mfs MUTUAL FUND SERVICES
A Division of Karvy Computershare Private Limited
Ideate - Innovate - Integrate

JM FINANCIAL MUTUAL FUND

Registration form for Direct Credit / RTGS / NEFT / ECS

JM FINANCIAL

To,

JM Financial Asset Management Private Limited
502, 5th Floor, A-Wing, Laxmi Towers,
Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

Dear Sir,

Please find below my/our complete profile in connection with my/our empanelment with your Fund along with my/our bank details. I/We would also like to avail the Direct Credit Facility for Brokerage payment and request you to treat this letter as my/our consent for the same. Please update my/our particulars in your records as per following details and confirm the same.

Name (Block Letters):

AMFI Registration No. ARN

ARN Issue Date : – –

AMFI Registration Valid Upto : – –

Empanelment Date with JM Financial Asset Management Pvt. Ltd. : – –

Category of Broker *(Please tick ☑)*: **Resident** ☐ **Non-Resident** ☐

Status *(Please tick ☑)*: **Individual** ☐ **Bank** ☐ **Public** ☐ **Pvt. Company** ☐ **Partnership Firm** ☐ **HUF** ☐ **Others** ☐

PAN Number **KYD Complaint Status : Yes / No** (Please attach **KYD** acknowledgement copy).

Communication Address :

Address 1 :
Address 2 :
Address 3 :
City Pin Code / Zip
State/Country

Contact Details :

Office Tel. No. *(With STD Code)* Residence Tel. No. *(With STD Code)*
Fax No. *(With STD Code)* Mobile No.
Email id *(In Capital letters)*

Whether Having own Investments with JM Financial Mutual Fund *(Please tick ☑)* **YES** ☐ **No** ☐ Folio Nos. 7 7
(In case of more than two folios kindly attached a separate list of folios)

Bank Mandate :
Account Type *(Please tick ☑)*: **Savings** ☐ **Current** ☐ **NRE** ☐ **NRO** ☐ **Others** ☐ *(Please Specify)*

Bank A/c No. :
MICR Code **IFSC Code :**
Bank Name & Address
P I N

DECLARATION

1. I/We understand that the instructions to the bank for direct credit given by JM Financial Mutual Fund / JM Financial Asset Management Pvt. Ltd/Registrar/s will be adequate discharge by Mutual Fund/AMC/Registrar towards Brokerage proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the Credit is delayed or not effected et al for reasons of incomplete or incorrect information or some other reasons I/we will not hold the JM Financial Mutual Fund/ JM Financial Asset Management Pvt. Ltd/Registrars responsible. I/We understand that the Mutual Fund reserves the right to issue the Brokerage Warrant instead of Direct Credit till payment for Brokerage through RTGS/ NEFT/ ECS facility is started by JM Financial Asset Management Pvt. Ltd.
2. I/We hereby declare that the information furnished above are true and correct to the best of my/our knowledge and belief. I/We undertake to abide by the existing and future terms and conditions of continuity of empanelment as broker with JM Financial Mutual Fund/ JM Financial Asset Management Pvt. Ltd/ and its Operational guidelines to remain associated with JM Financial Mutual Fund/ JM Financial Asset Management Pvt. Ltd. I/We also shall continue to abide by the Rules, Regulations and guidelines issued by SEBI, AMFI and other regulatory authorities from time to time. In case of any change in the above particulars, I/We will furnish fresh details.
3. I/We understand that AMC has Direct Credit facility in following Banks : 1.Royal Bank of Scotland 2.Axis Bank 3.Citibank 4.Deutsche Bank 5.HDFC Bank 6. ICICI Bank 7.IDBI Bank 8.Indusind Bank 9.Kotak Mahindra Bank 10.Standard Chartered Bank 11.Yes Bank

Date : — —

Encl : 1) **Cancelled cheque or photocopy of cancelled cheque**
2) **Copy of ARN Certificate**

Signature of ARN Holder or its Authorised Signatory in case of Non-individual broker
(With Rubber Stamp)