

ISA TRANSFER APPLICATION FORM.

Applications must be received by 19 August 2011.

FOR OFFICE USE

Receipt Number	BC	Deal Reference	Client Number	Launch Fund
				Z00/Z01

FOR ADVISER USE

Legal & General Agent Number	Adviser Name	FSA Number
Adviser Company and Address		
	Postcode	

Verification of identity

The verification of identity declaration can only be used if you have fully verified the customer(s). You cannot use the declaration if the customer falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- Those who have been subject to Simplified Due Diligence under Money Laundering Regulations; or
- Those whose identity has been verified using the source of funds as evidence.

For verification of third party donors, please use the Confirmation of Verification of Identity certificate on our Adviser Centre.

NOTES FOR INVESTORS

If you hold ISA(s) with another manager you can transfer them to this ISA by filling in the details on this form and returning it to your financial adviser or to Legal & General Investments, PO Box 6080, Wolverhampton WV1 9RB so that it reaches us by 19 August 2011. Please do not send any money with this application. This form will act as authorisation to your existing ISA manager to transfer your investment to Legal & General. If the proceeds of your existing ISA(s) are received by Legal & General after the date confirmed to your existing ISA Manager, the proceeds will not be accepted and will automatically be returned to them.

Before completing this application form, please ensure you have read the Legal & General Early Bonus Plan 1 brochure and Terms and Conditions carefully. The Terms and Conditions form the basis of our agreement with you. If you do not understand any point, please ask for further information.

PLEASE COMPLETE IN BLOCK CAPITALS

1

A. PERSONAL DETAILS

Title	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other <input type="text"/>	Male <input type="checkbox"/>	Female <input type="checkbox"/>
Surname	<input type="text"/>					Full forename(s)	<input type="text"/>
Permanent residential address	<input type="text"/>						
	<input type="text"/>					Postcode	<input type="text"/>
Email address	<input type="text"/>					Daytime phone number	<input type="text"/>
Previous address	If you have lived at this address for less than three months, please provide your previous address details here.						
	<input type="text"/>					Postcode	<input type="text"/>
Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Do you have a National Insurance number?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If Yes, please enter it here				<input type="text"/>
Do you have an existing Legal & General investment?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If Yes, please enter customer number				<input type="text"/>

2

BANK/BUILDING SOCIETY ACCOUNT DETAILS – PLEASE COMPLETE THIS SECTION

Please provide details of your bank/building society account in order that we can make any payments, either on your request or at the payment date as stated in the Terms and Conditions at the end of the fixed term.

Name of bank/building society	<input type="text"/>						
Address	<input type="text"/>						
	<input type="text"/>					Postcode	<input type="text"/>
Name of account holder	<input type="text"/>						
Sort code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Account number	<input type="text"/>
Roll number (if applicable)	<input type="text"/>						

3

YOUR EXISTING ISA DETAILS

For ISAs taken out in this tax year, you must transfer the whole amount	<input type="checkbox"/>	I wish to transfer my 2011/2012 ISA to this ISA. Minimum investment is £3,000.
For ISAs taken out in previous tax years	<input type="checkbox"/>	I wish to transfer my previous tax year's ISA(s) to this ISA as detailed below.
Existing ISA details (including account number)	Please note: If you hold a cash ISA, it will be transferred into a stocks and shares ISA.	
	<input type="text"/>	
	<input type="text"/>	
For previous tax year(s) will you transfer 'whole' or 'part' of your ISA?	<input type="checkbox"/> Whole	<input type="checkbox"/> Part
	Please give details of tax year(s) and amounts or percentage to be transferred below. Minimum investment is £3,000.	
	<input type="text"/>	
Name of ISA Provider	<input type="text"/>	
Address	<input type="text"/>	
	<input type="text"/>	
	Postcode <input type="text"/>	
Telephone number	<input type="text"/>	

GENERAL DECLARATION (FOR APPLICANTS)

Please read the General Declaration and the ISA Declaration for this tax year, then sign and date the Declaration for Applicants. Please also read, sign and date the Transfer Declaration overleaf.

- I authorise the manager to hold my cash subscription, ISA investments, interest and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims for tax relief in respect of ISA investments.
- I declare that the information given in this application form is true and correct to the best of my knowledge and belief and that I will inform the manager without delay of any change in my circumstances affecting any of the information in this form.
- I declare that I am not a 'US person' as defined under the US Securities Act 1933 and I will inform Legal & General if I become such a US person.

Please note: Details about residence and being ordinarily resident are available from your tax office or on HM Revenue & Customs' website.

IMPORTANT, PLEASE READ: Data Protection

Use of your information:

Legal & General takes your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. providing you with our products and services and dealing with your enquiries and requests;
2. administering your investment;
3. carrying out market research, statistical analysis and customer profiling; and
4. sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box. ☐

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

Disclosures:

We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business and suppliers we engage to process data on our behalf.

If you have been dealing with a financial adviser, we will give them information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.

Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:

- (a) carry out market research, statistical analysis and customer profiling; and
- (b) send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in (b) above by post or telephone, unless you tell us otherwise by writing to Legal & General Investments, PO Box 6080, Wolverhampton WV1 9RB.

Access:

You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to us at the above address.

I agree to the use of my information as set out above.

I understand that a copy of the Terms and Conditions and completed application form is available on request.

ISA DECLARATION FOR TRANSFERRING ISAs TAKEN OUT IN THIS TAX YEAR

I declare that:

- All subscriptions made, and to be made, belong to me
- I am 18 years of age or over
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year
- I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA, and
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Legal & General if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.

APPLICANTS

I have read the declaration and received the Legal & General Early Bonus Plan 1 brochure and Terms and Conditions.

I agree that the Terms and Conditions form the basis of my agreement with Legal & General.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Please turn over and sign the Transfer Declaration.

TRANSFER DECLARATION (applicants to sign)

Instruction to existing manager(s).

I authorise the manager of my existing ISA, named overleaf, to:

1. Sell my 2011/2012 tax year ISA assets as detailed in **SECTION 3** (being not less than £3,000)

and/or

2. Sell my previous tax year(s) ISA(s) assets as detailed in **SECTION 3** (being not less than £3,000)

3. Transfer the cash realised to the new Account Manager; Legal & General (Portfolio Management Services) Limited,
PO Box 6080, Wolverhampton WV1 9RB. HM Revenue & Customs Ref Z1160.

I understand that the transfer(s) will only be effected if the proceeds of my existing ISA(s) reach Legal & General at the above address by the date confirmed to my previous ISA manager by Legal & General.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Title

Surname

Full
forename(s)

FOR ADVISER USE ONLY

To meet Financial Services Authority regulatory reporting requirements, we must now record whether advice was given to your client regarding the sale of this product. Please tick the relevant box. Was advice given?

Yes

☐

No

☐

If no advice was given, in accordance with Financial Services Authority regulations, this application needs an appropriateness assessment. Please confirm whether you have determined that the client has the necessary knowledge and experience in order to understand the risks of the product.

Yes

☐

No

☐
VERIFICATION OF IDENTITY

This is not applicable to Legal & General appointed representatives or employees.

I confirm the personal details listed in **SECTION 1** were obtained by me. I have seen evidence to verify the identity of all applicants that meets or exceeds the standards set out within JMLSG guidance. Details of any evidence exceeding the guidance are attached.

Name

Job title

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Please send to your financial adviser or to:

Legal & General Investments, PO Box 6080, Wolverhampton WV1 9RB



www.legalandgeneral.com

Legal & General (Portfolio Management Services) Limited

Registered in England No. 2457525

Registered office: One Coleman Street, London EC2R 5AA

Authorised and regulated by the Financial Services Authority

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