



# ENERGY STAR Certified Homes, Version 3 (Rev. 07) Water Management System Builder Checklist <sup>1,2</sup>

Home Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

1. Water-Managed Site and Foundation	Must Correct	Builder Verified	Rater Verified	N/A
1.1 Patio slabs, porch slabs, walks, and driveways sloped $\geq 0.25$ in. per ft. away from home to edge of surface or 10 ft., whichever is less. <sup>3</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2 Back-fill has been tamped and final grade sloped $\geq 0.5$ in. per ft. away from home for $\geq 10$ ft. See Footnote for alternatives. <sup>3</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.3 Capillary break beneath all slabs (e.g., slab on grade, basement slab) except crawlspace slabs using either: $\geq 6$ mil polyethylene sheeting, lapped 6-12 in., or $\geq 1$ in. extruded polystyrene insulation with taped joints. <sup>4, 5, 6</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.4 Capillary break at all crawlspace floors using $\geq 6$ mil polyethylene sheeting, lapped 6-12 in., & installed using one of the following opt's: <sup>4, 5, 6</sup>				
1.4.1 Placed beneath a concrete slab; OR,	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.4.2 Lapped up each wall or pier and fastened with furring strips or equivalent; OR,	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.4.3 Secured in the ground at the perimeter using stakes.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.5 Exterior surface of below-grade walls of basements & unvented crawlspaces finished as follows: a) For poured concrete, masonry, & insulated concrete forms, finish with damp-proofing coating. <sup>7</sup> b) For wood framed walls, finish with polyethylene and adhesive or other equivalent waterproofing.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.6 Class 1 vapor retarder not installed on interior side of air permeable insulation in ext. below-grade walls. <sup>8</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7 Sump pump covers mechanically attached with full gasket seal or equivalent.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.8 Drain tile installed at the exterior side of footings of basement and crawlspace walls, with the top of the drain tile pipe below the bottom of the concrete slab or crawlspace floor. Drain tile surrounded with $\geq 6$ in. of $\frac{1}{2}$ to $\frac{3}{4}$ in. washed or clean gravel and with gravel layer fully wrapped with fabric cloth. Drain tile level or sloped to discharge to outside grade (daylight) or to a sump pump. <sup>9</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2. Water-Managed Wall Assembly</b>				
2.1 Flashing at bottom of exterior walls with weep holes included for masonry veneer and weep screed for stucco cladding systems, or equivalent drainage system. <sup>10</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2 Fully sealed continuous drainage plane behind exterior cladding that laps over flashing in Item 2.1 and fully sealed at all penetrations. Additional bond-break drainage plane layer provided behind all stucco and non-structural masonry cladding wall assemblies. <sup>10, 11</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.3 Window and door openings fully flashed. <sup>12</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3. Water-Managed Roof Assembly</b>				
3.1 Step and kick-out flashing at all roof-wall intersections, extending $\geq 4$ " on wall surface above roof deck and integrated shingle-style with drainage plane above; boot / collar flashing at all roof penetrations. <sup>13</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.2 For homes that don't have a slab-on-grade foundation and do have expansive or collapsible soils, gutters & downspouts provided that empty to lateral piping that discharges water on sloping final grade $\geq 5$ ft. from foundation, or to underground catchment system not connected to the foundation drain system that discharges water $\geq 10$ ft. from foundation. See Footnote for alternatives & exemptions. <sup>4, 14</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.3 Self-sealing bituminous membrane or equivalent at all valleys & roof deck penetrations. <sup>4</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.4 In 2009 IECC Climate Zones 5 & higher, self-sealing bituminous membrane or equivalent over sheathing at eaves from the edge of the roof line to $> 2$ ft. up roof deck from the interior plane of the exterior wall. <sup>4</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4. Water-Managed Building Materials</b>				
4.1 Wall-to-wall carpet <i>not</i> installed within 2.5 ft. of toilets, tubs, and showers.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.2 Cement board or equivalent moisture-resistant backing material installed on all walls behind tub and shower enclosures composed of tile or panel assemblies with caulked joints. Paper-faced backerboard shall not be used. <sup>15</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.3 In Warm-Humid climates, Class 1 vapor retarders not installed on the interior side of air permeable insulation in above-grade walls, except at shower and tub walls. <sup>8</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.4 Building materials with visible signs of water damage or mold <i>not</i> installed or allowed to remain. <sup>16</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.5 Framing members & insulation products having high moisture content <i>not</i> enclosed (e.g., with drywall) <sup>17</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Builder Employee: _____ Builder Signature: _____ Date: _____				
<i>Builder has completed Builder Checklist in its entirety, except for items that are checked in the Rater Verified column (if any)</i> <sup>2</sup> Rater Signature: _____ Date: _____				

## Notes:

- The specifications in this Checklist are designed to help improve moisture control in new homes compared with homes built to minimum code. However, these features alone cannot prevent all moisture problems. For example, leaky pipes or overflowing sinks or baths can lead to moisture issues and negatively impact the performance of this Checklist's specified features.



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2. Upon completion, the builder shall return the Checklist to the Rater for review. Alternatively, at the discretion of the builder and Rater, the Rater may verify any item on this Checklist. When this occurs, the Rater shall check the box of the verified items in the Rater Verified column. The Rater is only responsible for ensuring that the builder has completed the Builder Checklist in its entirety and for verifying the items that are checked in the Rater Verified column (if any). The Rater is not responsible for assessing the accuracy of the field verifications for items in this Checklist that are not checked in the Rater Verified column. Instead, it is the builder's exclusive responsibility to ensure the design and installation comply with the Checklist.
3. Swales or drains designed to carry water from foundation are permitted to be provided as an alternative to the slope requirements for any home, and shall be provided for a home where setbacks limit space to less than 10 ft. Also, tamping of back-fill is not required if either: proper drainage can be achieved using non-settling compact soils, as determined by a certified hydrologist, soil scientist, or engineer; OR, the builder has scheduled a site visit to provide in-fill and final grading after settling has occurred (e.g., after the first rainy season).
4. Not required in Dry (B) climates as shown in 2009 IECC Figure 301.1 and Table 301.1.
5. Not required for raised pier foundations with no walls. To earn the ENERGY STAR, EPA recommends, but does not require, that radon-resistant features be included in homes built in EPA Radon Zones 1, 2 & 3. For more information, see [www.epa.gov/indoorairplus](http://www.epa.gov/indoorairplus).
6. For an existing slab (e.g., in a home undergoing a gut rehabilitation), in lieu of a capillary break beneath the slab, a continuous and sealed Class I or Class II Vapor Retarder (per Footnote 8) is permitted to be installed on top of the entire slab. In such cases, up to 10% of the slab surface is permitted to be exempted from this requirement (e.g., for sill plates). In addition, for existing slabs in occupiable space, the Vapor Retarder shall be, or shall be protected by, a durable floor surface. If Class I Vapor Retarders are installed, they shall not be installed on the interior side of air permeable insulation or materials prone to moisture damage.
7. Interior surface of existing below-grade wall (e.g., in a home undergoing a gut rehab.) listed in Item 1.5a is permitted to be finished by:
  - Installing a continuous and sealed drainage plane, capillary break, Class I Vapor Retarder (per Footnote 8) and air barrier that terminates into a foundation drainage system as specified in Item 1.8; OR
  - If a drain tile is not required as specified in Footnote 9, adhering a capillary break and Class I Vapor Retarder (per Footnote 6) directly to the wall with the edges taped/sealed to make it continuous.

Note that no alternative compliance option is provided for existing below-grade wood-framed walls in Item 1.5b.

8. The 2009 IRC defines Class I vapor retarders as a material or assembly with a rating of  $\leq 0.1$  perm, as defined using the desiccant method with Procedure A of ASTM E 96. The following materials are typically rated at  $\leq 0.1$  perm and therefore shall not be used on the interior side of air permeable insulation in above-grade exterior walls in warm-humid climates or below-grade exterior walls in any climate: rubber membranes, polyethylene film, glass, aluminum foil, sheet metal, foil-faced insulating sheathings, and foil-faced non-insulating sheathings. These materials can be used on the interior side of walls if air permeable insulation is not present (e.g., foil-faced rigid foam board adjacent to a below-grade concrete foundation wall is permitted).

Note that this list is not comprehensive and other materials with a perm rating  $\leq 0.1$  also shall not be used. Also, if manufacturer specifications for a specific product indicate a perm rating above 0.1, then the material may be used, even if it is in this list. Also note that open-cell and closed-cell foam generally have perm ratings above this limit and may be used unless manufacturer specifications indicate a perm rating  $\leq 0.1$ . Several exemptions to these requirements apply:

- Class I vapor retarders, such as ceramic tile, may be used at shower and tub walls;
  - Class I vapor retarders, such as mirrors, may be used if mounted with clips or other spacers that allow air to circulate behind them.
9. Alternatively, either a drain tile that is pre-wrapped with a fabric filter or a Composite Foundation Drainage System (CFDS) that has been evaluated by ICC-ES per AC 243 are permitted to be used to meet this Item. Note that the CFDS must include a soil strip drain or another ICC-ES evaluated perimeter drainage system to be eligible for use. In an existing home (e.g. in a home undergoing a gut rehab.) a drain tile installed only on the interior side of the footings is permitted. Additionally, a drain tile is not required when a certified hydrologist, soil scientist, or engineer has determined that a crawlspace foundation, or an existing basement foundation (e.g., in a home undergoing a gut rehab.), is installed in Group I Soils (i.e. well-drained ground or sand-gravel mixture soils), as defined by 2009 IRC Table R405.1.
  10. These Items not required for existing structural masonry walls (e.g., in a home undergoing a gut rehabilitation). Note this exemption does not extend to existing wall assemblies with masonry veneers.
  11. Any of the following systems may be used: a monolithic weather-resistant barrier (i.e., house wrap) shingled at horizontal joints and sealed or taped at all joints; weather resistant sheathings (e.g., faced rigid insulation) fully taped at all "butt" joints; lapped shingle-style building paper or felts; or other water-resistive barrier recognized by ICC-ES or other accredited agency.
  12. Apply pan flashing over the rough sill framing, inclusive of the corners of the sill framing; side flashing that extends over pan flashing; and top flashing that extends over side flashing or equivalent details for structural masonry walls.
  13. Intersecting wall siding shall terminate 1 in. above the roof or higher, per manufacturer's recommendations. Continuous flashing shall be installed in place of step flashing for metal and rubber membrane roofs.
  14. The assessment of whether the soil is expansive or collapsible shall be completed by a certified hydrologist, soil scientist, or engineer. As an alternative, a roof design is permitted to be used that deposits rainwater to a grade-level rock bed with a waterproof liner and a lateral drain pipe that meets discharge requirements per Item 3.2. As another alternative, a rainwater harvesting system is permitted to be used that drains overflow to meet discharge requirements per Item 3.2.
  15. In addition to cement board, materials that have been evaluated by ICC-ES per AC 115 may also be used to meet this requirement. Monolithic tub and shower enclosures (e.g., fiberglass with no seams) are exempt from this backing material requirement unless required by the manufacturer. Paper-faced backerboard may only be used behind monolithic enclosures or waterproof membranes that have been evaluated by ICC-ES per AC 115, and then only if it meets ASTM mold-resistant standards ASTM D3273 or ASTM D6329.
  16. If mold is present, effort should be made to remove all visible signs of mold (e.g., by damp wipe with water and detergent). If removal methods are not effective, then the material shall be replaced. However, stains that remain after damp wipe are acceptable. Lumber with "sap stain fungi" is exempt from this Item as long as the lumber is structurally intact.
  17. For wet-applied insulation, follow manufacturer's drying recommendations. EPA recommends that lumber moisture content be  $\leq 18\%$ .