

**ANNEXURES TO THE CERTIFICATE TO BE FURNISHED ALONG WITH THE APPLICATION**

**CP-1:** Details of Stock / Commodities Exchange Memberships, other than NCDEX

**CP-2:** Details of Directors/ Partners/ Proprietor

**CP-3:** Share holding pattern of company/Sharing Pattern of the Partnership Firm

**CP-4:** Details of the Stock Exchanges in which Applicant Company is listed

The Annexures, CP-3 and CP-4 are to be certified by the Statutory Auditors.

**Annexure: CP-1**

**Certificate dated \_\_\_\_\_**

**Submitted by \_\_\_\_\_ to NCDEX e-markets Limited**

**DETAILS OF STOCK / COMMODITY EXCHANGE MEMBERSHIPS**

<b><u>S.N</u></b>	<b><u>Name</u></b>	<b><u>Stock ____ / Commodity Exchange</u></b>	<b><u>Since</u></b>	<b><u>Membership No.</u></b>	<b><u>Mode ____ of acquisition</u></b>
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- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.
- 9.
- 10.

Date:  
Place:

Signature(s)

**Annexure: CP-2**

Certificate dated \_\_\_\_\_

Submitted by \_\_\_\_\_ to NCDEX e-markets Limited

**DETAILS OF DIRECTORS/PARTNER/PROPRIETOR/SOCIETY MEMBER**

<u>S.N</u>	<u>Name</u> <u>Age</u> <u>Education #</u>	<u>Designation.</u> <u>since @</u>	<u>Experience</u> <u>No. of</u> <u>years</u> <u>#</u>	<u>Share holding</u> <u>No. Amt</u> <u>total</u>	<u>% age of</u>	<u>Directorships / controlling</u> <u>share holding in other cos</u> <u>/ entities</u>
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- 1.
- 2.
- 3.
- 4.
- 5.
- 6.

Date:

Place:

Signature(s)

**NOTES:**

@: Enclose the conduct Certificate / Status report from the stock exchange for the companies.

#: For each of these memberships, give details of disciplinary action, if any, taken by the Stock / Commodity Exchange or any other regulatory/statutory authority in respect of these memberships with dates in the following format:

- \* Expulsion
- \* Suspension
- \* Default
- \* Any other disciplinary action or enquiry against the member

**CERTIFICATE (for Corporate / Institutions only)**

This is to certify that the Shareholding in \_\_\_\_\_ as given above, based on my/ our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Date:

For (Name of Accounting Firm)

Place:

Name of Partner

Chartered Accountant

Membership Number

**Annexure: CP-3 (For Corporate / Institutions)**

Certificate dated \_\_\_\_\_

Submitted by \_\_\_\_\_ to NCDEX e-markets Limited

SHARE HOLDING PATTERN of \_\_\_\_\_ as on \_\_\_\_\_

<u>Sr. no</u>	<u>Amt paid up</u>	<u>Name # %age of total</u>	<u>Number of Shares held</u>
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
Others @			
	-----	-----	-----
<b>TOTAL</b>		<b>100 %</b>	
	=====	=====	=====

**NOTES:**

- # In case of
  - ◇ Body corporate, give similar details thereof separately.
  - ◇ Partnership firm, give names of all partners and the sharing pattern.

@ Persons holding 2% or more of the paid up capital should be shown separately and not clubbed in others.

Date:

Place:

Signature(s)

**Annexure: CP-3 (For Partnership Firms)**

Certificate dated \_\_\_\_\_

**Submitted by \_\_\_\_\_ to NCDEX e-markets Limited**

**SHARING PATTERN of \_\_\_\_\_ as on \_\_\_\_\_**

<b><u>Sr. no.</u></b>	<b><u>Partner</u></b>	<b><u>Capital in the Firm</u></b>	<b><u>Share in Profits</u></b>	<b><u>Share in Losses</u></b>
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				
		----- -----	-----	
<b>TOTAL</b>			<b>100 %</b>	
		=====	=====	=====

Date:

Place:

Signature(s)

Note: If any company is a partner, please furnish the shareholding of that company.

**CERTIFICATE**

This is to certify that the Capital and Sharing Pattern of \_\_\_\_\_ as given above, based on my/our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Date:

For (Name of Accounting Firm)

Place:

Name of Partner

Chartered Accountant

Membership Number

**Annexure: CP-4**

**Certificate dated \_\_\_\_\_**

**Submitted by \_\_\_\_\_ to NCDEX e-markets Limited**

**To be submitted by listed public company**

<b><u>Sr. No</u></b>	<b>Name of the Stock Exchange(s) On which company is listed</b>	<b>Listed since (date)</b>
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1.

2.

3.

4.

**Date:**

**Place:**

**Signature(s)**