

PRE-TRANSACTION AFFIDAVIT - CORPORATION

This affidavit must be submitted within two weeks before execution of legal agreements (e.g., closing, lease signing, etc.), except that a Pre-Transaction Affidavit is not required for approval of the incorporation of an HDFC.

Name _____
EIN/SSN _____
Address _____
City _____ State _____ ZIP _____
Telephone () _____ Fax Number () _____
Date Disclosure Statement executed: _____

Under penalty of perjury, I hereby certify to the City of New York Department of Housing Preservation and Development ("HPD"), that:

1. I am the _____ of _____ ("Corporation"), the corporation which will take the actions checked below with respect to the project named above ("Project"):
- ___ purchase City-owned property
 - ___ receive an HPD loan
 - ___ be retained as a general contractor by the recipient of an HPD loan
 - ___ enter into a Regulatory Agreement with HPD
 - ___ be retained as a general contractor or Administering Agent by a party which has entered into a Regulatory Agreement with HPD
 - ___ enter into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
 - ___ be retained as a general contractor by a party which has entered into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
 - ___ lease or net lease City-owned property
 - ___ be retained as a managing agent by the net lessee of City-owned property or by a Mitchell-Lama housing company
 - ___ acquire an ownership interest in property which is encumbered by a City mortgage and/or owned by a Mitchell-Lama housing company or a Redevelopment Company
 - ___ license City-owned property

2. I make this affidavit in order to induce the Department of Housing Preservation and Development ("HPD") of the City of New York to execute binding legal documents with respect to the Project (collectively, "Agreements").

3. The shareholders of the Corporation, and their percentage interests, are as follows:

_____	,	_____%	_____	,	_____%
_____	,	_____%	_____	,	_____%
_____	,	_____%	_____	,	_____%

4. The officers and directors of the Corporation, and their titles, are as follows:



_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

5. The Corporation will retain _____ as the General Contractor.
6. The information stated above is true and correct as of the date of this affidavit.
7. The Disclosure Statements submitted by the parties identified above remain accurate as of the date of this affidavit, and no events or information that occurred or became known subsequent to the date of execution of such Disclosure Statements would require revision of any of the answers therein or supplements thereto.

SIGNATURE

Sworn to before me this
day of _____, 20__

NOTARY PUBLIC



PRE-TRANSACTION AFFIDAVIT - INDIVIDUAL GENERAL PARTNER

This affidavit must be submitted within two weeks before execution of legal agreements (e.g., closing, lease signing, etc.), except that a Pre-Transaction Affidavit is not required for approval of the incorporation of an HDFC.

Name _____

EIN/SSN _____

Address _____

City _____ State _____ ZIP _____

Telephone () _____ Fax Number () _____

Date Disclosure Statement executed: _____

Under penalty of perjury, I hereby certify to the City of New York Department of Housing Preservation and Development ("HPD"), that:

1. I am a general partner of _____ ("Partnership"), the partnership which will take the actions checked below with respect to the project named above ("Project"):

- ___ purchase City-owned property
- ___ receive an HPD loan
- ___ be retained as a general contractor by the recipient of an HPD loan
- ___ enter into a Regulatory Agreement with HPD
- ___ be retained as a general contractor or Administering Agent by a party which has entered into a Regulatory Agreement with HPD
- ___ enter into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
- ___ be retained as a general contractor by a party which has entered into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
- ___ lease or net lease City-owned property
- ___ be retained as a managing agent by the net lessee of City-owned property or by a Mitchell-Lama housing company
- ___ acquire an ownership interest in property which is encumbered by a City mortgage and/or owned by a Mitchell-Lama housing company or a Redevelopment Company
- ___ license City-owned property

2. I make this affidavit in order to induce the Department of Housing Preservation and Development ("HPD") of the City of New York to execute binding legal documents with respect to the Project (collectively, "Agreements").

3. The other general partners of the Partnership are as follows:

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____



4. The Partnership will retain _____ as the General Contractor.
5. The information stated above is true and correct as of the date of this affidavit.
6. The Disclosure Statements submitted by the parties identified above remain accurate as of the date of this affidavit, and no events or information that occurred or became known subsequent to the date of execution of such Disclosure Statements would require revision of any of the answers therein or supplements thereto.

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day of _____, 20__

NOTARY PUBLIC



PRE-TRANSACTION AFFIDAVIT - CORPORATE GENERAL PARTNER

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Name _____

EIN/SSN _____

Address _____

City _____ State _____ ZIP _____

Telephone () _____ Fax Number () _____

Date Disclosure Statement executed: _____

Under penalty of perjury, I hereby certify to the City of New York Department of Housing Preservation and Development ("HPD"), that:

1. I am the _____ of _____ (Corporation"), a general partner of _____ ("Partnership"), a partnership which will take the actions checked below with respect to the project named above ("Project"):

- ___ purchase City-owned property
- ___ receive an HPD loan
- ___ be retained as a general contractor by the recipient of an HPD loan
- ___ enter into a Regulatory Agreement with HPD
- ___ be retained as a general contractor or Administering Agent by a party which has entered into a Regulatory Agreement with HPD
- ___ enter into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
- ___ be retained as a general contractor by a party which has entered into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
- ___ lease or net lease City-owned property
- ___ be retained as a managing agent by the net lessee of City-owned property or by a Mitchell-Lama housing company
- ___ acquire an ownership interest in property which is encumbered by a City mortgage and/or owned by a Mitchell-Lama housing company or a Redevelopment Company
- ___ license City-owned property

2. I make this affidavit in order to induce the Department of Housing Preservation and Development ("HPD") of the City of New York to execute binding legal documents with respect to the Project (collectively, "Agreements").

3. The shareholders of the Corporation, and their percentage interests, are as follows:

_____, ____% _____, ____%

_____, ____% _____, ____%

_____, ____% _____, ____%

4. The officers and directors of the Corporation, and their titles, are as follows:



5. The other general partners of the Partnership are as follows:

6. The Partnership will retain _____ as the General Contractor.

7. The information stated above is true and correct as of the date of this affidavit.

8. The Disclosure Statements submitted by the parties identified above remain accurate as of the date of this affidavit, and no events or information that occurred or became known subsequent to the date of execution of such Disclosure Statements would require revision of any of the answers therein or supplements thereto.

SIGNATURE

Sworn to before me this
day of _____, 20__

NOTARY PUBLIC

PRE-TRANSACTION AFFIDAVIT - CORPORATE OWNER OF GENERAL PARTNER

This affidavit must be submitted within two weeks before execution of legal agreements (e.g., closing, lease signing, etc.), except that a Pre-Transaction Affidavit is not required for approval of the incorporation of an HDFC.

Name _____
EIN/SSN _____
Address _____
City _____ State _____ ZIP _____
Telephone () _____ Fax Number () _____
Date Disclosure Statement executed: _____

Under penalty of perjury, I hereby certify to the City of New York Department of Housing Preservation and Development ("HPD"), that:

1. I am the _____ of _____ ("Parent"), a shareholder of _____, a corporate general partner of _____ ("Partnership"), a partnership which will take the actions checked below with respect to the project named above ("Project"):

- purchase City-owned property
- receive an HPD loan
- be retained as a general contractor by the recipient of an HPD loan
- enter into a Regulatory Agreement with HPD
- be retained as a general contractor or Administering Agent by a party which has entered into a Regulatory Agreement with HPD
- enter into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
- be retained as a general contractor by a party which has entered into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
- lease or net lease City-owned property
- be retained as a managing agent by the net lessee of City-owned property or by a Mitchell-Lama housing company
- acquire an ownership interest in property which is encumbered by a City mortgage and/or owned by a Mitchell-Lama housing company or a Redevelopment Company
- license City-owned property

2. I make this affidavit in order to induce the Department of Housing Preservation and development ("HPD") of the City of New York to execute binding legal documents with respect to the Project (collectively, "Agreements").

3. The other shareholders of the General Partner are as follows:

4. The shareholders of the Parent, and their percentage interests, are as follows:



_____, ____% _____, ____%
_____, ____% _____, ____%
_____, ____% _____, ____%

5. The officers and directors of the Parent, and their titles, are as follows:

6. The Partnership will retain _____ as the General Contractor.

7. The information stated above is true and correct as of the date of this affidavit.

8. The Disclosure Statements submitted by the parties identified above remain accurate as of the date of this affidavit, and no events or information that occurred or became known subsequent to the date of execution of such Disclosure Statements would require revision of any of the answers therein or supplements thereto.

SIGNATURE

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day of _____, 20__

NOTARY PUBLIC



PRE-TRANSACTION AFFIDAVIT - LIMITED LIABILITY COMPANY

This affidavit must be submitted within two weeks before execution of legal agreements (e.g., closing, lease signing, etc.), except that a Pre-Transaction Affidavit is not required for approval of the incorporation of an HDFC.

Name _____

EIN/SSN _____

Address _____

City _____ State _____ ZIP _____

Telephone () _____ Fax Number () _____

Date Disclosure Statement executed: _____

Under penalty of perjury, I hereby certify to the City of New York Department of Housing Preservation and Development ("HPD"), that:

1. I am the _____ of _____ ("Limited Liability Company"), the limited liability company which will take the actions checked below with respect to the project named above ("Project"):

- ___ purchase City-owned property
- ___ receive an HPD loan
- ___ be retained as a general contractor by the recipient of an HPD loan
- ___ enter into a Regulatory Agreement with HPD
- ___ be retained as a general contractor or Administering Agent by a party which has entered into a Regulatory Agreement with HPD
- ___ enter into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
- ___ be retained as a general contractor by a party which has entered into a Site Development Agreement, Site Development And Management Agreement, or similar agreement with the owner of property to be developed or rehabilitated through an HPD program
- ___ lease or net lease City-owned property
- ___ be retained as a managing agent by the net lessee of City-owned property or by a Mitchell-Lama housing company
- ___ acquire an ownership interest in property which is encumbered by a City mortgage and/or owned by a Mitchell-Lama housing company or a Redevelopment Company
- ___ license City-owned property

2. I make this affidavit in order to induce the Department of Housing Preservation and Development ("HPD") of the City of New York to execute binding legal documents with respect to the Project (collectively, "Agreements").

3. The members of the Limited Liability Company, and their percentage interests, are as follows:

_____, ____% _____, ____%

_____, ____% _____, ____%

_____, ____% _____, ____%

4. The officers and directors of the Limited Liability Company, and their titles, are as follows:



5. The Limited Liability Company will retain _____ as the General Contractor.
6. The information stated above is true and correct as of the date of this affidavit.
7. The Disclosure Statements submitted by the parties identified above remain accurate as of the date of this affidavit, and no events or information that occurred or became known subsequent to the date of execution of such Disclosure Statements would require revision of any of the answers therein or supplements thereto.

SIGNATURE

Sworn to before me this
day of _____, 20__

NOTARY PUBLIC