

2010-2011

# Senior Compliance Officer Forums



**TENNESSEE BANKERS**  
ASSOCIATION *Service Since 1890*

## FORUM OVERVIEW

As the senior compliance officer for your bank, you need to stay up-to-date on all compliance issues affecting financial institutions. Your job requires many skills, much experience, and a lot of research and hard work — and no one knows that better than other people in your position. The 2010-2011 *Senior Compliance Officer Forums* provide an opportunity to benefit from the skills, experience, expertise, and perspective of your peers.

These forums, facilitated by Connie Edwards, CRCM, president, Banc Compliance Group, Inc, Franklin, TN, will bring together senior compliance officers, those for whom compliance is their primary responsibility within the institution, in a small-group setting to exchange ideas freely, dissect challenges openly, and discuss solutions that can improve the performance of your bank in the area of compliance.

These meetings will be held on a quarterly basis (four times per year) at the Barrett Training Center in Nashville. Each session will begin at 9:00 am and conclude at 3:00 pm with lunch included.

The cost of charter membership in the forums is \$1,200 and includes all four 2010-2011 sessions. Participation is on a first-come, first-served basis, and numbers will be limited.

## FORUM FACILITATOR



Connie Edwards, CRCM, is president and owner of Banc Compliance Group, Inc (BCG). During her 19+ year career in the legal and financial industries, her mission has been to promote the highest degree of compliance excellence in the organizations with which she has worked. In addition to her banking and compliance expertise, Edwards is also a dynamic, effective trainer, as evidenced by the superior evaluations given by participants in her training programs. The positive regulatory examination results enjoyed by BCG's client banks from their respective regulatory examiners is a testament to her success as both a communicator and compliance professional.

Edwards holds a BA degree in legal studies from the University of Central Florida and is a graduate of the American Bankers Association's National Compliance School. In June, 2003, she earned the distinguished designation of Certified Regulatory Compliance Manager from the Institute of Certified Bankers, a division of the American Bankers Association.

## DATES AND LOCATION

**NOTE:** Registration includes all four sessions

**November 15, 2010 - Session 1**

**February 24, 2011 - Session 2**

**May 12, 2011 - Session 3**

**August 18, 2011 - Session 4**

Barrett Training Center, Nashville

## TOPICS

Agenda topics are based on current and timely topics and issues. The following are some of the topics that will be included:

- Recent compliance exam issues—both lending and deposit
- Possible regulator “hot topics”
- Compliance challenges/successes
- Boards of directors and officer issues
- BSA exam challenges/topics
- Compliance officer education and resources
- Current and proposed regulatory agency compliance issues
- Networking opportunities with your compliance peers

## CONTINUING EDUCATION CREDIT



Attendants qualify for 5.5 hours per session of Continuing Professional Education (CPE) credit in the area of Specialized Knowledge and Application. No advanced preparation required for this program. For more information regarding administrative policies or complaints, please contact our offices at 615/244-4871.

Tennessee Bankers Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN, 37219-2417. Web site: [www.nasba.org](http://www.nasba.org)



Submitted for 5.5 hours per session of credit from the Institute of Certified Bankers (ICB); area to be determined. Please make application directly with ICB.

For additional continuing education credit information, call the TBA education department at 800/964-5525 or 615/244-4871.

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Barrett Training Center, 211 Athens Way, Nashville

800/964-5525 or 615/244-4871



**The meeting location is a  
designated nonsmoking  
facility and property**

**Must be included.  
For educational tracking only.**

SS# \_\_\_\_\_

SS# \_\_\_\_\_

Please print or type.

Name \_\_\_\_\_ E-mail \_\_\_\_\_

Name \_\_\_\_\_ E-mail \_\_\_\_\_

Company \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Contact \_\_\_\_\_

Phone \_\_\_\_\_ E-mail \_\_\_\_\_

## PROGRAM FEE - includes all four 2010-2011 sessions

The cost of charter membership in the forums is \$1,200 and includes all four 2010-2011 sessions. Registration deadline is November 5. Anyone added to the forums after that date will incur an additional \$50 late fee. Institutions withdrawing after that date will forfeit payment. Substitutions are welcome.

Fee covers continental breakfast, instructional materials, and lunch for each forum.

No written confirmation of program registration will be sent from the TBA.

TBA Members / Associate Members	
Registration - includes all four sessions	\$1,200
After November 5, 2010	\$1,250

TOTAL ENCLOSED \$ \_\_\_\_\_

Method of payment ☐ VISA ☐ MasterCard ☐ Check

CK# \_\_\_\_\_ (Make checks payable to Tennessee Bankers Association)

Card # \_\_\_\_\_ Exp Date \_\_\_\_\_

Name as appears on card (please print) \_\_\_\_\_

Card billing address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Signature of cardholder \_\_\_\_\_



To register online go to [www.TNBankers.org](http://www.TNBankers.org)

## GENERAL INFORMATION

**Attire:** Business casual attire is appropriate for all TBA programs. Because of temperature variations in meeting rooms, please bring a sweater or jacket for your comfort.

**Special Needs:** If you have a disability that may affect your participation in this program, please send TBA a statement regarding any special needs at least 10 days before the program. We will contact you to discuss accommodations.

**Inclement Weather Policy:** Cancellations due to inclement weather are refundable, minus a late cancellation fee of \$25 for a one-day program and \$40 for a two-day program. If you have questions concerning the status of a TBA program due to inclement weather, please call the TBA office at 615/244-4871 or 800/964-5525, x150. The TBA number will provide information concerning program status, cancellation fee, and refund requests. You may call the site where the program is being held to check the status of the program in your area. The site phone number is listed in this brochure.

### RETURN COMPLETED FORM AND PAYMENT TO:

#### Tennessee Bankers Association

ATTN: Monique Jenkins - [mjenkins@TNBankers.org](mailto:mjenkins@TNBankers.org)

211 Athens Way, Ste 100,

Nashville, TN 37228-1381

Phone: 615/244-4871 or 800/964-5525

Fax: 615/324-1990