



Safe Deposit Regulatory Compliance & Legal Issues - 2014 Update (Webinar)

October 7, 2014 ☐ 3:30pm-5:00pm

Improve Your System

Questions regarding the Servicemembers Civil Relief Act, USA Patriot Act, Suspicious Activity Reports, Know Your Customer, powers of attorney, deceased renters, payable on death leases, living trusts, court orders, Americans with Disabilities Act, past due boxes, abandoned property and many other legal and compliance areas have caused great concern and confusion for the safe deposit industry. At this workshop you will receive current information about all of these issues and an in-depth explanation about how they impact your financial institution and your safe deposit box renters.

David McGuinn, a nationally known expert in the area of safe deposit, will provide clear concise answers to complicated questions regarding your institution's responsibility and potential liability. He will also identify and discuss various compliance issues relating to each legal topic.

What You Will Learn:

Get answers to the following questions and establish internal procedures to comply with your own safe deposit requirements.

- **Does the Servicemembers Civil Relief Act (SCRA) apply to past due boxes?**
- **What seven suspicious safe deposit transactions always trigger a SAR?**
- **How does the USA Patriot Act affect all safe deposit areas nationwide?**
- **What BSA & SAR violations are compliance examiners finding today?**
- **What should we do if dangerous content are found in a past due box?**
- **When are box contents sent to the Unclaimed Property Department?**
- **In most states who must be present when a past due box is drilled?**
- **How can poor box drilling procedures make a box renter very rich?**
- **Do you have "Right of Offset" against a past due renter's account?**
- **What past due fees can be collected from a delinquent box renter?**
- **Can you report a past due box renter to a credit-reporting agency?**
- **What careful wording should be used in a FINAL past due notice?**
- **What amount of safe deposit liability insurance should you carry?**
- **How does the American with Disabilities Act affect safe deposit?**
- **Who can legally enter a deceased renter's box in most states?**
- **How should you answer a renter's question about insurance?**
- **Should a power of attorney be used to enter a renter's box?**
- **How can adding a "payable on death" clause get you sued?**
- **Can money, guns or drugs be legally stored in a box?**

Who Should Attend

This informative program is designed to update safe deposit supervisors, vault attendants, cashiers, branch managers, compliance officers, auditors, training directors, new account personnel and your in-house legal counsel.



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Webinar Speaker

David P. McGuinn, President of Safe Deposit Specialists, is a former banker with 45 years of safe deposit experience and is often referred to nationwide as the safe deposit GURU. In all 50 states he has trained over 250,000 safe deposit personnel since 1969, and has served the industry as President of AIB and the American, Texas and Houston Safe Deposit Associations. Dave is a featured speaker for the American Bankers Association's TV network and has been interviewed by the Wall Street Journal, Banking-New York, Washington Post, Money Magazine, New York Magazine, Associated Press, AARP, National Public Radio, FDIC, CUNA, CNN, CBS, ABC, NBC Nightly News and Court TV on current safe deposit issues. McGuinn has created numerous training programs and his Safe Deposit Policy and Procedures Handbook, Regulatory/Compliance manual, products, brochures and training seminars are now the accepted standard for the financial industry. www.sdspec.com

Registration Form

Please provide a contact name and email address for each telephone connection. Make copies if more connections are needed.

Name	Email Address
Connection 1. _____	_____
Connection 2. _____	_____
Bank _____	
Address _____	
City / State/ Zip _____	
Phone _____	Fax _____

Payment Options

- Check (Made payable to Louisiana Bankers Association)
- Visa MasterCard American Express

Card Number _____ Expiration Date _____

Name on Card (please print) _____ Signature _____

Billing Address: _____ Amount to be charged on card \$ _____

Registration Fee
 \$165 per connection, LBA members
 \$265 per connection, nonmembers

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| <p><input type="checkbox"/> I cannot participate in the live program.
Please send me the recording.</p> <p><input type="checkbox"/> \$165 (LBA members) <input type="checkbox"/> \$265 (non-members)</p> <p>*Recording and materials will be sent after the program</p> | <p><input type="checkbox"/> This training will be covered under SBET (Small Business Employee Training Program). Please provide an email address on the line below to receive the necessary documentation for reimbursement:</p> <p>_____</p> |
|---|---|

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Agenda

3:30 p.m. Webinar Begins
 5:00 p.m. Webinar Adjourns

Submit registration and view rosters in the Education Section of LBA's Website, www.lba.org.

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Webinar access codes and links will be sent to registrants with confirmation emails one week prior to session.