



American Stock Exchange
 Financial Regulation Department
 One Liberty Plaza, 51st Floor
 New York, NY 10006
 By Hand: One Liberty Plaza, 51st Floor
 Tel: 212 858 4448
 Fax: 212 858 4460
 Attn: Robert J. Devine

ITSFEA Form 1

YEAR END: _____

Identification of Securities Accounts For

(Name of Broker-Dealer)

Instructions

Please list each securities account in which each associated person of the above named: (1) has a direct or indirect financial interest, or (2) makes investment decisions. Please provide this information for each person who was associated with your firm at any time during the preceding year. In addition, if any associated person, or other person in whose account an associated person has a direct or indirect financial interest or over whose account an associated person makes investment decisions, is: (1) an officer or director of a company whose shares are publicly traded, or (2) the owner of 10% or more of any class of equity security in a company whose shares are publicly traded, that relationship and the name of the company should be noted on the "corporate insider" column of the schedule.

This form must be filed with the member's or member organization's year-end FOCUS Report (Form X-17A-5).

Name of Associated Person	Responsibilities at Firm	Account Name	Account Number	Name of Broker Carrying Account	Corporate Insider: yes/no Company Name