## Form No. 49AA

Only 'Individuals'

## **Application for Allotment of Permanent Account Number** [Individuals not being a Citizen of India/Entities incorporated outside India/

Only 'Individuals'

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8 Address for Communication	Residence	Office (Please tick as applicable)
9 Telephone Number & Email ID details  Country code Area / STD Code	e Telephone / Mobile number	
10 Status of applicant		
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Please select status, as applicable  Individual Hindu undivided  Trusts Body of Individua		Partnership Firm Association of Persons  Artificial Juridical Persons Limited Liability Partnership
11 Registration Number (for company, firms, LL	.Ps, etc.)	
12. Country of Citizenship	ISD Code of	the Country of Citizenship
13 Source of Income		Please select status,
Salary Income from Business / Profession Bus Income from House property	siness/Profession code For Code: Refer in	Capital Gains Income from Other sources No income
14 Representative or Agent of the Applicant in	India	
Full name, address of the Representative or Agent		
Full Name (Full expanded name: initials are not pe	rmitted)	
Please select title, ✓ as applicable	Shri/Mr Smt/Mrs Kumari/Ms	M/s
Last Name / Surname		
First Name		
Middle Name	<u> </u>	
Address		
Flat/Room/ Door / Block No.		
Name of Premises/ Building/ Village		
Road/Street/ Lane/Post Office		
Area / Locality / Taluka/ Sub- Division		
Town / City / District		
State / Union Territory	Pincode / Zip code	
15 Documents submitted as Proof of Identity(P	OI) and Proof of Address (POA)	
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(b) In case of nor	n individuals		Ple	ase select 🗸 as applic
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## **INSTRUCTIONS FOR FILLING FORM 49AA**

- (a) Form to be filled legibly in BLOCK LETTERS and preferably in BLACK I NK. Form should be filled in English only
- (b) Each box, wherever provided, should contain only one character (alphabet /number / punctuation sign) leaving a blank box after each word.
- (c) 'Individual' applicants should affix two recent colour photographs with white background(size 3.5 cm x 2.5 cm) in the space provided on the form. The photographs should not be stapled or clipped to the form. The clarity of image on PAN card will depend on the quality and clarity of photograph affixed on the form.
- (d) Signature / Left hand thumb impression should be provided across the photo affixed on the left side of the form in such a manner that portion of signature/impression is on photo as well as on form.
- (e) Signature /Left hand thumb impression should be within the box provided on the right side of the form. The signature should not be on the photograph affixed on right side of the form. If there is any mark on this photograph such that it hinders the clear visibility of the face of the applicant, the application will not be accepted.
- (f) Thumb impression, if used, should be attested by a Notary Public or an authorized officer in respective country of residence under official seal and stamp.
- (g) AO code (Area Code, AO Type, Range Code and AO Number) of the Jurisdictional Assessing Officer must be filled up by the applicant. These details can be obtained from the Income Tax Office or PAN Centre or websites of PAN Service Providers on www.utiitsl.com or www.tin-nsdl.com.

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3	of the full name to be printed on the PAN card  Have you ever been known by any other name? Gender Date of Birth/Incorpor	In case of sole Name should not Individual applications application of the should necessal  SATYAM VENITAGE Last Name/Su First Name Middle Name  Can be written  For non individual if applicant selection name This field is man date cannot be	proprie  ot be p icants s urily con  KAT M. urname  as in 'N  ual app ects 'Ye e apply andatory e a futur	tors refix hould tain  K.  R  V  Identificant s', ti her	RAM A A E e to	cone with rovid lasi O w O T N be this it is itle	cern any de fut nau hich Y K print sho s ma shou	ttd, Find A	Pvt L  property prope	ch a eviat examen in W VE. K. W V. Same to primila	ed, stor s Sr ed r mple M AN AN RAC M. as rovi	P Lt sho	d, Fulld Smt, e to ume K	Apple Apple	y fo mari print I as n as O or or eld f the	r PA	N ir ., M on t	n his ajor he F	/her /her , M/ PAN	oove	ed. I	ame	e, if	abb	'Pri	ated	,
2 3 4 5	of the full name to be printed on the PAN card  Have you ever been known by any other name? Gender Date of	In case of sole  Name should not individual applications applies hould necessal saty and venitions.  SATYAM VENITABLE Last Name Middle Name  Can be written  For non individual flapplicant selection name in the company of the compan	proprie  ot be p icants s urily con  KAT M. urname  as in 'N  ual app ects 'Ye e apply andatory e a futur	tors refix hould tain  K. R V lame	RAGE  e to	cone with rovid lasi O w O T N be it is it is itile	cern any de fut nau hich Y K print	ttd, F, the / title and / title and / title and / title and / title and / s. N SAN SAN SAN SAN SAN SAN SAN SAN SAN S	Pyt L  Py	ch a eviat exar en in he P M VE. K. M V. exame to p imila	ed, stor s Sr ed r mple M AN AN RAC M.   as rovi r to	P Lt sho	d, Fulld Smt, e to ume K	Apple Apple	y fo mari print I as n as O or or eld f the	r PA	N ir ., M on t	n his ajor he F	/her /her , M/ PAN	oove	ed. I	ame	e, if	abb	'Pri	ated	,

6	Persons	Deed; Partnership Firms: Date of Partnership Deed; LLPs: Date of Incorporation/Registration; HUFs: Date of creation of HUF and for ancestral HUF date can be 01-01-0001 where the date of creation is not available.
	Father's Name	Applicable to Individuals only. Instructions in Item No.1 with respect to name apply here. Married woman applicant should give father's name and not husband's name.
7	Address – Residence and office	R - Residence Address: For Individuals, HUF, AOP, BOI or AJP, residential address is mandatory. Other applicants should leave this field blank.
		O - Office Address:  (1) Name of Office and address to be mentioned in case of individuals having source of income as salary or Business/profession[Item No.13].
		(2) In case of Firm, LLP, Company, Local Authority and Trust, name of office and complete address of office is mandatory.
		For all categories of applicants, it is necessary to mention complete address and the details of Town/City/District, State/Union Territory, and PINCODE are mandatory.
		In case, a foreign address is provided then it is mandatory to provide Country Name along with ZIP Code of the country.
8	Address for communicatio	Individuals/HUFs/AOP/BOI/AJP may indicate either 'Residence' or 'Office' and other applicants should necessarily indicate 'Office' as the Address for Communication.
		All communication will be sent at the address indicated in this field.
9	Telephone Number and E-mail ID	(1) Telephone number should include country code(ISD code) and STD code or Mobile No. should include Country code(ISD Code).
		For example : (i) Telephone number 23555705 of Delhi should be written as
		Country code STD Code Telephone Number / Mobile number 2 3 5 5 5 7 0 5
		Where '91' is the country code of India and 11 is the STD Code of Delhi.
		(ii) Mobile number 9102511111 of India should be written as
		Country code STD Code Telephone Number / Mobile number
		9 1 9 1 1 1 1 1
		Where '91' is the country code of India.
		(2) It is mandatory for the applicants to mention either their "Telephone number" or valid "e-mail id" so that they can be contacted in case of any discrepancy in the application and/or for receiving PAN through e-mail.
		(3) Application status updates are sent using the SMS facility on the mobile numbers mentioned in the application form.
10	Status of Applicant	This field is mandatory for all categories of applicants. In case of 'Limited Liability Partnership', the PAN will be allotted in 'Firm' status.
11	Registration number	Not applicable to Individuals and HUFs. Mandatory for 'Company'. Company should mention registration number issued by the Registrar of Companies or the concerned Government Authority of country of residence.
12	Country of citizenship	This field is mandatory for all categories of applicants.
13	Source of Income	It is mandatory to indicate at least one of source of incomes, as mentioned in the form. In case, the income from Business/profession is selected by the applicant then an appropriate business profession code should be mentioned Please refer the table given below to select Business/profession code:

		Code	Business/ Profession	Code	Business/ Profession
		01	Medical Profession and Business	11	Films, TV and such other entertainment
		02	Engineering	12	Information Technology
		03	Architecture	13	Builders and Developers
		04	Chartered Accountant/ Accountancy	14	Members of Stock Exchange, Share Brokers and Sub- Brokers
		05	Interior Decoration	15	Performing Arts and Yatra
		06	Technical Consultancy Company Secretary	16 17	Operation of Ships, Hovercraft, Aircrafts or Helicopters Plying Taxis, Lorries, Trucks, Buses or other Commercia
		08	Legal Practitioner and Solicitors	18	Vehicles Ownership of Horses or Jockeys
		10	Government Contractors Insurance Agency	19 20	Cinema Halls and Other Theatres Others
4	Name and address of Representative Assessee	Represe Section minor, lu including This fiel decease	ntative Assessee. Therefore, this colum 160 of the Indian Income-tax Act, 1961 unatic or idiot, Court of Wards, Administr g Wakf. Id will contain particulars of the Repre	n should to , such as, rator Gene sentative	that any person(assessee) can be represented through the filled in by representative assessee only as specified in an agent of the non-resident, guardian or manager of a ral, Official Trustee, receiver, manager, trustee of a Trust Assessee. This field is mandatory if applicant is minor, to 13 will contain details of person on whose behalf this
	<u> </u>		Identity and Proof of address is also req		
15	Proof of Identity and Proof of Address documents	the nar	•		Idress with PAN application. <b>Documents should be in</b> e as proof of identity and address for each status of
Oocume		roof of ide	entity and address as per Rule 114 of Inc	ome Tax F	Rules, 1962
	dividuals and HUI		, , , , , , , , , , , , , , , , , , , ,		•
		Proof of	I dentity (Copy of)		Proof of address (copy of)
	1. Copy of	of passpor	t, <b>or</b>	1.	Copy of Passport, or
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	2. Copy (	of Person	of Indian Origin (PIO) card issued by	2.	Copy of Person of Indian Origin (PIO) card issued by
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	Govern 3. Copy of by Govern 4. Copy of Numbratteste are significant by the Consul	nment of I of Oversea vernment of other na er or Tax ed by "Apo gnatories t Indian Er late in the	India, or as Citizen of India (OCI) card issued of India, Or ational or citizenship Identification payer Identification Number duly ostille" (in respect of countries which to the Hague Convention of 1961) or mbassy or High Commission or	<ul><li>2.</li><li>3.</li><li>4.</li><li>5.</li><li>6.</li></ul>	Copy of Person of Indian Origin (PIO) card issued by Government of India, or  Copy of Overseas Citizen of India (OCI) card issued by Government of India, or  Copy of other national or citizenship Identification Number or Taxpayer Identification Number duly attested by "Apostille" (in respect of the countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located, or  Copy of Bank account statement in the country of residence, or  Copy of Non-resident External(NREO bank account statement in India, or
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For other th	an I i	ndividuals and HUF		
	1.	Copy of Certificate of Registration issued in the	1.	Copy of Certificate of Registration issued in the country
		country where the applicant is located, duly attested		where the applicant is located, duly attested by
		by "Apostille" (in respect of the countries which are		"Apostille" (in respect of the countries which are
		signatories to the Hague Convention of 1961) or by		signatories to the Hague Convention of 1961) or by the
		the Indian Embassy or High Commission or Consulate		Indian Embassy or High Commission or Consulate in the
		in the country where the applicant is located, or		country where the applicant is located, or
	2.	Copy of registration certificate issued in India or of	2.	Copy of registration certificate issued in India or of
		approval granted to set up office in India by Indian		approval granted to set up office in India by Indian
		Authorities.		Authorities.
	2.	approval granted to set up office in India by Indian	2.	approval granted to set up office in India by Ir

16	KYC Details	It is mandatory to provide KYC details in case of an application filled by a Foreign Institutional Investor or a Qualified Foreign Investor, as prescribed under the regulations issued by Securities and Exchange Board of India (SEBI). Please refer the guidelines issued by the Securities and Exchange Board of India (SEBI) and Prevention of Money Laundering Act for filling these details.
17	Signature / Thumb impression	Application must be signed by (i) the applicant; or (ii) Karta in case of HUF; or (iii) Director of a Company; or (iv) Authorised Signaturee in case of AOP, Body of Individuals, Local Authority and Artificial Juridical Person; or (v) Partner in case of Firm/LLP; or (vi) Trustee; or (vii) Representative Assessee in case of Minor/deceased/idiot/lunatic/mentally retarded.  Applications not signed in the given manner and in the space provided are liable to be rejected.

## GENERAL INFORMATION FOR PAN APPLICANTS

- (a) Applicants may obtain the application form for PAN (Form 49A) from any IT PAN Service Centres(managed by UTIITSL) or TIN-Facilitation Centres(TIN-FCs)/PAN Centres (managed by NSDL), or any other stationery vendor providing such forms or download from the Income Tax Department website(<u>www.incometaxindia.gov.in</u>)/UTIITSL website(<u>www.utiitsl.com</u>)/NSDL website (<u>www.tin-nsdl.com</u>).
- (b) The fee for processing PAN application is Rs. 85/- (plus service tax, as applicable). In case, the PAN card is to be dispatched outside India then additional dispatch charge of Rs 850 will have to be paid by applicant.
- (c) Those already allotted a ten digit alphanumeric PAN shall not apply again as having or using more than one PAN is illegal. However, request for a new PAN card with the same PAN or/and Changes or Correction in PAN data can be made by filling up 'Request for New PAN Card or/and Changes or Correction in PAN Data' form available from any source mentioned in (a) above. The cost of application and processing fee is same as in the case of Form 49A.
- (d) Applicant will receive an acknowledgment containing a unique number on acceptance of this form. This **acknowledgment number** can be used for tracking the status of the application.

(e) For more information / Application status enquiry contact:

	Income-tax Department	NSDL	UTIITSL
Website	www.incometaxindia.gov.in	www.tin-nsdl.com	www.utiitsl.com
Call Center	0124-2438000	020-27218080	022-67931300
Email ID		tininfo@nsdl.co.in	utiitsl.gsd@utiitsl.com

SMS	SMS NSDLPAN <space>Acknowledgement No. &amp; send to 57575 to obtain application status.</space>	
Address	INCOME TAX PAN SERVICES UNIT (Managed by National Securities Depository Limited), 3rd Floor, Sapphire Chambers, Near Baner Telephone Exchange, Baner, Pune - 411 045.	IT PAN Service Centres(managed by UTIITSL) PB no 20, Plot no 3, Sector- 11, CBD- Belapur – Navi Mumbai 400614

FROM: Client's Name: Address: :
e-mail ID :
To, ICICI Securities Ltd A Wing, 3 <sup>rd</sup> Floor, Autumn Estate Farm Road Chandivli, Andheri ( East ) Mumbai – 400072
Dear Sir/ Madam,
Sub: Authorization for processing of Permanent Account number ( PAN ) under the Income Tax act, 1961. Ref : 3-in-1 / Wise Invest Account Opening Form No.
I/ We, Mr. Mrs is/are Non resident Indian(s) / Person Of Indian Origin presently residing at, have vide application No applied for opening a 3-in-1 / Wise Invest Account with ICICI Securities Ltd ( I-Sec )
I/We are aware that for investment in and trading of Indian Securities on Indian exchanges and for investment in Mutual Fund, investors are required to obtain a Permanent account Number (PAN) under the Income Tax Act,1961 and as per SEBI circular dated 2 <sup>nd</sup> July 2007 respectively.
I/We are also aware that the I-Sec has made arrangements to facilitate submission of PAN application by NF clients to M/s UTI Technology Services Limited .
I/We wish to avail of the facility offered by I-Sec for submission of PAN application and have submitted herewith the duly filled Form49AA / Form 49A (Application form for Allotment of Permanent Account Number). I/we understand that, subsequent to the successful processing of the application, M/s UTI Technology Services Limited would dispatch the PAN card to me directly
I/we do hereby agree that :
<ol> <li>I-Sec would not deal in PAN procurement process except for forwarding the duly filled application form to the Firm.</li> <li>The PAN Card would be directly dispatched by M/s UTI Technology Services Limited to me and I-Sec would have no intervention in the same.</li> <li>I-Sec would not be held responsible for matters pertaining to the PAN processing formalities.</li> <li>My/our application for opening the 3-in-1 / Wise Invest account will be processed only after duly attested PAN card copy is submitted by me to I-Sec.</li> </ol>
I/we shall indemnify I-Sec and its representatives, employees and agents against all losses,costs,expenses,suits,damages whatsoever arising out of or in connections with their assistance and their services that may be provided to me/us for helping me/us in procuring the PAN.
Thanking You,
Signature : Customer Name :