environ If Yes:</li> <li><i>i</i>. CEA name:</li> </ul>  |   | Yes No                 |
| <i>ii</i> . Basis for designation:  |   |                        |
| iii. Designating agency and date:   |   |                        |
|   |   |                        |

| <ul> <li>e. Does the project site contain, or is it substantially contiguous to, a building, archaeological site, or district which is listed on, or has been nominated by the NYS Board of Historic Preservation for inclusion on, the State or National Register of Historic Places?</li> <li>If Yes: <ul> <li>i. Nature of historic/archaeological resource:</li> <li>Archaeological Site</li> </ul> </li> <li>Historic Building or District <i>ii.</i> Name:</li> </ul> | ☐ Yes <b>∑</b> No                     |
|---|---------------------------------------|
| <i>iii.</i> Brief description of attributes on which listing is based:  | · · · · · · · · · · · · · · · · · · · |
| f. Is the project site, or any portion of it, located in or adjacent to an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory?   | ∐Yes <b>Z</b> No                      |
| <ul> <li>g. Have additional archaeological or historic site(s) or resources been identified on the project site?</li> <li>If Yes: <ul> <li>i. Describe possible resource(s):</li> <li>ii. Basis for identification:</li> </ul> </li> </ul>  | Yes <b>V</b> No                       |
| <ul> <li>h. Is the project site within fives miles of any officially designated and publicly accessible federal, state, or local scenic or aesthetic resource?</li> <li>If Yes: <ul> <li><i>i</i>. Identify resource: Hunters Point Park is about 3 miles away on East River in LIC</li> </ul> </li> </ul>  | <b>∅</b> Yes No                       |
| <ul> <li><i>ii.</i> Nature of, or basis for, designation (e.g., established highway overlook, state or local park, state historic trail or etc.): Local Park</li> <li><i>iii.</i> Distance between project and resource: <u>about 3 miles</u> miles.</li> </ul>   | scenic byway,                         |
| <ul> <li>i. Is the project site located within a designated river corridor under the Wild, Scenic and Recreational Rivers<br/>Program 6 NYCRR 666?</li> <li>If Yes: <ul> <li>i. Identify the name of the river and its designation:</li> </ul> </li> </ul>  | ☐ Yes <b>⁄</b> No                     |
| <i>ii.</i> Is the activity consistent with development restrictions contained in 6NYCRR Part 666?   | Yes No                                |

#### F. Additional Information

Attach any additional information which may be needed to clarify your project.

If you have identified any adverse impacts which could be associated with your proposal, please describe those impacts plus any measures which you propose to avoid or minimize them.

| <b>G. Verification</b><br>I certify that the inform | mation provided is true to the bes   | t of my knowled | dge.                      |         |
|---|--------------------------------------|-----------------|---------------------------|---------|
| Applicant/Sponsor Na                                | inte Gallani and Wein Corp. / Harold | Rosenberg       | Date_July 30, 2015        | <u></u> |
| Signature   | MIN                                  | $\sum$          | Title_Secretary-treasurer |         |
|   |                                      |                 |                           |         |
|   |                                      |                 |                           |         |
|   |                                      | l               |                           |         |