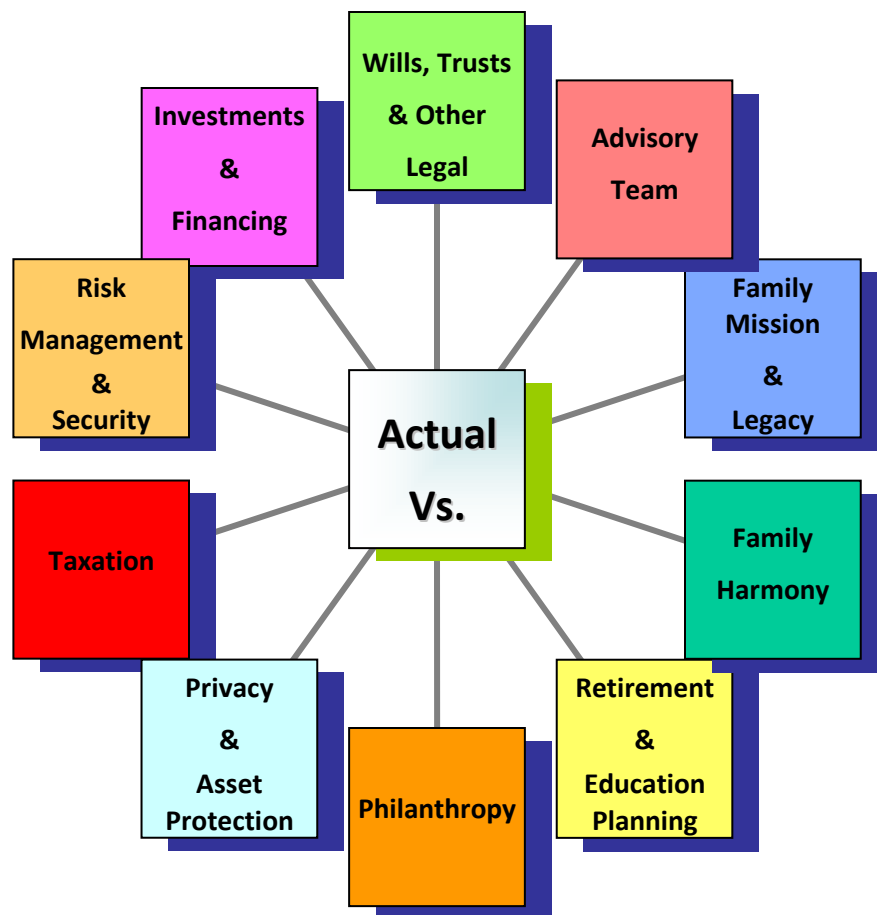


Planning Data

Family & General Information



Wealth Legacy Assessment™ Process

Wealth Legacy Group, Inc.
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Today's Date: _____

Family Information

| Name | Relationship | Date of Birth | Age | Social Security Number | U.S. Citizen |
|---|--------------|---|-----|------------------------|--------------|
| | Client | / / | | - - | |
| | Client | / / | | - - | |
| Home Address | | Other Address | | | |
| street address | | street address | | | |
| city, state, zip | | city, state, zip | | | |
| phone number(s) | | phone number(s) | | | |
| fax number(s) | | fax number(s) | | | |
| e-mail address | | e-mail address | | | |
| Client #1's Work Address | | Client #2's Work Address | | | |
| company name | | company name | | | |
| street address | | street address | | | |
| street address | | street address | | | |
| city, state, zip | | city, state, zip | | | |
| phone number(s), is your voice mail confidential? | | phone number(s), is your voice mail confidential? | | | |
| fax number(s), is your fax confidential? | | fax number(s), is your fax confidential? | | | |
| e-mail address, is your e-mail confidential? | | e-mail address, is your e-mail confidential? | | | |
| web site address | | web site address | | | |
| What method of communication do you find most convenient (e.g., voice-mail, e-mail, telephone, etc.)? | | | | | |

notes

Family Information

Family Record

Include and identify all children (i.e., children of current marriage, prior marriages, adopted, deceased, etc.), and grandchildren and their spouses as appropriate. Include additional family members as appropriate (e.g., mothers, fathers, brothers, sisters, nieces, nephews, etc.). Indicate in space below, education or employment activities of children and spouses; financial status, marital stability, health status and place of residence.

| Name | Relationship | Date of Birth | Age | SS Number |
|------|--------------|---------------|-----|-----------|
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notes

Family Information

Marital Information

- ☐ Date of marriage
 - ☐ Premarital or property agreement
 - ☐ Wife prior marriage(s)
 - ☐ Wife name of former spouse(s)
 - ☐ Wife date of dissolution
 - ☐ Wife support obligation(s)
 - ☐ Wife support received
 - ☐ Husband prior marriage(s)
 - ☐ Husband name of former spouse(s)
 - ☐ Husband date of dissolution
 - ☐ Husband support obligation(s)
 - ☐ Husband support received
-

Parental Information

- Wife's mother's age and health
 - Wife's father's age and health
 - If parents are deceased, any inheritances received
 - Wife's parent's financial position
 - Wife's support obligations to parents
 - Wife's expected inheritances from parents
 - Wife's parents place of residence
 - Husband's mother's age and health
 - Husband's father's age and health
 - If parents are deceased, any inheritances received
 - Husband's parents financial position
 - Husband's support obligations to parents
 - Husband's expected inheritances from parents
 - Husband's parents place of residence
-

Family Information

Advisor Data

Attorney / Firm

How long have you worked with him/her?

What is area of expertise?

What work has been/is done for you?

How often do you meet and what do you discuss?

If your situation merits intricate estate and tax planning, would you consider using an estate/tax planning specialist?

Accountant / Firm

How long have you worked with him/her?

What is area of expertise?

What work has been/is done for you?

How often do you meet and what do you discuss?

Family Information

Advisor Data

Insurance Agent / Company

How long have you worked with him/her?

What is area of expertise?

What work has been/is done for you?

How often do you meet and what do you discuss?

Investment Advisor - Stock Broker / Company

How long have you worked with him/her?

What is area of expertise?

What work has been/is done for you?

How often do you meet and what do you discuss?

Other Advisors

Are there any other advisors you consult when making financial decisions?

Family Information

Other than what we have discussed, are there any special health or financial considerations we should be aware of?

[illegible]

Miscellaneous

Is there anything of importance that has not been discussed?

Is there anything else we should know about your finances, personal situation or unusual circumstances?

What is your expectation of the financial planning process?

Document Checklist

Personal Documents

- ☐ Will – Husband
- ☐ Will – Wife
- ☐ Trust documents (as grantor or beneficiary; revocable or irrevocable)
- ☐ Ancillary testamentary documents (e.g., powers of attorney, health care directives, etc.)
- ☐ Property or settlement agreements
- ☐ Pre / Post nuptial agreements
- ☐ Personal financial statement
- ☐ Latest investment reports/statements (quarterly/monthly) for all investment accounts
- ☐ Partnership agreements
- ☐ Life insurance policies (include most recent statement of values)
- ☐ Life insurance agreements / assignments (e.g., split dollar, collateral assignment, etc.)
- ☐ Disability insurance policies (include most recent statement)
- ☐ Accident and health insurance policies
- ☐ Federal and state income tax returns (last __ years with all supporting statements/worksheets/K1s)
- ☐ Gift tax returns (Form 709)
- ☐ Copies of "Crummey" notices
- ☐ Current pay stub
- ☐ Employee benefits booklet

☐

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Document Checklist

Business Documents

- [illegible]

Authorization to Provide Information

To Whom It May Concern:

I, _____, hereby authorize you, as advisor, to discuss
with _____, a representative of Wealth Legacy
Group, matters of concern to me consistent with the information I have provided said
representative pertaining to my financial planning. Furthermore, I authorize you to
provide said representative with copies of the following:

I also authorize the release of any, or all, information regarding my employment,
compensation, taxes, and benefit programs to said Wealth Legacy Group repre-
sentative.

A copy of this authorization shall be as valid as the original.

signature

social security number

witness

Date