

# Supplier Questionnaire

## Part 3D – Data Security Services

(Supplier to complete and return)

Suppliers providing Services incorporating Data Security elements must complete this questionnaire.

1. Information Security Controls		Yes	No	N/A
1.1	<b>Compliance:</b> Is the organisation (or any of it) certified to any external Information Security standards? E.g. ISO27001, PCI-DSS etc.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				
1.2	<b>Information Security Framework:</b> Is there an Information Security Management System (ISMS) in place?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				
1.3	<b>Information Risk Management:</b> Is there a formal Risk Management process in place? (e.g. compliance with ISO31000)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				
1.4	<b>Incident Management:</b> Is there an Incident Management Program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				
1.5	<b>Audit Program:</b> Are internal audit functions performed? Do you conduct thorough, independent and regular security audits / reviews and publish the results both internally and externally?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				

1.6	<b>Privacy:</b> Is there an approved method for identifying, maintaining and protecting information such as trade secrets, Intellectual Property, financial, defence-related, food and drug, or personally identifiable information?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				
1.7	<b>Physical and Environmental Security:</b> Is there a process to protect IT facilities equipment and services against malicious attack, accidental damage, natural hazards and unauthorised physical access?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				
1.8	<b>Identity and Access Management:</b> Have you implemented a consistent identity and access management approach that provides effective user administration, identification, authentication and authorisation mechanisms?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				
1.9	<b>Business Continuity and Disaster Recovery:</b> Do you have a business continuity plan that is supported by alternative processing facilities and tested regularly using simulations of the live environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				
1.10	<b>Human Resources Security:</b> Are roles and responsibilities defined and documented in accordance with the respondent's Information Security Policy?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Response:				