



Saudi Railway Company (SAR)

Prequalification Document

FOR

Construction Supervision Consultancy

Jubail-Dammam CTW 120
&
Jubail Network CTW 130

June 2012

Preface

Saudi Railway Company -SAR (the Employer), Riyadh, Kingdom of Saudi Arabia, is planning to procure and finance the Construction Supervision Consultancy for packages CTW 120 from Jubail to Dammam and CTW 130 Jubail Network including facilities inside Jubail, (hereinafter referred to as the “Works”).

Packages CTW120 and CTW130 (the Project) form part of the country’s overall railway network expansion strategy. As a whole the Project will consist of approximately 200 km of rail line linking the North-South Railway with Dammam, the Jubail industrial cities and its ports and the existing Riyadh and Dammam railway networks.

The Employer intends to pre-qualify/shortlist supervision consultancy firms having relevant experience in Railway Track Construction Supervision Consultancy as described in Part 2, Scope of Works to participate in the final selection process. The Works will cover the construction supervision consultancy for railway line of about 115 Km from Jubail to Dammam and approximately 85 km inside Jubail.

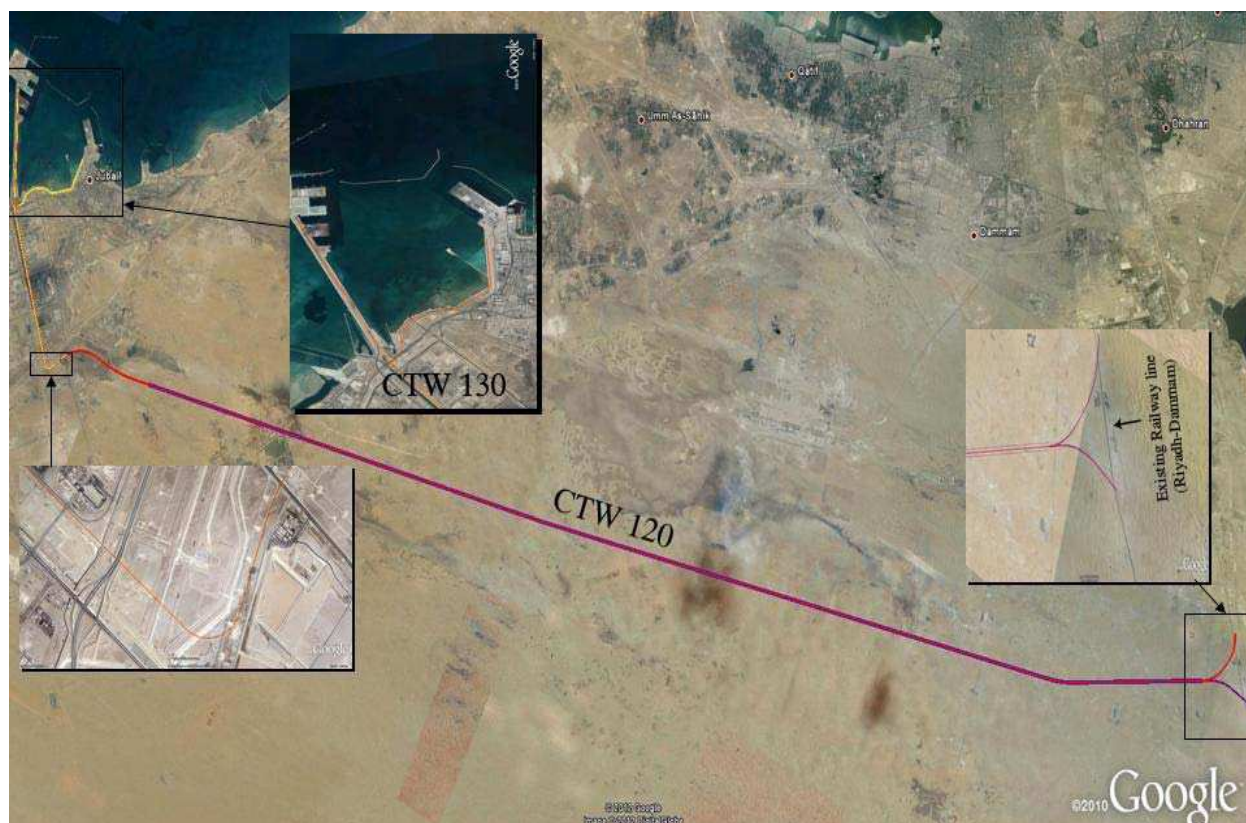
The implementation of a project of this size and complexity will require input of different consultants, contractors and suppliers. CTW120 will be procured on a Design and Build basis, whilst CTW130 will be the subject of detailed design procurement, with the construction works procured through International Competitive Bidding (ICB). SAR has already commenced the procurement of the services of the Design and Build Contractor of CTW 120 and the Detailed Engineering Design Consultant of CTW 130.

It is expected that pre-qualified applicants will be invited to submit bids during the month of August 2012.

The bidding documents shall reflect the provisions of the type of contract being time and material with method of payment, and other elements, such as time for completion, etc., shall be detailed in the bidding documents.

The primary objective of the contract for CSC services is to provide the overall monitoring, supervision, management and leadership necessary to deliver the Project according to the agreed schedule and within the budget, as a functional and financially viable project that meets SAR’s requirements.

The CSC will provide a single point of responsibility for the management of all stages of the Project, from inception through completion, including the design, procurement, construction supervision and commissioning, management of consultants, contractors and suppliers, cost and budget controls, scheduling, estimating, expediting, inspections and commissioning.



Jubail Damman Railway Link & Jubail Network

CTW-120 and CTW-130

Jubail Dammam Railway Link (CTW 120)

&

Jubail Network (CTW 130)

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PART 1 – Prequalification Procedures

Section I. Instructions to Applicants

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Section I. Instructions to Applicants

A. General

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| 1. Scope of Application | 1.1 In connection with the Invitation for Prequalification indicated in Section II, Prequalification Data Sheet (PDS), the Employer, as defined in the PDS , issues this Prequalification Document (PQD) to applicants interested in bidding for the works described in Part 2, Scope of Works. |
| 2. Eligible Applicants | <p>2.1 Local and International firms which have previously satisfactorily performed similar services on a similar project.</p> <p>The form of association(s) including Joint Venture (JV) with the formal intent, as evidenced by a letter of intent, to enter into an agreement or under an existing agreement. In the case of a JV, unless otherwise specified in the PDS, (i) all parties shall be jointly and severally liable, and (ii) there shall be no limit on the number of partners. The participation of local consultants is encouraged.</p> <p>2.2 Not used.</p> <p>2.3 The policy of SAR requires that consultants provide professional, objective, and impartial advice and at all times hold SAR's interests paramount, without any consideration for future work, and strictly avoid conflicts with other assignments or their own corporate interests. Consultants shall not be hired for any assignment that would be in conflict with their prior or current obligations to other clients, or that may place them in a position of not being able to carry out the assignment in the best interest of the client.</p> <p>2.5 An Applicant shall submit only one application in the same Prequalification process, either individually as an Applicant or as a partner of a joint venture. No Applicant can be a subconsultant while submitting an application individually or as a partner of a joint venture in the same Prequalification process. A subconsultant in any application may participate in more than one application, but only in that capacity. An Applicant who submits, or participates in, more than one application will cause all the applications in which the Applicant has participated to be disqualified.</p> <p>2.6 Not used.</p> <p>2.7 Government-owned entities in the Employer's Country shall be eligible only if they can establish that they are legally and financially autonomous, and operate under commercial law, and that they are not dependent agencies of the Employer.</p> <p>2.8 Applicants shall not be under execution of a Bid-Securing Declaration in the Employer's Country.</p> |

- 2.9 Applicants and all parties constituting the Applicant shall provide such evidence of their continued eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 2.10 Not Used
- 3. Eligible Goods and Related Services**
- 3.1 All goods and related services to be supplied under the Contract shall have been in accordance with the applicable Laws of the Kingdom of Saudi Arabia.

B. Contents of the Prequalification Document

- 4. Sections of Prequalification Document**
- 4.1 The document for the prequalification of Applicants (hereinafter - "prequalification document") consists of parts 1 and 2 which comprise all the sections indicated below, and should be read in conjunction with any Addendum issued in accordance with ITA 8.

PART 1 : Prequalification Procedures

- Section I. Instructions to Applicants (ITA)
- Section II. Prequalification Data Sheet (PDS)
- Section III. Qualification Criteria and Requirements
- Section IV. Application Forms
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PART 2 : Scope of Works

- 4.2 The "Invitation for Prequalification Applications" issued by the Employer is not part of the prequalification document. A sample form is provided as an attachment to this Prequalification Document for information only.
- 4.3 The Employer accepts no responsibility for the completeness of the prequalification document and its addenda unless they were obtained directly from the Employer or its designated source.
- 4.4 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish all information or documentation required by the Prequalification Document.
- 5. Clarification of Prequalification Document**
- 5.1 A prospective Applicant requiring any clarification of the Prequalification Document shall contact the Employer in writing at the Employer's address indicated in the **PDS**. The Employer will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of applications. Should the Employer deem it necessary to amend the prequalification document as a result of a clarification, it shall do so following the procedure under ITA 6 and in accordance with the provisions of ITA 15.2.
- 6. Amendment of Prequalification Document**
- 6.1 At any time prior to the deadline for submission of applications, the Employer may amend the Prequalification Document by issuing

addenda.

- 6.2 Any addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all who have obtained the prequalification document from the Employer.
- 6.3 To give prospective Applicants reasonable time to take an addendum into account in preparing their applications, the Employer may, at its discretion, extend the deadline for the submission of applications.

C. Preparation of Applications

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| 7. Cost of Applications | 7.1 The Applicant shall bear all costs associated with the preparation and submission of its application. The Employer will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process. |
| 8. Language of Application | 8.1 The application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Employer, shall be written in the language specified in the PDS . Supporting documents and printed literature that are part of the application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the language specified in the PDS , in which case, for purposes of interpretation of the application, the translation shall govern. |
| 9. Documents Comprising the Application | <p>9.1 The application shall comprise the following:</p> <ul style="list-style-type: none"> (a) Application Submission Form, in accordance with ITA 10; (b) documentary evidence establishing the Applicant's eligibility to prequalify, in accordance with ITA 11; (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 12; and (d) Any other document required as specified in the PDS. |
| 10. Application Submission Form | 10.1 The Applicant shall prepare an Application Submission Sheet using the form furnished in Section IV, Application Forms. This Form must be completed without any alteration to its format. |
| 11. Documents Establishing the Eligibility of the Applicant | 11.1 To establish its eligibility in accordance with ITA 2, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV, Application Forms. |
| 12. Documents Establishing the | 12.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the |

Qualifications of the Applicant

corresponding Information Sheets included in Section IV, Application Forms.

13. Signing of the Application and Number of Copies

- 13.1 The Applicant shall prepare one original of the documents comprising the application as described in ITA 9 and clearly mark it "ORIGINAL". The original of the application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant.
- 13.2 The Applicant shall submit copies of the signed original application, in the number specified in the **PDS**, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail

D. Submission of Applications

14. Sealing and Identification of Applications

- 14.1 The Applicant shall enclose the original and the copies of the application in a sealed envelope that shall:
- (a) bear the name and address of the Applicant;
 - (b) be addressed to the Employer, in accordance with ITA 15.1; and
 - (c) Bear the specific identification of this prequalification process indicated in the PDS 1.1.
- 14.2 The Employer will accept no responsibility for not processing any envelope that was not identified as required.

15. Deadline for Submission of Applications

- 15.1 Applicants may always submit their applications by mail or by hand. SAR's receipt of the application before the deadline is the responsibility of the applicant. When so specified in the **PDS**, applicants shall have the option of submitting their applications electronically in addition to the original submission, in accordance with electronic application submission procedures specified in the **PDS**. Applications shall be received by the Employer at the address and no later than the deadline indicated in the **PDS**. A receipt will be given for all applications submitted.
- 15.2 The Employer may, at its discretion, extend the deadline for the submission of applications by amending the Prequalification Document in accordance with ITA 6, in which case all rights and obligations of the Employer and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

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| 16. Late Applications | 16.1 Any application received by the Employer after the deadline for submission of applications prescribed in ITA 15 will be returned unopened to the Applicant. |
| 17. Opening of Applications | 17.1 Any specific electronic application opening procedures required if electronic submission of applications is permitted pursuant to Sub Clause 15.1 shall be as specified in the PDS . Employer shall prepare a record of the opening of applications that shall include, as a minimum, the name of the Applicant. A copy of the record shall be distributed to all Applicants. |

E. Procedures for Evaluation of Applications

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| 18. Confidentiality | <p>18.1 Information relating to the evaluation of applications, and recommendation for prequalification, shall not be disclosed to Applicants or any other persons not officially concerned with such process until the notification of prequalification is made to all Applicants.</p> <p>18.2 From the deadline for submission of applications to the time of notification of the results of the prequalification in accordance with ITA 26, any Applicant that wishes to contact the Employer on any matter related to the prequalification process, may do so but only in writing.</p> |
| 19. Clarification of Applications | <p>19.1 To assist in the evaluation of applications, the Employer may, at its discretion, ask any Applicant for a clarification of its application which shall be submitted within a stated reasonable period of time. Any request for clarification and all clarifications shall be in writing.</p> <p>19.2 If an Applicant does not provide clarifications of the information requested by the date and time set in the Employer's request for clarification, its application will be rejected.</p> |
| 20. Responsiveness of Applications | 20.1 The Employer will reject any application which is not responsive to the requirements of the prequalification document. |
| 21. Domestic Bidder Price Preference | 21.1 Not used |
| 22. Subconsultants | 22.1 Applicants planning to subconsult any of the key activities indicated in Section III, Qualification Criteria, shall specify the activity (ies) or parts of the works to be subconsulted in the Application Submission Form. Applicants shall clearly identify the proposed specialist subconsultants in Forms ELI-1.2 and EXP (experience)-4.2(b) in Section IV. Such proposed specialist subconsultant(s) shall meet the corresponding qualification requirements specified in Section III, |

Qualification Criteria and Requirements.

22.2 Not used

F. Evaluation of Applications and Prequalification of Applicants

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| 23. Evaluation of Applications | <p>23.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements to evaluate the qualifications of the Applicants. The use of other methods, criteria, or requirements shall not be permitted. The Employer reserves the right to waive minor deviations in the qualification criteria if they do not materially affect the capability of an Applicant to perform the contract.</p> <p>23.2 Not Used</p> <p>23.3 In case of multiple contracts, the Employer shall prequalify each Applicant for the maximum number and types of contracts for which the Applicant meets the appropriate aggregate requirements of such contracts, as specified in Section III, Qualification Criteria and Requirements.</p> |
| 24. Employer's Right to accept or Reject Applications | <p>24.1 The Employer reserves the right to accept or reject any application, and to annul the prequalification process and reject all applications at any time, without thereby incurring any liability to the Employer.</p> |
| 25. Prequalification of Applicants | <p>25.1 All Applicants whose applications have met or exceeded ("passed") the specified threshold requirements will, to the exclusion of all others, be prequalified by the Employer.</p> |
| 26. Notification of Prequalification | <p>26.1 Once the Employer has completed the evaluation of the applications it shall notify all Applicants in writing of the names of those applicants who have been prequalified.</p> |
| 27. Invitation to Bid | <p>27.1 Promptly after the notification of the results of the prequalification the Employer shall invite bids from all the Applicants that have been prequalified.</p> <p>27.2 Bidders may be required to provide a Bid Security or a Bid Securing Declaration acceptable to the Employer in the form and an amount to be specified in the Bidding Documents, and the successful Bidder shall be required to provide a Performance Security to be specified in the Bidding Documents.</p> |
| 28. Changes in Qualifications of Applicants | <p>28.1 Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 25 and invited to bid shall be subject to a written approval of the Employer prior to the deadline for submission of bids. Such approval shall be denied if as a consequence of the change the Applicant no longer substantially meets the qualification criteria set forth in Section III, Qualification Criteria and Requirements, or if in the opinion of the Employer, a</p> |

substantial reduction in competition may result. Any such changes shall be submitted to the Employer not later than 14 days after the date of the Invitation for Bids.

Section II. Prequalification Data Sheet

Section II. Prequalification Data Sheet

A. General

ITA 1.1	<p>The Employer is:</p> <p>Saudi Railway Company (SAR) Civil & Track Work Department DQ – Building 77 P.O. Box 64447, Riyadh, 11536 Tel: +966-1-250-1111 Fax: +966-1-4807517 E-Mail: prequalification@SAR.com.sa</p>
ITA 2.1	The applicants shall have a relevant professional registration and Shall be the Lead Partner in the form of associations including Joint Venture.
ITA 2.1	CTW120 (Jubail-Dammam) and CTW130 (Jubail Network) including facilities inside Jubail
ITA 2.1	<p>(i) The parties in a JV shall be jointly and severally liable.</p> <p>(ii) There shall be no limits in the maximum number of partners in the JV.</p>
B. Contents of the Prequalification Document	
ITA 5.1	<p>For clarification purposes, the Employer's address is:</p> <p><i>Same as in 1.1 above</i></p>
C. Preparation of Applications	
ITA 8.1	The language of the application as well as of all correspondence is English.

ITA 13.2	In addition to the original application , the number of copies to be submitted with the application is Two (2) .
D. Submission of Applications	
ITA 15.1	<p>Applicants shall also submit one copy of their application electronically in CD ROMS containing Microsoft Word/PDF files.</p> <p>For application submission purposes only, the Employer's address is:</p> <p>Saudi Railway Company (SAR) Procurement Department DQ – Building 77 P.O. Box 64447, Riyadh, 11536 Tel: +966-1-250-1111 Fax: +966-1-4807517</p> <p>The deadline for application submission is:</p> <p>Date: 21 July 2012</p> <p>Time: 2:00 pm</p>

Section III. Qualification Criteria and Requirements

This Section contains all the methods, criteria, and requirements that the Employer shall use to evaluate applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

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Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement		Joint Venture			Submission Requirements
				All Parties Combined	Each Partner	One Partner	
1. Eligibility							
1.1	Classification	Not Used.					
1.2	Conflict of Interest	No conflicts of interest in ITA Sub-Clause 2.3		Existing or intended JV must meet requirement	Must meet requirement	N/A	Application Submission Form

Eligibility and Qualification Criteria		Compliance Requirements			Documentation	
No.	Subject	Requirement	Joint Venture			Submission Requirements
			All Parties Combined	Each Partner	One Partner	
2. Historical Contract Non-Performance						
2.1	History of Non-Performing Contracts	Non-performance of a contract did not occur within the last five (5) years prior to the deadline for application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.	N/A	Must meet requirement by itself or as partner to past or existing JV	N/A	Form CON-2
2.2	Failure to Sign Contract	Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 2.8 for five (5) years	Must meet requirement	Must meet requirement	N/A	Application Submission Form
2.3	Pending Litigation	All pending litigation shall in total not represent more than Ten percent (10%) of the Applicant's net worth and shall be treated as resolved against the Applicant	N/A	Must meet requirement by itself or as a partner to past or existing JV	N/A	Form CON – 2

Eligibility and Qualification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Joint Venture			Submission Requirements
			All Parties Combined	Each Partner	One Partner	
3. Financial Situation						
3.1	Financial Performance	Submission of audited balance sheets or if not required by the law of the Applicant's country, other financial statements acceptable to the Employer, for the last five (5) years to demonstrate: (a) the current soundness of the applicant's financial position and its prospective long term profitability, and (b) capacity to have a cash flow amount of US\$ 20 million equivalent	N/A (a) N/A (b) Must meet requirement	Must meet requirement (a) Must meet requirement (b) N/A	N/A (a) N/A (b) N/A	Form FIN – 3.1 with attachments
3.2	Average Annual Turnover	Minimum average annual turnover of US\$ 100 million Dollars, calculated as total certified payments received for contracts in progress or completed, within the last 5 years.	Must meet requirement	Must meet 30% of the requirement	N/A	Form FIN – 3.2

Eligibility and Qualification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Joint Venture			Submission Requirements
			All Parties Combined	Each Partner	One Partner	
4. Experience						
4.1	Applicant's General CSC Experience	Experience on projects which are not similar but are important to judge the capacity of the firm	N/A	Must meet requirement	N/A	Form EXP – 4.1
4.2 (a)	Applicant's Specific CSC Experience	Experience on similar projects of matching magnitude/complexity in at least 2 contracts within the last 5 years, each with a value of at least US \$100 Million that have been successfully and substantially completed. The similarity shall be based on the physical size, complexity, methods/technology or other characteristics as described in Part 2:Scope of Works	Must meet requirement	N/A	Must meet requirement	Form EXP 4.2(a)
4.2 (b)	CSC Key Activities	For the above or other contracts executed during the period stipulated in 4.2 (a) above, a minimum experience in the following key activities are listed below	Must meet requirements	N/A	Must meet the following requirements for the key activities listed below	Form EXP – 4.2 (b)
		Continuous Rail Welding	Must meet requirements	N/A	Continuous Rail Welding	Previous Project Details and technology used

Eligibility and Qualification Criteria		Compliance Requirements			Documentation	
No.	Subject	Requirement	Joint Venture			Submission Requirements
			All Parties Combined	Each Partner	One Partner	
		Civil and Earthworks	Must meet requirements	N/A	Civil and Earthworks	At least two previous successful Project Details
		Bridges and other structures	Must meet requirements	N/A	Bridges and other structures	At least two previous successful Project Details
		Commissioning & Testing	Must meet requirements	N/A	-Civil Structures -Buildings -S & T	At least two previous successful Project Details
		Track, Civil, and Structures Design	Must meet requirements	N/A	Railway Engineering Subject	At least two previous successful Project Details

Section IV. Application Forms

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Application Submission Form

Date: 21 July 2012

CTW 120 (Jubail-Dammam), and CTW 130 (Jubail Network) including facilities inside Jubail

To: **Attention to CEO**
Saudi Railway Company (SAR)
DQ – Building 77
P. O. Box 64447, Riyadh, 11536
Tel: +966-1-250-1111
Fax: +966-1-4807517
E-Mail: prequalification@SAR.com.sa

We, the undersigned, apply to be prequalified for CTW 120 (Jubail-Dammam), and CTW 130 (Jubail Network) including facilities inside Jubail and declare that:

- (a) We have examined and have no reservations to the Prequalification Documents, including Addendum(s) No(s). issued in accordance with Instructions to Applicants (ITA) Clause 6: *[insert the number and issuing date of each addendum]*.
- (b) We, including any sub consultants or suppliers for any part of the contract resulting from this prequalification, do not have any conflict of interest, in accordance with ITA Sub-Clause 2.3;
- (c) We, including any sub consultants or suppliers for any part of the contract resulting from this prequalification, have not been declared ineligible by the Employer, or under the Employer's country laws, official regulations, or under execution of a Bid Securing Declaration in the Employer's Country, or by an act of compliance with a decision of the United Nations Security Council, in accordance with ITA Sub-Clause 2.8;
- (d) *[insert either "we are not a Government owned entity" or "we are a Government entity, and we meet the requirements of ITA Sub-Clause 2.7];*
- (e) We, in accordance with ITA Sub-Clause 22.1, plan to subconsult the following key activities and/or parts of the works:

[insert any of the key activities identified in Section III- 4.2 (b) which the Applicant intends to subconsult]
- (f) We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the prequalification process, the corresponding bidding process or execution of the Contract:

<u>Name of Recipient</u>	<u>Address</u>	<u>Reason</u>	<u>Amount</u>
Invitation to Prequalification		North-South Railway Project	

<i>[insert full name for each occurrence]</i>	<i>[insert street/ number/city/country]</i>	<i>[indicate reason]</i>	<i>[specify amount in US\$ <u>equivalent</u>]</i>
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_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

[If none has been paid or is to be paid, indicate "none".]

- (g) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any application that you may receive nor to invite the prequalified applicants to bid for the contract subject of this prequalification, without incurring any liability to the Employer, in accordance with ITA Clause 24.

Signature of Authorized representative (s) of the Applicant

Full Name:

In the Capacity of:

Duly authorized to sign the application for and on behalf of:

Applicant's Name and Address:

Dated on day of

Form ELI -1.1

Applicant Information Form

Date:
Project Title:
Page of

Applicant's legal name
In case of Joint Venture (JV), legal name of each partner:
Applicant's Actual or Intended country of constitution:
Applicant's actual or Intended year of constitution:
Applicant's legal address in country of constitution:
Applicant's authorized representative information Name: Address: Telephone/Fax numbers: E-mail address:
Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation or Documents of Constitution, and documents of registration of the legal entity named above, in accordance with ITA 2.1. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 2.1. <input type="checkbox"/> In case of Government owned entity, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITA 2.7.

Form ELI -1.2

Applicant's Party Information Form

[The following form shall be filled in for the Applicant's parties including partner(s) of a joint venture, subconsultants, suppliers and other parties]

Date:

Project Title:

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JV applicant legal name:
Applicant's Party legal name:
Applicant's Party country of registration:
Applicant Party's year of constitution:
Applicant Party's legal address in country of constitution:
Applicant Party's authorized representative information Name: Address: Telephone/Fax numbers: E-mail address:
Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation or Documents of Constitution, and Registration Documents of the legal entity named above, in accordance with ITA 2.1. <input type="checkbox"/> In case of Government owned entity, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITA 2.7.

Form CON – 2

Historical Contract Non-Performance

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Applicant's Legal Name:

Date:

Joint Venture Party Legal Name:

Project Title:

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Non-Performing Contracts in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur during the five years specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.1.			
<input type="checkbox"/> Contract(s) not performed during the five years specified in Section III, Qualification Criteria and Requirements, requirement 2.1			
Year	Non performed portion of contract	Contract Identification	Total Contract Amount (current value, US\$ equivalent)
		Contract Identification: Name of Employer: Address of Employer: Reason(s) for non performance:	
Pending Litigation, in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3.			
<input type="checkbox"/> Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3 as indicated below.			

Year	Outcome as Percentage of Total Assets	Contract Identification	Total Contract Amount (current value, US\$ equivalent)
		Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: Address of Employer: Matter in dispute:	

Form FIN – 3.1 Financial Situation

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Applicant's Legal Name:
Applicant's Party Legal Name:
Project Title:
Page of

Date:

1. Financial data

Financial information in (US\$ equivalent in 000s)	Historic information for previous 5 (Five) years, (US\$ equivalent in 000s)				
	Year 1	Year 2	Year 3	Year 4	Year 5
Information from Balance Sheet					
Total Assets (TA)					
Total Liabilities (TL)					
Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					

2. Financial documents

The Applicant and its parties shall provide copies of the balance sheets and/or financial statements for 5 years pursuant Section III, Qualifications Criteria and Requirements, Sub-factor 3.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or partner to a JV, and not sister or parent companies.
 - (b) be audited by a certified accountant.
 - (c) be complete, including all notes to the financial statements.
 - (d) correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).
- ☐ Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the five years required above; and complying with the requirements

Form FIN - 3.2 Average Annual Turnover

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Applicant's/Joint Venture Partner's Legal Name:

Date:

Applicant's Party Legal Name:

Project Title:

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Annual turnover data		
Year	Amount and Currency	US\$ equivalent
Average Annual Turnover *		

* Average annual turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Section III, Qualification Criteria and Requirements, Sub-Factor 3.2.

Form EXP - 4.1 General CSC Experience

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Applicant's/Joint Venture Partner's Legal Name:

Date:

Applicant JV Party Legal Name:

Project Title:

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[Identify contracts that demonstrate continuous CSC work over the past [number] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Starting Month / Year	Ending Month / Year	Contract Identification	Role of Applicant
		Contract name: Brief Description of the Works performed by the Applicant: Amount of contract: Name of Employer: Address:	
		Contract name: Brief Description of the Works performed by the Applicant: Amount of contract: Name of Employer: Address:	
		Contract name: Brief Description of the Works performed by the Applicant: Amount of contract: Name of Employer: Address:	

Form EXP - 4.2(a) Similar CSC Experience

[The following table shall be filled in for contracts performed by the Applicant, each partner of a Joint Venture, and specialist subconsultants]

Applicant's/Joint Venture Partner's Legal Name:

Date:

JV Party Name:

Project Title:

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Similar Contract No.	Information		
Contract Identification			
Award date			
Completion date			
Role in Contract	Project Management Consultant <input type="checkbox"/>	Construction Supervision Consultant <input type="checkbox"/>	Sub consultant <input type="checkbox"/>
Total Contract Amount			
If partner in a JV, or subconsultants, specify participation in total contract amount			
Employer's Name:			
Address:			
Telephone/fax number			
E-mail:			

Form EXP - 4.2(a) (cont.) Similar CSC Experience (cont.)

Similar Contract No.	Information
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:	
1. Amount	
2. Physical size	
3. Complexity	
4. Methods/Technology	
5. Other Characteristics	

Form EXP - 4.2(b)

CSC Experience in Key Activities

Applicant's Legal Name:

Date:

Applicant's Party Legal Name:

Nominated Legal Name:

Project Title:

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All Specialist Subconsultants for key activities must complete the information in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 4.2.

1. Key Activity No. 1:

	Information		
Contract Identification			
Award date			
Completion date			
Role in Contract	Project Management Consultant <input type="checkbox"/>	Construction Supervision Consultant <input type="checkbox"/>	Subconsultant <input type="checkbox"/>
Total Contract Amount			
If party in a JV, specify participation of total contract			

	Information
Employer's Name:	
Address:	
Telephone/fax number	
E-mail:	

2. Activity No. 2

3.

	Information
Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:	
<u>Please attach Project Photos</u>	

PART 2 – Scope of Works

PART 2 - Scope of Works

Saudi Railway Company -SAR (the Employer), Riyadh, Kingdom of Saudi Arabia, is planning to procure and finance the Construction Supervision Consultancy for packages CTW 120 from Jubail to Dammam and CTW 130 Jubail Network including facilities inside Jubail (hereinafter referred to as the “Works”).

The Employer intends to pre-qualify/shortlist supervision consultancy firms having relevant experience in Railway Construction Supervision as described in Part 2, Scope of Works to participate in the final selection process. The Works will cover the construction supervision consultancy for railway line of about 115 Km from Jubail to Dammam and 85 km inside Jubail.

Generally, the Consultant’s assignment comprises the following broad components:

- i. Project Management Support: The consultant will provide overall project management services.
- ii. Contract Administration and Supervision during construction & providing technical support in the process of land acquisition.
- iii. Advice and support in procurement of Rail Transport Operations
- iv. Design Review:
CTW 120 is a design build contract. The CTW 130 detailed design is currently under execution.
- v. Design of facilities including workshops.
- vi. Design of signaling and control system.
- vii. System Set-up
- viii. Project Planning
- ix. Procurement of Works, Goods and Services such as rolling stock.
- x. Testing and Commissioning
- xi. Miscellaneous Services