

**INDIVIDUAL or SOLE PROPRIETORSHIP
CONFIDENTIAL CUSTOMER INFORMATION**

(Please print or type and attach additional sheets if necessary.)

Check one:
 Individual Account Sole Proprietorship Account

Account Type Check one:
 Hedge Speculative

Name _____

Account Title _____

Name under which your business is conducted _____

Mailing Address (for all statements and notices)

Street of P.O. Box _____

City _____ State _____ ZipCode _____ Country _____

Social Security Number / Taxpayer Information Number _____ OR Foreign Customer Yes

Home Address (if different) _____

Home Phone (_____) _____ Direct Work Phone (_____) _____ Mobile Phone (_____) _____

EMAIL Address _____

Date of birth _____ Are you a Resident of the U.S? Yes No

Are you a U.S. Citizen? Yes No If No, Where? _____

Education:

High School Yes No

College Yes No Degree(s) _____

Graduate or Professional School Yes No Degree(s) _____

Do you read and understand English? Yes No

Employer: Name _____

Address _____

Title / Position _____ Nature of Business _____

If self-employed, state your principal occupation _____

Banking: Name of Principal Bank _____

Street _____ City _____ State _____ Zip _____

Banking Officer _____ Phone (_____) _____

Who solicited or referred your account? _____

CONFIDENTIAL CUSTOMER INFORMATION (continued)

W-9 Certification Taxpayer Identification Number

For a complete Form W-9, please contact Wedbush Securities, Inc. – Futures Customer Service or the Internal Revenue Service (IRS)

Are you exempt from backup withholding?

YES Under penalties of perjury, by signing this document, I certify that: (1) The number on this form is my correct Taxpayer Identification Number; and (2) I am not subject to back-up withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding, or (c) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. person (including a resident alien).

NO I am subject to backup withholding.

W-8 Certification of Foreign Status of Beneficial Owner for U.S. Tax Withholding

YES Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is correct and complete. I further certify under penalties of perjury that:

1. I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form related,
2. The beneficial owner is not a U.S. person,
3. The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of the partnership's effectively connected income, **and**
4. For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined by the Department of the Treasury, Internal Revenue Service W-8 Instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

REQUEST FOR ELECTRONIC TRANSMISSION OF CUSTOMER STATEMENTS

YES **NO** I hereby request electronic transmission of customer statements.

If YES, the undersigned Customer ("Customer") requests that Wedbush Securities, Inc. ("Wedbush") provide confirmation statements of activity solely by electronic transmission to the E-mail address indicated on this form. Please do not mail hard copies of such statements (including monthly statements).

Customer warrants and represents that it will promptly print out the relevant Customer statements in the form transmitted by Wedbush or its designee. Customer understands that there is a risk of failure of any electronic transmission, and will not hold Wedbush liable directly or indirectly for such failure. If Customer fails to receive any confirmation statement that reflects activity of which Customer is aware in the account, Customer will contact a Wedbush customer service representative by 8:00 a.m. (CST) on the, business day following, the day of any such activity.

This consent shall be effective until revoked by Customer in writing, signed by the undersigned and delivered to Wedbush Securities, Inc. 141 W. Jackson Blvd., Suite 1710-A, Chicago, IL 60604. In addition, Customer acknowledges that for its protection and the protection of Wedbush, any request to change the email address listed below must be in writing and must bear the signature of the undersigned. In the event such a request is received from a legal entity, such as a corporation, LLC or partnership, the request must be accompanied by appropriate documentation establishing that the person signing the request possesses the requisite authority to bind the entity. By signing below, Customer represents that the delivery and execution of this consent has been, duly authorized.

HEDGE ACCOUNT REPRESENTATION (To be completed by Bona Fide Hedgers Only)

YES By indicating YES and signing below, the undersigned ("Customer") truly and accurately represents to Wedbush Securities, Inc. ("Wedbush") that this account is carried with Wedbush for the specific and exclusive purpose of hedging commodities, as defined in Rule 1.3(z) of the Commodity Futures Trading Commission. The account will be treated accordingly by Customer with the understanding that Customer will notify Wedbush if any changes occur in Customer's treatment of the account. This account is subject to hedge margins and to other rules and regulations as prescribed for hedge accounts by the various commodity exchanges and the Commodity Futures Trading Commission. In the unlikely event of Wedbush's bankruptcy, Customer would not prefer that Wedbush's bankruptcy trustee liquidate any open commodity contracts held in this account without seeking instructions from Customer.

CONFIDENTIAL CUSTOMER INFORMATION (continued)

1. Have you ever had a commodity account before? Yes No # of years _____ Name of firm _____

2. Have you ever had any other investment accounts before? Yes No # of years _____ Name of firm _____

3. Do you have service of process, pending litigation, past disputed accounts/issues, or unresolved matters with any commodity brokers, securities brokers or associated regulatory authorities at this time? Yes No (If YES, please briefly describe)

4. Are funds in this account to be used for the benefit of another (other than the trust beneficiaries in the case of a Trust Account)?
Yes No (If YES, give the name and address of such person(s) or entity.)

Name _____

Address _____ City _____ State _____ Zip _____

5. Do any other person(s) or entities:

A. Control the trading of this account? Yes No

If YES are they registered as a Commodity Trading Advisor Yes No

B. Have a financial interest in this account? Yes No

(If you answer YES to A or B give name(s) of person(s) and designate account numbers, if applicable).

Name _____

Name _____

Account No. if applicable _____

Account No. if applicable _____

6. Do these individual(s), in the case of an individual or joint account or this entity or its officers, directors, partners or trustees, in the case of a corporate, trust or partnership account, have any relationship to:

A. Any person associated with Wedbush Securities, Inc.? Yes No

B. Any commodity salesperson? Yes No

Name _____

Firm _____

(If YES, describe briefly) _____

7. Is (Are) this (these) individual(s) or this entity or any of its officers, directors, partners, or trustees, now or within the past three years, a Member, partner, officer or employee of any commodity or securities exchange, the NFA, FINRA or a brokerage firm? Yes No

8. Have you ever been subject to federal or state bankruptcy proceedings, receivership or similar proceedings (voluntarily or involuntarily)?
Yes No (If YES, describe briefly) _____

Income: (Annual wage / salary)

- Less than \$ 50,000
- \$50,000 to \$ 99,999
- \$100,000 to \$249,999
- \$250,000 to \$1,000,000
- more than \$1,000,000

Approximate liquid net worth: (Cash, unmarginated securities, etc.)

- Less than \$ 50,000
- \$50,000 to \$ 99,999
- \$100,000 to \$249,999
- 250,000 to \$1,000,000
- more than \$1,000,000

Approximate TOTAL Net Worth:

- Less than \$ 50,000
- \$50,000 to \$ 99,999
- \$100,000 to \$249,999
- \$250,000 to \$1,000,000
- more than \$1,000,000

RESIDENCE

- Own
- Rent
- Other

Annual Income from other sources

not included above (trusts, investments, etc)

\$ _____

I hereby certify that the information provided herein is true and correct as of the date below. I agree to notify you in writing of any material changes in the information provided herein. I understand that it is my sole responsibility to notify you of any changes to the above.

I understand and agree that as part of the procedure for processing this application and/or maintaining an account with Wedbush Securities, Inc., an investigative consumer report may be obtained whereby information is obtained through various sources including but not limited to credit reporting organizations, personal interviews with third parties, such as family members, business associates, financial sources, friends, neighbors, or others with whom you are acquainted.

Customer Name _____

Customer's Signature _____

Date: _____