

Investec ISA 2015/2016

Application form (Stocks and Shares ISA only)

Investec Fund Centre Telephone 020 7597 1900

Please complete BOTH sides of the Application Form (and in the case of a Regular Savings Plan application, the completed Direct Debit Form) in BLOCK CAPITALS and return to: Investec Fund Managers Limited, PO Box 9042, Chelmsford CM99 2XL.

This Application Form can be used to make an initial lump sum or regular savings investment in the Investec ISA. Please ensure that you read the Prospectus, the Key Investor Information Document (KIID) for the share class of the fund(s) you want to invest in and the Supplementary Information Document (SID), which includes the Terms and Conditions for ISA investors. These can be found at www.investecassetmanagement.com. If you are a US person we will not be able to accept your application. If a financial intermediary has arranged this application commission may be payable to them if advice has not been given.

For hand and courier deliveries only, please return to: Investec Fund Managers Limited, Adams House, 2 Springfield Lyons Approach, Chelmsford, Essex, CM2 5LG

Online valuation service. If you would like a password so that you can access your valuation online, please supply your email address below and tick here

If this form is submitted via a financial intermediary, section 7 needs to be completed by that financial intermediary overleaf.

Are you an existing investor? Please enter your account number:

1. Personal details

You must complete this section. All fields within this section are mandatory, your application form cannot be processed without this information.

Title (Mr/Mrs/Miss/Other)	Date of birth	D D M M Y Y Y Y
Surname	Daytime telephone number	
Forenames	Email address	
Permanent residential address	National Insurance number*	
Postcode	<input type="checkbox"/> Tick here if you do not have a National Insurance (NI) number	

*You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the DWP, or pension order book.

2. Investec ISA investment

I apply to subscribe to the Investec Stocks and Shares ISA (please tick as appropriate):

for the tax year 2015/2016 for lump sum investment; and/or

if investing through the Regular Savings Plan, each subsequent year until further notice.

3. Investment details

Please enter below the Fund name(s) and share class type(s) that you wish to invest in and the amount(s) to be invested. If you do not specify this information your application may be rejected. You will receive class 'A' GBP Net shares in your selected Fund(s). The maximum subscription amounts for 2015/16 Stocks and Shares ISA is £15,240. Investors subscribing for the first time in this tax year on or after this date may subscribe up to this higher amount. Monthly subscriptions are subject to a monthly limit of £1,270 for the whole period. Please note that if you have a cash ISA in the same tax year as you subscribe to this Stocks and Shares ISA you cannot subscribe more than £15,240 in total into your Cash ISA and Stocks and ISA in that tax year.

Regular Savings Plan: If you wish to invest by monthly instalments into the Regular Savings Plan, please enter below the amount of your Direct Debit to be applied for each Fund(s). Your initial payment must be made by enclosing a personal cheque. You must also complete the Direct Debit Form and return it to us with this properly completed application form. Monthly contributions will be collected from your Bank or Building Society account on the first business day of each month.

Share class type: Please indicate for your selected Fund(s) in the relevant box below whether you wish to invest in Accumulation (Acc), Income (Inc) or Income 2 (Inc 2) shares. If you elect to invest in Inc or Inc 2 shares please see Section 4. Notwithstanding any indication, if a Fund you have selected only issues one type of share you will automatically receive that type. If you have not marked any box for a Fund which issues Acc, Inc or Inc 2 shares, or you have marked a box for a share class which is not available, you will automatically be invested in Acc shares. For important information about how charges are allocated on income and income 2 share classes please see the Supplementary Information Document.

Full name(s) of chosen fund(s)	Acc	Inc	Inc 2	Lump sum amount	Regular savings
				min. £1,000	amount min. £100
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>

Please add together any amounts entered above in the Total boxes. Make your cheque payable for this amount to: **Investec Fund Managers Limited**.

Total £ £

'A' GBP Net shares available as:-

Accumulation shares	All Funds
Income shares	Cautious Managed Fund, Diversified Growth, Global Bond Fund, Global Special Situations Fund, Target Return Fund, UK Smaller Companies Fund, UK Special Situations Fund
Income 2 shares	Cautious Managed Fund, Diversified Income Fund, Emerging Markets Blended Debt Fund, Emerging Markets Local Currency Debt Fund, Monthly High Income Fund, the Strategic Bond Fund, UK Special Situations Fund, UK Equity Income Fund

Investec Fund Managers Limited is authorised and regulated by the Financial Conduct Authority ("FCA"). Its FCA registered number is 145007. Its main business is the management of investment funds and its registered address is Woolgate Exchange, 25 Basinghall Street, London EC2V 5HA.

4. Bank mandate for income distribution and/or redemption proceeds

Please complete this section if you wish to have either income distributions and/or redemption proceeds paid directly to your bank account.

Income distributions: If you have indicated in section 3 that you wish to invest in Income shares or Income 2 shares you MUST complete this section with your bank details. If you do not provide your bank details in this section, then you will automatically be invested in Accumulation shares until such time as you provide your bank details to us.

Redemption proceeds: If you do not complete this section then any future redemption proceeds will be paid by cheque. The payment of redemption proceeds is subject to Investec having verified your identity. In certain circumstances we may need to request additional information to verify your identity. If this is necessary we will write to request the information and this may delay the payment of your proceeds.

Please note, we do not make any third party payments. The bank account indicated must be in the name of the investor and we will only make income payments and redemption payments, as applicable, to this account.

Redemption payments will only be made directly into your bank account in this way if the details you provide are the same as those previously supplied.

We can only make redemption payments by electronic bank transfer if we hold proof of your bank account on file. Please contact us if you are unsure of whether we hold your current bank details.

Bank/building society name	Branch sort code	<input type="text"/>	-	<input type="text"/>	-	<input type="text"/>
Branch address	Bank account number	<input type="text"/>				
	or					
Postcode	Building society roll number	<input type="text"/>				
	Account holder name	<input type="text"/>				

5. Data protection

Please note that any information you provide us with will be stored and processed electronically. Your name will be added to a mailing list which may be used to inform you of any new or existing Investec products. We will not transfer data outside the Investec Group, its associated companies or agents. If you prefer not to receive such details, please tick this box.

6. Declaration and authorisation

By signing below you declare that:


- (a) all subscriptions made, and to be made, belong to me;
- (b) I am 18 years of age or over;
- (c) I have not subscribed and will not subscribe more than the overall subscription limit in total to a Cash ISA and a Stocks and Shares ISA in the same tax year;
- (d) I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same year that I subscribe to this Stocks and Shares ISA; and
- (e) I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Investec Fund Managers Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- (f) I am not a US person and will inform Investec Fund Managers Limited immediately should my status change.

I authorise Investec Fund Managers Limited:

- (i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- (ii) to make on my behalf any claims to relief from tax in respect of ISA investments.

This application is subject to Investec's ISA terms and conditions, our standard client ISA agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing this application form. If you do not understand any points please ask for further information.

I declare that this application form has been completed to the best of my knowledge and belief and that I have received and read the current applicable Prospectus, the Key Investor Information Document(s) and Supplementary Information Document, including the Data Protection Policy, which are important because they form a summary of the basis on which the service is provided.

Signature 
Date


7. Financial intermediary declaration and undertaking

If this form is submitted via a financial intermediary, then this section must be completed by that financial intermediary. By completing this section you are confirming that you have received, read and are bound by Investec's Intermediary Terms of Business.

Company name
Name
Address
Postcode
FCA number
Investec reference number

Please tick the applicable box in either Part 1 or Part 2 below*.

I/we confirm:

Part 1 – Advice has been given in respect of this application and NO commission can be paid. <input type="checkbox"/>
Part 2 – Advice has NOT been given in respect of this application and commission can be paid. <input type="checkbox"/>
I/we represent and warrant that this application form is submitted on terms that require commission to be paid by Investec and that I/we am/are permitted to receive such commission under the FCA Rules. I/we undertake to notify Investec immediately if this commission ceases to be permitted under the FCA Rules. In this case I/we agree that such remuneration will cease to be payable and I will repay any such remuneration I have received.
Initial commission applicable to this application (not to exceed 3%): _____ % If initial commission is applicable an initial charge will apply to the extent of the rate of initial commission payable.
Signature 

*If Part 1 or Part 2 is not ticked then no commission will be paid.

Investec Direct Debit Form

Direct Debit Instruction

Investec Fund Centre Telephone 020 7597 1900

The Direct Debit Guarantee



This Guarantee should be detached and retained by the payer.

- This Guarantee is offered by all Banks and Building Societies that take part in the Direct Debit Scheme. The efficiency and security of the Scheme is monitored and protected by your own Bank or Building Society.
- If there are any changes to the amount, date or frequency of your Direct Debit Investec Fund Managers Limited will notify you 14 working days in advance of your account being debited or as otherwise agreed. If you request Investec Fund Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Investec Fund Managers Limited or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Investec Fund Managers Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.
- If the amounts to be paid or the payment dates change, you will be told of this in advance of the collection, by at least 14 days, as agreed.
- If an error is made by us or your Bank/Building Society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time, by writing to your Bank or Building Society. Please also send a copy of your letter to us.

Important information

If you wish to invest by monthly instalments into the Regular Savings Plan please ensure you complete the Direct Debit Instruction below and return it with your completed ISA application form to the address detailed below.



Instruction to your bank or building society to pay by Direct Debit

Please fill in the form and send to:
Fund Centre, Investec Fund Managers Limited
PO Box 9042, Chelmsford CM99 2XL



1. Name and full postal address of your bank or building society		
To: The Manager bank/building society		
Address		
Postcode		

2. Name(s) of account holder(s)

3. Branch sort code	<input type="text"/>	<input type="text"/>	<input type="text"/>
---------------------	----------------------	----------------------	----------------------

4. Bank/building society account number	<input type="text"/>
---	----------------------

Service user number	8	0	0	2	8	9
---------------------	---	---	---	---	---	---

5. Reference

6. Instruction to your Bank or Building Society

Please pay Investec Fund Managers Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by The Direct Debit Guarantee. I understand this instruction may remain with Investec Fund Managers Limited and, if so, details will be passed electronically to my bank/building society.

Signature

Date

This page has been intentionally left blank