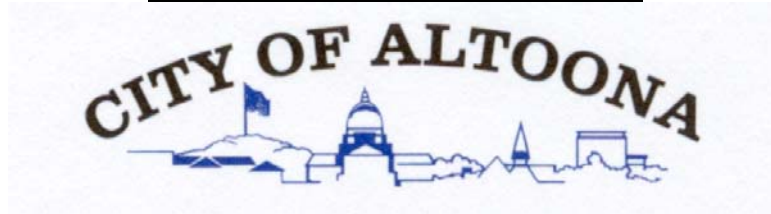


AMENDED 8/12/09



PENNSYLVANIA

AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
PROGRAM YEAR 2008 SUBSTANTIAL AMENDMENT

CDBG-R SUBMISSION TEMPLATE
& CHECKLIST

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which \$10 million has been reserved by HUD for its administrative costs and \$10 million of which will be awarded to Indian tribes. Recipients of the remaining \$980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

- (1) The CDBG-R Substantial Amendment (template attached below)
- (2) Spreadsheet for Reporting Proposed CDBG-R Activities (see <http://www.hud.gov/recovery>)
- (3) Signed and Dated Certifications (see <http://www.hud.gov/recovery>)
- (4) Signed and Dated [SF-424](#).

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): City of Altoona, PA	CDBG-R Contact Person: Mary Johnson
Jurisdiction Web Address: altoonapa.gov	Address: 1301 12th Street, Suite 400
<ul style="list-style-type: none"><i>(URL where CDBG-R Substantial Amendment materials are posted)</i>	Altoona, PA 16601
	Telephone: (814) 949-2470
	Fax: (814) 949-0372
	Email: mjohnson@altoonapa.gov

ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation’s infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD’s recovery website at <http://www.hud.gov/recovery>.

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

7th Avenue Curb and Sidewalk Installation - \$150,000.00

Installation of curb and sidewalk along 7th Avenue between 5th and 7th Street. This project will replace the existing deteriorated curbs and sidewalks on a two block area on both side of the avenue. This project is on the heels of the replacement of an aging city bridge thereby improving the neighborhood streetscape, city view and city entrance. Pedestrian and handicapped accessibility will be greatly increased with this project. This project will benefit a low/moderate income area of 59.5 percent low/mod.

16th Avenue Curb and Sidewalk Installation - \$150,000.00

Installation of curb, sidewalk in a low/moderate income area of the City that is increasingly taking steps to improve and beautify the neighborhood.

Single Family Homeowner Rehabilitation - \$182,307.30

This project will provide rehabilitation loans and lead based paint remediation to approximately five (5) low/moderate income homeowners. All eligible homeowners will have an income below 80% of median income. This project will operate on a citywide basis.

CDBG-R Program Administration - \$53,589.70

Operational budget for the Planning and Community Development staff for the general management, monitoring, coordination, oversight and administration of all projects in the CDBG-R Program

- (2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

7th Avenue Curb and Sidewalk Installation Project

This project will address the Recovery Act by (1) Preserving and creating jobs and promoting economic recovery; and 2) by investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits.

Project will preserve jobs by, at a minimum, halting unemployment among the highway and infrastructure construction companies in this area. This activity will also increase the demand for the construction raw materials such as concrete which will help the regional suppliers. Both the contractors and suppliers have experienced slowing demand in recent months. This project is ready to bid and the estimated bid award date is July 1, 2009. The notice to proceed date is July 15th with a 90 day completion data. The job creation will be monitored along with the Davis Bacon Wage Rates. The project will be administered by the City's Department of Engineering which has already survey the area and development construction plans. This project will also have an unquantifiable economic impact with respect to job creation by making a gateway to the city's business district aesthetically more appealing thus creating a more inviting business atmosphere in the city's business district.

16th Avenue Curb and Sidewalk Installation Project

This project will address the Recovery Act by (1) Preserving and creating jobs and promoting economic recovery; and 2) by investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits.

Project will preserve jobs by, at a minimum, halting unemployment among the highway and infrastructure construction companies in this area. This activity will also increase the demand for the construction raw materials such as concrete which will help the regional suppliers. Both the contractors and suppliers have experienced slowing demand in recent months. This project is ready to bid and the estimated bid award date is September 9, 2009. The job creation will be monitored along with the Davis Bacon Wage Rates. The project will be administered by the City's Department of Engineering which has already survey the area and development construction plans.

Single Family Homeowner Rehabilitation Project

This project will address the Recovery Act by (1) Preserving and creating jobs and promoting economic recovery; 2) Assisting those most impacted by the recession; and 3) Fostering energy independence.

This is an ongoing rehabilitation program which provides assistance to low/moderate income homeowners throughout the City of Altoona. These low/moderate income homeowners are most affected by the recession due to job loss and the inability to maintain or repair their homes. This program has been in operation for 25+ years and has assisted over 500 homeowners. The program addresses health, safety and energy efficiency issues as well as lead based paint remediation in accordance with HUD regulations and local code requirements. The program utilizes a list of approved contractors which have seen a recent decline in business activity due to the current economic conditions. With the additional CDBG-R funding, current projects which were on hold until the 2009 CDBG fund cycle begin will now be able to go to bid and will be placed under contract no later than July 2009. As such, contractors will be able to retain current employee levels. The program requires that priority be given to local suppliers when utilizing these funds to purchase materials.

CDBG-R Administration

This is the operational budget for the Planning and Community Development staff for the general management, monitoring, coordination, oversight and administration of all projects in the CDBG-R Program.

Ten percent (10%) or \$53,589.70 of the CDBG-R grant will be utilized for the administration of the program.

Ninety percent (90%) or \$482,307.30 of the CDBG-R grant will benefit persons of low/moderate income.

- (3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

7th Avenue Curb and Sidewalk Installation Project - Jobs which will be created or retained by the proposed activity will all be related to construction and supply purchases. The City estimates this number could range from 15 to as many as 20 jobs being retained.

16th Avenue Curb and Sidewalk Installation Project - Jobs which will be created or retained by the proposed activity will all be related to construction and supply purchases. The City estimates this number could range from 10 to as many as 15 jobs being retained.

Single Family Homeowner Rehabilitation Project - Jobs which will be created or retained by the proposed activity will all be related to the nature of the rehabilitation and the number of contractors who submit bids. The City estimates this number could range from 20 to as many as 34 jobs being retained.

CDBG-R Administration – 0 jobs are expected to be retained or created with this activity. Existing staff of the Planning & Community Development Department will handle the administration of the CDBG-R Program.

- (4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Single Family Homeowner Rehabilitation Project - Where appropriate energy efficient materials will be used in the process of rehabilitation.

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

7th Avenue Curb and Sidewalk Installation project located at 7th Avenue between 5th and 7th Street, will be handled by the City of Altoona's Engineering Department. Department Director, David Diedrich, and Chief Inspector, Mark Criste, (814) 949-2447, 1301 12th Street, Suite 300, Altoona, PA 16601. The Labor Compliance will be handled by James Trexler, Labor Compliance Officer, (814) 949-2470, City of Altoona's Planning and Community Development Department, 1301 12th Street, Suite 400, Altoona, PA 16601.

16th Avenue Curb and Sidewalk Installation project located at 16th Avenue between 10th and 11th Street, will be handled by the City of Altoona's Engineering Department.

Department Director, David Diedrich, and Chief Inspector, Mark Criste, (814) 949-2447, 1301 12th Street, Suite 300, Altoona, PA 16601. The Labor Compliance will be handled by James Trexler, Labor Compliance Officer, (814) 949-2470, City of Altoona's Planning and Community Development Department, 1301 12th Street, Suite 400, Altoona, PA 16601.

Single Family Homeowner Rehabilitation project will be a Citywide available project being handled by the City of Altoona's Planning & Community Development Department's Housing Program Administrator, Carl Fischer, (814) 949-2470, 1301 12th Street, Suite 400, Altoona, PA 16601.

CDBG-R Program Administration will be handled by the City of Altoona's Planning & Community Development Department's Executive Director, Lawrence Carter, (814) 949-2470, 1301 12th Street, Suite 400, Altoona, PA 16601.

All fiscal controls for the above three projects will be handled by the City of Altoona Planning & Community Development Department's Accounts Manager, Jean Cupp, and overseen by the CDBG Manager, Mary Johnson (814) 949-2470, 1301 12th Street, Suite 400, Altoona, PA 16601.

C. PUBLIC COMMENT

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

Note: A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

Response:

The release of the American Recovery and Reinvestment Act and CDBG-R funding coincided with the City's application process of its 2009 HCD Annual Action Plan. The City evaluated those project proposals that were submitted during that time and prioritized those activities that would best meet the intent of the CDBG-R funding. The activities selected for funding with CDBG-R funding were part of the comprehensive Citizen Participation Process involved with the development of the FY 2009-2010 Action Plan.

All activities supported with CDBG-R funds are included in this substantial amendment to the City's Fiscal Year 2008 One –Year Action Plan. On May 20, 2009, the City made available a complete draft of the substantial amendment for a seven-day public review and comment period. An announcement of the substantial amendment and the public hearing was placed in the Altoona Mirror and on the City of Altoona's Web Page. The draft substantial amendment was also made available for review at the City's Clerk Office, Planning & Community Development Department, Altoona Post Office, Altoona Housing Authority and the Altoona Public Library. The public hearing will be held on May 27, 2009 at 6:45 P.M., in the City of Altoona Training Facilities and Council Chambers 1320 Washington Avenue, Altoona, PA 16601.

The public hearing was held on May 27, 2009 at 6:45 P.M. No public comments were received at the public hearing on the substantial amendment. Minutes of the public hearing are on page 20.

CDBG-R Substantial Amendment Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): City of Altoona, PA Lead Agency: Planning & Community Development Department Jurisdiction Web Address: altoonapa.gov <i>(URL where CDBG-R Substantial Amendment materials are posted)</i> City's Website, Altoona Post Office, Altoona Housing Authority, Altoona, Library, City Clerk Office, and City Planning Department	CDBG-R Contact Person: Mary Johnson Address: 1301 12th Street, Suite 400 Altoona, PA 16601 Telephone: (814) 949-2470 Fax: (814) 949-0372 Email: mjohnson@altoonapa.gov
--	--

The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes No Verification found on page 10

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes No Date Spreadsheet was emailed: **June 1, 2009**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
 Yes No Verification found on page(s) **10**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
 Yes No Verification found on page(s) **10**

- the CDBG national objective citation,
Yes No Verification found on page(s) 10

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
Yes No Verification found on page(s) 3, 4, 5
- projected number of jobs created for each activity,
Yes No Verification found on page(s) 4, 5
- whether an activity will promote energy efficiency and conservation,
Yes No Verification found on page(s) 4, 5
- the name, location, and contact information for the entity that will carry out the activity,
Yes No Verification found on page(s) 5
- evidence that no more than 10% of the grant amount will be spent on administration and planning,
Yes No Verification found on page (s) 4
- evidence that no more than 15% of the grant amount will be spent on public services,
Yes No Verification found on page (s) Not applicable
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
Yes No Verification found on page (s) 4

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction’s usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes No Verification found on page(s) 6, 20

Is there a summary of citizen comments included in the final amendment?

Yes No Verification found on page(s) 6

D. CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

(4) Anti-lobbying	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(5) Authority of jurisdiction	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(6) Consistency with plan	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(7) Section 3	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(8) Community development plan	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(9) Following a plan	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(10) Use of Funds	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(11) Excessive Force	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(12) Compliance with anti-discrimination laws	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(13) Lead-based paint procedures	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(14) Compliance with laws	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(15) Compliance with ARRA	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(16) Project selection	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(17) Timeliness of infrastructure investments	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(18) Buy American provision	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(19) Appropriate use of funds for infrastructure investments	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
(20) 70% of CDBG-R for LMI	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
Optional Certification			
(21) Urgent Need	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A

D. STATE CERTIFICATIONS

The following certifications are complete and accurate:

(1) Affirmatively furthering fair housing	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(2) Anti-displacement and relocation plan	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(3) Drug-free Workplace	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(4) Anti-lobbying	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(5) Authority of State	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(6) Consistency with plan	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(7) Section 3	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(8) Community development plan	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(9) Consultation with Local Governments	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(10) Use of Funds	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(11) Excessive Force	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(12) Compliance with anti-discrimination laws	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(13) Compliance with laws	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(14) Compliance with ARRA	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(15) Project selection	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(16) Timeliness of infrastructure investments	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(17) Buy American provision	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(18) Appropriate use of funds for infrastructure investments	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
(19) 70% of CDBG-R for LMI	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A
Optional Certification			
(20) Urgent Need	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A

CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.

Signature/Authorized Official

Date

Title

OPTIONAL CERTIFICATION

CDBG-R

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

Where the urgent need is the current economic conditions, the grantee certifies that the activity is alleviating current economic conditions which pose a threat to the economic welfare of the community in which the activity is being carried out, the recipient is unable to finance the activity on its own, and other sources of funding are not available.

Signature/Authorized Official

Date

Title

**APPLICATION FOR
FEDERAL ASSISTANCE**

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED June 1, 2009	Applicant Identifier 23-6001899
Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION		Organizational Unit:	
Legal Name: City of Altoona, Pennsylvania		Department: Altoona Planning & Community Development	
Organizational DUNS: 96-9035203		Division:	
Address: Street: 1301 12th Street, Suite 400		Name and telephone number of person to be contacted on matters involving this application (give area code)	
City: Altoona		Prefix: Mrs.	First Name: Mary
County: Blair		Middle Name Ellen	
State: Pennsylvania	Zip Code 16601	Last Name Johnson	
Country: United States		Suffix:	
		Email: mjohnson@altoonapa.gov	

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 2 3 - 6 0 0 1 8 9 9	Phone Number (give area code) 814-949-2470	Fax Number (give area code) 814-949-0372
--	---	---

8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>	7. TYPE OF APPLICANT: (See back of form for Application Types) C. Municipal Other (specify)
---	--

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 1 4 - 2 5 3 TITLE (Name of Program): CDBG Recovery (CDBG-R) Program	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Community Development Block Grant-R for Altoona, PA Program Year 2008
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Altoona, Pennsylvania	9. NAME OF FEDERAL AGENCY: U.S. Dept. of Housing & Urban Development

13. PROPOSED PROJECT Start Date: July 1, 2009	Ending Date: September 30, 2012	14. CONGRESSIONAL DISTRICTS OF: a. Applicant b. Project
--	------------------------------------	--

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal \$ 535,897 ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:
b. Applicant \$. ⁰⁰	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372
c. State \$. ⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
d. Local \$. ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
e. Other \$. ⁰⁰	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No
f. Program Income \$. ⁰⁰	
g. TOTAL \$ 535,897 ⁰⁰	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix Mr.	First Name Joseph	Middle Name Frederick
Last Name Weakland		Suffix
b. Title City Manager		c. Telephone Number (give area code) 814-949-2408
d. Signature of Authorized Representative		e. Date Signed

CDBG-R
Activity Data Spreadsheet

Jurisdiction/Grantee Name: City of Altoona, Pennsylvania		CDBG-R Formula Grant Amount: \$535,897.00				Date: June 1, 2009	
Activity Name	Activity Description	Eligibility (Regulatory or HCDA Citation)	National Objective Citation	CDBG-R Project Budget (\$)	Additional Recovery Funds (\$)	Other Leveraged Funding (\$)	Total Activity Budget
7th Avenue Curb & Sidewalk Installation	Installation of curb and sidewalk along 7th Avenue between 5th and 7th Street. This project will replace the existing deteriorated curbs and sidewalks on a two block area on both side of the avenue. This project is on the heels of the replacement of an aging city bridge thereby improving the neighborhood streetscape, city view and city entrance. Pedestrian and handicapped accessibility will be greatly increased with this project. This proejct will benefit an area of 59.5 percent low/mod.	570.201(c)	570.208(a)(1)(i)	\$150,000	0	0	150,000
Single Family Homeower Rehabilitation	This project will provide rehabilitation loans and lead based paint remediation to approximately five (5) low/moderate income homeowners. All eligible homeowners will have an income below 80% of median income. This project will operate on a citywide basis.	570.202	570.208(a)(3)	\$182,307	\$0	\$0	182,307
CDBG-R Program Administration	Operational budget for the Planning and Community Development staff for the general management, monitoring, coordination, oversight and administration of all projects in the CDBG-R Program.	570.206	N/A	\$53,590	\$0	\$0	53,590
16th Avenue Curb & Sidewalk Installation	Installation of curb and sidewalk along 16th Avenue between 16th and 17th Street. This is a low/mod income area of the City that is increasingly taking steps to improve and beautify the neighborhood	570.201(c)	570.208(a)(1)(i)	\$150,000	\$0	\$0	150,000