



Maker Bhavan - II, 1st Floor, 18, Sir Vitthaladas Thackersey Marg,  
New Marine Lines, Mumbai- 400 020.  
Toll Free - 1800 22 5600 • Fax: 022-2204 4990.  
Website: www.principalindia.com E-mail: customer@principalindia.com

# Application Form for Principal Child Benefit Fund - Career Builder Plan

Application No. \_\_\_\_\_

Please read the instructions before filling the Application Form

## BROKER INFORMATION & APPLICATION RECEIPT DATE

Broker Name & Code	Sub-Broker Code	I-Code	Registrar Serial No.	Bank Serial No.	Date & Time of Receipt

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor.

### 1 EXISTING UNITHOLDERS DETAILS (Donor to fill in the Unit holder / Beneficiary) (Please note that the applicant details and mode of holding are as per the existing Folio Number)

Common Account No. \_\_\_\_\_  
Name of Sole / First Unit Holder \_\_\_\_\_

### 2 DONOR INFORMATION (Please fill in BLOCK LETTERS with black/blue ink, use one box for one alphabet leaving one box blank between two words)

NAME OF APPLICANT / DONOR  Mr.  Ms  
F I R S T N A M E M I D D L E N A M E L A S T N A M E

PAN \_\_\_\_\_ Please attach copy of KYC acknowledgement letter^ Enclosed (please )  PAN copy

Parent / Guardian Name  Mr.  Ms (if first applicant is a Minor)/ Contact Person (DESIGNATION in case of non-individual Investors - PAN not required for contact person)  
F I R S T N A M E M I D D L E N A M E L A S T N A M E

Date of Birth D D M M Y Y Y Y PAN \_\_\_\_\_ Enclosed (please )  
Please attach copy of KYC acknowledgement letter^  PAN copy

ADDRESS OF APPLICANT / DONOR [P.O. Box Address is not sufficient]  
C I T Y L A N D M A R K P I N C O D E  
S T A T E C O U N T R Y

OVERSEAS ADDRESS (in case the Applicant / Donor is NRI/FII/PIO) [P.O. Box Address is not sufficient]  
C I T Y Z I P C O D E  
S T A T E C O U N T R Y

### CONTACT DETAILS OF APPLICANT / DONOR (Please ensure that you fill in the contact details for us to serve you better.)

Phone O R Fax  
Mobile  I / We wish to receive updates via SMS on my mobile (Please )  
e-mail I N B L O C K L E T T E R S

I/We wish to receive the following documents via e-mail in lieu of physical document(s) [Please ]  Account Statement  Newsletter  Annual Report  Other Statutory Returns / Information

### STATUS OF DONOR / APPLICANT (Please )

Resident Individual  Partnership Firm  AOP  BOI  
 Minor  Bank / FII  Society/Club  Others (Please specify)  
 HUF  Trust  Company

### IF APPLICANT / DONOR IS A NON-RESIDENT

NRI (Repatriable)  FII (Repatriable)  NRI Minor (Repatriable)  
 PIO  NRI (Non Repatriable)  NRI Minor (Non Repatriable)

### OCCUPATION OF DONOR / APPLICANT (Please )

Business  Service  Profession  Retired  
 Agriculture  House Wife  Student  
 Others (Please specify)

### MODE OF HOLDING

Single

### 3 UNITHOLDER / BENEFICIARY INFORMATION (Please fill in BLOCK LETTERS & with black/blue ink use one box for one alphabet leaving one box blank between two words)

NAME OF UNITHOLDER / BENEFICIARY  Mr.  Ms  
F I R S T N A M E M I D D L E N A M E L A S T N A M E

Date of Birth D D M M Y Y Y Y PAN \_\_\_\_\_ Enclosed (please )  
Please attach copy of KYC acknowledgement letter^  PAN copy

^ In case the investments are ₹ 50,000 and above, it is mandatory to attach a copy of Know Your Customer (KYC) Acknowledgement letter issued by CDSL Ventures Limited / printout of KYC compliance status downloaded from CVL website alongwith the application form.

ADDRESS OF UNITHOLDER / BENEFICIARY [P.O. Box Address is not sufficient]  
C I T Y L A N D M A R K P I N C O D E  
S T A T E C O U N T R Y

OVERSEAS ADDRESS (in case the Unitholder / Beneficiary is NRI/FII/PIO) [P.O. Box Address is not sufficient]  
C I T Y Z I P C O D E  
S T A T E C O U N T R Y

### CONTACT DETAILS OF UNITHOLDER / BENEFICIARY (Please ensure that you fill in the contact details for us to serve you better.)

Phone O R Fax  
Mobile  I / We wish to receive updates via SMS on my mobile (Please )  
e-mail I N B L O C K L E T T E R S

I/We wish to receive the following documents via e-mail in lieu of physical document(s) [Please ]  Account Statement  Newsletter  Annual Report  All Statutory Returns / Information

### ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

ARN No: \_\_\_\_\_

Received from \_\_\_\_\_  
Cheque/DD No. \_\_\_\_\_ Dated: D D / M M / Y Y Y Y  
Drawn on Bank & Branch \_\_\_\_\_  
Scheme \_\_\_\_\_  
Amount ₹ \_\_\_\_\_  
Please Note : All purchases are subject to realisation of payment instrument

Signature, Stamp & Date

**STATUS OF UNITHOLDER / BENEFICIARY (Please ✓)**

- Resident Individual    Partnership Firm    AOP    BOI  
 Minor    Bank / Fil    Society/Club    Others (Please specify)  
 HUF    Trust    Company

**IF UNITHOLDER / BENEFICIARY IS A NON-RESIDENT**

- NRI (Repatriable)    FII (Repatriable)    NRI Minor (Repatriable)  
 PIO    NRI (Non Repatriable)    NRI Minor (Non Repatriable)

**NAME OF GUARDIAN (in case Unitholder / Beneficiary is a Minor)**

\_\_\_\_\_  Mr.  Ms

Minor's Relationship \_\_\_\_\_ **STATUS (Please ✓)**  Individual  Trust  Others **STATUS (Please ✓)**  Resident  Non-Resident

**4 PERSONAL IDENTIFICATION NUMBER (To serve you better)**

Do you want a PIN assigned ?  Yes  No (In case you would want a PIN assigned; please submit a duly filled and signed PIN Form along with this Application. PIN form is part of the application form / available at request / can also be downloaded from our website.)

**5 PAYMENT DETAILS (Mandatory) - Please ensure there is only one cheque/DD per application form**

Investment Amount (₹) \_\_\_\_\_ DD Charges (₹) \_\_\_\_\_ Net Amount (₹) \_\_\_\_\_

Mode of Payment (Please ✓)  Cheque  DD \*Cheque / DD No. \_\_\_\_\_ Dated DD / MM / YY / YY / YY / YY

Account No. \_\_\_\_\_ Account Type (Please ✓)  Savings  Current  NRE  NRO  FCNR  NRSR

Drawn on Bank & \_\_\_\_\_  
Branch \_\_\_\_\_  
City \_\_\_\_\_

\* Please mention the Application No. on the reverse of the Cheque/DD. All cheques/drafts should be made out in favour of the "Name of the Scheme of Principal Mutual Fund" and crossed "Account Payee Only".

**6 BANK ACCOUNT DETAILS (Mandatory)**

Bank Name (Do not abbreviate) \_\_\_\_\_  
Account No. \_\_\_\_\_ (Please provide the full account number) Branch / City \_\_\_\_\_  
Branch Address \_\_\_\_\_ Pin Code \_\_\_\_\_

Account Type (Please ✓) For Residents  Savings  Current For Non-Resident  NRO  NRE  Repatriable  Non-Repatriable  Others \_\_\_\_\_

MICR Code \_\_\_\_\_ This is a 9 digit number next to your Cheque No. \_\_\_\_\_

Only for IFSC Code \_\_\_\_\_ NEFT Code \_\_\_\_\_

Essential Enclosures : (For Direct Credit)  
 Blank cancelled cheque  Copy of cheque

Direct Credit Facility is currently available with : BNP Paribas Bank, Citibank, Deutsche Bank, ICICI Bank, IDBI Bank, HDFC Bank, HSBC Bank, Kotak Mahindra Bank, Punjab National Bank, Standard Chartered Bank, Axis Bank, Indusind Bank and Development Credit Bank (only for dividend). For an update in this list please contact any of our ISC at the contact details provided overleaf.

• Please verify and ensure the accuracy of the bank details provided above, as it shall appear in your account statement which shall be issued to you should your application be accepted. Principal Mutual Fund shall not be held responsible for delays or errors in processing your request if the information provided is incomplete or inaccurate.

**7 ALTERNATE BENEFICIARY INFORMATION**

Name of Alternate Beneficiary Mr/Ms/Mrs \_\_\_\_\_ (ALL CAPITAL LETTERS) Date of Birth DD / MM / YYYY  
(First Name) (Middle Name) (Last Name)

Name of Guardian (in case Alternate Beneficiary is a Minor) \_\_\_\_\_ Minor's Relationship \_\_\_\_\_

**8 DOCUMENTS ENCLOSED (Please ✓)**

- Memorandum & Article of Association    Trust Deed    Bye-Laws    Partnership Deed  
 Resolution / Authorisation to invest    List of Authorised Signatories with Specimen Signature(s)  
 Power Of Attorney

**9 DECLARATION AND SIGNATURES**

I/We have read and understood the contents of the Scheme Information Document/s to the Scheme(s) including the sections on "Prevention of Money Laundering and Know Your Customers". I/We hereby apply to the Trustees of the Principal Mutual Fund (the Mutual Fund) for units of the Scheme as indicated above ("the Scheme") and agree to abide by the terms and conditions, of the Scheme and such other scheme(s) of the Mutual Fund [Scheme(s)] into which my/our investment may be moved pursuant to any instruction received from me/us to sweep/switch the units as applicable to my / our investment including any further transaction under the Scheme(s). I / We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We further declare that the amount invested by me/us in the Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, and regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time.

I/We further confirm that I/we have the express authority from the relevant constitution to invest in the units of the Scheme and the Principal Pnb Asset Management Company Pvt. Ltd. [AMC], its Trustee and the Mutual Fund would not be responsible if the investment is ultra vires the relevant constitution.

I/We further confirm that the ARN holder (Broker/Sub-Broker) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) has been recommended to me/us.

I / We authorize AMC to reject the application, reverse the units credited, restrain me/us from making any further investment in any of the Scheme/s of Principal Mutual Fund, recover / debit my/our folio(s) with the penal interest and take any appropriate action against me/us in case the cheque(s) / payment instrument is /are returned unpaid by my/our bank for any reason whatsoever.

I/We hereby further agree that AMC can directly credit all the dividend payouts and redemption amount to my / our bank account, where AMC has such arrangement with my / our Bank.

<b>SIGNATURES</b>	Signature / Thumb Impression of Donor / Applicant / POA Holder	APPLICANT SIGNATURE	POA HOLDER SIGNATURE
	POA Details - Name		
	PAN Enclosed (please ✓) <input type="checkbox"/> PAN copy (Attach copy of KYC acknowledgement letter <sup>A</sup> )		
	Signature / Thumb Impression of Unitholder / Guardian	APPLICANT SIGNATURE	POA HOLDER SIGNATURE
POA Details - Name			
PAN Enclosed (please ✓) <input type="checkbox"/> PAN copy (Attach copy of KYC acknowledgement letter <sup>A</sup> )			

**Applicable to NRIs only:**

I / We confirm that I am / we are Non-Residents of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in Applicant's Non-Residents External / Ordinary Account / FCNR Account.

<sup>A</sup> In case the investments are ₹ 50,000 and above, it is mandatory to attach a copy of Know Your Customer (KYC) Acknowledgement letter issued by CDSL Ventures Limited / printout of KYC compliance status downloaded from CVL website alongwith the application form.



**Principal Mutual Fund**  
Exchange Plaza, 'B' Wing, 2nd Floor, NSE Building,  
Bandra Kurla Complex, Bandra (E),  
Mumbai - 400 051.  
(Not an Official Point of Acceptance)

For investment related enquiries, Investor Grievance please contact:  
**Principal Mutual Fund**  
Maker Bhavan - II, 1st Floor, 18, Sir Vithaldas Thackersey Marg,  
New Marine Lines, Mumbai- 400 020.  
TOLL FREE: 1800 22 5600. Fax: 022-2204 4990.  
Email : customer@principalindia.com Website : www.principalindia.com

**CHECK LIST :** Please ensure the following : • Application form is complete in all respects and signed by all Applicants • Bank Account details are filled • Copy of Know Your Customer (KYC) Acknowledgement letter issued by CDSL Ventures Ltd / printout of KYC compliance status downloaded from CVL website for investment of ₹ 50,000 & above • Appropriate options are filled • All cheques/drafts should be made out in favour of the "Name of the Scheme of Principal Mutual Fund" and crossed "Account Payee Only" • If you are investing for the first time, please ensure that you fill in the contact details for us to serve you better.