

(This form may only be used by existing investors)

- Initial any amendments made to the application form.
- Ensure that all information provided is accurate.
- No instruction will be processed unless all requirements have been met.
- The daily cut-off for receipt of instructions is 14h00 and 12h00 (noon) for Money Market.

- Completed forms are to be faxed to us on (021) 680 2500 or e-mailed to transact@coronation.co.za.
- Should you have any queries regarding this application, please contact the Coronation Client Service Centre on 0800 22 11 77 or e-mail clientservice@coronation.co.za.

A INVESTOR DETAILS

Investor number: _____

Full name: _____

ID/Passport Number/Company/Trust/Partnership/Close Corporation Registration Number: _____

Contact telephone number: (_____) _____ Email Address: _____

Source of funds (compulsory): _____

Acting on behalf of an Investor*/Joint name registration**

* eg Guardians/Persons with Power of Attorney or mandate acting on behalf of disabled or insolvent persons.

** Insert second name if joint name registration.

Title: _____ Surname: _____

First name(s): _____

Capacity: _____

B INVESTMENT OPTIONS

I/We hereby apply to invest in the Coronation collective investment schemes in accordance with the provisions of the relevant Deed of each fund at the respective fund/s price/s ruling on the date of receipt of the monies by Coronation, subject to receipt of a duly completed Application Form, proof of deposit and all relevant supporting documentation.

PHASING IN

Phasing in is only allowed from the Coronation Money Market Fund and is processed on the 28th of each month. Phasing is not applicable for debit order contributions.

Investment to be phased in ☐ Yes ☐ NoIf yes, please select phase in period ☐ 3 months ☐ 6 months ☐ 9 months ☐ 12 months

Please note that if you have chosen to have your investment phased in to more than one fund, and you have selected to have your income distribution re-invested, you must please choose one of your funds to have the monthly money market income distribution invested in for the duration of the phasing period.

Fund chosen for Money Market distribution re-investment for phasing period: _____

	Lump Sum Investment (Enter Amount in Rands Min. R5 000, Money Market Min. R10 000)	Phase-in %	Debit Order (Enter Amount in Rands Min. R500 p.m.)	Annual Debit Order Increase (Enter Percentage)	Income Distribution Reinvested	OR Income Distribution Deposited to Bank Account
DOMESTIC - INCOME FUND						
Strategic Income Fund				%		

DOMESTIC - INCOME AND GROWTH FUNDS

Balanced Defensive Fund				%		
Capital Plus Fund				%		

DOMESTIC - LONG TERM GROWTH FUNDS	Lump Sum Investment (Enter Amount in Rands Min. R5 000, Money Market Min. R10 000)	Phase-in %	Debit Order (Enter Amount in Rands Min. R500 p.m.)	Annual Debit Order Increase (Enter Percentage)	Income Distribution Reinvested	Income Distribution Deposited to OR Bank Account
Balanced Plus Fund				%		
Top 20 Fund				%		
INTERNATIONAL – INCOME FUND						
Global Strategic USD Income [ZAR] Feeder Fund (2)				%		
INTERNATIONAL - CAPITAL PRESERVATION FUND						
Global Capital Plus [ZAR] Feeder Fund (2)				%		
INTERNATIONAL - LONG TERM GROWTH FUNDS						
Global Managed [ZAR] Feeder Fund (2)				%		
Global Opportunities Equity [ZAR] Feeder Fund (2)				%		
Global Equity Select [ZAR] Feeder Fund (2)				%		
Global Emerging Markets Flexible [ZAR] Fund (1)				%		
SPECIALIST FUNDS - LONG TERM GROWTH						
SA Equity Fund				%		
Equity Fund				%		
Market Plus Fund				%		
Optimum Growth Fund				%		
SPECIALIST FUND - INCOME AND GROWTH						
Property Equity Fund				%		
SPECIALIST FUNDS - INCOME						
Money Market Fund			N/A	%		
Jibar Plus Fund				%		
SPECIALIST FUNDS - BUILDING BLOCKS						
Bond Fund				%		
Financial Fund				%		
Industrial Fund				%		
Resources Fund				%		
Smaller Companies Fund				%		
OTHER				%		

All funds listed above refer to the A-Class of the respective funds, except for the Bond Fund and Smaller Companies Fund which refers to the R-Class.

(1) Exit Fee – Global Emerging Markets Flexible [ZAR] Fund

Coronation reserves the right to charge an exit penalty of 2% should withdrawals be made from the Fund within a period of less than 6 months from the time of investment in the Fund. This will apply to both initial and subsequent investments. Any exit penalty levied under this provision will be applied for the benefit of the remaining investors in the Fund.

(2) Additional Trading Provisions – Global Feeder Funds

Coronation reserves the right to apply additional trading provisions on instructions for the global feeder funds. Refer to General Information and Conditions for more detail.

C METHOD OF PAYMENT

Cheque deposit*

☐

All cheques must be endorsed as NOT TRANSFERABLE and deposited directly into the relevant Unit Trust account by the investor or forwarded to Coronation. Please insert the investor number and/or ID number in the reference section on the proof of deposit/transfer in order to assist the Administrator with processing the application. The maximum value payable by cheque is R500 000.

Electronic/Internet Transfer*

☐

Electronic internet transfers may take up to 2 days to appear in our bank account. Units may only be priced upon confirmed receipt of documentation and funds into the relevant Unit Trust bank account. Please insert the investor's initials and surname in the reference section on the proof of deposit/transfer in order to assist the Administrator with processing the application.

Electronic collection by
the Administrator

☐

Funds are deducted from the investor's bank account 1 business day after the receipt of a valid and complete application form and supporting documentation. Electronic collection by the Administrator is restricted to a maximum of R1 000 000 per debit. Where a higher amount than this is requested, multiple debits will be processed on the same day. Please complete the bank account details in the Bank Details section if you select this option.

Regular debit order

☐

Please complete the Debit Order Authority section if you select this option.

To make an additional contribution, please deposit funds into the relevant bank account as detailed below. Please complete the "Reference" section on the deposit/transfer document (initials, surname of investor).

*** Coronation Bank Account Details**

Money Market Fund:

Account holder: Coronation Management Company (RF) (Pty) Ltd
Bank: Nedbank
Branch: Cape Town
Branch code: 100909
Account type: Cheque
Account number: 1009 646 532
Bank address: Clock Tower Precinct, V&A Waterfront,
Cape Town, 8001
Swift code: NEDSZAJJ

All Other Funds:

Account holder: Coronation Management Company (RF) (Pty) Ltd
Bank: Nedbank
Branch: Cape Town
Branch code: 100909
Account type: Cheque
Account number: 1009 590 596
Bank address: Clock Tower Precinct, V&A Waterfront,
Cape Town, 8001
Swift code: NEDSZAJJ

The Administrator requires proof of deposit or transfer together with receipt of the applicable documentation as set out in this form, before this application can be processed.

COLLECTION BY THE ADMINISTRATOR

Please indicate which bank details are to be used:

☐ The existing bank details on record OR

☐ New bank details as specified below

Bank: _____ Account number: _____

Branch: _____ Branch code: _____

Type of account: Current ☐ Transmission ☐ Savings ☐

Name of account holder: _____

A cancelled cheque or recent bank statement must accompany this application form as confirmation of proof of bank details.

REGULAR DEBIT ORDER AUTHORITY

I/We the undersigned, authorise Coronation Management Company (RF) (Pty) Ltd to draw against my/our bank account the debit order investment amounts in terms of this application on the ☐ 1st ☐ 7th ☐ 15th ☐ 28th day of each month for the investment at the ruling price on that day commencing on _____ / _____ / _____. All such withdrawals from my/our account will be treated as though they have been signed by me/us personally, and I/we request the bank to debit my/our account with these drawings. I/We acknowledge that debit order investments are subject to a 14-day clearance period.

Please increase my monthly debit order by the percentage indicated in Section B – Investment Options on the anniversary date each year for the duration of the debit order.

Authorised Signature/s: _____ Date: _____ / _____ / _____

D FINANCIAL ADVISOR DETAIL AND DECLARATION

Contact name: _____ Company: _____

Advisor account number: _____ Registration number: _____

FSP License Number: _____ Authorised advisor signature: _____

An "Accountable Institution" includes, but is not limited, to the following list of institutions: a Bank, Long-Term Insurer, Management Company registered in terms of the Collective Investment Schemes Control Act, a person who carries on the business of rendering financial advice or financial advisory services including a Public Accountant who carries on such business, member of a stock exchange licensed under the Securities Services Act No.36 of 2004 and a person who has been approved or who falls within a category of persons approved in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002. If this section is completed by an "Accountable Institution" acting on behalf of the client, the supporting documentation on page 2 need not be submitted to Coronation*. Coronation may however request it where it is deemed necessary.

I/We

- declare that I/we am/are a licensed Financial Service Provider(s) and have made the disclosures required in terms of the Collective Investment Schemes Control Act, 2002, and the Financial Advisory and Intermediary Services Act, No.37 of 2002, and subordinate legislation thereto, to the investor.
- acknowledge and confirm that, in my/our capacity as the primary accountable institution with Coronation being the secondary accountable institution, I/we have established and verified the identity of the client in accordance with Section 21 of the Financial Intelligence Centre Act, 2001("the Act"), and will keep records of such identification and verification according to the provisions of Section 22 of the Act.
- warrant that I/we have explained all fees that relate to this investment to the investor and I/we understand and accept that the investor may withdraw his/her authority for payment to me/us in writing to the fund.

Signed at: _____ on this _____ day of _____, year _____

Signature of financial advisor: _____

E FINANCIAL ADVICE FEES

(Please select an option)

- ☐ I acknowledge that I did not receive financial advice from either the Fund or a financial advisor; **OR**
- ☐ I hereby confirm that the Financial Advisor whose details are completed in the "Financial Advisor Details and Declaration" section above, is my appointed Financial Advisor and agree to payment of fees as follows:

Initial advice fee: ____ . ____ % (Negotiable to maximum 3% exclusive of VAT. Applied to each contribution and deducted before investment is made).

Ongoing advice fee: ____ . ____ % per annum of the market value of the investment portfolio, charged by way of unit reduction and paid to the Financial Advisor monthly in arrears (Negotiable to maximum 1% exclusive of VAT. If initial advice fee of greater than 1.5% is selected, then the maximum annual advice fee is 0.5%). This annual advice fee is not part of the normal annual management fee charged by the relevant Fund/s. This authority may be withdrawn by written notice to the Fund.

Signature of investor or authorised representative: _____

F DECLARATION BY INVESTOR

I/We understand and agree to be bound by the provisions of this application form. If on the date of signature of this application form an updated application form exists and the fees are different on that form, the fees on the updated application form will apply.

I/We understand and/or confirm that:

- The information contained herein is correct, and that if this application form is signed in a representative capacity, I /we have the necessary authority to do so and that this transaction is within my/our powers.
- I/We am/are acting for my/our own account and that I/we have made my/our decisions to enter into the investment and as to whether the investment is appropriate for me/us independently, based upon my/our own judgement, and upon advice from such advisors as I/we may deem necessary. I/We declare that I am/we are not relying on any communication from Coronation, whether written, oral or implied as investment advice or as a recommendation to enter into the investment. I/We understand that information and explanations relating to the terms of an investment shall not be considered investment advice or a recommendation to enter into the investment.
- Coronation will only be able to process investments on receipts of funds into the appropriate bank account, proof of deposit, and all relevant documentation.
- If the investment is cancelled after funds were deposited into our bank account, but before all relevant documentation (as required in terms of the Financial Intelligence Centre Act No. 38 of 2001) was submitted, Coronation shall be entitled to receive any such outstanding documentation prior to processing a refund.
- Coronation has provided access to performance fee information and the Performance Fee Frequently Asked Questions document, which can be viewed on the website www.coronation.com.
- Coronation will not be responsible for any failure, malfunction or delay of any networks, electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of applications and/or transactions. Coronation will not be liable to make good or compensate any investor or third party for any damages, losses, claims or expenses resulting there from.
- I/we understand the Coronation Fee Schedule which details the unit trust funds and fee information.
- I/We have read the appropriate comprehensive fact sheet information available on Coronation's website (www.coronation.com/za/personal/complete-fund-range-fact-sheets).
- I/we understand and agree to the General Information and Conditions which may be amended from time to time. The latest version of this document can be requested from the Client Service Centre on 0800 22 11 77, or viewed on the website www.coronation.com.

I/We indemnify Coronation accordingly.

Signature of Investor (1): _____ Signature of Investor (2): _____

Date: _____ / _____ / _____