



IMPORTANT: If transferring to a new Grandeur Peak Funds account, please complete a Traditional IRA, Roth IRA, or Sep IRA Account Application Form along with this form.

SECTION 1: Account Information

Account Number (unless you are applying for a new account)

Owner's Name (Last, First, Middle Initial)

Owner's Social Security Number Date of Birth (MM/DD/YY)

Address of Residence (Required) - P.O. Box not accepted

City, State, Zip

Mailing Address - If different from above (P.O. Boxes accepted)

City, State, Zip

() ()
Day Phone Evening Phone

E-mail Address

SECTION 2: Current Custodian

To avoid delays, please confirm your current Custodian's address and if they require a Signature Guarantee. If required please complete Section 6. **Attach a copy of the current account statement.**

Type of Plan Being Transferred/Rolled Over

Current Trustee/Custodian/Employer/Plan Administrator

Account Number

Address of Custodian (Required) - P.O. Box not accepted

City, State, Zip

Mailing Address - If different from above (P.O. Boxes accepted)

City, State, Zip

() ()
Day Phone Evening Phone

SECTION 3: Transfer/Direct Rollover Instructions

I have established an Individual Retirement Account (IRA) with BOKF, NA dba Colorado State Bank and Trust. Please transfer my assets and follow the instructions below.

I authorize and direct the transfer of the amount stated below to the Grandeur Peak Funds.

I. IRA Transfer

- 1. Full - Liquidate all assets in my IRA Account Number and transfer the entire proceeds.
- 2. Partial - Liquidate only part of my assets in my IRA Account Number and transfer. (Please indicate the partial amount below.)

Fund Name and Share Class, or Fund Ticker	Amount
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
Total Amount \$ _____ or _____ %	

For CD's

If a CD is part of the liquidation, please indicate if the CD should be liquidated immediately or upon maturity:

- Immediately At maturity on _____ (MM/DD/YY)

II. Direct Rollover. Directly rollover my qualified plan distribution to my IRA. I would like a distribution from my qualified plan for the following reason:

- Termination of Employment Death Plan Termination
- Attainment of Retirement Age (typically 59 1/2)
- 1. Full - Liquidate all assets in my Qualified Plan Account Number and transfer the entire proceeds.
- 2. Partial - Liquidate only part of my assets in my Qualified Plan Account Number and transfer. (Please indicate the partial amount)

Fund Name and Share Class, or Fund Ticker	Amount
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
Total Amount \$ _____ or _____ %	

"In Kind" Transfer. If the account listed in Section 2 contains shares of a Grandeur Peak Fund you may choose to transfer them "in kind" instead of having them liquidated and re-purchased. (Please note this applies to IRA and Qualified Plan Accounts). Please list any Grandeur Peak Fund(s) in your existing account that you would like transferred in-kind.

Fund Name and Share Class, or Fund Ticker	Amount
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
Total Amount \$ _____ or _____ %	

SECTION 3: Transfer/Direct Rollover Instructions (cont.)

Have Checks Made Payable To: Grandeur Peak Funds
FBO (Traditional IRA, SEP IRA, or Roth IRA)
Client Name _____
SSN _____

SECTION 4: Transfer/Direct Rollover Instructions

I authorize and direct the transfer of the amount indicated in Section 3 to the Grandeur Peak Funds per the below allocation.

Note: You may not transfer from a Roth IRA to a Traditional IRA or a SEP IRA. If you transfer from a Traditional IRA to a Roth IRA, there are tax implications (please check with your accountant or CPA).

- Open a new account – I have attached a completed IRA application.
- Invest in my existing Grandeur Peak Funds IRA account.

Fund Name and Share Class, or Fund Ticker	Amount
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
_____	\$ _____ or _____ %
Total Amount	\$ _____ or _____ %

SECTION 5: Authorization/Signatures

Current Trustee/Custodian: I have established an Individual Retirement Account or 403(b)(7) Custodial Account with the Grandeur Peak Funds and have appointed BOKF, NA dba Colorado State Bank and Trust as the custodian. Please accept this as your authorization and instruction to liquidate and/or transfer "in kind" the assets noted above, which your company holds for me.

If I am 70½ years of age or older and have begun taking my minimum required distributions from the account which is being transferred to the Grandeur Peak Funds, I understand and acknowledge that I am responsible for notifying the Grandeur Peak Funds of the existence and birth date of any spouse beneficiary which existed on my account as of my required beginning date, as that term is defined in Treasury Regulation 1.401(a)(9); as well as the method of calculation which I elected for determining life expectancy over which required distributions are to be made from the account. Should I fail to provide this information, I understand that future calculations of my minimum required distribution amounts may result in underpayments, which would subject me to a 50% excess accumulations penalty tax.

Owner's Signature _____ Date (MM/DD/YY) _____

SECTION 6: Signature Guarantee

To protect yourself against fraud, your signature(s) must be guaranteed ("Medallion Signature Guarantee") by any "eligible" guarantor. The Medallion Signature Guarantee stamp MUST include the words "Signature Guaranteed, Medallion Guaranteed" and comply with the Medallion program requirements. **Signatures notarized by a Notary Public are not acceptable.**

A signature guarantee will also be required if redeeming within 30 days of changing bank information or address, in addition to sending wires, ACHs and checks to instructions other than that on record for this account.

- Eligible guarantor's: Commercial Banks
Credit Unions
Member Firms of a domestic stock exchange
Savings Associations
Trust Companies

Bank or Dealer Firm _____

Officer's Title _____

Officer's Signature _____ Date (MM/DD/YY) _____

[STAMP]

SECTION 7: BOKF, NA dba Colorado State Bank and Trust

To be completed by the Custodian.

This is to inform you that BOKF, NA dba Colorado State Bank and Trust will accept the account referenced in Section 2.

This transfer of assets/direct rollover is to be executed from fiduciary to fiduciary and will not place the participant in actual receipt of all or any of the plan assets. No federal income tax is to be withheld from this transfer of assets or direct rollover.

Accepted by BOKF, NA dba Colorado State Bank and Trust as Custodian for the Grandeur Peak Funds.

BOKF, NA dba Colorado State Bank and Trust (MM/DD/YY)
Authorized Representative

Please mail completed form to:

Mailing Address
Grandeur Peak Funds
PO. Box 13664
Denver, CO 80201

Overnight Address
Grandeur Peak Funds
1290 Broadway, Suite 1100
Denver, CO 80203

or Fax to 866-205-1499

If you have any questions, please contact an Investor Service Representative at 1-855-377-PEAK (7325).



About Grandeur Peak

Grandeur Peak Global AdvisorsSM is a small and micro cap focused global equities investment firm comprised of a highly seasoned and collaborative research team. We take a bottom-up approach to investing using disciplined global screening, rigorous company due diligence, and close attention to valuation to find what we believe to be some of the best investment opportunities around the world.



Why Invest with Grandeur Peak

IN A WORLD WHERE BUSINESS IS INCREASINGLY GLOBAL AND COUNTRY BOUNDARIES CONTINUE TO BLUR, WE BELIEVE THE BEST LONG-TERM INVESTMENT OPPORTUNITIES CAN BE FOUND BY INVESTORS WHO ARE FULLY CANVASSING THE WORLD AND CONSIDERING EACH COMPANY WITHIN ITS GLOBAL CONTEXT.

EXPERIENCE: Veteran portfolio managers and analysts

REPEATABLE INVESTMENT PROCESS: Disciplined research approach that has been refined over the past 25 years

GLOBAL PERSPECTIVE: Analysts with a global view, giving us a better understanding of the playing field and the opportunities globally

SMALL/MICRO CAP OPPORTUNITY: We believe global small & micro cap companies are one of the most interesting investments for the next decade because these firms have the potential to deliver higher growth at a better value, but it takes experience to sift the wheat from the chaff from among the 30,000+ companies globally.

NIMBLENESS: A relatively small asset base under the guidance of an experienced team—critical in the small/micro cap space

GRANDEUR PEAK FUNDS

FUND NAME	SHARE CLASS	FUND TICKER	STATUS	MINIMUM	INCEPTION DATE
Global Opportunities	Investor	GPGOX	Soft Close*	\$2,000	10/17/2011
Global Opportunities	Institutional	GPGIX	Soft Close*	\$100,000	10/17/2011
Global Reach	Investor	GPROX	Open	\$2,000	6/19/2013
Global Reach	Institutional	GPRIX	Open	\$100,000	6/19/2013
International Opportunities	Investor	GPIOX	Soft Close*	\$2,000	10/17/2011
International Opportunities	Institutional	GPIIX	Soft Close*	\$100,000	10/17/2011

*Closed to new investors. Open to existing shareholders, financial advisors, and retirement plans with an established position.

RISKS

Investing in small and micro cap funds will be more volatile and loss of principal could be greater than investing in large cap or more diversified funds. Investing in foreign securities entails special risks, such as currency fluctuations and political uncertainties, which are described in more detail in the prospectus. Investments in emerging markets are subject to the same risks as other foreign securities and may be subject to greater risks than investments in foreign countries with more established economies and securities markets.

To learn more about Grandeur Peak Global Advisors visit www.grandeurpeakglobal.com.

An investor should consider investment objectives, risks, charges, and expenses carefully before investing. To obtain a Grandeur Peak Funds prospectus, containing this and other information, visit www.grandeurpeakglobal.com or call 1-855-377-7325. Please read it carefully before investing.

Valuation is the process of determining the current worth of an asset or company.

Grandeur Peak Funds are distributed by ALPS Distributors, Inc. (ADI).