

**Rio Tinto New Zealand Retirement Fund**  
**APPLICATION TO CHANGE INVESTMENT OPTION(S)**

**Personal Details**

NAME: _____		TITLE: Mr / Mrs / Ms / Miss
SURNAME	FIRST NAMES	(Please circle one)
CHANGE(S) TO TAKE EFFECT: 1 <sup>st</sup> JAN / FEB / MAR / APR / MAY / JUN / JUL / AUG / SEPT / OCT / NOV / DEC		
(Please circle one)		
MRU: _____	OUTPUT TEAM: _____	IRD NO. _____
EMPLOYEE NUMBER: _____	DATE OF BIRTH: ____/____/____	

<b>Benchmark (or target) asset allocation for each investment option</b>				
<b>Asset category</b>	<b>Cash option</b>	<b>Conservative option</b>	<b>Balanced option</b>	<b>Growth option</b>
Trans-Tasman shares	-	6%	10%	18%
International shares	-	16%	35%	54.5%
Real assets	-	10%	15%	17.5%
<b>Total Growth Assets</b>	-	<b>32%</b>	<b>60%</b>	<b>90%</b>
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NZ fixed interest	-	24%	12%	2%
International fixed interest	-	16%	20%	6%
Cash	100%	28%	8%	2%
<b>Total Income Assets</b>	<b>100%</b>	<b>68%</b>	<b>40%</b>	<b>10%</b>

**Indicate the percentage of your account to be invested in each investment option:**

<i>Note: please select whole percentages and ensure total equals 100%</i>	<input style="width: 40px; height: 20px;" type="text"/> %	<input style="width: 40px; height: 20px;" type="text"/> %	<input style="width: 40px; height: 20px;" type="text"/> %	<input style="width: 40px; height: 20px;" type="text"/> %
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I request that my total account balance and future contributions be placed in the investment option(s) as indicated above. I understand that if the total does not equal 100% or if I have not indicated whole percentages, the requested changes cannot be actioned.

**If you wish to change your investment option(s), please sign this form and return it at least five working days prior to the first day of the month you wish any changes to take effect.**

By signing, you acknowledge that you have received and read the Fund's Investment Statement, which explains these options in more detail.

Signed by Member: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_  
Day    Month    Year

**IMPORTANT:**

Please send your completed form to NZAS HR at least five working days before the first of the month indicated by you in the Personal Details section above. Your form will be invalid (and the change will not take place) if you do not indicate a date. Changes cannot be made retrospectively, that is, changes cannot be backdated.

If your form is received less than five working days before the first of the month you indicate, the change may be delayed until the first day of the following month.