

IRA DEPOSIT FORM

(Internal Account Journal and ACH Authorization)



HILLIARD LYONS

J.J.B. HILLIARD, W.L. LYONS, LLC | MEMBER NYSE, FINRA & SIPC

☐ INITIAL DEPOSIT ☐ AMENDED REQUEST ☐ CANCEL REQUEST

BROKERAGE ACCOUNT INFORMATION

ACCOUNT NUMBER _____

NAME _____

TYPE OF DEPOSIT

☐ IRA CONTRIBUTION ☐ ROTH CONTRIBUTION ☐ SEP CONTRIBUTION
☐ ROLLOVER* ☐ COVERDELL ESA SIMPLE ☐ Employer ☐ Employee

**A Traditional or Roth Rollover Certification Form is required for any rollover deposit*

DEPOSIT INFORMATION

\$ _____ TAX YEAR: _____ \$ _____ TAX YEAR: _____

INTERNAL ACCOUNT BROKERAGE JOURNAL

TRANSFER FROM SINGLE/JOINT BROKERAGE ACCOUNT NUMBER: _____

TRANSFER FROM BANK TO BROKERAGE

(All systematic deposits will be coded as Current Year contributions)

Amount: \$ _____ Start Date _____

Frequency: ☐ One-time ☐ Monthly ☐ Quarterly End Date: _____

BANK ACCOUNT INFORMATION

REGISTRATION		
BANK NAME	CITY	STATE
ROUTING#	ACCOUNT#	TYPE: <input type="checkbox"/> CHECKING <input type="checkbox"/> SAVINGS

Please attach a voided check (checking) or deposit slip (savings) with any ACH requests.

This authority is to remain in full force and effect until Hilliard Lyons is directed in writing from me (us) of its termination in such time and in such manner as to afford Hilliard Lyons a reasonable opportunity to act on it, but in no event will it occur later than three business days prior to the scheduled date of transaction. In the event of an erroneous charge to the account, I will send written notice to the bank within sixty (60) days of the first statement of account on which the error appeared.

In the event of an excess contribution (as defined in Code Section 4973(b)) for the applicable tax year, I agree to indemnify and hold harmless Hilliard Lyons of any tax liabilities or fees that would be applicable in the removal of the excess.

SIGNATURES (ALL BANK AND BROKERAGE ACCOUNT OWNERS MUST SIGN)

If the registrations for the bank account and the brokerage account are not the same, signatures of all account holders are required before a withdrawal from the bank account can be initiated on the brokerage account. If funds are to be transferred from a joint brokerage account both owners must sign.

BY SIGNING BELOW I/WE AGREE I/WE HAVE READ AND UNDERSTAND ALL TERMS AND CONDITIONS OF THIS DOCUMENT AND HAVE RECEIVED A COPY OF THIS AGREEMENT.

Signature 1	Name	Date
Signature 2	Name	Date

BRANCH OFFICE MUST COMPLETE

LOA Number: _____ Processor Name: _____