



**PERSHING
SIMPLE IRA PLAN DISCLOSURE**

Account Minimums Acknowledgment:

Hanlon Investment Management (HIM) cannot accept individual accounts that fall below our minimum account balance guidelines. This may impact new employees and require the Employer to establish some accounts at an alternate Custodian.

Salary Deferrals and Contributions:

All salary deferrals and contributions must be made in the form of a check made payable to Pershing, LLC and submitted along with a listing that includes the following information:

- Name of Employee
- Account Number
- Amount of Contribution for each Employee (to include contribution source)
- The verbiage “Split Deposit” must be noted on the check

The Employer must send all checks directly to Pershing at the following address:

**Pershing Advisor Solutions
1 Pershing Plaza
10th Floor
Jersey City, NJ 07399**

Tracking and Monitoring of Contributions:

Hanlon Investment Management will not be responsible for tracking or monitoring the receipt of deposits (deferrals/contributions) to accounts. The Custodian will provide the Employer with the tools necessary to access account information for the purposes of monitoring and confirming deposits.

By signing below you acknowledge receipt of and understanding of the information provided above.

Investment Advisor Name: (Please Print) _____

Investment Advisor Signature: _____ Date: _____

Company Name (Please Print) _____

Company Authorized Signer's Name and Title: (Please Print) _____

Authorized Signer's Signature: _____ Date: _____