

**MUTUAL FUND SWITCH ACKNOWLEDGMENT AND AUTHORIZATION LETTER**

(Front end sales charge to front end sales charge)

**To:** \_\_\_\_\_ **Address:** \_\_\_\_\_

**Office number:** \_\_\_\_\_

**Account number:** \_\_\_\_\_

**Attention:** \_\_\_\_\_  
*Branch Manager*

Please accept this letter as authorization to liquidate my/our existing position in \_\_\_\_\_  
 \_\_\_\_\_ (*Fund name*) which was originally purchased on \_\_\_\_\_ (*Date*), and to  
 purchase \_\_\_\_\_ (*Fund name*) with the proceeds from that sale.

I am aware that mutual funds are long term investments , and that I paid a \_\_\_\_\_ % front end sales charge of  
 \_\_\_\_\_ (*Dollar amount*) when I originally invested in \_\_\_\_\_  
 \_\_\_\_\_ (*Fund name*). Also, I understand that the \_\_\_\_\_  
 \_\_\_\_\_ (*Fund name*) being purchased with the proceeds from that sale, will also be assessed a

\_\_\_\_\_ % front end sales charge of \_\_\_\_\_ (*Dollar amount*) as described in the prospectus, which I received and read thoroughly. My account executive has fully explained and implemented, where applicable, the breakpoint commission discount feature available with this fund.

I am aware that no free exchange privilege exists between the two aforementioned funds, and authorized you to proceed with the transactions.

\_\_\_\_\_  
*Client Signature*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Client Signature*

\_\_\_\_\_  
*Date*

<p>[ ] The transactions described above are unsolicited.</p> <p>[ ] The transactions described above are solicited based on the following circumstances;</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
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\_\_\_\_\_  
*Account executive's Signature*

\_\_\_\_\_  
*Date*