



## MIDCAP FUND

(AN OPEN ENDED EQUITY SCHEME)

**WE SPOT THE WINNERS  
SO YOU CAN WIN**



**NFO Start Date:** 16th November 2015 | **NFO Close Date:** 30th November 2015 | **Allotment Date:** 7th December 2015  
**Scheme re-opens on:** within 5 business days from the date of allotment | **Issue Price (per Unit):** Rs. 10 /-

### PRODUCT LABELLING

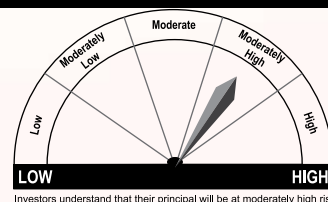
#### Peerless Midcap Fund (An Open Ended Equity Scheme)


**This product is suitable for investors who are seeking\***

- Capital appreciation over medium to long term
- Investment in Equity & equity related securities of midcap companies

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

#### RISKOMETER



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**Investment & Operations Office :** 102, Centrepoin, J.B. Nagar, Andheri - Kurla Road, Andheri (East) , Mumbai - 400 059.

**Peerless**  
MUTUAL FUND

Name of Mutual Fund	Name of Asset Management Company
Peerless Mutual Fund	Peerless Funds Management Co. Ltd.

## Peerless Midcap Fund

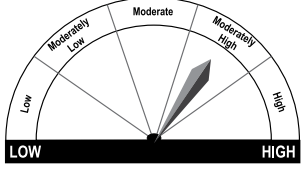
(An Open Ended Equity Scheme)

**NFO Start Date:** 16th November 2015

**NFO Close Date:** 30th November 2015

Scheme Re-opens for continuous sale and repurchase on: Not later than 5 Business Days from the date of allotment

Offer for Units of Rs.10/- per unit for cash during the New Fund Offer period and at NAV based prices upon re-opening

PRODUCT LABELLING	RISKOMETER
<p><b>This product is suitable for investors who are seeking*</b></p> <ul style="list-style-type: none"> <li>Capital appreciation over medium to long term.</li> <li>Investment in Equity &amp; equity related securities of midcap companies</li> </ul> <p>*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.</p>	 <p>Investors understand that their principal will be at moderately high risk</p>

This Key Information Memorandum (KIM) sets forth the information which a prospective investor ought to know before investing. **For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key personnel, Investors' rights & services, risk factors, penalties & pending litigations etc, investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centre's or distributors or from the website [www.peerlessmf.co.in](http://www.peerlessmf.co.in).**

This KIM is dated 30 October, 2015.

<b>Investment Objective</b>	The investment objective of the scheme is to generate medium to long-term capital appreciation by investing predominantly in equity and equity related securities of mid cap companies. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme does not assure or guarantee any returns.			
<b>Asset Allocation Pattern</b>	<b>Types of Instruments</b>	<b>Normal Allocation (% of Net Assets)</b>		
		<b>Minimum</b>	<b>Maximum</b>	<b>Risk Profile (Low/Medium/High)</b>
	Equity and Equity Related Instruments of Midcap companies	65%	100%	High
	Debt and Money Market Instruments	0%	35%	Low to Medium
	Midcap companies: Midcap companies are defined as companies that are mid – sized and have a market capitalization equal to or lower than that of the largest capitalized company in the CNX Midcap Index (benchmark Index) but higher than the smallest capitalized company within the index. These companies may or may not be a constituent of the benchmark Index. (Initial Public Offerings of companies, the market capitalization of which, on listing, would be estimated to be as per the above mentioned criteria, will also qualify as midcap companies.)			
	This market capitalization range for midcap companies will be so determined as at the end of every calendar quarter and will be applicable for all investment decisions made during the following calendar quarter.			
	In the event of the asset allocation falling outside the limits specified in the asset allocation table, the Fund Manager will rebalance the same within 30 days from the date of deviation. Details pertaining to the same are mentioned under the heading "HOW WILL THE SCHEME ALLOCATE ITS ASSETS?" in the SID.			
	The Fund shall not take any leveraged position. The cumulative gross exposure through equity and debt shall not exceed 100% of the net assets of the scheme.			
	The Scheme shall not invest in (i) Securitised debt, (ii) unrated instruments, (iii) Foreign Securities including securitised debt of foreign companies, (iv) Equity Linked Debentures, (v) ADRs/GDRs, (vi) Derivatives, (vii) not undertake repo/reverse repo transactions in Corporate Debt Securities.			
	The Scheme retains the flexibility to invest across all the securities in the Equity and Equity related instruments, Debt and Money Market Instruments.			
	In terms of SEBI Circular no. SEBI/IMD/CIR18/198647/2010 dated March 15, 2010; the mutual fund /AMC shall make investment out of the NFO proceeds only on or after the closure of the NFO period. The Scheme retains the flexibility to invest across all the securities in the Equity and Equity related instruments, Debt and Money Market Instruments.			



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Investment Strategy	<p><b>Equity Investment Strategy:</b></p> <p>The investment strategy of the fund will be based on the market capitalization of companies. The fund will predominantly invest in stocks of midcap companies (as defined earlier) in accordance with the investment objective and asset allocation. This market capitalization range will be so determined taking into account the capitalization range of the members of the benchmark index (CNX Midcap Index) at the end of every calendar quarter. The range once determined at quarter end will apply to all investment decisions made during the following quarter.</p> <p>Midcap companies offer higher return potential than large cap companies largely due to following reasons:</p> <ol style="list-style-type: none"> <li>1. Relatively less known by market participants / price discovery by market is not full.</li> <li>2. Better growth prospects due to presence in a new segment/ area that is growing at a faster pace.</li> <li>3. Ability to gain share due to new technology, better product / service etc.</li> <li>4. Room for P/E multiples to expand if the company transitions from a midcap to large cap, etc.</li> </ol> <p>However, these companies carry higher risk than large cap companies, particularly over the short and medium term as some of the reasons mentioned above may take longer time to manifest. Also the chances of companies failing to grow, to the next level, are higher at this stage as the businesses tend to be sub-scale. In order to reduce this risk, the Scheme will maintain a well-diversified portfolio. While the portfolio focuses primarily on a buy and hold strategy at most times, it will balance the same with a rational approach to selling when the valuations become too demanding even in the face of reasonable growth prospects in the long run.</p> <p>A bottom-up fundamental approach will be used for identifying these stocks.</p> <p>Stock identification process would include company and business analysis, industry analysis, future plans, projections, technical analysis and valuations. Based on analysis of various financial and non-financial parameters, the stocks will finally be shortlisted for portfolio construction process.</p> <p>The Fund Manager of the scheme would also take cues from the global macroeconomic trends, Government policy and monetary policy actions to decide on the asset allocation. The allocations will be within the limits defined in the asset allocation table.</p> <p>Apart from in-house research, external research is used as an important source of information. Various magazines, journals, newspapers and databases also help in the research process.</p> <p><b>Debt Investment Strategy:</b></p> <p>The Fund Manager would seek to provide risk adjusted returns by optimizing the tradeoffs between liquidity, duration (interest rate movement), and credit quality, depending on the macro- economic, technical, and market factors including future course of system liquidity, interest rates, and other fiscal and monetary variables.</p> <p>The investment strategy starts from analysis, then incorporates limits, looks at portfolio construction and rebalancing, and finally performance monitoring as a feedback loop.</p> <p>The analysis activity starts from macroeconomic analysis, including fiscal and economic factors, impact of global and local events on India's macro-economy, and finally impact of these on the fixed income market. Technical analysis is undertaken as to the likely range of movement, and deciding the timing. Market activity analysis is looked into to determine liquidity of specific securities. Credit analysis and monitoring is resorted to, and dovetailed with the other analysis to determine credit quality, and ideal spreads where specific securities ought to be trading at. This is a continuous process. All this analysis is sieved through limit filters set by the investment committee.</p> <p>Based on these results, portfolio construction and rebalancing is carried out. The performance of the portfolio is continuously monitored, and feedback is taken as to the performance of individual securities to test the assumptions behind the analysis. The assumptions are then tweaked from the learning incorporated by the feedback.</p> <p>Based on this learning, fresh analysis is carried out for portfolio rebalancing, and the process continuously iterates.</p> <p><b>Portfolio Construction</b></p> <p>The fund manager has the primary responsibility for portfolio construction based on the investment objective of the Scheme. Every investment decision taken is by keeping in mind the investment objective of the Scheme and how the security will affect the overall portfolio.</p> <p>The Scheme will maintain a well-diversified portfolio focusing on a buy and hold strategy, at most times, as investments in these midcap companies need patience for the expected returns to fructify.</p> <p>In addition, the current macro-economic / industry scenario is also monitored and analyzed to assess any impact on the sector and asset allocation decisions of the fund. Technical views which are relevant to asset allocation, if applicable will also be taken into consideration. The focus would be on long term investing driven by fundamental research. However, short-term performance will also be monitored and evaluated.</p>
Risk Profile of the Scheme	<p>Mutual Fund Units involve Investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</p>
	<p><b>Scheme specific risk factors</b>  <b>Risks associated with Equities and Equity Related Instruments</b></p> <p>Equity and Equity Related Instruments by nature are volatile and prone to price fluctuations on a daily basis due to macro and micro economic factors. The value of Equity and Equity Related Instruments may fluctuate due to factors affecting the securities markets such as price volatility, volumes traded, interest rates, currency exchange rates, changes in law/policies of the Government, taxation laws, political, economic or other developments, which may have an adverse impact on individual securities, a specific sector or all sectors. Consequently, the NAV of the Units issued under the Scheme may be adversely affected.</p> <p>Equity and Equity Related Instruments listed on the stock exchange carry lower liquidity risk; however the Scheme's ability to sell these investments is limited by the overall trading volume on the stock exchanges. In certain cases, settlement periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities. Similarly, the inability to sell securities held in the Scheme's portfolio may result, at times, in potential losses to the Scheme, if there is a subsequent decline in the value of securities held in the Scheme's portfolio.</p>



	<p>Investments in equity and equity related instruments involve a degree of risk and investors should not invest in the Scheme unless they can afford to take the risk of losing their investment.</p> <p>The scheme also carries risks associated with investing in debt and money market securities. Investments in debt and money market instruments are subject to interest rate risk, re-investment risk, basis risk, spread risk, liquidity risk, credit risk, Liquidity Risk on account of unlisted securities, settlement risk etc.</p> <p>The Scheme may invest in securities which are not listed on the stock exchanges. These securities may be illiquid in nature and carry a higher amount of liquidity risk, in comparison to securities that are listed on the stock exchanges or offer other exit options to the investor. The liquidity and valuation of the Scheme's investments due to its holdings of unlisted securities may be affected if they have to be sold prior to the target date of disinvestment.</p>
<b>Risk Control</b>	<p>Risk and investment are two sides of the same coin of Fund Management. Effective risk management is crucial for achieving top quartile performance. Investments by the scheme shall be made as per the investment objectives of the scheme and provisions of SEBI regulations. Adequate safeguards would be incorporated in the portfolio management process. The main instrument for reducing risk is through diversification. The Fund Manager's job is to identify securities which offer higher returns with a lower level of risk.</p> <p>The fund will endeavour to manage the various risks associated with investing in equity and equity related instruments. Risk is expected to be reduced through diversification of portfolio across various sectors and market capitalizations.</p>

**Risks associated with Fixed Income Securities and risk mitigation measures:**

<p><b>Interest Rate Risk</b> Fixed income securities such as government bonds, corporate bonds, Money Market Instruments and Derivatives run price-risk or interest-rate risk. Generally, when interest rates rise, prices of existing fixed income securities fall and when interest rates drop, such prices increase. The extent of fall or rise in the prices depends upon the coupon and maturity of the security. It also depends upon the yield level at which the security is being traded.</p>	<p>The modified duration of a portfolio is one of the means of measuring the interest rate risk of the portfolio. Higher is the modified duration, the fund stands exposed to a higher degree of interest rate risk. The Fund Manager would decide on the modified duration to be maintained for the portfolio at a particular point of time after taking into account the current scenario and the investment objective of the scheme. The portfolio duration will be decided after doing a thorough research on the general macroeconomic condition, political environment, systemic liquidity, inflationary expectations, corporate performance and other macro-economic considerations. The Investment Committee of the AMC would be monitoring the portfolios constantly and would be giving direction regarding portfolio modified duration to the Fund Manager.</p>
<p><b>Credit Risk</b> This is the risk associated with the issuer of a debenture/bond or a Money Market Instrument defaulting on coupon payments or in paying back the principal amount on maturity. Even when there is no default, the price of a security may change with expected changes in the credit rating of the issuer. It is to be noted here that a Government Security is a sovereign security and is the safest. Corporate bonds carry a higher amount of credit risk than Government Securities. Within corporate bonds also there are different levels of safety and a bond rated higher by a particular rating agency is safer than a bond rated lower by the same rating agency.</p>	<p>The investment team would carry out rigorous in depth credit evaluation of the money market and debt instruments the scheme proposes to invest in. The credit evaluation will essentially be a bottom up approach and include a study of the operating environment of the issuer, the past track record as well as the future prospects of the issuer and the short term / long term financial health of the issuer. Data from external Credit Rating Agencies like CRISIL, ICRA, FITCH and CARE would be taken into account while constructing this universe. This universe would be constantly monitored by the Investment Committee which would recommend any additions/ deletions from the investment universe.</p>
<p><b>Liquidity Risk</b> The liquidity of a bond may change, depending on market conditions leading to changes in the liquidity premium attached to the price of the bond. At the time of selling the security, the security can become illiquid, leading to loss in value of the portfolio.</p>	<p>The Fund Manager would maintain adequate cash/cash equivalent securities to manage the day to day redemptions of the fund. Attention would be given to the historic redemption trends while deciding on the cash equivalent component of the portfolios. Also the Fund Manager and Dealer would be keeping track of various securities being traded in the market and would strive to keep the component of illiquid securities in the portfolio at a low percentage of the total portfolio.</p>
The Investment Committee of the AMC would be acting in a proactive manner to identify and analyze potential risks and act in a preventive manner to contain those risks.	

<b>Risk Management Strategy</b>	<b>The various types risk management strategies are as follows:</b>	
	<b>Risk Type</b>	<b>Risk Management Strategy</b>
	<b>Quality Risk:</b> Risk of investing in unsustainable / weak companies	Investment Universe to be created based on qualitative and quantitative factors so as to select good quality companies.
	<b>Price Risk:</b> Risk of overpaying for a stock	A detailed research to be done on a company before investing, about business fundamentals and valuations.
	<b>Concentration Risk:</b> Risk of Concentration	To invest across the market capitalization spectrum (though within the defined limits) and various industries.
	<b>Liquidity Risk:</b> High Impact Costs	To control liquidity at the portfolio construction stage.
	<b>Volatility Risk:</b> Price Volatility due to company or portfolio specific factors	To monitor overall portfolio volatility and control risk - stock / sector exposures as required.
	<b>Event Risk:</b> Price risk due to company, sector specific or market event	Understand businesses, macro-economic and global events so as to respond to events effectively and speedily.



## Plans/Options

The Scheme has following Plans:

1. **Regular Plan:** This Plan is for investors who wish to route their investment through any distributor.
2. **Direct Plan:** This Plan is for investors who wish to invest directly without routing the investment through any distributor. This Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under the Direct Plan.

Each of the Plans as above shall have two options:

1. Growth
2. Dividend (Payout and Re-investment).

If Dividend payable under Dividend Payout option is equal to or less than Rs. 100/- , then the Dividend would be compulsorily reinvested in the option of the Scheme.

Investors should indicate the Plan/Option for which the subscription is made by indicating the choice in the appropriate box provided for the purpose in the application form.

The following criteria will be considered for uniform disclosure on treatment of applications under Direct/Regular plans:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

Further, in case of valid application received without indicating any choice of Option, the following Default Option will be considered for allotment:

1. Growth Option - where Growth or Dividend Option is not indicated;
2. Dividend Reinvestment - where Payout or Reinvestment is not indicated under Dividend Option.

**The Plans and Options stated above will have a common portfolio.**

**Applicable NAV** (after the scheme opens for repurchase and sale)

**A. Applications for amount less than Rs. 2 lakh**

**For Purchases including switch-ins:**

- In respect of valid applications received upto 3.00 p.m. on a Business Day along with a local cheque or a demand draft payable at par at the Official Point(s) of Acceptance where the application is received, the closing NAV of the day on which application is received shall be applicable.
- In respect of valid applications received after 3.00 p.m. on a Business Day along with a local cheque or a demand draft payable at par at the Official Point(s) of Acceptance where the application is received, the closing NAV of the next Business Day shall be applicable.
- In respect of valid applications, with outstation cheques / demand drafts not payable at par at the Official Point(s) of Acceptance where the application is received, closing NAV of the day on which the cheque / demand draft is credited shall be applicable.

**B) Applications for amount equal to or greater than Rs. 2 lakh**

**i) For Purchases:**

- In respect of valid applications received for an amount equal to or more than Rs. 2 lakh upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time - the closing NAV of the day shall be applicable.
- In respect of valid applications received for an amount equal to or more than Rs. 2 lakh after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable.
- Irrespective of the time of receipt of applications for an amount equal to or more than Rs. 2 lakh at the Official Point(s) of Acceptance, where the funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable.

**ii) For Switch-ins:**

For determining the applicable NAV, the following shall be ensured:

- Application for switch-in is received before the applicable cut-off time.
- Funds for the entire amount of subscription/purchase as per the switch-in request are credited to the bank account of the Scheme before the cut-off time.
- The funds are available for utilization before the cut-off time.

Where application is received after the cut-off time on a day but the funds are cleared on the same day, the closing NAV of the next Business Day shall be applicable.



	<p>iii) <b>Redemptions including Switch - outs:</b></p> <ul style="list-style-type: none"><li>In respect of valid applications received up to 3.00 p.m. - same day's closing NAV shall be applicable.</li><li>In respect of valid applications received after 3.00 p.m. - the closing NAV of the next Business Day shall be applicable.</li></ul> <p>With respect to investors who transact through the stock exchange, a confirmation slip given by the stock exchange mechanism shall be considered for the purpose of determining Applicable NAV for the Scheme and cut off timing for the transactions.</p>		
<b>Minimum Application Amount/Number of Units</b>	<b>Purchase</b> (First purchase during NFO & continuous offer)	<b>Additional Purchase</b> (Subsequent purchase)	<b>Redemption</b>
	Rs.1,000/- and in multiples of Re.1/- thereafter	Rs. 100 and in multiples of Re 1/- thereafter	Rs.1,000/- or 100 units or account balance whichever is lower in respect of each option.
<b>Systematic Investment Plan</b>	<b>Frequency</b>	Fortnightly Option (Rs. 500/- and in multiples of Rs. 100/- thereafter), Monthly Option (Rs. 500 /- and in multiples of Rs. 100/- thereafter), Quarterly Option (Rs. 1000/- and in multiples of Rs. 100/- thereafter) and Half yearly Option (Rs. 2000/- in multiples of Rs. 100/- thereafter.)	
	<b>Minimum Installments</b>	Fortnightly SIP 24 installments (including 1st cheque), Monthly SIP 12 Installments (including 1st cheque), Quarterly SIP 4 Installments (including 1st cheque), Half yearly SIP 2 installments (including 1st cheque).	
	<b>Default Option</b>	Default Frequency - Monthly Option, Default Date – 7th of each month/quarter/half year.	
	<b>SIP Dates</b>	1st, 7th, 10th, 15th, 20th, 25th of every month, every alternate Wednesday for fortnightly frequency.	
	Notice of discontinuance/termination should be received at AMC Customer Service Cell or to the Registrar (official point of acceptance) at least 30 days prior to the due date of the next debit. If the Fund fails to get the proceeds from three Installments out of a continuous series of Installments submitted at the time of initiating a SIP (subject to a minimum under SIP i.e. 12 months), the SIP is deemed as discontinued. Units will be allotted at the Applicable NAV of the respective dates on which the investments are sought to be made. In case the date falls on a Holiday or falls during a Book Closure period, the immediate next Business Day will be considered for this purpose.		
<b>Systematic Transfer Plan</b> (Only ongoing offer period)	<b>Frequency</b>	Daily Option (all business days), Weekly Option (every Wednesday), Fortnightly Option (every alternative Wednesday) and Monthly Option (on specified dates i.e. 1st , 7th, 10th, 15th, 20th, 25th of every month).	
	<b>Minimum Amount</b>	Minimum of 12 transfers of Rs. 500/- , Rs. 50/- for daily frequency	
	<b>Default Option</b>	Default Frequency - Monthly, Default Date - 7th of each month.	
<b>Systematic Withdrawal Plan</b>	Unit holders have the benefit of availing the choice of Systematic Withdrawal Plan. The amount thus withdrawn by redemption will be converted into Units at Applicable NAV based prices and the number of Units so arrived at will be subtracted from the Units balance to the credit of that Unit holder. The Unit holder may avail of this Option by writing to any of the Investor Service Centers, after the close of the New Fund Offer Period.		
	Unit holders may change the amount of withdrawals or the period of withdrawals by giving a 15 days written notice. The SWP may be terminated on a written notice by a Unit holder and it will terminate automatically if all the Units are liquidated or withdrawn from the account or the holdings fall below Rs.1000 under the monthly option or Rs 3000 under the quarterly option (subject to the Unit holder failing to invest sufficient funds to bring the value of their holdings to the minimum amount of Rs. 5000 after the completion of SWP, within 30 days after the balance shall have fallen below the minimum holdings) or upon the Mutual Fund's receipt of notification of death or incapacity of the first Unit holder.		
	There are two options available under SWP viz - Monthly option and quarterly option, the details of which are given below:		
		<b>Monthly Option</b>	<b>Quarterly Option</b>
Minimum value of SWP		Rs 500/- or 50 units	Rs 1500 or 150 units
Additional amount in multiples of		Rs 100/- or 10 units	Rs 100/- or 10 units
Dates of SWP Request		5th of the month	5th of April, July, October, January
	Default Option : Monthly option		
<b>Automatic Encashment Plan (AEP)</b> (only ongoing offer period)	The AEP shall be available to investors who have opted for Growth Option under the scheme. Unitholders under this Plan can avail of this option by providing standing instructions to the AMC.		
	The unit holders under this plan will have an option to encash the appreciation available on investment on the designated date on monthly/ quarterly/half yearly basis. The applicable NAV for this purpose is the NAV of the designated date.Computation of the available appreciation under the scheme will be the NAV appreciation (being the difference between the NAV as on the Designated Date minus the purchase price of the respective units) on outstanding units and the same will be redeemed on a First in First out (FIFO) basis from the folio of the investor.		
	Upon such automatic encashment, the unit holders will be sent the redemption cheques or the redemption proceeds may be directly credited to the bank account of the unit holder.		
	There is no assurance or guarantee to unit holders as to the extent of appreciation that the scheme may generate. 1. AEP will be on pre-defined dates (i.e. 1st business day of every month/ quarter/half year) 2. Payment under the AEP shall be subject to such appreciation being available for the respective investor on Designated Date. 3. Minimum amount of AEP will be Rs.500/-. If amount is less than Rs.500/-, the AEP facility will automatically be carried forward to the next eligible date. 4. If there be a depreciation between the two NAV then such transaction will not be considered in computing the sum of minimum amount of Rs 500/- on the Designated Date.		





<b>Switching Options</b>	<p><b>(a) Inter - Scheme Switching option</b> Unit holders under the Scheme have the option to Switch part or all of their Unit holdings in the Scheme to any other Scheme offered by the Mutual Fund from time to time. The Mutual Fund also provides the Investors the flexibility to Switch their investments from any other scheme(s) / plan (s) offered by the Mutual Fund to this Scheme. This option will be useful to Unit holders who wish to alter the allocation of their investment among the scheme(s) / plan(s) of the Mutual Fund in order to meet their changed investment needs. The Switch will be effected by way of a Redemption of Units from the Scheme at Applicable NAV, subject to Exit Load, if any and reinvestment of the Redemption proceeds into another Scheme offered by the Mutual Fund at Applicable NAV and accordingly the Switch must comply with the Redemption rules of the Switch out Scheme and the Subscription rules of the Switch in Scheme.</p> <p><b>(b) Intra -Scheme Switching option</b> Unit holders under the Scheme have the option to Switch their Unit holding from one option to another option (i.e. Growth to Dividend and vice-versa). The Switches would be done at the Applicable NAV based prices and the difference between the NAVs of the two options will be reflected in the number of Unit allotted. Switching shall be subject to the applicable "Cut off time and Applicable NAV" stated elsewhere in the Scheme Information Document. In case of "Switch" transactions from one scheme to another, the allocation shall be in line with Redemption payouts.</p>										
<b>Despatch of Repurchase</b>	Within 10 working days of the receipt of the redemption request at the authorized centre of Peerless Mutual Fund.										
<b>Benchmark Index</b>	CNX Midcap Index										
<b>Dividend Policy</b>	The Trustee will endeavour to declare the Dividend, subject to availability of distributable surplus calculated in accordance with the Regulations. The actual declaration of Dividend and frequency will inter-alia depend on availability of distributable surplus calculated in accordance with SEBI (MF) Regulations and the decisions of the Trustee shall be final in this regard. There is no assurance or guarantee to the unit holders as to the rate of Dividend nor that will the Dividend be paid regularly.										
<b>Name of the Fund Managers</b>	Mr. Amit Nigam										
<b>Name of the Trustee Company</b>	Peerless Trust Management Co. Ltd.										
<b>Performance of the Scheme</b>	This Scheme is a new scheme and does not have any performance track record..										
<b>Expenses of the Scheme</b> i. Load Structure & Transaction Charges	<p><b>Load structure:</b> For the New Offer Period and Continuous Offer: Entry load: NA. Exit load: Nil</p> <p>SEBI vide its circular no SEBI/IMD/CIR No.4/168230/09 June 30, 2009 has decided that there shall be no entry Load for all Mutual Fund Schemes. The Trustee/AMC reserves the right to change/modify the Load structure from a prospective date.</p> <p><b>Transaction Charges:</b> Pursuant to SEBI circular vide no. Cir / IMD/ DF / 13 / 2011 dated 22 August 2011, a transaction charge per subscription of Rs.10,000/- and above will be charged from the investors and paid to distributors/ agents (who have opted to receive the transaction charges) w.e.f. 1 November 2011, as follows:</p> <ol style="list-style-type: none"> <li>Rs. 100/- per subscription of Rs.10,000/- and above for existing investors in Mutual Funds.</li> <li>Rs.150/- per subscription of Rs.10,000/- and above for a first time investor in Mutual Funds.</li> <li>The transaction charge, if any, shall be deducted by the AMC from the subscription amount and paid to the distributor and the balance shall be invested.</li> <li>There shall be no transaction charge on subscription below Rs.10,000/-.</li> <li>Transaction charges shall be applicable on purchases/ subscriptions relating to new inflows.</li> <li>In case of SIPs, the transaction charge shall be applicable only if the total commitment through SIPs amounts to Rs.10,000/- and above and shall be recovered in a maximum of 4 installments.</li> <li>There shall be no transaction charges on direct investments.</li> <li>The Account Statement shall state that the net investment as gross subscription less transaction charges, if any and specify the no. of units allotted against the net investment</li> </ol> <p>The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. The distributors shall have also the option to either opt in or opt out of levying transaction charge based on type of the product.</p>										
<b>ii. Recurring Expenses (% of the Average Daily Net Assets)</b>	<table border="1"> <tr> <td>First Rs. 100 Crores</td><td>Next Rs. 300 Crores</td><td>Next Rs.300 Crores</td><td>Balance of the assets</td></tr> <tr> <td>2.50%</td><td>2.25%</td><td>2.00%</td><td>1.75%</td></tr> </table>	First Rs. 100 Crores	Next Rs. 300 Crores	Next Rs.300 Crores	Balance of the assets	2.50%	2.25%	2.00%	1.75%	<p>Direct Plan shall have a lower expense ratio. Commission/ Distribution expenses will not be charged in case of Direct Plan. At least 5% of the TER will be charged towards distribution expenses/commission in the Regular Plan. The TER of the Direct Plan under the Scheme will be lower to the extent of the above mentioned distribution expenses/commission (at least 5% of TER) which is charged in the Regular Plan. For example, in the event that the TER of the Regular Plan is 1% p.a., the TER of the Direct Plan would not exceed 0.95% p.a.</p> <p>In addition to the recurring expenses specified for the scheme, the following expenses/service tax may be charged to the scheme:</p> <ol style="list-style-type: none"> <li>Expenses not exceeding 0.20 percent of daily net assets of the scheme towards investment Management and Advisory fees and the various sub-heads of recurring expenses mentioned under Regulation 52(2) and (4) of the SEBI (MF) Regulations respectively.</li> <li>Expenses in respect of inflows from beyond top 15 cities-a maximum charge of 0.30% on the daily net assets computed as per the guidelines issued by SEBI for meeting distribution expenses incurred for bringing inflows from such cities.</li> <li>Brokerage and transaction costs not exceeding 0.12% of the value of the trades in the case of cash market transactions and 0.05% of the value of trades in case of derivatives transactions; and</li> <li>Service tax on Investment Management and Advisory fees.</li> </ol> <p><b>For further details please refer to the SID.</b></p>	
First Rs. 100 Crores	Next Rs. 300 Crores	Next Rs.300 Crores	Balance of the assets								
2.50%	2.25%	2.00%	1.75%								



<b>Waiver of Load for Direct Applications</b>	Not applicable.
<b>Tax Treatment for the Investors (Unitholders)</b>	Investors are advised to refer to the paragraph on Taxation in the 'Statement of Additional Information' and to consult their own tax advisors with respect to the specific amount of tax and other implications arising out of their participation in the scheme.
<b>Daily Net Asset Value (NAV) Publication</b>	The NAV will be declared for all business days and will be published in 2 newspapers, NAV can also be viewed on <a href="http://www.peerlessmf.co.in">www.peerlessmf.co.in</a> and <a href="http://www.amfiindia.com">www.amfiindia.com</a> . You can also call us at Non Toll Free : 022-61779922. Toll Free : 1800 103 8999
<b>For Investor Grievances Please Contact</b>	<div> <b>Name and Address of Registrar</b>  Karvy Computershare Private Limited,  (Unit: Peerless Mutual Fund),  KARVY SELENIUM, Plot number 31 &amp; 32,  Tower B, Survey No. 115/22, 115/24 &amp; 115/25,  Financial District, Gachibowli, Nanakramguda,  Serlingampally Mandal, Hyderabad - 500032  Telangana  Tel: 91 40 33215121 / 5122 / 5123  Webs: <a href="https://www.karvymfs.com">https://www.karvymfs.com</a>. </div> <div> <b>Customer Service Cell of AMC:</b>  Ms. Roshni Chorge  Investor Relations Officer,  102, Centrepont, 1st Floor, J.B. Nagar,  Andheri-Kurla Road, Andheri (East),  Mumbai- 400 059  Toll Free: 1800 103 8999.  Non Toll Free: 022 6177 9922,  Email: <a href="mailto:connect@peerlessmf.co.in">connect@peerlessmf.co.in</a> </div>
<b>Unitholders Information</b>	<p><b>Account Statements:</b> For normal transactions during ongoing sale and repurchase:</p> <ul style="list-style-type: none"> <li>The AMC shall send an allotment confirmation specifying the units allotted by way of email and / or SMS within 5 Business Days of receipt of valid application / transaction to the Unit holder's registered e-mail address and /or mobile number.</li> <li>A consolidated account statement for each calendar month to the Unit holder(s) in whose folio(s) transaction(s) has/ has taken place during the month on or before 10th of the succeeding month shall be sent by mail or e-mail.</li> <li>In the event the account has more than one registered holder, the first named Unit holder shall receive the CAS/ account statement.</li> <li>The transactions viz. purchase, redemption, switch, dividend payout, etc., carried out by the Unit holders shall be reflected in the CAS on the basis of Permanent Account Number (PAN).</li> <li>The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.</li> <li>For folios not included in the CAS (due to non-availability of PAN), the AMC shall issue monthly account statement to such Unit holder(s), for any financial transaction undertaken during the month on or before 10th of succeeding month by mail or email.</li> <li>In case of a specific request received from the Unit holders, the AMC will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request, by mail/email.</li> <li>The Unit holder without any charges may request for a physical account statement by writing to/calling the AMC/ISC/RTA. The Mutual AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder.</li> </ul> <p><b>Allotment Advice (for demat holders) / Consolidated Account Statement (CAS)</b>  An allotment advice will be sent upon allotment of Units stating the number of Units allotted to each of the Unit holder(s) who have opted for allotment in dematerialized mode within 5 business days from the date of closure of NFO Period.</p> <p>The Units allotted will be credited to the DP account of the Unit holder as per the details provided in the application form.</p> <p>A Consolidated Account Statement (CAS) shall also be sent to the Unit holder(s) in whose folio transactions have taken place during that month, on or before 10th of the succeeding month.</p> <p>Normally no Unit certificates will be issued. However, if the applicant so desires, the AMC shall issue a non- transferable Unit certificate to the applicant within 5 business days of the receipt of request for the certificate. Unit certificate if issued must be duly discharged by the Unit holder(s) and surrendered along with the request for Redemption / Switch or any other transaction of Units covered therein.</p> <p>Rematerialization of Units will be in accordance with the provisions of SEBI (Depositories &amp; Participants) Regulations, 1996 as may be amended from time to time.</p> <p>All units will rank pari passu with the units within the same option in the Scheme concerned as to assets, earnings and the receipt of dividend distributions, if any, as may be declared by the Trustee.</p>





**CAS for investors having Demat account:**

- Investors having MF investments and holding securities in Demat account shall receive a single Consolidated Account Statement (CAS) from the Depository.
- Consolidation of account statement shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding. For PANs which are common between depository and the AMC, the depository shall send the CAS. In other cases (i.e. PANs with no demat account and only MF units holding), the AMC/RTA shall continue to send the CAS to its unit holders as is being done presently in compliance with the Regulation 36(4) of the SEBI (Mutual Funds) Regulations.
- The CAS shall be generated on a monthly basis.
- If there is any transaction in any of the Demat accounts of the investor or in any of his mutual fund folios, depositories shall send the CAS within ten days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details shall be sent to the investor on half yearly basis.
- In case an investor has multiple accounts across two depositories, the depository with whom the account has been opened earlier will be the default depository. However, option shall be given to the demat account holder by the default depository to choose the depository through which the investor wishes to receive the CAS.
- Where statements are presently being dispatched by email either by the Mutual Funds or by the Depositories, CAS shall be sent through email. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered in the Depository system.
- If an investor does not wish to receive CAS, an option shall be given to the investor to indicate negative consent.

The dispatch of CAS by the depository would constitute compliance by the AMC/ the Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations. The AMC reserves the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

**Annual Account Statement**

The Mutual Fund shall provide the Account Statement to the Unit holders who have not transacted during the last six months prior to the date of generation of account statements. The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.

**Annual Report**

Scheme-wise Annual Report or an abridged summary thereof shall be mailed to all Unit Holders within four months from the date of closure of the relevant accounting year i.e. 31st March each year.

Pursuant to Regulation 56 of SEBI (Mutual Funds) Regulations, 1996 and amendments thereto, read with SEBI circular No. Cir/ IMD/ DF/16/ 2011 dated September 8, 2011, the scheme wise annual report or an abridged summary thereof hereinafter shall be sent by AMC/Mutual Fund as under:

- by e-mail only to the Unit holders whose e-mail address is available with the Fund,
- in physical form to the Unit holders whose email address is not available with the Fund and/or to those Unit holders who have opted / requested for the same.

The physical copy of the scheme wise annual report or abridged summary shall be made available to the investors at the registered office of the AMC. A link of the scheme annual report or abridged summary shall be displayed prominently on the website of the Fund.

**Half yearly disclosures**

The Mutual Fund shall publish a complete statement of the Scheme portfolio within one month from the close of each half year (i.e. 31st March and 30th September) by way of an advertisement at least, in one National English daily and one regional newspaper in the language of the region where the head office of the Mutual Fund is located. The Portfolio Statement will also be displayed on the website of the AMC and AMFI.

In terms of Regulations 59 and SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, the AMC shall host the half-yearly financial statements of schemes of the Fund on its website and publish a notice in the newspapers regarding the availability of the same. In terms of Regulations 59 and SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, the AMC shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on their website. The half-yearly unaudited report shall contain details as specified in Twelfth Schedule and such other details as are necessary for the purpose of providing a true and fair view of the operations of the mutual fund.

Further, the AMC shall publish an advertisement disclosing the hosting of such financial results on its website [www.peerlessmf.co.in](http://www.peerlessmf.co.in) in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated.

**Monthly Portfolio disclosure**

The Fund/AMC will disclose the Portfolio (along with ISIN) of all Schemes as on the last day of the month on its website [www.peerlessmf.co.in](http://www.peerlessmf.co.in) on or before the tenth day of the succeeding month in the prescribed format.

The Annual Report, portfolio statement and the unaudited financial results will also be displayed on the website of the Mutual Fund [www.peerlessmf.co.in](http://www.peerlessmf.co.in) and Association of Mutual Funds in India [www.amfiindia.com](http://www.amfiindia.com)

**ASBA**

The mutual fund will offer ASBA facility during the NFO of the respective scheme.

ASBA is an application containing an authorization by the investor to block the application money in his specified bank account towards subscription of units offered during the NFO. If an investor is applying through ASBA facility the application money shall be debited from his specified bank a/c only if his/her application is selected for allotment of units.

Please refer to the SAI for more details.



<b>Who can invest</b>	<p>The following persons (subject to, wherever relevant, purchase of unit of Mutual Funds, being permitted under respective constitutions, and relevant statutory regulations) are eligible and may apply for Subscription to the Unit of the Schemes:</p> <ol style="list-style-type: none"> <li>1. Resident adult individuals either singly or jointly (not exceeding three) or on an Anyone or Survivor basis;</li> <li>2. Hindu Undivided Family (HUF) through Karta;</li> <li>3. Minor through parent / legal guardian;</li> <li>4. Partnership Firms;</li> <li>5. Limited Liability Partnerships</li> <li>6. Proprietorship in the name of the sole proprietor;</li> <li>7. Companies, Bodies Corporate, Public Sector Undertakings (PSUs.), Association of Persons (AOP) or Bodies of Individuals (BOI) and societies registered under the Societies Registration Act, 1860 (so long as the purchase of Unit is permitted under the respective constitutions);</li> <li>8. Banks (including Co-operative Banks and Regional Rural Banks) and Financial Institutions;</li> <li>9. Religious and Charitable Trusts, Wakfs or endowments of private trusts (subject to receipt of necessary approvals as "Public Securities" as required) and Private trusts authorised to invest in mutual fund schemes under their trust deeds;</li> <li>10. Non-Resident Indians (NRIs) / Persons of Indian origin (PIOs) residing abroad on repatriation basis or on non-repatriation basis;</li> <li>11. Foreign Institutional Investors (FIIs) and their sub-accounts registered with SEBI on repatriation basis;</li> <li>12. Army, Air Force, Navy and other para-military units and bodies created by such institutions;</li> <li>13. Scientific and Industrial Research Organizations;</li> <li>14. Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / RBI</li> <li>15. Provident/ Pension/ Gratuity Fund to the extent they are permitted;</li> <li>16. Qualified Foreign Investors (QFI) on repatriation basis;</li> <li>17. Foreign Portfolio Investors (FPI) registered with SEBI on repatriation basis.</li> <li>18. Other schemes of Peerless Mutual Fund or any other mutual fund subject to the conditions and limits prescribed by SEBI Regulations;</li> <li>19. Trustee, AMC or Sponsor or their associates may subscribe to Units under the Scheme(s)</li> <li>20. Such other person as maybe decided by the AMC from time to time.</li> </ol> <p>Note: Minor Unit holder on becoming major shall submit application form along with prescribed documents to the AMC/Registrar to change the status from minor to major. On the day the minor attains the age of majority, the folio of minor shall be frozen for operation by the guardian and any transactions (including redemption) will not be permitted till the documents to change the status are not received by AMC /RTA.</p> <p>Prospective investors are advised to satisfy themselves that they are not prohibited by any law governing such entity and any Indian law from investing in the Scheme(s) and are authorized to purchase units of mutual funds as per their respective constitutions, charter documents, corporate / other authorizations and relevant statutory provisions.</p>
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## How is Peerless Midcap Fund different from the existing open ended equity schemes of Peerless Mutual Fund

Name of existing Scheme	Investment Objective / Primary Investment Pattern		
<b>Peerless Equity Fund</b>	To generate long term capital appreciation by investing in an actively managed portfolio predominantly consisting of Equity & equity related securities diversified over various sectors.		
	<b>Asset Allocation Pattern</b>		<b>Differentiation</b>
	<b>Type of Instruments</b>	<b>% of Net Assets</b>	
		Minimum	Maximum
	Equity & equity related instruments (including derivative instruments)	80%	100%
	Debt & money market instruments (including derivative instruments)	0%	20%
<b>Scheme</b>	Asset under Management as on 30th September 2015 (Rs. Crores)		No. of folios as on 30th September, 2015
<b>Peerless Equity Fund</b>	137.42		12,508

Please Note: All future communication in connection with this application should be addressed to the Registrar at the address given in this form, quoting full name of the applicant, the application serial number, amount invested, date and the place of the AMC / collection centre where the application was lodged / submitted.

## ALWAYS CONNECTED

<b>Web site</b> <a href="http://www.peerlessmf.co.in">www.peerlessmf.co.in</a>	<b>Toll Free : 1800 103 8999</b> <b>Non Toll Free : 022 61779922</b>	<b>connect@peerlessmf.co.in</b>
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"Facility of Multilingual Account Statement & Helpline (Toll Free : 1800 103 8999) in English, Bengali & Malayalam".

PEERLESS FUNDS MANAGEMENT CO. LTD.		
<b>Registered Office:</b> Peerless Funds Management Co. Ltd. Peerless Mansion, 3rd Floor, 1, Chowringhee Square, Kolkata 700069 Tel. 033 40185000, Fax. 033 40185010.	<b>Customer Service Cell:</b> <b>Peerless Funds Management Co. Ltd.</b> 102, Centrepoint, 1st Floor, J.B. Nagar, Andheri-Kurla Road, Andheri (East), Mumbai- 400 059 Toll Free: 1800 103 8999. Non Toll Free. 022 6177 9922, Email: <a href="mailto:connect@peerlessmf.co.in">connect@peerlessmf.co.in</a>	<b>Registrar &amp; Transfer Agent:</b> Karvy Computershare Pvt. Ltd., (Unit: Peerless Mutual Fund), KARVY SELENIUM, Plot number 31 & 32, Tower B, Survey No. 115/22, 115/24 & 115/25, Financial District, Gachibowli, Nanakramguda, Serlingampally Mandal, Hyderabad - 500032 Telangana Tel: 91 40 33215121 / 5122 / 5123 Webs: <a href="https://www.karvymfs.com">https://www.karvymfs.com</a>

## OUR BRANCHES

**EAST :** Agartala 0381 2380718, Balasore 06782-240553, Bankura 03242 255288, Bhubaneswar 0674 2573 448, Contai 03220-289444, Ghatal 03225-256888, Durgapur: 0343 2543031, Guwahati 0361 2450888, Kolkata 033-40185000, Serampore 033-26520261 Siliguri 0353 2432001,

**SOUTH:** Bengaluru 080-32211013, Calicut 0495-2301521, Chennai 044 2825 1447/1441, Coimbatore 0422-2540038, Hyderabad 040-27617126, Kannur 0497-2713635, Thrissur 0487-2327588, Vishakapatnam 0891-2712416

**NORTH :** Jaipur 0141-2209910 Kanpur 0512- 2302150, Lucknow 9889335386, New Delhi 011 23721573/74

**WEST:** Mumbai 022-61779999, Pune 020-26053737



**Web site** [www.peerlessmf.co.in](http://www.peerlessmf.co.in)



**Toll Free : 1800 103 8999**  
**Non Toll Free : 022 61779922**



**connect@peerlessmf.co.in**

**PEERLESS MIDCAP FUND**  
(An Open Ended Equity Scheme)  
**APPLICATION FORM**

**Peerless**  
**MUTUAL FUND**

Please refer to the instructions while filling the Application Form. Tick ☒ whichever is applicable.

<b>1</b>	<b>ARN CODE</b>	<b>Employee Unique Identification Number (EUIIN)*</b>	<b>SUB-BROKER CODE / AGENT CODE</b>	<b>DATE &amp; TIME OF RECEIPT</b>
	<b>ARN-0018</b>			FOR OFFICE USE ONLY
<p><small>*I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".</small></p>				
<p style="text-align: center;">Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder      2nd Applicant/Authorised Signatory/POA Holder      3rd Applicant/Authorised Signatory/POA Holder</p>				
<b>2</b>	<b>TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (Please tick any one of the below)</b>			
<p> <input type="checkbox"/> I confirm that I am a First Time Investor in Mutual Funds (Rs. 150/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more)      OR      <input type="checkbox"/> I confirm that I am an Existing Investor in Mutual Funds (Rs. 100/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more)         </p> <p><small>In case the purchase/subscription amount is Rs.10,000/- or more and your AMFI Registered Distributor has chosen 'opt in' option of charging Transaction Charges to their investor, the same are deductible as applicable from the purchase/subscription amount and payable to the distributor, Units will be issued against the balance amount invested.(refer General Information Point No. 11)</small></p>				
<b>3</b>	<b>EXISTING INVESTOR INFORMATION (If you have existing folio please fill in sections 3,6,9,11,12 and 17 )</b>			
<p> <b>Unit Holding Options</b>      <input type="checkbox"/> Demat Mode      <input type="checkbox"/> Physical Mode      <b>Folio Number</b> </p>				
<b>4</b>	<b>DEMAT ACCOUNT DETAILS (Please ensure that the sequence of names as mentioned in the application form matches with that, of the account held in depository participant. Demat Account details are compulsory, if demat mode is opted above.)</b>			
<p> <input type="checkbox"/> NSDL      Depository Participant Name      Enclosures         </p> <p> <input type="checkbox"/> CDSL      DP ID Number      <input type="checkbox"/> Client Master List      <input type="checkbox"/> Delivery Instruction Slip         </p> <p>                                  Beneficiary Account Number      <input type="checkbox"/> Transaction Cum Holding Statement         </p>				
<b>5</b>	<b>NEW INVESTOR INFORMATION (To be filled in Block Letters, please leave one box blank between two words)</b>			
<p><b>NAME OF FIRST/SOLE APPLICANT</b>      <input type="checkbox"/> Mr.    <input type="checkbox"/> Ms.    <input type="checkbox"/> M/s.</p>				
<p>PAN/PERN #      <input type="checkbox"/> KYC Proof #      Date of Birth/Date of Incorporation    D   D   M   M   Y   Y</p>				
<p><b>Father's Name/Name of Guardian (in case of Minor) / Contact Person (in case of non individual applicant)</b>    <input type="checkbox"/> Mr.    <input type="checkbox"/> Ms.</p>				
<p>PAN/PERN #      <input type="checkbox"/> KYC Proof #      Relationship with Minor/Designation      <b>MANDATORY</b></p>				
<p><b>Mailing Address of First/Sole Applicant (PO Box address is not sufficient)</b></p>				
<p>City      State      Country      Pin Code</p>				
<p><small>Overseas Address (Mandatory in case of NRI/FII. PO Box address is not sufficient. Investors residing overseas and with PO Box address please provide your Indian address) *All Non Individual Investors have to mandatorily fill UBO Declaration form"</small></p>				
<b>6</b>	<b>FIRST/SOLE APPLICANT OTHER DETAILS</b>			
<p><b>Telephone</b>      <b>Mobile</b></p>				
<p><b>Email</b>      Mode of Holding      <input type="checkbox"/> Single    <input type="checkbox"/> Joint    <input type="checkbox"/> Anyone or Survivor (s)(Default option in case of more than one Applicant)</p>				
<p>Occupation (of first/sole Applicant)      <input type="checkbox"/> Business    <input type="checkbox"/> Professional    <input type="checkbox"/> House Wife    <input type="checkbox"/> Agriculture    <input type="checkbox"/> Service    <input type="checkbox"/> Student    <input type="checkbox"/> Retired    <input type="checkbox"/> Others</p>				
<p>Status (of first/sole Applicant)      <input type="checkbox"/> Resident Individual    <input type="checkbox"/> Sole Proprietorship    <input type="checkbox"/> Society/Club Company    <input type="checkbox"/> NRI    <input type="checkbox"/> Repatriable    <input type="checkbox"/> Trust    <input type="checkbox"/> HUF</p>				
<p><input type="checkbox"/> Partnership Firm    <input type="checkbox"/> On Behalf of Minor    <input type="checkbox"/> Bank/Financial Institution    <input type="checkbox"/> NRI    <input type="checkbox"/> Non-Repatriable (NRO)    <input type="checkbox"/> Others</p>				
<p>Gross Annual Income      <input type="checkbox"/> Below 1 Lac    <input type="checkbox"/> 5 - 10 Lacs    <input type="checkbox"/> &gt;25 Lacs - 1 Crore      Net-worth      (Mandatory for Non-Individuals) Rs. _____ as on (Not older than 1 year)    D   D   M   M   Y   Y</p>				
<p><input type="checkbox"/> 1 - 5 Lacs    <input type="checkbox"/> 10 - 25 Lacs    <input type="checkbox"/> &gt;1 Crore</p>				
<p>Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)    <input type="checkbox"/> I am PEP    <input type="checkbox"/> I am Related to PEP    <input type="checkbox"/> Not Applicable</p>				
<p>Non - Individual Investors involved/ providing any of the mentioned services      <input type="checkbox"/> Foreign Exchange / Money Changer Services    <input type="checkbox"/> Money Lending / Pawning</p>				
<p><input type="checkbox"/> Gaming / Gambling / Lottery / Casino Services    <input type="checkbox"/> None of the Above</p>				

# Please attach proof. Refer instructions page point XI - PAN/PERN and KYC

**Acknowledgement Slip (To be filled in by the investor)**

**Peerless**  
**MUTUAL FUND**

<p>Received from Mr./Ms./M/s. _____</p> <p>An application for Scheme: Peerless Midcap Fund      Plan: _____      Option: _____</p> <p>Cheque/DD No. : _____      Dated : _____      Amount (Rs.) _____</p> <p>Drawn on Bank and Branch : _____</p> <p>Please note : All Purchases are subject to realisation of Cheques/DD.</p>	<p><b>Collection Centre's Stamp &amp; Receipt</b> Date and Time</p>
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Web site [www.peerlessmf.co.in](http://www.peerlessmf.co.in)



Toll Free : 1800 103 8999  
Non Toll Free : 022 61779922



[connect@peerlessmf.co.in](mailto:connect@peerlessmf.co.in)

**7 JOINT APPLICANT DETAILS**

**a NAME OF SECOND APPLICANT** ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN #  ☐ KYC Proof #  Date of Birth/Date of Incorporation  D  D  M  M  Y  Y

Gross Annual Income ☐ Below 1 Lac ☐ 5 - 10 Lacs ☐ >25 Lacs - 1 Crore ☐ Politically Exposed Person (PEP) Status ☐ I am PEP  
☐ 1 - 5 Lacs ☐ 10 - 25 Lacs ☐ >1 Crore ☐ I am Related to PEP ☐ Not Applicable  
(Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

**Father's Name/Name of Guardian** (in case of Minor) / **Contact Person** (in case of non individual applicant) ☐ Mr. ☐ Ms.

**Occupation** (of first/sole Applicant) ☐ Business ☐ Professional ☐ House Wife ☐ Agriculture ☐ Service ☐ Student ☐ Retired ☐ Others

**b NAME OF THIRD APPLICANT** ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN #  ☐ KYC Proof #  Date of Birth/Date of Incorporation  D  D  M  M  Y  Y

Gross Annual Income ☐ Below 1 Lac ☐ 5 - 10 Lacs ☐ >25 Lacs - 1 Crore ☐ Politically Exposed Person (PEP) Status ☐ I am PEP ☐ I am Related to PEP  
☐ 1 - 5 Lacs ☐ 10 - 25 Lacs ☐ >1 Crore ☐ Not Applicable  
(Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

**Father's Name/Name of Guardian** (in case of Minor) / **Contact Person** (in case of non individual applicant) ☐ Mr. ☐ Ms.

**Occupation** (of first/sole Applicant) ☐ Business ☐ Professional ☐ House Wife ☐ Agriculture ☐ Service ☐ Student ☐ Retired ☐ Others

**8 Power of Attorney (POA)**

**NAME OF POA** ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN #  ☐ KYC Proof #  Date of Birth  D  D  M  M  Y  Y

**9 \*FATCA INFORMATION/ FOREIGN TAX LAWS** (for Individual including Sole Proprietor) (Self Certification) (Refer instruction) (For Non-individual mandatory to fill up UBO form)

The below information is required for all applicant(s)/ guardian

**Address Type:** ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office  
(Address of tax residence would be taken as available in KRA database. In case of any changes please approach KRA & notify the changes)

**Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? (to be filled mandatorily)** ☐ Yes ☐ No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (Including Minor)	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency 1 ^			
Tax Identification No *			
Identification Type (TIN or other, please specify)			
Country of Tax Residency 2 ^			
Tax Identification No *			
Identification Type (TIN or other, please specify)			
Country of Tax Residency 3 ^			
Tax Identification No *			
Identification Type (TIN or other, please specify)			

I / We have understood the information requirements of this form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same.

^To also include USA, where the individual is a citizen/green card holder of the USA.

\* In case Tax Identification Number is not available, kindly provide its functional equivalent.

# Please attach proof. Refer instructions page point XI - PAN/PERN and KYC



Web site [www.peerlessmf.co.in](http://www.peerlessmf.co.in)



Toll Free : 1800 103 8999  
 Non Toll Free : 022 61779922



[connect@peerlessmf.co.in](mailto:connect@peerlessmf.co.in)

# PEERLESS MIDCAP FUND - APPLICATION FORM

**Peerless**  
MUTUAL FUND

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\*BANK ACCOUNT DETAILS (Please attach copy of cancelled cheque) For registering Multiple Bank Accounts please fill up "Registration of Multiple Bank Account" Form

Name of the Bank :

Branch:

Account Type (Please ☒ ) ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Account Number :

Branch Address :

City:

Pin:

IFSC Code :

MICR Code :

AMC reserves the right to use any mode of payment deemed appropriate. I/We understand that AMC shall not be responsible if transaction through DC/RTGS/NEFT could not be carried out because of incomplete or incorrect information.

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\*INVESTMENT DETAILS I/We would like to invest in Peerless Midcap Fund :

Scheme :Peerless Midcap Fund

Plan ☐ Regular ☐ Direct

Option ☐ Growth ☐ Dividend

Sub-Option ☐ Dividend Payout ☐ Dividend Reinvestment (default)

In case of any ambiguity / incomplete information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum, Scheme Information Document & Statement of Additional Information

Please see the Plan, Option and Dividend policy details in the SID/KIM before filling in the above details.

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\*PAYMENT DETAILS (In case of DD, please provide us specific declaration)

Mode of Payment ☐ Cheque ☐ DD ☐ Fund Transfer ☐ Others

Please specify

Cheque/DD No.

Date D D M M Y Y Y Y

Gross Amount (Rs)

DD Charges (Rs)

Net Amount (Rs)

Drawn on Bank & Branch

Account Type ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

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SYSTEMATIC INVESTMENT PLAN (SIP) PAYMENT TYPES (Please select any one option)

☐ SIP through Post Dated Cheques (Please fill & submit with this attached form)
☐ SIP through Auto Debit (ECS) (Please fill up enclosed SIP Auto Debit (ECS) Form & submit with this form)

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NOMINATION DETAILS (Please refer to Instructions page, point no VII) In case of existing investor, nomination details mentioned in the below table will replace the existing details registered in the folio

Nomination Required ☐ YES ☐ NO

Nominee Name	Relationship with Nominee	Date of Birth of Minor	Guardian Name (in case Nominee is Minor)	Allocation (%)	Sign of Guardian	Sign of Nominee	Sign of Applicants
							1st App.
							2nd App.
							3rd App.

Please note that if you do not furnish any nomination details, it is deemed to be assumed that you do not wish to nominate anyone.

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HOW DO YOU WISH TO RECEIVE THE DOCUMENT(S) (Please ☒ )

I/We wish to receive the following documents via email in lieu of physical document (s)

I/We wish to receive the Account Statement in ( any one)

☐ Annual Reports ☐ Account Statement ☐ Other Statutory Information

☐ English (Default option) ☐ Bengali ☐ Malayalam

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DOCUMENTS ENCLOSED (Please ☒ )

☐ Resolution/Authorisation to invest ☐ List of Authorized Signatories with Specimen Signatures ☐ Memorandum & Articles of Association

☐ Trust Deed ☐ Bye-laws ☐ Partnership Deed ☐ Overseas Auditor Certificate ☐ Notarised POA ☐ Copy of cancelled cheque

☐ Copy of PAN Card ☐ KYC ☐ PIO Card ☐ Foreign Inward Remittance Certificate ☐ Special Product Form (SIP / STP / SWP / AEP)

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\*DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Statement of Additional Information and Scheme Information Document of the Scheme (s). I/We hereby apply for units of the scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme and to other statutory requirements of SEBI/AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objective, investment pattern and risk factors applicable to Plan/Option under the Scheme (s). I/We agree that in case of my/our investment in the scheme is equal to or more than 25% of the corpus of the scheme, then Peerless Funds Management Co. Ltd. has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We undertake that these investments are on my/our own account and in event Know Your Customer process is not completed by me/us to the satisfaction of the Mutual Fund, I/We hereby authorise the Mutual Fund to redeem the funds invested in the scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable law enacted by the Government of India or any Statutory Authority. I/We hereby declare that the particulars above are correct. I/We hereby, further agree that the Fund can directly credit all the dividend and redemption amount to my bank details given above. The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs : I/We confirm that I am/We are Non-resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from my/our Non-resident External/Ordinary Account/FCNFI/NRSR Account.

Sole/1st applicant/Guardian/Authorised Signatory/POA Holder

2nd Applicant/Authorised Signatory/POA Holder

3rd Applicant/Authorised Signatory//POA Holder

All fields marked with \* are mandatory

## CHECKLIST (Please submit the following documents with application wherever applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

Documents	Individual	Companies	Societies	Partnership Firm	Investment through POA	Trust	NRI	Flis
Resolution/Authorisation to invest		✓	✓	✓		✓		✓
List of Authorised Signatories with Specimen Signatures		✓	✓	✓	✓	✓		✓
Memorandum & Articles of Association		✓						
Trust Deed						✓		
Bye-laws			✓					
Partnership Deed				✓				
Notarised POA					✓			
PAN/PERN Proof	✓	✓	✓	✓	✓	✓	✓	✓
KYC in case of Investment of any Amount	✓	✓	✓	✓	✓	✓	✓	✓
Foreign Inward Remittance Certificate		✓	✓		✓	✓	✓	✓
Copy of Cancelled Cheque	✓	✓	✓	✓	✓	✓	✓	✓
Ultimate Beneficial Ownership (UBO)		✓	✓	✓	✓	✓		✓

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## I. GENERAL INFORMATION

- Please read the Statement of Additional Information, Scheme Information Document (SID) and Key Information Memorandum (KIM) carefully before investing. All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- Application Form should be filled legibly in English in BLOCK LETTERS so as to avoid errors in your application processing.
- Correction/Cancellation of any of the mandatory information should be counter signed by the investor. Please strike out any section which is not applicable. Refer to the checklist to ensure that the requisite details and documents are provided in order to avoid unnecessary delays and/or rejection of your application.
- The Folio No. the Scheme name and the name of the applicant should be mentioned on the reverse side of the instrument that accompanies the application.
- Application Form incomplete in any respect or not accompanied by a Cheque/Demand Draft are liable to be rejected and the money paid will be refunded without interest.
- All communications and payments shall be made to the First Applicant only irrespective of the holding basis.
- The right to accept or reject any application in the whole or in part lies with the Peerless Funds Management Co. Ltd. (AMC)/Peerless Trust management Co. Ltd. (Trustee)/Peerless Mutual Fund.
- The investors who wish to avail Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP) facility must use separate Common Transaction Slips available in any of the Peerless Mutual Fund - Customer Service Centre's.
- The application complete in all respects along with the cheque /fund transfer instructions must be submitted to the nearest designated Investor Service Centre/Collection Centre.
- In case of non-liquid schemes units allotment are subject to realization of cheques & DD's if amount is Rs.2 lac and above.
- Transaction Charges: In accordance with SEBI circular No. Cir/IMD/DF/13/2011 dated August 22, 2011, Peerless Funds Management Co. Ltd. (AMC)/Peerless Mutual Fund will deduct Transaction Charges from the purchase/ subscription amount received from the investors investing through a valid AMFI Registered Distributor (provided the Distributor has opted to receive the Transaction Charges). Transaction Charge of Rs. 150/- (for a first time investor across mutual funds) or Rs. 100/- (for existing investor across mutual funds) per purchase/subscription of Rs. 10,000/- and above are deductible from the purchase/subscription amount and payable to the Distributor. The balance amount shall be invested. Transaction Charges in case of investments through SIP are deductible only of the total commitment of investment (i.e.) amount per SIP installment multiplied by No. of installments amounts to Rs. 10,000/- or more, in such cases Transaction Charges shall be deducted in 3-4 installments. Transaction Charges shall not be deducted a) where the distributor of the investor has not opted to receive any Transaction Charges, b) for purchases/subscription /total commitment amount in case of SIP of an amount less than Rs. 10,000/-, c) for transactions other than purchases/subscriptions relating to new inflows i.e. through Switches/Systematic Transfers/ Dividend Transfers/Dividend Reinvestment etc. and d) For purchases/subscriptions made directly with the Fund (i.e. not through any Distributor) e) for purchases/subscriptions routed through Stock Exchange(s). First/Sole Applicant/Guardian should indicate whether he is a first time investor across Mutual Funds or an existing investor in the appropriate box provided for this purpose. AMC/Mutual Fund will endeavour to identify the investor as first time or existing based on Permanent Account No.(PAN) at the First/Sole/Applicant/Guardian level. If the PAN details are available then First/Sole applicant/Guardian will be treated as existing investor else first time. However if an investor has not ticked any option, he will be considered as an existing investor.

## II. APPLICANT INFORMATION

- Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account or as it appears in the incorporation document as the case may be.
- Guardian name and date of birth of the Minor is mandatory for investment on behalf of Minor applicant. Age proof of minor is mandatory. Upon attaining majority a minor has to write to the fund giving his specimen signature duly authenticated by his banker as well as his new bank mandate, PAN details, KYC in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his own right.
- Name of the Contact Person, Email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
- Applicant should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor".
- In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/FIIs should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.
- To help us service you better please provide email Id and mobile number.
- In case of Minor Investor proper third party declaration should be submitted by donor.

## III. BANK DETAILS

Applicants are requested to mention the bank account, since the same is mandatory as per the directives issued by SEBI. Application without this information will be deemed to be incomplete and are liable for rejection. Investor should submit a copy of a cancelled cheque to verify details.

## IV. INVESTMENT DETAILS

Investors should indicate the Plan/Option for which the application is made. In case Investors wish to choose both the Options, separate Application form will have to be filled. In case applications are received where Plan/Option/ Sub-option/Dividend frequency is not selected the default options will be considered.

## V. PAYMENTS

- The Fund name is Peerless Midcap Fund.
- Resident investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/Karvy ISC's.
- The cheque should be drawn in favour of "Scheme name" and should be crossed "Account Payee Only".
- The cheque should be drawn on any bank which is situated at and is a member/ sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
- The following mode of payments are not valid and applications accompanied by such payments are liable to be rejected: i) Money Order/Post Order, ii) Postdated Cheques iii) Third Party Cheques without third party declaration.
- Returned cheques will not be presented again for collection and the accompanying application will be rejected.
- Single cheque for investments in multiple schemes will not be accepted.
- In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), contact the nearest AMC/Karvy ISC for the Bank Account Number to which the purchase/additional purchase amount is to be credited also while submission of a purchase form please provide the bank acknowledgement copy along with purchase application.
- If the scheme name on the application form and cheque is different, then the units will be allotted as per the scheme name mentioned on the application.
- NRI/FII's Repatriation basis: - Payments by NRIs/FIIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located. Non-Repatriation basis:- NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.

## VI. PAYMENT OF REDEMPTION /DIVIDEND

Investors are requested to provide the following details of bank account i.e. Name of the bank, branch address, account type and account no., the11 digit IFSC Code (Indian Financial System) in the application form. Based on these information AMC will enable secure transfer of your redemption and

dividend payouts via the various electronic mode of transfers (RTGS/NEFT/ Direct Credit mode that are available in the banking system). We are currently offering Direct Credit & facility with HDFC Bank Ltd. If Investor is having bank account with HDFC bank, he/she will receive Redemption/Dividend proceeds via Direct Credit only to their respective bank accounts. The Mutual Fund, however, reserves the right to issue a Cheque/Demand Draft to unit holders residing at locations where this facility is not available. If the remittance is delayed or not affected for reasons of incomplete or incorrect information, AMC cannot be held responsible.

## VII. NOMINATION DETAILS

- Nomination shall be mandatory for new folios/accounts opened by individuals especially with sole holding and no new folios/accounts for individuals in single holding will be opened without nomination. Applicants applying for Units singly/jointly can make a nomination at the time of initial investment or during subsequent investments.
- The nomination can be made only by individuals applying for /holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will have to sign the nomination form.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
- Nomination in respect of the units stands rescinded upon the redemption/transfer/transmission of units.
- Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
- Investor can nominate maximum three nominees.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
- If you do not furnish any nomination details, it is deemed to be assumed that you do not wish to nominate anyone.
- Nomination will not be allowed in a folio held on behalf of a minor.

## VIII. DECLARATION AND SIGNATURES

- Signatures can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF. Similarly, for the Association of Persons (AOP) the application must be signed by the Authorised Signatory. Applications on behalf of minors should be signed by their Guardian.
- If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a notarised photocopy of the POA. Alternatively, the original POA can be submitted, which will be returned after verification. The signature of the investor and the POA holder has to be clearly captured in the POA document to be accepted as a valid document.
- In case of corporate or any non-individual investors, a list of authorised signatories should be submitted along with the application form.

## IX. EMAIL COMMUNICATION

Account Statements/Newsletters/Annual Reports/Other statutory information (as may be permitted under SEBI (Mutual Funds) Regulations, 1996) can be sent to each unit holders by courier/post-e-mail. Unit holders who have opted to receive these documents by email will be sent all documents by email only and no physical documents will be sent. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder may request the fund for delivery of the same through alternate means. It is deemed that the Unit holder is aware of the security risks including possible third party interception of the documents.

## X. E-ALERTS

This facility enables the Unit holder to receive SMS confirmation for purchase, redemption, switch, dividend declaration. DIRECT PLAN

In compliance with SEBI circular no.CIR/IMD/DF/21/2012 the 'Direct Plans' have been introduced in all the eligible schemes of Peerless Mutual Fund along with the 'Regular Plan', effective January 01, 2013. The Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor. All Plans / Options / Sub-Options offered under the Schemes of Existing Plan will also be available for subscription under the "Direct Plan". For details please refer to the table on Scheme options. Accordingly, investors subscribing under Direct Plan of XYZ Equity Fund will have to indicate the Scheme / Plan name in the application form as "XYZ Equity Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column. In case Distributor code is mentioned on the application form, the Distributor code will be ignored and no commission will be paid to the distributor.

Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

## XI. ANTI MONEY LAUNDERING (AML) REGULATIONS

Details for compliance with Anti Money Laundering (AML) regulations Prevention of Money Laundering Act, the SEBI Circulars on Anti Money Laundering and the Client Identification implementation procedures prescribed by AMFI inter alia require the AMC to verify the records of identity and address(es) of investors. To ensure adherence to these requirements, investors are required to approach Points of Service (POS) (list of POS available on [www.amfiindia.com](http://www.amfiindia.com)) appointed by any of the KYC Registration Agency and submit documents for completion of appropriate KYC checks. The Mutual Fund website also prescribes the list of documents that can be submitted by investors to the POS to get their KYC checks completed. It is mandatory for all investors (including joint holders, NRIs, POA holders and guardians in the case of minors) to furnish such documents and information as may be required to comply with the Know Your Customers (KYC) policies under the AML Laws. Applications without such documents and information may be rejected. In terms of SEBI circulars dated April 27, 2007, April 03, 2008 and June 30, 2008 read with SEBI letter dated June 25, 2007, Permanent Account Number (PAN) would be the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, except (a) investors residing in the state of Sikkim; (b) Central Government, State Government, and the officials appointed by the courts e.g. Official liquidator, Court receiver etc. (under the category of Government) and (c) investors participating only in micro-pension. SEBI, in its subsequent letters dated June 19, 2009 and July 24, 2012 has conveyed that Systematic Investment Plans (SIP) and lumpsum investments (both put together) per mutual fund up to Rs.50,000/- per year per investor shall be exempted from the requirement of PAN. Accordingly, investments in Peerless Mutual Fund (including SIP investment where the aggregate of SIP installments in a rolling 12 months period or in a financial year i.e April to March) of upto Rs 50,000/per investor per year shall be exempt from the requirement of PAN. However, eligible investors (including joint holders) should comply with the KYC requirement through registered KRA by submitting photo identification documents as proof of identification and the Proof of Address [self-attested by the investor / attested by the ARN Holder/AMFI distributor]. These exempted investors will have to quote the "PERN (PAN exempt KYC Ref No) in the application form. This exemption of PAN will be applicable only to investments by individuals (including NRIs but not PIOs), joint holders, Minors and Sole proprietary firms. PIOs, HUFs and other categories of investors will not be eligible for this exemption. Thus, submission of PAN is mandatory for all other investors existing as well as prospective investors (except the ones mentioned above) (including all joint applicants/holders, guardians in case of minors, POA holders and NRIs but except for the categories



# INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

mentioned above) for investing with mutual funds from this date. Investors are required to register their PAN with the Mutual Fund by providing the PAN card copy (along with the original for verification which will be returned across the counter). The procedure implemented by the AMC and the decisions taken by the AMC in this regard shall be deemed final. After completion of KYC compliance, investors need to approach KRA for Change of Address and not Registrar (KARVY). In respect of KYC compliant Folio, prospective Change of Address received along with transaction slip will not be processed by Registrar (KARVY). The AMC reserves the right to reject subscription requests in the absence of appropriate compliance with the AML Laws. In line with SEBI circular No. MIRSD/Cir-5/2012 dated April 13, 2012 and various other guidelines issued by SEBI on the procedural matters for KYC Compliances, the following additional provisions are applicable effective December 1, 2012:

1. All investors who have opened accounts with the Intermediaries on or after 1 January 2012 have been complying with the revised KYC norms with one of the KYC Registration Agencies (KRA). MF investors who had already complied with the KYC-KRA requirements post 1 January 2012, need not undergo any changes.
2. MF investors who had complied with KYC requirements with CVL, prior to 1 January 2012, and wanting to make fresh investments / SIPs with a different MF, will have to submit the revised KRA form with all the relevant documents.
3. MF investors who had complied with KYC requirements with CVL, prior to 1 January 2012, and wanting to make additional investment in the same MF (where they hold investments), with no further requirement for KRA compliance.
4. The above will be applicable for both Individuals and Non-individuals.

## XII LIST OF SCHEMES

PEERLESS MIDCAP FUND - REGULAR PLAN - GROWTH OPTION	PEERLESS MIDCAP FUND - DIRECT PLAN - GROWTH OPTION
PEERLESS MIDCAP FUND - REGULAR PLAN - DIVIDEND OPTION	PEERLESS MIDCAP FUND - DIRECT PLAN - DIVIDEND OPTION

NAME OF THE SCHEME	PLAN	OPTIONS & SUB-OPTIONS	DEFAULT OPTION UNDER THE PLAN	DEFAULT DIVIDEND OPTION
PEERLESS MIDCAP FUND FUND	REGULAR & DIRECT	GROWTH, DIVIDEND (DIVIDEND PAYOUT & DIVIDEND REINVESTMENT)	GROWTH	REINVESTMENT

Dividend is subject to availability of distributable surplus

NAME OF THE SCHEMES	PLAN	MINIMUM APPLICATION AMOUNT	MINIMUM SIP AMOUNT
PEERLESS MIDCAP FUND	REGULAR & DIRECT	Rs.1000 AND IN MULTIPLES OF Rs.1/- THEREAFTER	FORTNIGHTLY OPTION (RS. 500/- AND IN MULTIPLES OF RS. 100/- THEREAFTER), MONTHLY OPTION (RS. 500 /- AND IN MULTIPLES OF RS. 100/- THEREAFTER), QUARTERLY OPTION (RS. 1000 /- AND IN MULTIPLES OF RS. 100/- THEREAFTER) AND HALF YEARLY OPTION (RS. 2000/- IN MULTIPLES OF RS. 100/- THEREAFTER.)

## XIII EUIN No.

Investments through distributors

As per directions of Securities and Exchange Board of India (SEBI), the distributors, agents or any persons employed or engaged or to be employed or engaged in the sale and/or distribution of mutual fund products are required to have a valid certification from the National Institute of Securities Markets (NISM) by passing the certification examination. Further, no agents / distributors are entitled to sell units of mutual funds unless the intermediary is registered with Association of Mutual Funds in India (AMFI).

Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/ sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form. Overseas Distributors: Overseas Distributors are exempt from obtaining NISM certification and

AMFI registration. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI /SEBI from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.

Direct Investments: Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. In case of valid application received without indicating "Direct Plan" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plan"

## XIV UNITHOLDERS' INFORMATION

1. Account Statement:

- An allotment confirmation specifying the units allotted shall be sent by way of email and/ or SMS within 5 Business Days of receipt of valid application to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement (CAS)

containing details relating to all the transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month shall be sent to the Unit holder in whose folio transactions have taken place during that month, on or before 10th of the succeeding month.

- In case of a specific request received from the Unit holders, the AMC/Fund will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request.
- Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/email on or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical form.
- The holding(s) of the beneficiary account holder for units held in demat mode will be shown in the statement issued by respective Depository Participants (DPs) periodically. For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

## 2. Annual Financial Results:

The Scheme wise annual report or an abridged summary thereof shall be sent:

(i) by e-mail only to the Unit holders whose e-mail address is available with the Fund, (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted / requested for the same. The scheme-wise annual report or an abridged summary shall be sent by mail/e-mail not later than four months from the date of closure of the relevant accounting year (i.e. 31st March each year). The physical copy of the scheme-wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

## 3. Half yearly Disclosures:

Portfolio / Unaudited Financial Results (This is a list of securities where the corpus of the scheme

is currently invested. The market value of these investments is also stated in portfolio disclosures) The Mutual Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on their website and shall publish an advertisement disclosing the hosting of such financial results on their website, in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated. The mutual fund may opt to send the complete statement of its scheme portfolio to all unit holders in lieu of the advertisement .

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**SIP AUTO DEBIT FORM / ECS FORM**

New Investors are requested to fill in the NFO Application form. First SIP Cheque and subsequent via Auto Debit in selected cities only.

<b>1</b>	<b>ARN CODE</b> <div style="border: 1px solid black; padding: 5px; text-align: center; font-weight: bold;">ARN-0018</div>	<b>Employee Unique Identification Number (EUIIN)*</b> 	<b>SUB-BROKER CODE / AGENT CODE</b> 	<b>DATE &amp; TIME OF RECEIPT</b> <div style="text-align: center; font-size: small;">FOR OFFICE USE ONLY</div>																																																
* I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".																																																				
Sole/1 st applicant/Guardian/Authorised Signatory/POA Holder      2nd Applicant/Authorised Signatory/POA Holder      3rd Applicant/Authorised Signatory/POA Holder																																																				
<b>2</b>	<b>REGISTRATION CUM MANDATE FORM FOR SIP THROUGH AUTO DEBIT OR ECS (Debit Clearing/Auto Debit)</b> (Please <input checked="" type="checkbox"/> ) <input type="checkbox"/> New Registration <input type="checkbox"/> Renewal of SIP <input type="checkbox"/> Change in Bank Details <input type="checkbox"/> Cancellation of SIP <input type="checkbox"/> Micro SIP																																																			
<b>3</b>	<b>TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (Please tick any one of the below)</b> <input type="checkbox"/> I confirm that I am a First Time Investor in Mutual Funds (Rs. 150/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more)      OR <input type="checkbox"/> I confirm that I am an Existing Investor in Mutual Funds (Rs. 100/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more) If the total commitment of investment through SIP (i.e. installments) amounts to Rs. 10,000/- or more and your AMFI registered Distributor has chosen 'opt in' option of charging transaction charge, the same are deductible as applicable (refer instruction point no 11 under general information) from the installment amount and paid to the distributor. Transaction charges will be recoverable in 3 to 4 installments. Units will be issued against the balance amount invested.																																																			
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<b>5</b>	<b>SIP DETAILS (Please tick on any 1 SIP frequency only. In case the SIP frequency opted for is either Monthly, Quarterly or Half Yearly, please tick on any 1 SIP date only)</b> <b>Each SIP Amount (Rs) :</b> <b>First SIP Cheque No. :</b> <b>Cheque Amount (Rs) :</b> <b>Cheque Date :</b> <table style="width:100%; font-size: x-small;"> <tr> <td style="width:15%;"> <b>Frequency</b>  <input type="checkbox"/> Fortnightly  <input type="checkbox"/> Monthly  <input type="checkbox"/> Quarterly  <input type="checkbox"/> Half Yearly                         </td> <td style="width:15%;"> <input type="checkbox"/> Every Alternate Wednesday  <input type="checkbox"/> 1st    <input type="checkbox"/> 7th    <input type="checkbox"/> 10th    <input type="checkbox"/> 15th    <input type="checkbox"/> 20th    <input type="checkbox"/> 25th                         </td> <td style="width:15%;"> <b>SIP Period</b>  <input type="checkbox"/> Regular      <input type="checkbox"/> Perpetual                         </td> <td style="width:55%;"> <table style="width:100%;"> <tr> <td style="width:10%;">Start Date</td> <td style="width:10%;">M</td> <td style="width:10%;">M</td> <td style="width:10%;">Y</td> <td style="width:10%;">Y</td> <td style="width:10%;">End Date</td> <td style="width:10%;">M</td> <td style="width:10%;">M</td> <td style="width:10%;">Y</td> <td style="width:10%;">Y</td> </tr> </table> </td> </tr> </table> SIP Date should be either 1st / 7th / 10th / 15th / 20th / 25th (Note : Cheque should be drawn on bank details provided below. Please allow minimum one month for Auto Debit to register and start). Each of the SIP installment excluding initial cheque should be of the same amount & there should be a gap of 30 days between 1st & 2nd SIP installment. Please refer Terms and Conditions For SIP, STP, SWP, AEP I/We hereby, authorise Peerless Mutual Fund and their authorised service providers, to debit my/our following bank account ECS (Debit Clearing)/Auto Debit to account for collection of SIP Payment				<b>Frequency</b> <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly	<input type="checkbox"/> Every Alternate Wednesday <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 20th <input type="checkbox"/> 25th	<b>SIP Period</b> <input type="checkbox"/> Regular <input type="checkbox"/> Perpetual	<table style="width:100%;"> <tr> <td style="width:10%;">Start Date</td> <td style="width:10%;">M</td> <td style="width:10%;">M</td> <td style="width:10%;">Y</td> <td style="width:10%;">Y</td> <td style="width:10%;">End Date</td> <td style="width:10%;">M</td> <td style="width:10%;">M</td> <td style="width:10%;">Y</td> <td style="width:10%;">Y</td> </tr> </table>	Start Date	M	M	Y	Y	End Date	M	M	Y	Y																																		
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Received from Mr./Ms./M/s.	Plan : <input type="checkbox"/> Regular <input type="checkbox"/> Direct	Collection Centre's Stamp & Receipt Date and Time
An application for Scheme : Peerless Midcap Fund	Option : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend	
Amount :      Frequency :      Date of Commencement :		


 Web site [www.peerlessmf.co.in](http://www.peerlessmf.co.in)

 Toll Free : 1800 103 8999  
 Non Toll Free : 022 61779922

[connect@peerlessmf.co.in](mailto:connect@peerlessmf.co.in)

[illegible]

Third Account Holder Signature (As in Bank Records)

Date : DD / MM / YY

## Bank Stamp

First Account Holder Signature (As in Bank Records)	Second Account Holder Signature (As in Bank Records)	Third Account Holder Signature (As in Bank Records)

# PEERLESS MIDCAP FUND

(An Open Ended Equity Scheme)

SWP/STP/AEP & SIP (with post dated cheques)

Peerless

MUTUAL FUND

<b>1</b>	<b>ARN CODE</b> <div style="border: 1px solid black; padding: 2px; text-align: center;"><b>ARN-0018</b></div>	<b>Employee Unique Identification Number (EUIIN)*</b> <div style="border: 1px solid black; height: 20px;"></div>	<b>SUB-BROKER CODE / AGENT CODE</b> <div style="border: 1px solid black; height: 20px;"></div>	<b>DATE &amp; TIME OF RECEIPT</b> <div style="border: 1px solid black; height: 20px;"></div>																																																
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Acknowledgement Slip (To be filled in by the investor)

SIP / SWP / STP / AEP

Peerless  
MUTUAL FUND

Received from Mr./Ms./M/s.

Plan : ☐ Regular ☐ Direct

An application for Scheme : Peerless Midcap Fund

Option : ☐ Growth ☐ Dividend

Collection Centre's Stamp & Receipt  
Date and Time

Amount :

Frequency :

Date of Commencement :



Web site [www.peerlessmf.co.in](http://www.peerlessmf.co.in)



Toll Free : 1800 103 8999  
Non Toll Free : 022 61779922



[connect@peerlessmf.co.in](mailto:connect@peerlessmf.co.in)

<b>5 SYSTEMATIC INVESTMENT PLAN (SIP THROUGH POST DATED CHEQUES)</b> (Investor subscribing to SIP through ECS/Direct Debt must fill up the SIP Auto Debit)																
Name of the Scheme/Plan/Option/Sub Option																
Frequency		<input type="checkbox"/> Fortnightly Every Alternate Wednesday		<input type="checkbox"/> Monthly		<input type="checkbox"/> Quarterly		<input type="checkbox"/> Half Yearly		SIP Period						
SIP Date				<input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 20th <input type="checkbox"/> 25th		SIP from		M M Y Y		SIP to		M M Y Y				
Cheque(s) Details		No. of Cheque(s)		Cheque(s) No.		SIP Amount (in figures)										
Cheque(s) drawn on		Name of Bank & Branch & City														
New Investors are requested to fill in the NFO Application Form too																
<b>6 SYSTEMATIC TRANSFER PLAN (STP)</b> (Please note that the STP will be registered within 7 working days from the date of receipt of request)																
From Scheme			Plan		Option /Sub Option		To Scheme			Plan		Option				
Frequency			<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly		<input type="checkbox"/> Monthly		STP Period									
STP Date			All Business Days		Every Alternate Wednesday		<input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 20th <input type="checkbox"/> 25th		STP from		M M Y Y		STP to		M M Y Y	
Amount Per Installment (Rs)								No of Installments								
<b>7 SYSTEMATIC WITHDRAWAL PLAN (SWP)</b>																
Name of the Scheme/Plan/Option/Sub Option																
Frequency			<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly		SWP from		M M Y Y		SWP to		M M Y Y					
Amount per Withdrawal (Rs)					No of Installments											
Please see the Plans & Options and Dividend policy details in the Scheme Information Document before filling in the above details.																
<b>8 AUTOMATIC ENCASHMENT PLAN (AEP) - Available only for Growth Option</b>																
Name of the Scheme/Plan/Option/Sub Option																
Frequency			<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly		AEP date : 1st Business Day					(Minimum Rs.500/- for AEP option)						
<b>9 DECLARATION AND SIGNATURES</b>																
<p>I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information of the Scheme(s). I/We hereby apply for units of the scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme and to other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objective, investment pattern and risk factors applicable to Plan/Options under the Scheme(s). I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the scheme, then Peerless Funds Management Co Ltd, has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making these investments. I/We undertake that these investments are on my/our own account and in event Know Your Customer process is not completed by me/us to the satisfaction of the Mutual Fund, I/ We hereby authorise the Mutual Fund to redeem the funds invested in the scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that maybe required by the law. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable law enacted by the Government of India or any Statutory Authority. I/We hereby declare that the particulars above are correct. I/We hereby, further agree that the Fund can directly credit all the dividend payouts and redemption amount to my bank details given above NRIs only: I/We confirm that I am/We are Non-resident of Indian Nationality/ Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from my/our Non-resident External/Ordinary Account/FCNR/NRSR Account. The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.</p>																
Sole/1st applicant/Guardian/Authorised Signatory/POA Holder					2nd Applicant/Authorised Signatory/POA Holder					3rd Applicant/Authorised Signatory/POA Holder						





**Terms and Conditions For SIP, STP, SWP, AEP**

1. If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
2. For all transactions including lump sum and SIP, consolidated statements across Mutual Funds on common PAN basis will be sent once a month. The dispatch time will be before 10th of the following month. Dispatches are carried out via post as in the form of inland letters. For transactions without PAN (Micro SIPs) statements are sent by the AMCs independently as and when the transactions are carried out.
3. The time taken to process shall be 3 working days from the date of request for AEP registration.
4. The unit holders can choose to discontinue/cancel the SIP/STP/SWP/AEP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation/cancellation should be received at least 30 working days prior to the next SIP date, 7 working days prior to the next STP date, 15 working days prior to the next SWP date, 3 working days prior to the next AEP date.
5. Peerless AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP/STP/AEP.
6. For mandatory requirements like Bank account details, Know Your Customer (KYC) and required documents please refer KIM/SID.

**Systematic Investment Plan**

1. New investors, who wish to enroll for SIP, should fill the NFO Application Form & SIP Auto Debit/ECS form.
2. Direct Debit/ECS instructions will take minimum 30 days for registration with the bank and hence the first auto debit will be carried out only after 30 days on the SIP date. The AMC reserves the right to modify the SIP registration period.
3. First SIP cheque could be submitted on any business day.
4. Investors want to invest in SIP, can subscribe to this facility subject to the condition that each of the SIP installments should be of the same amount (excluding the 1st installment amount).
5. Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP Application is submitted & crossed "A/c Payee Only".
6. For payments by ECS/Auto Debit mode, investors/unit holders are required to give SIP Auto Debit form to debit their bank accounts at periodic intervals & credit the subscription proceeds to Peerless Mutual Fund Bank Account.
7. For change in bank mandate, the request must be submitted 30 days prior to be SIP dates.
8. Returned/Dishonored Cheque/ECS Rejects will not be presented again for collection. If the first installment cheque is dishonoured, the SIP processing/registration will be carried out as per the details given on ECS mandate form.
9. SIP enrollment will be discontinued in case the SIP installment is not honoured consecutively for 3 SIP installments.
10. If investor has not provided the SIP frequency the default SIP frequency would be Monthly. If an investor does not mention SIP start date or the SIP start date is unclear in the application form/SIP Mandate, the SIP date will by default start from the next subsequent month after meeting the minimum registration requirement of 30 working days. If an investor does not mention SIP end date or the SIP end date is not expressly mentioned/ unclear in the application form/SIP Mandate, the tenure of SIP will be treated as perpetual i.e. the end date shall be considered as December 2099. In case an investor, who has opted for Perpetual SIP, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished.
11. The default date will be considered as 7th of the month for each option.
12. Additional SIP: If an investor wants to enroll in another scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP form along with the first cheque (if investment is in the new scheme) & ECS/Auto Debit form.
13. After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed SIP form & ECS Mandate Form.
14. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC compliant while making an application for a subscription of any amount. Thus application for any SIP installment amount without a valid KYC compliance may be rejected.
15. Transaction Charges: In accordance with SEBI circular No. Cir/MD/DF/13/2011 dated August 22, 2011, Peerless Funds Management Co. Ltd. (AMC) /Peerless Mutual Fund will deduct Transaction Charges from the purchase/ subscription amount received from the investors investing through a valid AMFI Registered Distributor (provided the Distributor has opted to receive the Transaction Charges). Transaction Charge of Rs. 150/- (for a first time investor across mutual funds) or Rs. 100/- (for existing investor across mutual funds) per purchase/subscription of Rs. 10,000/- and above are deductible from the purchase/subscription amount and payable to the Distributor. The balance amount shall be invested. Transaction Charges in case of investments through SIP are deductible only of the total commitment of investment (i.e.) amount per SIP installment multiplied by No. of installments amounts to Rs. 10,000/- or more, in such cases Transaction Charges shall be deducted in 3-4 installments. Transaction Charges shall not be deducted a) where the distributor of the investor has not opted to receive any Transaction Charges, b) for purchases/ subscription/total commitment amount in case of SIP of an amount less than Rs. 10,000/-, c) for transactions other than purchases/subscriptions relating to new inflows i.e. through Switches/Systematic Transfers/Dividend Transfers/ Dividend Reinvestment etc. and d) For purchases/subscriptions made directly with the Fund (i.e. not through any Distributor) e) for purchases/subscriptions routed through Stock Exchange (s). First/Sole Applicant/Guardian should indicate whether he is a first time investor across Mutual Funds or an existing investor in the appropriate box provided for this purpose. AMC/Mutual Fund will endeavour to identify the investor as first time or existing based on Permanent Account No. (PAN) at the First/Sole/Applicant/Guardian level. If the PAN details are available then First/Sole applicant/Guardian will be treated as existing investor else first time. However if an investor has not ticked any option, he will be considered as an existing investor.
- 16.

A) List of Cities for Auto Debit facility Via ECS					
Agra	Bijapur	Gangtok	Jammu	Mysore	Sholapur
Ahmedabad	Bikaner	Goa	Jamnagar	Nagpur	Siliguri
Allahabad	Calicut	Gorakhpur	Jamshedpur	Nasik	Surat
Amritsar	Chandigarh	Gulbarga	Jodhpur	Nellor	Thirupur
Anand	Chennai	Guwahati	Kakinada	Patna	Tirunelveli
Asansol	Cochin	Gwalior	Kanpur	Pondicherry	Tirupati
Aurangabad	Coimbatore	Haldia	Kolhapur	Pune	Trichur
Bangalore	Cuttack	Hasan	Kolkata	Raichur	Trichy
Bardhaman	Davangere	Hubli	Kota	Raipur	Trivandrum
Baroda	Dehradun	Hyderabad	Lucknow	Rajkot	Tumkur
Belgaum	Delhi	Imphal	Ludhiana	Ranchi	Udaipur
Bhavnagar	Dhanbad	Indore	Madurai	Salem	Udipi
Bhilwara	Durgapur	Jabalpur	Mandya	Shillong	Varanasi
Bhopal	Erode	Jaipur	Mangalore	Shimla	Vijaywada
Bhubaneswar	Gadag	Jalandhar	Mumbai	Shimoga	Vizag

B) List of Banks applicable for Auto Debits
Allahabad Bank
Axis Bank
Bank of Baroda
Bank of India
Federal Bank
ICICI Bank
IDBI Bank
Indusind Bank
Kotak Mahindra Bank
Punjab National Bank
South Indian Bank
State Bank of India
UCO Bank
Union Bank of India
United Bank of India

17. The cities mentioned above may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reason or prior notice. If any city, bank/branch is removed, SIP (ECS/Auto debit) instructions for investors in such cities, bank branch will be discontinued without prior notice.
18. As per to enable smooth processing of the debits from your Bank A/C towards purchase of SIP units we request you to mention the CBS A/C No. wherever applicable.

**Systematic Transfer Plan / Systematic Withdrawal Plan / Automatic Encashment Plan**

1. All valid requests for STP/SWP/AEP forms from one scheme to the other scheme would be treated as switch-out/redemption & switch-in/purchase at the applicable rate earlier.
2. Minimum Investment Amount in Transfer Out scheme should be as per the respective SID/Addendum.
3. Units marked under Lien, Pledge or Lock-in Period in the Transfer Out Scheme will not be eligible for STP/SWP/AEP.
4. All valid applications will be processed as per the applicable uniform cutoff timings on the working days as mentioned in the respective scheme SID.
5. A Unit holder who has opted for STP/SWP/AEP under a specific Scheme can also redeem or switch his Units to any other eligible Scheme provided he has sufficient balance in his account on the date of such a request.
6. STP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrollment period whichever is earlier.
7. AEP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date.
8. The only option for investors opting for AEP is Growth Option, and the frequency is monthly / quarterly / half yearly.
9. AEP will be on pre-defined dates (i.e. 1st business day of every month/ quarter/half year)
10. Payment under the AEP shall be subject to such appreciation being available for the respective investor on Designated Date.
11. Minimum amount of AEP will be Rs.500/-. If amount is less than Rs.500/-, the AEP facility will automatically be carried forward to the next eligible date.
12. Should there be a depreciation between the two NAV then such transaction will not be considered in computing the sum of minimum amount of Rs 500/- on the designated date

# Details of Ultimate Beneficial Owner Declaration including additional FATCA and CRS information (Mandatory for Non-Individual Applicants / Investor)

**Peerless**  
MUTUAL FUND

## APPLICANT DETAILS

NAME OF THE ENTITY

TYPE OF ADDRESS GIVEN AT KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

"Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes"

CUSTOMER ID / FOLIO NO

PAN

DATE OF INCORPORATION

CITY OF INCORPORATION

COUNTRY OF INCORPORATION

ENTITY CONSTITUTION TYPE

Please tick as appropriate

☐ Partnership Firm ☐ HUF ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP /BOI  
☐ Trust & Liquidator ☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others specify

## PLEASE TICK THE APPLICABLE TAX RESIDENT DECLARATION

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below)

COUNTRY *	TAX IDENTIFICATION NUMBER *	IDENTIFICATION TYPE (TIN or other, please specify)

\* In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

## FATCA & CRS Declaration

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

### PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a,  
Financial Institution ☐  
or  
Direct reporting NFE ☐  
(please tick as appropriate)

GIIN

**Note:** If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of the sponsoring entity

GIIN not available (please tick as applicable) ☐ Applied for  
if the entity is a financial institution, ☐ Not required to apply for - please specify 2 digits sub - category <sup>10</sup>  
☐ Not obtained - Non - participating FI

### PART B (Please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs)

1. Is the Entity a publicly traded company<sup>1</sup> (that is, a company whose shares are regularly traded on an established securities market) Yes ☐ (if yes, please specify any one stock exchange on which the stock is regularly traded)  
Name of stock exchange

2. Is the Entity a related entity<sup>2</sup> of a publicly traded company (a company whose shares are regularly traded on an established securities market) Yes ☐ (if yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)  
Name of listed company   
Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company  
Name of stock exchange

3. Is the Entity an active<sup>3</sup> NFE Yes ☐ (if yes, please fill UBO declaration in the next section)  
Name of Business   
Please specify the sub-category of Active NFE  (Mention code - refer 2c of Part D)

4. Is the Entity a passive<sup>4</sup> NFE Yes ☐ (if yes, please fill UBO declaration in the next section)  
Nature of business

Refer 2a of Part D | <sup>2</sup>Refer 2b of Part D | <sup>3</sup>Refer 2c of Part D | <sup>4</sup>Refer 3(ii) of Part D | <sup>6</sup>Refer 1 of Part D | <sup>7</sup>Refer 3(vii) of Part D | <sup>10</sup>Refer 1A of Part D



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# Ultimate Beneficial Owner Declaration (Mandatory for Non-Individual Applicants / Investor)

**Peerless**  
MUTUAL FUND

**CATEGORY** (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company  
☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust  
☐ Others (Please specify \_\_\_\_\_ )

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s).  
Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E

Name - Beneficial owner / Controlling person Country - Tax Residency * Tax ID No. - Or functional equivalent for each country %	Tax ID Type - TIN or Other, please specify Beneficial Interest - in percentage Type Code <sup>11</sup> - of Controlling person	Address - Include State, Country, PIN, / ZIP Code & Contact Details Address Type
1. Name _____ Country _____ Tax ID No. % _____	Tax ID Type _____ Type Code _____ Address Type <input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	Address _____ _____ _____ ZIP: _____ State: _____ Country: _____
2. Name _____ Country _____ Tax ID No. % _____	Tax ID Type _____ Type Code _____ Address Type <input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	Address _____ _____ _____ ZIP: _____ State: _____ Country: _____
3. Name _____ Country _____ Tax ID No. % _____	Tax ID Type _____ Type Code _____ Address Type <input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	Address _____ _____ _____ ZIP: _____ State: _____ Country: _____

If passive NFE, please provide below additional details.

(Please attach additional sheets if necessary)

PAN / Any other Identification Number (PAN, Aadhar, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others) City of Birth - Country of Birth	Occupation Type - Service, Business, Others Nationality Father's Name - Mandatory if PAN is not available	DOB - Date of Birth Gender - Male / Female / Other
1. PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ Nationality _____ Father's Name _____	DOB _____ D D / M M / Y Y Y Y Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
2. PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ Nationality _____ Father's Name _____	DOB _____ D D / M M / Y Y Y Y Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
3. PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ Nationality _____ Father's Name _____	DOB _____ D D / M M / Y Y Y Y Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others

Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any other country other than India

\* To include U.S. where controlling person is a U.S. citizen or green card holder.

% In case Tax Identification Number is not available, kindly provide functional equivalent.

<sup>4</sup>Refer 3(iii) of Part D | <sup>5</sup>Refer 3(vi) of Part D <sup>11</sup>Refer 3(iv) of Part D

## FATCA - CRS TERMS AND CONDITIONS

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-Tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor, if any controlling person of the entity is US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

## CERTIFICATION

I / We have understood the information requirements of the Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Signature & Seal :

Name: \_\_\_\_\_ Place \_\_\_\_\_  
Designation: \_\_\_\_\_ Date \_\_\_\_\_ D D M M Y Y Y Y



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## FATCA & CRS Terms & Conditions for Individual Accounts including Sole Proprietor

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointment agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond, even if you believe you have already supplied any previously requested information.

### FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA / CRS Indicia
U. S. place of birth	<ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); <b>AND</b></li> <li>Any one of the following documents; <ul style="list-style-type: none"> <li>Certified Copy of "Certificate of Loss of Nationality</li> <li><b>or</b> Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;</li> <li><b>or</b> Reason the customer did not obtain U.S. citizenship at birth</li> </ul> </li> </ol>
Residence / mailing address in a country other than India	<ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b></li> <li>Documentary evidence (refer list below)</li> </ol>
Telephone number in a country other than India	<p><b>If no Indian telephone number is provided</b></p> <ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b></li> <li>Documentary evidence (refer list below)</li> </ol> <p><b>If Indian telephone number is provided along with a foreign country telephone number</b></p> <ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; <b>OR</b></li> <li>Documentary evidence (refer list below)</li> </ol>
Telephone number in a country other than India	<ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b></li> <li>Documentary evidence (refer list below)</li> </ol>

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body\*
- Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

\*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.



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**1 Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.**

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of -
  - (i) The three financial years preceding the year in which determination is made; or
  - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
  - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
    - (i) Trading in money market instruments, (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange, exchange, interest rate, and index instruments; transferable securities; or commodity futures trading; or
    - (ii) Individual or collective portfolio management; or
    - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

or

- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

- (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

**F1 not required to apply for GIIN:****A. Reasons why F1 not required to apply for GIIN:**

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

**2. Non-Financial entity (NFE) - Foreign entity that is not a financial institution**

Types of NFEs that are regarded as excluded NFE are:

**a. Publicly traded company (listed company)**

A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

**b. Related entity of a publicly traded company**

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

**c. Active NFE : (is any one of the following):**

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;



- 07 Any NFE that fulfills all of the following requirements:
- It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
  - It is exempt from income tax in India;
  - It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
- The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.
- Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-
- (I) an Investor Protection Fund referred to in clause (23EA);
  - (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and
  - (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

### 3. Other definitions

#### (i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

#### (ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (ii) an investment entity defined in clause (b) of these instructions
- (iii) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

#### (iii) Passive income

The term passive income includes income by way of :

- (1) Dividends,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

#### (iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

#### (A) Controlling Person Type:

Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement- Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent
14	Unknown





**(v) Specified U.S. person - A U.S. person other than the following:**

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

**(vi) Owner documented FFI**

An FFI meets the following requirements:

- (A) The FFI is an FFI solely because it is an investment entity;
- (B) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- (C) The FFI does not maintain a financial account for any non participating FFI;
- (D) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (E) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

**(vii) Direct reporting NFE**

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

**(viii) Exemption code for U.S. persons**

Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan



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# PEERLESS MIDCAP FUND

(An Open Ended Equity Scheme)

Offer For Units For Rs 10/- Per Unit During The New Fund Offer Period

## APPLICATION SUPPORTED BY BLOCKED AMOUNT ( ASBA ) FORM

# Peerless

## MUTUAL FUND

Please refer to the instructions while filling the Application Form. Tick ☒ whichever is applicable.

Investors applying through ASBA facility has to submit only the ASBA form and need not fill up regular NFO forms.

1	DISTRIBUTOR/ARN CODE	EUIN*	SUB-BROKER CODE	SCSB (NAME & CODE)	SCSB IFSC (11 DIGIT CODE)	SYNDICATE MEMBER NAME & CODE	S. NO
	ARN-0018				FOR OFFICE USE ONLY	FOR OFFICE USE ONLY	FOR OFFICE USE ONLY

\*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder

2nd Applicant/Authorised Signatory/POA Holder

3rd Applicant/Authorised Signatory/POA Holder

### 2 TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (Please tick any one of the below)

☐ I confirm that I am a First Time Investor in Mutual Funds

(Rs. 150/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more)

OR

☐ I confirm that I am an Existing Investor in Mutual Funds

(Rs. 100/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more)

In case the purchase/subscription amount is Rs. 10,000/- or more and your AMFI Registered Distributor has chosen 'opt in' option of charging Transaction Charges to their investor, the same are deductible as applicable from the purchase/subscription amount and payable to the distributor, Units will be issued against the balance amount invested.(refer General Information Point No. 11)

### 3 EXISTING INVESTOR INFORMATION

^ Unit Holding - Demat Mode

Folio Number

^ ASBA facility is available only for investor/s subscribing for units in dematerialised form.

### 4 DEMAT ACCOUNT DETAILS (Please ensure that the sequence of names as mentioned in the application form matches with that, of the account held in depository participant. Demat Account details are compulsory.)

☐ NSDL Depository Participant Name

Enclosures

☐ CDSL DP ID Number

Beneficiary Account Number

☐ Client Master List

☐ Delivery Instruction Slip

☐ Transaction Cum Holding Statement

### 5 NEW INVESTOR INFORMATION (To be filled in Block Letters, please leave one box blank between two words)

NAME OF FIRST/SOLE APPLICANT

☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN #

☐ KYC Proof #

Date of Birth/Date of Incorporation

D D M M Y Y

Father's Name/Name of Guardian (in case of Minor) / Contact Person (in case of non individual applicant) ☐ Mr. ☐ Ms.

PAN/PERN #

☐ KYC Proof #

Relationship with Minor/Designation

MANDATORY

Mailing Address of First/Sole Applicant (PO Box address is not sufficient)

City

State

Country

Pin Code

Overseas Address (Mandatory in case of NRI/FII. PO Box address is not sufficient. Investors residing overseas and with PO Box address please provide your Indian address)

"All Non Individual Investors have to mandatorily fill UBO Declaration form"

### 6 FIRST/SOLE APPLICANT OTHER DETAILS

Telephone

Mobile

Email

Mode of Holding

☐ Single

☐ Joint

☐ Anyone or Survivor (s)(Default option in case of more than one Applicant)

Occupation  
(of first/sole Applicant)

☐ Business

☐ Professional

☐ House Wife

☐ Agriculture

☐ Service

☐ Student

☐ Retired

☐ Others

Status  
(of first/sole Applicant)

☐ Resident Individual

☐ Sole Proprietorship

☐ Society/Club Company

☐ NRI

☐ Repatriable

☐ Trust

☐ HUF

☐ Partnership Firm

☐ On Behalf of Minor

☐ Bank/Financial Institution

☐ NRI

☐ Non-Repatriable (NRO)

☐ Others

Gross Annual Income

☐ Below 1 Lac

☐ 5 - 10 Lacs

☐ >25 Lacs - 1 Crore

☐ 1 - 5 Lacs

☐ 10 - 25 Lacs

☐ >1 Crore

Net-worth

(Mandatory for Non-Individuals) Rs. \_\_\_\_\_ as on (Not older than 1 year)

D D M M Y Y

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

☐ I am PEP

☐ I am Related to PEP

☐ Not Applicable

Non - Individual Investors involved/ providing any of the mentioned services

☐ Foreign Exchange / Money Changer Services

☐ Money Lending / Pawning

# Please attach proof. Refer instructions page point XI - PAN/PERN and KYC

### Acknowledgement Slip (To be filled in by the investor)

Peerless  
MUTUAL FUND

Date

Peerless Midcap Fund Plan ☐ Regular ☐ Direct Option ☐ Dividend (Payout) ☐ Dividend (Reinvestment) ☐ Growth

Received from Mr./Ms./M/s.

Address

SCSB Account Details:

Bank Account No.

Bank Name

Branch Name

Total Amount to be Blocked:

Rs. in figures

Rs. in words

SCSB Stamp, Signature

Date & time of receipt



Web site [www.peerlessmf.co.in](http://www.peerlessmf.co.in)



Toll Free : 1800 103 8999  
Non Toll Free : 022 61779922



[connect@peerlessmf.co.in](mailto:connect@peerlessmf.co.in)

## 7 JOINT APPLICANT DETAILS

**a NAME OF SECOND APPLICANT** ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN # ☐ KYC Proof # Date of Birth/Date of Incorporation  D  D  M  M  Y  Y

Gross Annual Income ☐ Below 1 Lac ☐ 5 - 10 Lacs ☐ >25 Lacs - 1 Crore ☐ Politically Exposed Person (PEP) Status ☐ I am PEP  
☐ 1 - 5 Lacs ☐ 10 - 25 Lacs ☐ >1 Crore ☐ I am Related to PEP ☐ Not Applicable  
(Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

**Father's Name/Name of Guardian (in case of Minor) / Contact Person (in case of non individual applicant)** ☐ Mr. ☐ Ms.

**Occupation** (of first/sole Applicant) ☐ Business ☐ Professional ☐ House Wife ☐ Agriculture ☐ Service ☐ Student ☐ Retired ☐ Others

**b NAME OF THIRD APPLICANT** ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN # ☐ KYC Proof # Date of Birth/Date of Incorporation  D  D  M  M  Y  Y

Gross Annual Income ☐ Below 1 Lac ☐ 5 - 10 Lacs ☐ >25 Lacs - 1 Crore ☐ Politically Exposed Person (PEP) Status ☐ I am PEP ☐ I am Related to PEP  
☐ 1 - 5 Lacs ☐ 10 - 25 Lacs ☐ >1 Crore ☐ Not Applicable  
(Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

**Father's Name/Name of Guardian (in case of Minor) / Contact Person (in case of non individual applicant)** ☐ Mr. ☐ Ms.

**Occupation** (of first/sole Applicant) ☐ Business ☐ Professional ☐ House Wife ☐ Agriculture ☐ Service ☐ Student ☐ Retired ☐ Others

## 8 Power of Attorney (POA)

**NAME OF POA** ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN # ☐ KYC Proof # Date of Birth  D  D  M  M  Y  Y

## 9 \*FATCA INFORMATION/ FOREIGN TAX LAWS (for Individual including Sole Proprietor) (Self Certification) (Refer instruction) (For Non-individual mandatory to fill up UBO form)

The below information is required for all applicant(s)/ guardian

**Address Type:** ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office  
(Address of tax residence would be taken as available in KRA database. In case of any changes please approach KRA & notify the changes)

**Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? (to be filled mandatorily)** ☐ Yes ☐ No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (Including Minor)	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency 1 <sup>^</sup>			
Tax Identification No *			
Identification Type (TIN or other, please specify)			
Country of Tax Residency 2 <sup>^</sup>			
Tax Identification No *			
Identification Type (TIN or other, please specify)			
Country of Tax Residency 3 <sup>^</sup>			
Tax Identification No *			
Identification Type (TIN or other, please specify)			

I / We have understood the information requirements of this form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same.

<sup>^</sup> To also include USA, where the individual is a citizen/green card holder of the USA.

\* In case Tax Identification Number is not available, kindly provide its functional equivalent.

# Please attach proof. Refer instructions page point XI - PAN/PERN and KYC

Web site [www.peerlessmf.co.in](http://www.peerlessmf.co.in)Toll Free : 1800 103 8999  
Non Toll Free : 022 61779922[connect@peerlessmf.co.in](mailto:connect@peerlessmf.co.in)

**CHECKLIST** (Please submit the following documents with application wherever applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

Documents	Individual	Companies	Societies	Partnership Firm	Investment through POA	Trust	NRI	Fils
Resolution/Authorisation to invest		✓	✓	✓		✓		✓
List of Authorised Signatories with Specimen Signatures		✓	✓	✓	✓	✓		✓
Memorandum & Articles of Association		✓						
Trust Deed						✓		
Bye-laws			✓					
Partnership Deed				✓				
Notarised POA					✓			
PAN/PERN Proof	✓	✓	✓	✓	✓	✓		✓
KYC in case of Investment of any Amount	✓	✓	✓	✓	✓	✓	✓	✓
Foreign Inward Remittance Certificate							✓	✓
Copy of Cancelled Cheque	✓	✓	✓	✓	✓	✓	✓	✓
Ultimate Beneficial Ownership (UBO)		✓	✓	✓	✓	✓		✓

## ASBA Instructions

1. An ASBA investor shall submit a duly filled up ASBA Application form, physically or electronically, to the SCSB with whom the bank account to be blocked, is maintained.
  - a) In case of ASBA application in physical mode, the investor shall submit the ASBA Format at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
  - b) In case of ASBA application in electronic form, the investor shall submit the ASBA Form either through the internet banking facility available with the SCSB, or such other elec - tronically enabled mechanism for subscribing to units of Mutual Fund scheme authorizing SCSB to block the subscription money in a bank account.
2. Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
3. Upon submission of an ASBA Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
4. On the basis of an authorization given by the account holder in the ASBA application, the SCSB shall block the subscription money in the Bank Account specified in the ASBA application. The subscription money shall remain blocked in the Bank Account till allotment of units under the scheme or till rejection of the application, as the case may be.
5. If the Bank Account specified in the ASBA application does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
6. The ASBA form should not be accompanied by cheque, demand Draft or any mode of payment other than authorization to block subscription amount in the Bank Account.
7. All grievances relating to the ASBA facility should be addressed to SCSB directly, with address of the applicant, subscription amount blocked on application, bank account number and the Designated Branch or the collection centre of the SCSB where the ASBA Form was submitted by the Investor.
8. ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.
9. In case an ASBA investor wants to withdraw his/her ASBA during the offer period, he/she shall submit his/her withdrawal request to the SCSB. SCSB upon completion of signature verification shall unblock the funds in the relevant bank account and intimate AMC/RTA about the same.
10. If the application received, is not as per the terms of Scheme Information Document and Key Information Memorandum of the Scheme, the AMC/RTA reserves the right to reject the application.
11. Investors applying through ASBA facility has to submit only the ASBA form and need not fill up regular NFO forms.



Third Party Payment Declaration (Should be enclosed with each payment/SIP Enrolment)

Payments by : Parents/Grand Parents/Related Persons other than the Registered Guardian/Custodian /Employer

Maximum Value : Not Exceeding Rs. 50,000/- (each regular purchase or per SIP installment)

Application and Payment Details (All details below are mandatory, including relationship, PAN, KYC)

Folio No.			Application Form		
Beneficiary Name					
Investment Amount (Rs.)					
Payment Cheque No.			Dated		
Cheque Drawn on Bank					
Cheque Drawn on A/C No.					
Declaration and Signatures					
RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR (Refer Instruction No. 3) (Please • (*) as applicable)					
Status of the Beneficial Investor	Minor	FII ★ Client		Employee (s)	
Relationship of Third Party with the Beneficial Investor	★ Parent ★ Grand Parent ★ Related Persons _____ (Please specify)	Custodian SEBI Registration No. of Custodian Registration Valid Till _____		Employer	
Declaration by Third Party	I/We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.	I/We declare that the payment made on behalf of FII/Client and the Source of this payment is from funds provided to us by FII/Client		I/We declare that the payment made on behalf of employee(s) under Systematic Investment Plans through Payroll Deductions.	
Income tax PAN					
KYC Acknowledgement	<input type="checkbox"/> Attached (Mandatory for any amount)		<input type="checkbox"/> Attached (Mandatory for any amount)		
Signature					
Contact No.					

**Banker's Certificate in case of Demand Draft/Pay Order/Any Other Pre-Funded Instrument issued against cash less than Rs. 50000/- only****To whomsoever it may concern**

We hereby confirm the following details regarding instrument issued by us:

Instrument Details	
Instrument Type	<input type="checkbox"/> Demand Draft <input type="checkbox"/> Pay Order/Banker's Cheque
Instrument Number	
Instrument Amount (Rs.)	
In Favour of/ Favouring	
Payable At	

**Request received from:**

Name of the Requestor	
Address of the Requestor	
PAN (if available)	

Branch Manager/Declarant (s):

Signature: .....	Bank & Branch Seal
Name: .....	
Address: .....	
City: ..... State: ..... Pin: .....	
Country: ..... Contact No. ....	

**Banker's Certificate in case of Demand Draft/Pay Order/Any Other Pre-Funded Instrument (when investor has bank account in issuing bank)****To whomsoever it may concern**

We hereby confirm the following details regarding instrument issued by us:

Instrument Type	<input type="checkbox"/> Demand Draft <input type="checkbox"/> Pay Order/Banker's Cheque
Instrument Number	
Instrument Amount (Rs.)	
In Favour of/ Favouring	
Payable At	

**Details of Bank Account Debited for issuing the instrument:**

Bank Name	
Bank Account Number	
Account Holder Details	Name
1.	
2.	
3.	

**If the issuing Bank Branch is outside India:****We further declare that we are registered as Bank/Branch as mentioned below:**

Under the Regulator	(Name of the Regulator)
In the Country	(Country Name)
Registration No.	(Registration No.)

We confirm having carried out necessary Customer Due Diligence with regard to the Beneficiary and to the source of the funds received from him, as per the standards of Anti Money Laundering laws and other applicable relevant laws in our country.

Branch Manager/Declarant (s):

Signature: .....	
Name: .....	
Address: .....	
City: ..... State: ..... Pin: .....	
Country: ..... Contact No. ....	

Note: Bankers' certificate suggested above is recommendatory in nature, as there may be existing Bank Letters/ Certificates/Declarations, which will confirm to the spirit of the requirements, if all the required details are mentioned in the certificate.

**Registered Office:** Peerless Funds Management Co. Ltd., 3rd Floor, Peerless Mansion, 1, Chowringhee Square, Kolkata - 700 069.

Tel 033 4018 5000 Fax : 033 40185010

**Investment & Operations Office :** 102, Centrepoint, J.B. Nagar, Andheri - Kurla Road, Andheri (East) , Mumbai - 400 059.

Tel 022 6177 9922 Fax : 022 6177 9996

Toll free : 1800 103 8999

**Branches/Customer Service Centres :**

**EAST - AGARTALA:** 42, Akhaura Road, Agartala Tripura (West) 799 001, • **BALASORE:** Vivekananda Marg Dist Balasore, Orissa 756 001, **BANKURA:** College Road, Raghunathpur Main Road, Opp. Bankura Christian College, PO & Dist: Bankura 722 101, **BHUBANESWAR:** Routh Complex, Plot no 349, Saraswati Tower, 1st floor Cuttack Road, Laxmi Sagar, Bhubaneswar 751 006, \***CONTAI:** Vill: Dhandighi, Holding No.: 359 / 351, P.O. & P.S.: Contai, Dist: Purba Midnapore, West Bengal 721 401, **DURGAPUR:** Plot No. C / 10, Premendra Mitra Bithi, City Centre, Durgapur, Dist-Burdwan Pin-713216, \***GHATAL:** Argora, P O & PS: Ghatal, Dist: Paschim Midnapur, 721 212, \***GUWAHATI:** 2nd Floor, Suren Paradise Market, Opposite Hanuman Mandir, G.S Road, Ulubari, Guwahati 781 007, \***KOLKATA:** Peerless Mansion, 3rd Floor, 1 Chowringhee Square, Kolkata 700 069. **SERAMPORE:** Peerless Sadan, 57/B, G.T. Road, Kalitala, Hooghly 712 201, **SILIGURI:** Peerless House, Hill Cart Road, PO: Siliguri, Dist. Darjeeling, 734 001.

**WEST - \*MUMBAI:** 102, Centrepoint, J.B. Nagar, Andheri-Kurla Road, Andheri (East), Mumbai - 400 059. \***PUNE:** Aurora Towers, 9, Moledina Road, West Wing Pune - 411 001.

**NORTH - \*DELHI:** 1005, 10th floor, Tolstoy House, Tolstoy Marg, Cannought Place, New Delhi - 110 001, **JAIPUR:** 203 A 2nd Floor, Adarsha Plaza, Khasha Kothi Circle, Jai Singh Highway, Jaipur 302 001. **KANPUR:** P. K. Bhawan, 128, The Mall, Opp Heer Palace Cinema Kanpur - 208 004, **LUCKNOW:** 103, Trade Point, 4 A, Ground Floor, Saran Chambers-1, 5-Park Road, Hazratganj, Lucknow - 226001.

**SOUTH - \*BENGALURU:** Ramanashree Arcade, 3rd Floor, 18 M.G Road, Bengaluru 560001, **CALICUT:** No. 18/1084F, Meyon Building, 1st Floor, Jail Road, Calicut - 673004, \***CHENNAI:** Fagun Chambers, 2nd Floor, No. 8 New No. 17 (Old No. 26A), Ethiraj Salai, Egmore, Chennai - 600008, \***COIMBATORE:** 1078, Nagappa Complex, Mettupalayam High Road, Coimbatore 641002, \***HYDERABAD:** Kancharla Towers, (1st floor), 1-7-143, 143/A, Golconda X Roads, Musheerabad, Hyderabad - 500020, **KANNUR:** Sadhu Building, D No C.P.29/500, Fort Road, Kannur - 670 001, **THRISSUR:** Suharsha Towers, 3rd Floor, Shornur Road, Round North Thrissur - 680 001, **VIZAG:** Dasapalla Centre, 2nd Floor, 38-2-40, Surya Bagh, Vizag - 530 020.

\* Note : These locations are Official Points of Acceptance.

**LIST OF POINT OF ACCEPTANCES OF KARVY COMPUTER SHARE PRIVATE LIMITED**

• **AGARTALA:** Bidurkarta Chowmuhani, J N Bari Road, Tripura, Agartala - 799001, Tripura • **AGRA:** 1St Floor, Deepak Wasan Plaza, Behind Holiday Inn, Sanjay Place, Agra - 282002, Uttar Pradesh • **AHMEDABAD:** 201/202 Shail Complex, Opp: Madhusudan House, B/H Girish Cold Drink, Off C G Road, Navrangpura, Ahmedabad - 380006, Gujarat • **AJMER:** 302, 3rd Floor, Ajmer Auto Building, Opposite City Power House, Jaipur Road, Ajmer - 305001, Rajasthan • **AKOLA:** Yamuna Tarang Complex, Shop No 30, Ground Floor, N.H. No- 06, Murtizapur Road, Opp Radhakrishna Talkies, Akola - 444004, Maharashtra • **ALIGARH:** 1st Floor, Kumar Plaza, Ramghat Road, Aligarh - 202001, Uttar Pradesh • **ALLAHABAD:** Rsa Towers, 2Nd Floor, Above Sony Tv Showroom, 57, S P Marg, Civil Lines, Allahabad - 211001, Uttar Pradesh • **ALLEPPY:** X1V 172, Jp Towers, Mullackal, Ksrtc Bus Stand, Alleppy - 688011, Kerala • **ALWAR:** 101, Saurabh Tower, Opp. Uit, Near Bhagat Singh Circle, Road No.2, Alwar - 301001, Rajasthan • **AMARAVATHI:** Shop No. 21, 2nd Floor, Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amaravathi - 444601, Maharashtra • **AMBALA:** 6349, Nicholson Road, Adjacent Kos Hospital Ambala Cant, Ambala - 133001, Haryana • **AMRITSAR:** 72-A, Taylor'S Road, Opp Aga Heritage Club, Amritsar - 143001, Punjab • **ANAND:** B-42 Vaibhav Commercial Center, Nr Tvs Down Town Show Room, Grid Char Rasta, Anand - 380001, Gujarat • **ANANTAPUR:** #15/149, 1st Floor, S R Towers, Subash Road, Opp. To Lalitha Kala Parishad, Anantapur - 515001, Andhra Pradesh • **ANKLESHWAR:** L/2 Keval Shopping Center, Old National Highway, Ankleshwar, Ankleshwar - 393002, Gujarat • **ASANSOL:** 114/71 G T Road, Near Sony Centre, Bhanga Pachil, Asansol - 713303, West Bengal • **AURANGABAD:** Ramkunj Niwas, Railway Station Road, Near Osmanpura Circle, Aurangabad - 431005, Maharashtra • **AZAMGARH:** 1st Floor, Alkal Building, Opp. Nagaripalika Civil Line, Azamgarh - 276001, Uttar Pradesh • **BALASORE:** M.S Das Street, Gopalgaon, Balasore, Orissa, Balasore - 756001, Orissa • **BANKURA:** Ambika Market Complex (Ground Floor), Nutanganj, Post & Dist Bankura, -, Bankura - 722101, West Bengal • **BAREILLY:** 1st Floor, 165, Civil Linesopp. Hotel Bareilly Palace, Near Railway Station, Bareilly - 243001, Uttar Pradesh • **BARHAMPUR (WB):** Thakur Market Complex, Gorabazar, Post Berhampore Dist Murshidabad, 72 No Nayasarak Road, Barhampore (Wb) - 742101, West Bengal • **BARODA:** Sb-5, Mangaldeep Complex, Opp. Masonic Hall, Productivity Road, Alkapuri, Baroda - 390007, Gujarat • **BATHINDA:** #2047-A 2nd Floor, The Mall Road, Above Max New York Life Insurance, Bathinda - 151001, Punjab • **BEGUSARAI:** Near Hotel Diamond Surbhi Complex, O.C Township Gate, Kapasiya Chowk, Begusarai - 851117, Bihar • **BELGAUM:** Cts No 3939/ A2 A1, Above Raymonds Show Room | Beside Harsha Appliances, Club Road, Belgaum - 590001, Karnataka • **BELLARY:** No. 1, Khb Colony, Gandhi Nagar, Bellary - 583103, Karnataka • **BENGALURU:** 59, Skanda puttanna Road, Basavanagudi, Bengaluru - 560004, Karnataka • **BERHAMPUR (OR):** Opp -Divya Nandan Kalyan Mandap, 3rd Lane Dharam Nagar, Near Lohiya Motor, Berhampur (Or) - 760001, Orissa • **BETUL:** 107, 1st Floor, Hotel Utkarsh, | J. H. College Road, -, Betul - 460001, Madhya Pradesh • **BHAGALPUR:** 2nd Floor, Chandralok Complex, Ghantaghar, Radha Rani Sinha Road, Bhagalpur - 812001, Bihar • **BHARUCH:** Shop No 147-148, Aditya Complex, Near Kasak Circle, Bharuch - 392001, Gujarat • **BHAVNAGAR:** Krishna Darshan Complex, Parimal Chowk, Office No. 306-307, 3rd Floor, Above Jed Blue Show Room, Bhavnagar - 364002, Gujarat • **BHILAI:** Shop No -1, First Floor Plot No -1, Commercial Complex Nehru Nagar - East, -, Bhilai - 490020, Chatisgarh • **BHILWARA:** Shop No. 27-28, 1st Floor, Heera Panna Market, Pur Road, Bhilwara - 311001, Rajasthan • **BHOPAL:** Kay Kay Business Centre, 133, Zone I, Mp Nagar, Above City Bank, Bhopal - 462011, Madhya Pradesh • **BHUBANESWAR:** A/181, Back Side Of Shivam Honda Show Room, Saheed Nagar, -, Bhubaneswar - 751007, Orissa • **BIKANER:** 70-71, 2Nd Floor, Dr.Chahar Building, Panchsati Circle, Sadul Ganj, Bikaner - 334001, Rajasthan • **BILASPUR:** Shop No-201 & 202, 1st Floor, V R Plaza, Link Road, Bilaspur, C.G., Bilaspur - 495001, Chatisgarh • **BOKARO:** B-1, 1st Floor, City Centre, Sector- 4, Near Sona Chandi Jewellers, Bokaro - 827004, Jharkhand • **BURDWAN:** 63 Gt Road, Halder Complex 1st Floor, Burdwan - 713101, West Bengal • **CALICUT:** 2nd Floor Soubhagya Shopping Complex, Arayidathpalam, Mavoor Road, Calicut - 673004, Kerala • **CHANDIGARH:** Sco- 2423-2424, Above Mirchi Restaurant, First Floor, Sector 22-C, Chandigarh - 160022, Union Territory • **CHANDRAPUR:** Shop No-6 Office No-2, 1st Floor Rauts Raghuvanshi Complex, Beside Azad Garden Main Road, Chandrapur - 442402, Maharashtra • **CHENNAI:** F-11, Akshaya Plaza, 1st Floor, 108, Adhithanar Salai, Egmore, Opp To Chief Metropolitan Court, Chennai - 600002, Tamil Nadu • **CHINSURAH:** J C Ghosh Saranu, Bhanga Gara, Chinsurah, Hooghly, Chinsurah - 712101, West Bengal • **COIMBATORE:** 3rd Floor, Jaya Enclave, 1057 Avinashi Road, -, Coimbatore - 641018, Tamil Nadu • **CUTTACK:** AT- Dargha Bazar, Po - Buxi Bazar, Opp Dargha Bazar Police station, Cuttack - 753001, Orissa • **DARBHANGA:** Jaya Complex, 2Nd Floor, Above Furniture Planet, Donar, Chowk, Darbhanga - 846003, Bihar • **DAVANGERE:** D.No 376/2, 4th Main, 8th Cross, P J Extension, Opp Byadgishettar School, -, Davangere - 577002, Karnataka • **DEHRADUN:** Kaulagarh Road, Near Sirmaur Margaboue, Reliance Webworld, Dehradun - 248001, Uttaranchal • **DEORIA:** 1st Floor, 1st Floor, Opp. Zila Panchayat, Civil Lines, Deoria - 274001, Uttar Pradesh • **DEWAS:** 27 Rmo House, Station Road, Above Maa Chamunda Gaes Agency, Dewas - 455001, Madhya Pradesh • **DHANBAD:** 208 New Market 2Nd Floor, Bank More, Dhanbad - 826001, Jharkhand • **DHARWAD:** 307/9-A 1st Floor, Nagarkar Colony, Elite Business Center, Nagarkar Colony, P B Road, Dharwad - 580001, Karnataka • **DHULE:** Ground Floor Ideal Laundry, Lane No 4, Khol Galli, Near Muthoot Finance, Opp Bhavasar General Store, Dhule - 424001, Maharashtra • **DINDIGUL:** No : 9 Old No:4/B, New Agraharam, Palani Road, Dindigul - 624001, Tamil Nadu • **DURGAPUR:** MWAV-16 BENGALAMBUJA, 2nd FLOOR CITY CENTRE, 16 DT BURDWAN, Durgapur-713216, West Bengal • **ELURU:** D.No:23B-5-93/1, Savithri Complex, Edaravari Street, Near Dr.Prabhavathi Hospital, R.R.Pet, Eluru-534002, Andhra Pradesh • **ERNAKULAM:** Ali Arcade, 1st Floor, Kizhavana Road, Panampilly Nagar, Near Atlantis Junction, Ernakulam - 682036, Kerala • **ERODE:** No: 4, Veerappan Traders Complex, KMY Salai, Sathy Road, Opp. Erode Bus Stand, Erode - 638003, Tamil Nadu • **FARIDABAD:** A-2B, 3rd Floor, Neelam Bata Road, NIT, Faridabad - 121001, Haryana • **FEROZEPUR:** The Mall Road, Chawla Blding, 1st Floor, Opp. Centrail Jail, Near Hanuman Mandir, Ferozepur-152002, Punjab • **GANDHIDHAM:** 204 2nd Floor, Bhagwati Chamber, Kutchkala Road, Gandhidham - 382007, Gujarat • **GANDHINAGAR:** Plot No - 945/2, Sector - 7/C, Opp Pathika, Gandhinagar - 382007, Gujarat • **GAYA:** 1st Floor Lal Bhawan, Tower Chowk, Near Kiran Cinema, Gaya - 823001, Bihar • **GHAZIABAD:** 1st Floor C-7, Lohia Nagar, Ghaziabad - 201001, Uttar Pradesh • **GHAZIPUR:** 2nd Floor, Shubhra Hotel Complex, Mahaubagh, Ghazipur - 233001, Uttar Pradesh • **GONDA:** Shri Market, Sahabgunj, Station Road, Gonda - 271001, Uttar Pradesh • **GORAKHPUR:** Above V.I.P. House adjacent, A.D. Girls College, Bank Road, Gorakhpur - 273001, Uttar Pradesh • **GULBARGA:** Cts No 2913 1st Floor, Asian Towers, Jagath Station Main Road, Next To Adithya Hotel, Gulbarga - 585105, Karnataka • **GUNTUR:** D No 6-10-27, Srinilayam, Arundelpet, 10/1, Guntur - 522002, Andhra Pradesh • **GURGAON:** Shop No.18, Ground Floor, Sector-14, Opp. Akd Tower, Near Huda Office, Gurgaon - 122001, Haryana • **GUWAHATI:** 1st Floor, Bajrangbali Building, Near Bora Service Station, GS Road, Guwahati - 781007, Assam • **GWALIOR:** 2nd Floor, Rajeev Plaza, Jayendra Ganj, Lashkar, Gwalior - 474009, Madhya Pradesh • **HALDWANI:** Above Kapilaz, Sweet House, Opp Lic Building, Pilikothi, Haldwani - 263139, Uttaranchal • **HARIDWAR:** 8, Govind Puri, Opp. LIC-2, Above Vijay Bank, Main Road, Ranipur More, Haridwar-249401, Uttaranchal • **HASSAN:** SAS no-212, Ground Floor, Sampige Road 1st cross, Near Hotel Souther Star, K R Puram, Hassan - 573201, Karnataka • **HISSAR:** Sco-71, 1st

Floor, Red Square Market, Hissar-125001, Haryana • **HOSHIARPUR**: 1st Floor, The Mall Tower, Opp Kapila Hospital, Sutheri Road, Hoshiarpur-146001, Punjab • **HUBLI**: CTC No.483/A1/A2, Ground Floor, Shri Ram Palza, Behind Kotak Mahindra Bank ,Club Road, Hubli - 580029, Karnataka • **HYDERABAD**: KARVY CENTRE' 8-2-609/K, Avenue 4, Street No. 1, Banjara Hills, Hyderabad - 500034, Telangana • **INDORE**: 2nd floor, 203-205 Balaji Corporates, Above ICICI bank, 19/1 New Palasia, Near Curewell Hospital, Janjeerwala Square Indore, Indore - 452001, Madhya Pradesh • **JABALPUR**: Grover Chamber, 43 Naya Bazar Malviya Chowk, Opp Shyam Market, Jabalpur - 482002, Madhya Pradesh • **JAIPUR**: S16/Allrd Floor, Land Mark Building Opp Jai Club, Mahaver Marg C Scheme, Jaipur - 302001, Rajasthan • **JALANDHAR**: 1st Floor,Shanti Towers , SCO No. 37, PUDA Complex, Opposite Tehsil Complex, Jalandhar - 144001, Punjab • **JALGAON**: 269, Jaee Vishwa, 1st Floor, Baliram Peth, Above United Bank Of India , Near Kishor Agencies., Jalgaon - 425001, Maharashtra • **JALPAIGURI**: D B C Road Opp Nirala Hotel, Opp Nirala Hotel, Jalpaiguri - 735101, West Bengal • **JAMMU**: 5 A/D Extension 2, Near Panama Chowk Petrol Pump, Panama Chowk, Jammu - 180012, Jammu & Kashmir • **JAMNAGAR**: 136-137-138 Madhav Palaza, Opp Sbi Bank, Nr Lal Bunglow, Jamnagar - 361001, Gujarat • **JAMSHEDPUR**: 2nd Floor, R R SQUARE, SB SHOP AREA, Near RELIANCE FOOT PRINT & HOTEL-BS Park Plaza, Main Road, Bistupur, Jamshedpur-831001, Jharkhand • **JAUNPUR**: R N Complex, 1-1-9-G, In Front of Pathak Honda, Ummarpur, Jaunpur - 222002, Uttar Pradesh • **JHANSI**: 371/01, Narayan Plaza,Gwalior Road, Near Jeevan Shah Chauraha, Jhansi - 284001, Uttar Pradesh • **JODHPUR**: 203, Modi Arcade, Chopasni Road, Jodhpur - 342001, Rajasthan • **JUNAGADH**: 124-125 Punit Shopping Centre, M.G Road, Ranavav Chowk, Junagadh - 362001, Gujarat • **KANNUR**: 2 Nd Floor , Prabhath Complex, Fort Road, Nr.Icici Bank, Kannur - 670001, Kerala • **KANPUR**: 15/46, B, Ground Floor, Opp : Muir Mills, Civil Lines, Kanpur - 208001, Uttar Pradesh • **KARAIKUDI**: No. 2,Gopi Arcade, 100 Feet Road, Karaikudi - 630001, Tamil Nadu • **KARIMNAGAR**: H.No.4-2-130/131, Above Union Bank, Jafri Road, Rajeev Chowk, Karimnagar - 505001, Telangana • **KARNAL**: 18/369,Char Chaman, Kunjipura Road, Behind Miglani Hospital, Karnal - 132001, Haryana • **KARUR**: No.6, old No.1304, Thiru-vi-ka Road, Near G.R.Kalyan Mahal, Karur - 639001, Tamil Nadu • **KHARAGPUR**: 180 Malancha Road, Beside Axis Bank Ltd, Kharagpur - 721304, West Bengal • **KOLHAPUR**: 605/1/4 E Ward, Shahupuri 2nd Lane, Laxmi Niwas, Near Sultane Chambers, Kolhapur-416001, Maharashtra • **KOLKATA**: 166 A Rashbihari Avenue 2Nd Floor, Opp- Fortish Hospital, Kolkata -700029, West Bengal • **KOLLAM**: Sree Vigneswara Bhavan, Shastri Junction, Kadapakada, Kollam - 691001, Kerala • **KORBA**: 1st Floor, City Centre, 97 IRCC, Transport Nagar, Korba - 495677, Chatisgarh • **KOTA**: 29,1st Floor, Near Lala Lajpat Rai Circle, Shopping Centre, Kota - 324007, Rajasthan • **KOTTAYAM**: 1st Floor Csiascension Square, Railway Station Road, Collectorate P O, Kottayam - 686002, Kerala • **KURNOOL**: Shop No.43, 1St Floor, S V Complex, Railway Station Road, Near Sbi Main Branch, Kurnool - 518004, Andhra Pradesh • **LUCKNOW**: Ist Floor, A. A. Complex, 5 Park Road, Hazratganj, Thaper House, Lucknow - 226001, Uttar Pradesh • **LUDHIANA**: Sco - 136 , 1St Floor Above Airtel Showroom , Feroze Gandhi Market , Ludhiana - 141001, Punjab • **MADURAI**: Rakesh towers, 30-C, 1st floor, Bye pass Road, Opp Nagappa Motors, Madurai - 625010, Tamil Nadu • **MALAPPURAM**: First Floor,Cholakkal Building, Near U P School, Up Hil, Malappuram - 676505, Kerala • **MALDA**: Sahis Tuli, Under Ward No.6, No.1 Govt Colony, English Bazar Municipality, Malda - 732101, West Bengal • **MANGALORE**: Mahendra Arcade Opp Court Road, Karangal Padi, Mangalore - 575003, Karnataka • **MARGAO**: 2nd Floor , Dalal Commercial Complex, Pajifond, Margao - 403601, Goa • **MATHURA**: Ambey Crown, 2nd Floor, In Front of Bsa College, Gaushala Road, Mathura - 281001, Uttar Pradesh • **MEERUT**: 1St Floor, Medi Centreopp Icici Bank, Hapur Road Near Bachha Park, Meerut - 250002, Uttar Pradesh • **MEHSANA**: Ul/47 Apollo Enclave, Opp Simandhar Temple, Modhera Cross Road, Mehsana - 384002, Gujarat • **MIRZAPUR**: Abhay Mandir, Above HDFC Bank, Dankin Gunj, Mirzapur - 231001, Uttar Pradesh • **MOGA**: 1st Floor,Dutt Road, Mandir Wali Gali, Civil Lines, Barat Ghar , Moga - 142001, Punjab • **MORADABAD**: Om Arcade, Parker Road, Above Syndicate Bank,Chowk Tari Khana, Moradabad - 244001, Uttar Pradesh • **MORENA**: Moti Palace, Near Ramjanki Mandir, Near Ramjanki Mandir, Morena-476001, Madhya Pradesh • **MUMBAI**: 6 & 7, 131 Andheri Industrial Estate, Veera Desai Road, Andheri (West), Mumbai - 400 053, Maharashtra • **MUMBAI**: Ground Floor, Himanshu Bldg, Sodawala Lane, Lina Chandawarkar Road, Borivali, Mumbai - 400 091, Maharashtra • **MUMBAI**: Shop No 4 , Ground Floor, Shram Saflya Bldg, N G Acharya Marg, Chembur, Mumbai - 400 071, Maharashtra • **MUMBAI**: 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bse Building, Fort, Mumbai - 400001, Maharashtra • **MUMBAI**: 104, Sangam Arcade , V P Road, Opp: Railway Station, Above Axis Bank ATM, Vile Parle (West), Mumbai - 400 056, Maharashtra • **MUZAFFARPUR**: I St Floor, Uma Market, Thana Gumtimoti Jheel, Muzaffarpur - 842001, Bihar • **MYSORE**: L-350,Silver Tower, Ashoka Road, Opp.Clock Tower , Mysore - 570001, Karnataka • **NADIAD**: 104/105, Near Paras Cinema, City Point Nadiad, Nadiad - 387001, Gujarat • **NAGERCOIL**: 3A, South Car Street, Parsans Complex, 1st floor near LVB, Nagercoil - 629001, Tamil Nadu • **NAGPUR**: Plot No 2/1 House No 102/1, Mata Mandir Road, Mangaldeep Apartment Opp Khandelwal Jewelers, Dharampeth, Nagpur - 440010, Maharashtra • **NAMAKKAL**: 105/2, Arun Towers, Paramathi Road , - Namakkal - 637001, Tamil Nadu • **NANDED**: Shop No.4 , Santakripa Market, G G Road, Opp.Bank Of India, Nanded - 431601, Maharashtra • **NASIK**: F-1,Suyojit Sankul, Sharanpur Road, Near Rajiv Gandhi Bhavan , Nasik - 422002, Maharashtra • **NAVI MUMBAI**: Shop No.43-A, Ground Floor, Vashi Plaza, Sector-17, Near Apna Bazar, Vashi, Navi Mumbai - 400 705, Maharashtra • **NAVSARI**: 1/1 Chinmay Arcade, Opp Sattapir Rd, Tower Rd, Navsari - 396445, Gujarat • **NELLORE**: 16-2-230 , Room No : 207 , 2Nd Floor, Keizen Heights, Gandhi Nagar , Pogathota, Nellore - 524001, Andhra Pradesh • **NEW DELHI**: 305 New Delhi House , 27 Barakhamba Road, New Delhi -110001, New Delhi • **NIZAMABAD**: H No:5-6-430, A Bove Bank Of Baroda First Floor, Beside Hdfe Bank,Hyderabad Road, Nizamabad - 503003, Telangana • **NOIDA**: 405.4th Floor,Vishal Chamber, Plot No.1, Sector-18, Noida - 201301, Uttar Pradesh • **PALAKKAD**: No: 20 & 21, Metro Complex H.P.O.Road Palakkad, H.P.O.Road, Palakkad - 678001, Kerala • **PANIPAT**: 1St Floor, Krishna Tower, Above Amertex,G.T. Road, Panipat - 132103, Haryana • **PANJIM**: Flat No.1-A, H. No. 13/70, Timotio Bldg, Heliodoro Salgado Road, Next to Navhind Bhavan (Market Area), Panjim - 403001, Goa • **PATHANKOT**: 1St Floor, 9 A, Improvement Trust Building, Patel Chowk , Pathankot - 145001, Punjab • **PATIALA**: Sco 27 D, Chotti Baradari , Near Car Bazaar , Patiala - 147001, Punjab • **PATNA**: 3A, 3Rd Floor Anand Tower, Exhibition Road, Opp Icici Bank, Patna - 800001, Bihar • **POLLACHI**: 146/4,Ramanathan Building , 1st Floor New Scheme Road , - Pollachi - 642002, Tamil Nadu • **PONDICHERRY**: Building No:7, 1st Floor, Thiayagaraja Street, Pondicherry - 605001, Pondicherry • **PUDUKOTTAI**: Sundaram Masilamani Towers, Tn So. 5476 - 5479, Pm Road, Old Tirumayam Salai, Near Anna Statue, Jublie Arts, Pudukottai - 622001, Tamil Nadu • **PUNE**: Mozaic Bldg, CTS No.1216/1, Final, Plot No.576/1 TP, Scheme No.1, F C Road, Bhamburda, Shivaji Nagar, Pune - 411004, Maharashtra • **RAIPUR**: Shop No. 31 , Third Floor, Millenium Plaza, Infront of Shihid Smarak,G E Road, Raipur - 492001, Chatisgarh • **RAJAHMUNDRY**: D.No.6-1-4,Rangachary Street, T. Nagar , Near Axis Bank Street, Rajahmundry - 533101, Andhra Pradesh • **RAJAPALAYAM**: Sri Ganapathy Complex, 14B/5/18, T P Mills Road, Virudhunagar Dist, Rajapalayam - 626117, Tamil Nadu • **RAJKOT**: 104, Siddhi Vinyak Com. Opp Ramkrishna Ashram, Dr Yagnik Road, Rajkot, Rajkot - 360001, Gujarat • **RANCHI**: Room No 307 3Rd Floor , Commerce Tower , Beside Mahabir Tower , Ranchi - 834001, Jharkhand • **RATLAM**: 1 Nagpal Bhawan , Free Ganj Road , Do Batti , Near Nokia Care , Ratlam - 457001, Madhya Pradesh • **RENUKOOT**: Radhika Bhavan , Opp. Padmini Hotel, Murdhwa, Renukoot , Renukoot - 231217, Uttar Pradesh • **REWA**: Ist Floor, Angoori Building, Besides Allahabad Bank, Trans University Road, Civil Lines, Rewa - 485001, Madhya Pradesh • **ROHTAK**: 1St Floor, Ashoka Plaza, Delhi Road, Rohtak - 124001, Haryana • **ROORKEE**: Shree Ashadeep Complex, 16, Civil Lines, Near Income Tax Office, Roorkee - 247667, Uttaranchal • **ROURKELA**: 1St Floor Sandhu Complex, Kachery Road, Uditnagar, Rourkela - 769012, Orissa • **SAGAR**: Satyam complex ,1st floor, Infront of canit shopping mall., 5 civil lines , Sagar - 470002, Madhya Pradesh • **SAHARANPUR**: 18 Mission Market, Court Road, Saharanpur - 247001, Uttar Pradesh • **SALEM**: No:40, Brindavan Road, Fairlands, Near Perumal Koil, Fair lands, Salem - 636016, Tamil Nadu • **SAMBALPUR**: Ground Floor Quality Massion, Infront of bazaar kolkata, Nayapara, Sambalpur - 768001, Orissa • **SATNA**: 1St Floor, Gopal Complex, Near Bus Stand, Rewa Road, Satna - 485001, Madhya Pradesh • **SHAKTINAGAR**: 1St/A-375, V V Colony, Dist Sonebhadra, Shaktinagar - 231222, Uttar Pradesh • **SHILLONG**: Annex Mani Bhawan , Lower Thana Road , Near R K M Lp School , Shillong - 793001, Meghalaya • **SHIMLA**: Triveni Building, By Pas Chowkhalini, Shimla - 171002, Himachal Pradesh • **SHIMOGA**: Sri Matra Naika Complex, 1St Floor, Above Shimoga Diagnostic Centre, Llr Road, Durgigudi, Shimoga - 577201, Karnataka • **SHIVPURI**: 1St Floor, M.P.R.P. Building, Near Bank Of India, Shivpuri - 473551, Madhya Pradesh • **SIKAR**: First Floor,Super Tower , Behind Ram Mandir Near Taparya Bagichi, Sikar - 332001, Rajasthan • **SILCHAR**: N.N. Dutta Road, Chowchakra Complex, Premtala, Silchar - 788001, Assam • **SILIGURI**: Nanak Complex, Sevoke Road , - , Siliguri - 734001, West Bengal • **SITAPUR**: 12/12-A Sura Complex, Arya Nagar Opp, Mal Godam, Sitapur - 261001, Uttar Pradesh • **SIVAKASI**: 363, Thiruthangal Road, Opp: TNEB, Sivakasi - 626123, Tamil Nadu • **SOLAN**: Sahni Bhawan, Adjacent Anand Cinema Complex, The Mall, Solan - 173212, Himachal Pradesh • **SOLAPUR**: Block No 06, Vaman Nagar, Opp D-Mart, Jule Solapur, Solapur - 413004, Maharashtra • **SONEPAT**: 205 R Model Town, Above Central Bank Of India, Sonapat - 131001, Haryana • **SRI GANGANAGAR**: 35E Block, Opp: Sheetla Mata Vaateka Sri Ganganagar, - , Sri Ganganagar - 335001, Rajasthan • **SRIKAKULAM**: D.No-4-1-28/1, Venkateswara Colony, Near Income Tax Office, Srikakulam - 532001, Andhra Pradesh • **SULTANPUR**: 1077/3, Civil Lines Opp Bus Stand , Civil Lines, Sultanpur - 228001, Uttar Pradesh • **SURAT**: G-5 Empire State Buliding, Nr Udhna Darwaja, Ring Road, Surat - 395002, Gujarat • **THANE**: 101, Yaswant Tower, 1st Floor, Ram Ganesh, Godkari path, Opposite Puja Hotel, Ram Maruti Road, Naupada, Thane - 400 602, Maharashtra • **THANJAVUR**: No. 70, Nalliah Complex, Srinivasam Pillai Road, Thanjavur - 613001, Tamil Nadu • **THODUPUZHA**: First Floor, Pulimoottil Pioneer, Pala Road, -, Thodupuzha - 685584, Kerala • **THRISSUR**: 2nd Floor,Brothers Complex, Naikkanal Junction,Shornur Road, Near Dhanalakshmi Bank H O, Thrissur - 680001, Kerala • **TIRUNELVELI**: 55/18, Jeney Building, S N Road, Near Aravind Eye Hospital, Tirunelveli - 627001, Tamil Nadu • **TIRUPATHI**: H.No:10-13-425, 1st Floor , Tilak Road, Opp: Sridevi Complex, Tirupathi - 517501, Andhra Pradesh • **TIRUPUR**: First floor, 244 A, Above Selvakumar Dept, Palladam Road, Opp to Cotton market complex, Tirupur - 641604, Tamil Nadu • **TIRUVALLA**: 2nd Floor,Erinjery Complex, Ramanchira, Opp Axis Bank, Tiruvalla - 689107, Kerala • **TRICHY**: 60, Sri Krishna Arcade, Tennur High Road, -, Trichy - 620017, Tamil Nadu • **TRIVANDRUM**: 2nd Floor, Akshaya Tower, Sasthamangalam, Trivandrum - 695010, Kerala • **TUTICORIN**: 4 - B, A34 - A37, Mangalmal Mani Nagar, Opp. Rajaji Park, Palayamkottai Road, Tuticorin - 628003, Tamil Nadu • **UDAIPUR**: 201-202, Madhav Chambers, Opp G P O, Chetak Circle , Udaipur - 313001, Rajasthan • **UJJAIN**: 101 Aashta Tower, 13/1 Dhanwantri Marg, Freeganj, Ujjain - 456010, Madhya Pradesh • **VALSAD**: Shop No 2 , Gjiroza Corner, Opp Next Show Room, Tithal Road, Valsad - 396001, Gujarat • **VAPI**: Shop No-12, Ground Floor, Sheetal Appatment, Near K P Tower, Vapi - 396195, Gujarat • **VARANASI**: D-64/1321St Floor, Anant Complex, Sigra, Varanasi - 221010, Uttar Pradesh • **VELLORE**: 1, M N R Arcade, Officers Line, Krishna Nagar, Vellore - 632001, Tamil Nadu • **VIJAYAWADA**: 39-10-7, Opp : Municipal Water Tank, Labbipet, Vijayawada - 520010, Andhra Pradesh • **VISAKHAPATNAM**: Door No: 48-8-7, Dwaraka Diamond, Ground Floor, Srinagar, Visakhapatnam - 530016, Andhra Pradesh • **VIZIANAGARAM**: Soubhagya, 19-6-1/3, 2nd Floor, Near Fort Branch, Opp: Three Temples, Vizianagaram - 535002, Andhra Pradesh • **WARANGAL**: 5-6-95, 1 St Floor, Opp: B.Ed Collage,Lashkar Bazar, Chandra Complex,Hanmakonda, Warangal - 506001, Telangana • **YAMUNA NAGAR**: Jagdhari Road, Above Uco Bank, Near D.A.V. Girls College, Yamuna Nagar - 135001, Haryana