

**STATE OF CONNECTICUT ADDENDUM  
TO INDIVIDUAL APPLICATION  
FOR APPROVAL OF OFFICIAL OR SENIOR EXECUTIVE OFFICER**

1. Have you been involved in, or has any company with which you are or were associated, filed any application, notice or other administrative or regulatory request, where the filing was denied, disapproved, withdrawn or otherwise returned without favorable action by a federal or state regulatory authority or a self-regulatory organization?
  
2. Has any depository institution with which you are or were associated been acquired by an institution that received financial assistance from a federal agency or instrumentality in connection with the transaction?
  
3. Have you or any company with which you are or were associated had a lien placed against property for failure to pay taxes or another debt; had wages or income garnished for any reason; or failed or refused to pay any outstanding judgments?
  
4. Have you or any company or depository institution with which you are or were associated been involved in any lawsuit, formal or informal investigation, examination or proceeding that may result in, or resulted, in any penalty, agreement, undertaking, consent, judgment or order imposed by or entered into by a self-regulatory organization?

If the answer to any of the foregoing questions is "yes", please provide complete details.

I affirm that the statements made and answers given in the National Credit Union Administration's Individual Application for Approval of Official or Senior Executive Officer and this Addendum ("Application") are accurate and complete, and hereby authorize the State of Connecticut, Department of Banking to make any inquiry it deems appropriate in connection with processing this Application. False written statements in this Application constitute a violation of Section 36a-53a of the Connecticut General Statutes, are punishable under Section 53a-157b of the Connecticut General Statutes (making a punishable false written statement) and also will be sufficient cause for denial of the Application or a license or charter by the State of Connecticut, Department of Banking.

I understand that while certain of the information solicited is exempt from disclosure under the Connecticut Freedom of Information Act (Section 1-200 *et seq.* of the Connecticut General Statutes) and Section 36a-21 of the Connecticut General Statutes, other information is not exempt.

Date \_\_\_\_\_ Signature \_\_\_\_\_

State of \_\_\_\_\_  
County of \_\_\_\_\_

On this the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me, \_\_\_\_\_,  
the undersigned officer, personally appeared \_\_\_\_\_, known to me  
(or satisfactorily proven) to be the person whose name is subscribed to the within instrument and  
acknowledged that \_\_\_\_ executed the same for the purposes therein contained.

In witness whereof I hereunto set my hand.

(SEAL)

\_\_\_\_\_  
\_\_\_\_\_  
Title of Officer