
California Disclosure Statement

To: _____
Prospective California Client (please print)

From: _____
Agent (please print)

Pursuant to California Insurance Code 789.8, in the event that I offer to sell you any life insurance or annuity product, I am required to advise you, in writing that the sale or liquidation of any stock, bond, IRA, certificate of deposit, mutual fund, annuity or other asset to fund the purchase of life insurance and/or an annuity, may have tax consequences, early withdrawal penalties or other costs or penalties as a result of the sale or liquidation.

You, or your duly appointed representative, may wish to consult independent legal and/or financial advice before selling or liquidating any assets and prior to purchasing life insurance and/or an annuity from me.

By signing below, I acknowledge receipt of this Disclosure Statement and that I have read and understand its contents.

Signature of Prospective California Client or Representative

Date

Signature of Agent

License Number

Date

Note: Doing business as Guggenheim Life and Annuity Insurance Company in California