

Transfer to Stocks and Shares ISA

Execution Only Application form

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters
- Mark your answers with a cross in the appropriate box like this:
- If you make a mistake, please correct it but don't use correction fluid
- Any applications received that are not completed correctly may incur delays or could be returned to you
- If you have any queries about this form please contact your intermediary on

- Applications to transfer into a Stocks and Shares ISA can only be accepted using original forms, photocopies or faxed copies cannot be accepted.
- Complete one form, regardless of the number of ISA Managers that you are transferring ISA proceeds from.

What's next?

Please send your completed application form to:

**Seymour Sinclair Investments Limited,
2 Blade Mews, London, SW15 2GZ**

This form **must** be submitted with complete ISA Transfer Letter(s) of Authority.

1 About you

Title

Mr Mrs Ms Other:

Surname

First and other names in full

Gender

Male Female

Date of birth

Crown employee?

If your address is outside of the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box.

National Insurance Number

(This can be found on a payslip or a letter from HMRC)

No National Insurance Number?

If you have never been issued with a National Insurance Number please mark an X in the box.

Phone number

Account number or Customer Reference Number

(existing customers only)

Email

Your address - 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee or the spouse/civil partner of a Crown Employee.

House number/name

Street, city, county and country

Postcode

2 Your investment choices

Please provide your fund choices and the amounts you want to invest below.

It's important to write the fund code and name clearly inside the boxes provided using capital letters - we use the code to determine your fund choice. You can find details of all investment options and fund codes at fidelity.co.uk/fundrange

Please note that you cannot transfer a Stocks and Shares ISA into a Cash ISA. If you wish to transfer a Cash ISA into a Fidelity Cash ISA, please ensure you have completed a Cash ISA transfer application form. Transfers into a Stocks and Shares ISA will be invested into managed funds. If you are transferring both pre and post 1999 ISAs, all proceeds will be invested within the funds identified below.

Fund code	Fund name	% of your ISA proceeds to be invested must total 100%
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Continues

3 Bank Account Details

Any bank account details given in this section will override existing bank details that we may hold for you. This section must be completed and will be used for:

- Paying income out from the Income funds selected in section 2
- Any future redemption payment.

Please make sure that you sign and date the instruction below.

FundsNetwork™

Please fill in the whole form using a ball point pen and send to:
FundsNetwork, PO Box 80, Tonbridge, TN11 9YA.

Name and full postal address of your bank or building society		Branch sort code					
To: The Manager	Bank/building society	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address		Bank/building society account number					
Postcode		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name(s) of account holder(s)		Signature(s)					
<input type="text"/>		Date					

For a Building Society account please provide the following additional information to receive direct credit payments.

Building Society Collection Account Number (if applicable) *

* Building Society accounts – the sort code and building society collection account number can be obtained from your Building Society branch. Please ensure that your Building Society account will accept direct credit payments through the Banks Automated Clearing system. Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account. If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------

4 Intermediary details - to be completed by the intermediary

Company stamp

Seymour Sinclair Investments Limited,
2 Blade Mews, London, SW15 2GZ

Unique Adviser Number

3 0 4 3 7 9

Office Use Only
Please ignore

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

1 7 7 6 1 6

Remuneration details

Have you provided a personal recommendation?

No

For Intermediary use only

Ongoing Fee

Request to pay for an Intermediary Ongoing Fee and Authorisation to sell units/shares. Only complete this section where an Ongoing Fee is required. Ongoing Fees will accrue against all fee holdings within the client account.

Please note that the fee rate will not be processed as part of this transaction. This must be set up online by the Intermediary post the transaction.

Existing Clients: In proceeding with this application you are giving your Intermediary the authority to move your entire account to a fee basis.

Annual Fee Rate

0 . 2 0 %

For office use only: The Ongoing Fee section is for Intermediary use only, please do not process. Please place this investment into a fee pot only.

Verification of identity

I/We confirm and consent to your reliance upon the fact, that I/we have verified the identity of the client named in section 1 in accordance with the Money Laundering Regulations and standards set in Guidance issued by the JMLSG. Please mark an X in this box.

This confirmation must carry an original signature or electronic equivalent.

I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with FundsNetwork.
- The Key Investor Information Document and Associated Charges; or Fund Specific Information document applicable to the clients' investment.
- The FundsNetwork Client Terms.

Intermediary signature

Date signed

5 Declaration and signature

Your ISA is managed by Financial Administration Services Limited, a Fidelity Worldwide Investment Group company.

I understand that the information I provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the FundsNetwork Client Terms.

Intermediary Fees

By signing the below, I agree to pay my intermediary an Ongoing Fee as stated on this application form and in accordance with the FundsNetwork Client Terms. I understand that this fee instruction may be applied across my entire account including any existing holdings, in accordance with my intermediary's Terms and Conditions. In relation to the Intermediary Ongoing Fee, I instruct FundsNetwork to deduct monies from my CashManager Account where available or sell units/shares from my largest fund holding. I understand that these fees will become irrevocably due and payable immediately on receipt of the monies by Fidelity and that Fidelity will then hold this money in a Fidelity Corporate Account as agent of my Intermediary.

I declare that:

- My intermediary has provided me with the following documents either as an electronic version, which I have saved or printed, or as a paper copy and I have read:
 - Key Features Document (Doing Business with FundsNetwork)
 - the latest Key Investor Information Document and Associated Charges and/or Fund Specific Information
 - and accept the FundsNetwork Client Terms. For your own benefit and protection you should read the FundsNetwork Client Terms carefully before signing them. If you do not understand any point or have not received one or all of the documents above please contact your intermediary.
- Where contributing to a current year ISA following a transfer I apply to subscribe to an ISA in the tax year 2013/2014, and if investing in Monthly Savings, each subsequent year until further notice.
- All subscriptions made, and to be made, belong to me and that I am 18 years of age or over.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with a person who performs such duties. I will inform Fidelity if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.

- I authorise Financial Administration Services Limited:
 - to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - to make on my behalf any claims to relief from tax in respect of ISA investments.
- As a FundsNetwork customer, an element of the monies you hold in your account may pay either commission or fees to your intermediary. When we receive this form, we will take this as your authority to link the relevant plan year, fee or post-2012 commission holdings to the intermediary specified on this form.

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian (where the client is mentally or physically incapacitated), or
- Power of Attorney with a signed letter confirming that the client is prevented from signing the application as a result of their physical incapacity (in cases of physical incapacity only).

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

Your signature

Signature



Date signed

--	--	--	--	--	--	--	--