

Country Name

[illegible]

☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others

(b) In case of non individuals

Please select ☒ as applicable

☐ R Private Company

☐ U Public Company

☐ D Body Corporate

☐ S Financial Institution

☐ N Non Government Organization

☐ C Charitable Organization

(c) Gross Annual Income - INR

Netwoth (Assets less liabilities) in INR

(d) In case of a Public Company, whether listed on a stock exchange

☐ Yes

☐ No

Please select ☒ as applicable

If yes, then indicate name of the stock exchange

(e) In case of Non-individuals

Does it have few persons or persons of the same family holding beneficial ownership and control.

☐ Yes

☐ No

Please select ☒ as applicable

["Control" :Control shall include the right to appoint majority of the directors or to control the management or policy decisions exercisable by a person or persons acting individually or in concert, directly or indirectly, including by virtue of their shareholding or management rigths or shareholders agreements or voting agreements or in any other manner.

"Beneficial owner" means the natural person who ultimately owns or controls the applicant and/or the person on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a juridical person]

(f) Is the entity involved / providing any of the following services

Please select ☒ as applicable

Foreign exchange, Money Changer Services

☐ Yes

☐ No

Gaming/Gambling/Lottery services (Casinos and Betting Syndicates)

☐ Yes

☐ No

Money Lending, Pawning

☐ Yes

☐ No

(g) Whether the applicant or the applicant's authorised signatories/trustees/office bearers is

(i) a politically exposed person

☐ Yes

☐ No

(ii) related to a politically exposed person

☐ Yes

☐ No

[For definition of politically exposed person refer to guidelines issued under the Prevention of Money Laundering Act (PMLA)]

(h) Taxpayer identification Number in the country of residence

17 I/We , the applicant, in the capacity of

do hereby declare that what is stated above is true to the best of my/our information and belief.

Place

Date

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Signature / Left Thumb Impression of
Applicant (inside the box)

INSTRUCTIONS FOR FILLING FORM 49AA

- (a) Form to be filled legibly in **BLOCK LETTERS** and preferably in **BLACK INK**. Form should be filled in English only
- (b) Each box, wherever provided, should contain only one character (alphabet /number / punctuation sign) leaving a blank box after each word.
- (c) 'Individual' applicants should affix two recent colour photographs with white background(size 3.5 cm x 2.5 cm) in the space provided on the form. The photographs should not be stapled or clipped to the form. The clarity of image on PAN card will depend on the quality and clarity of photograph affixed on the form.
- (d) Signature / Left hand thumb impression should be provided across the photo affixed on the left side of the form in such a manner that portion of signature/impression is on photo as well as on form.
- (e) Signature /Left hand thumb impression should be **within the box** provided on the right side of the form. The signature should not be on the photograph affixed on right side of the form. If there is any mark on this photograph such that it hinders the clear visibility of the face of the applicant, the application will not be accepted.
- (f) Thumb impression, if used, should be attested by a Notary Public or an authorized officer in respective country of residence under official seal and stamp.
- (g) AO code (Area Code, AO Type, Range Code and AO Number) of the Jurisdictional Assessing Officer must be filled up by the applicant. These details can be obtained from the Income Tax Office or PAN Centre or websites of PAN Service Providers on **www.utiitsl.com** or **www.tin-nsdl.com**.

(h) Guidelines for filling the Form 49A:

[illegible]

		For example M. S. KANDASWAMY(MADURAI SOMASUNDRAM KANDASWAMY) should be written as :																																																																																																																			
		Last Name/ Surname	K	A	N	D	A	S	W	A	M	Y																																																																																																									
		First Name	M	A	D	U	R	A	I																																																																																																												
		Middle Name	S	O	M	A	S	U	N	D	R	A	M																																																																																																								
		Applicants other than 'Individuals' may ignore above instructions.																																																																																																																			
		Non-Individuals should write their full name starting from the first block of Last Name/Surname. If the name is longer than the space provided for the last name, it can be continued in the space provided for First and Middle Name.																																																																																																																			
		For example XYZ DATA CORPORATI ON (INDIA) PRI VATE LI MITED should be written as :																																																																																																																			
		Last Name/ Surname	X	Y	Z			D	A	T	A			C	O	R	P	O	R	A	T	I	O	N			(I	N	D																																																																																							
		First Name	I	A)			P	R	I	V	A	T	E			L	I	M	I	T	E	D																																																																																														
		Middle Name																																																																																																																			
For example MANOJ MAFATLAL DAVE (HUF) should be written as :																																																																																																																					
Last Name/ Surname	M	A	N	O	J			M	A	F	A	T	L	A	L			D	A	V	E			(H	U	F)																																																																																									
First Name																																																																																																																					
Middle Name																																																																																																																					
In case of Company, the name should be provided without any abbreviations. For example, different variations of 'Private Limited' viz. Pvt Ltd, Private Ltd, Pvt Limited, P Ltd., P. Ltd., P. Ltd are not allowed. It should be 'Private Limited' only.																																																																																																																					
In case of sole proprietorship concern, the proprietor should apply for PAN in his/her own name.																																																																																																																					
Name should not be prefixed with any title such as Shri, Smt, Kumari, Dr., Major, M/s etc.																																																																																																																					
2	Abbreviation of the full name to be printed on the PAN card	Individual applicants should provide full/abbreviated name to be printed on the PAN card. Name, if abbreviated, should necessarily contain the last name. For example: SATYAM VENKAT M. K. RAO which is written in the Name field as : <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <td style="width: 15%;">Last Name/ Surname</td> <td>R</td><td>A</td><td>O</td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> <tr> <td>First Name</td> <td>S</td><td>A</td><td>T</td><td>Y</td><td>A</td><td>M</td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> <tr> <td>Middle Name</td> <td>V</td><td>E</td><td>N</td><td>K</td><td>A</td><td>T</td> <td></td><td></td><td>M</td><td></td><td>K</td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table> Can be written as in 'Name to be printed on the PAN Card' column as <div style="text-align: center;"> SATYAM VENKAT M. K. RAO or S. V. M. K. RAO or SATYAM V. M. K. RAO </div> For non individual applicants, this should be same as last name field in item no. 1 above.																												Last Name/ Surname	R	A	O																											First Name	S	A	T	Y	A	M																							Middle Name	V	E	N	K	A	T			M		K																	
Last Name/ Surname	R	A	O																																																																																																																		
First Name	S	A	T	Y	A	M																																																																																																															
Middle Name	V	E	N	K	A	T			M		K																																																																																																										
3	Have you ever been known by any other name?	If applicant selects 'Yes', then it is mandatory to provide details of the other name. Instructions in Item No. 1 with respect to name apply here. Title should be similar to the title mentioned in Item No. 1.																																																																																																																			
4	Gender	This field is mandatory for Individuals. Field should be left blank in case of other applicants.																																																																																																																			
5	Date of Birth/Incorporation/Agreement /Partnership or Trust Deed/Formation	Date cannot be a future date. Date: 2nd August 1975 should be written as: <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>2</td><td>0</td><td>8</td><td>1</td><td>9</td><td>7</td><td>5</td> </tr> </table> Relevant date for different categories of applicants is:																												D	D	M	M	Y	Y	Y	Y	0	2	0	8	1	9	7	5																																																																								
D	D	M	M	Y	Y	Y	Y																																																																																																														
0	2	0	8	1	9	7	5																																																																																																														

	of Body of Individuals/ Association of Persons	Individual: Actual Date of Birth; Company: Date of Incorporation; Association of Persons: Date of formation/creation; Trusts: Date of creation of Trust Deed; Partnership Firms: Date of Partnership Deed; LLPs : Date of Incorporation/Registration; HUFs: Date of creation of HUF and for ancestral HUF date can be 01-01-0001 where the date of creation is not available.																																																												
6	Father's Name	Applicable to Individuals only. Instructions in Item No.1 with respect to name apply here. Married woman applicant should give father's name and not husband's name.																																																												
7	Address – Residence and office	R - Residence Address: For Individuals, HUF, AOP, BOI or AJP, residential address is mandatory. Other applicants should leave this field blank. O - Office Address: (1) Name of Office and address to be mentioned in case of individuals having source of income as salary or Business/profession[Item No.13]. (2) In case of Firm, LLP, Company, Local Authority and Trust, name of office and complete address of office is mandatory. For all categories of applicants, it is necessary to mention complete address and the details of Town/City/District, State/Union Territory, and PINCODE are mandatory. In case, a foreign address is provided then it is mandatory to provide Country Name along with ZIP Code of the country.																																																												
8	Address for communicatio n	Individuals/HUFs/AOP/BOI/AJP may indicate either 'Residence' or 'Office' and other applicants should necessarily indicate 'Office' as the Address for Communication. All communication will be sent at the address indicated in this field.																																																												
9	Telephone Number and E-mail ID	(1) Telephone number should include country code(ISD code) and STD code or Mobile No. should include Country code(ISD Code). For example : (i) Telephone number 23555705 of Delhi should be written as <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td colspan="3">Country code</td> <td colspan="3">STD Code</td> <td colspan="8">Telephone Number / Mobile number</td> </tr> <tr> <td></td><td>9</td><td>1</td> <td></td><td>1</td><td>1</td> <td>2</td><td>3</td><td>5</td><td>5</td><td>5</td><td>7</td><td>0</td><td>5</td> </tr> </table> Where '91' is the country code of India and 11 is the STD Code of Delhi. (ii) Mobile number 9102511111 of India should be written as <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td colspan="3">Country code</td> <td colspan="3">STD Code</td> <td colspan="10">Telephone Number / Mobile number</td> </tr> <tr> <td></td><td>9</td><td>1</td> <td></td><td></td><td></td> <td>9</td><td>1</td><td>0</td><td>2</td><td>5</td><td>1</td><td>1</td><td>1</td><td>1</td><td>1</td> </tr> </table> Where '91' is the country code of India. (2) It is mandatory for the applicants to mention either their "Telephone number" or valid "e-mail id" so that they can be contacted in case of any discrepancy in the application and/or for receiving PAN through e-mail. (3) Application status updates are sent using the SMS facility on the mobile numbers mentioned in the application form.	Country code			STD Code			Telephone Number / Mobile number									9	1		1	1	2	3	5	5	5	7	0	5	Country code			STD Code			Telephone Number / Mobile number											9	1				9	1	0	2	5	1	1	1	1	1
Country code			STD Code			Telephone Number / Mobile number																																																								
	9	1		1	1	2	3	5	5	5	7	0	5																																																	
Country code			STD Code			Telephone Number / Mobile number																																																								
	9	1				9	1	0	2	5	1	1	1	1	1																																															
10	Status of Applicant	This field is mandatory for all categories of applicants. In case of 'Limited Liability Partnership', the PAN will be allotted in 'Firm' status.																																																												
11	Registration number	Not applicable to Individuals and HUFs. Mandatory for 'Company'. Company should mention registration number issued by the Registrar of Companies or the concerned Government Authority of country of residence.																																																												
12	Country of citizenship	This field is mandatory for all categories of applicants.																																																												
13	Source of Income	It is mandatory to indicate at least one of source of incomes, as mentioned in the form. In case, the income from Business/profession is selected by the applicant then an appropriate business profession code should be mentioned. Please refer the table given below to select Business/profession code:																																																												

		Code	Business/ Profession	Code	Business/ Profession	
		01	Medical Profession and Business	11	Films, TV and such other entertainment	
		02	Engineering	12	Information Technology	
		03	Architecture	13	Builders and Developers	
		04	Chartered Accountant/ Accountancy	14	Members of Stock Exchange, Share Brokers and Sub-Brokers	
		05	Interior Decoration	15	Performing Arts and Yatra	
		06	Technical Consultancy	16	Operation of Ships, Hovercraft, Aircrafts or Helicopters	
		07	Company Secretary	17	Plying Taxis, Lorries, Trucks, Buses or other Commercial Vehicles	
		08	Legal Practitioner and Solicitors	18	Ownership of Horses or Jockeys	
		09	Government Contractors	19	Cinema Halls and Other Theatres	
		10	Insurance Agency	20	Others	

14	Name and address of Representative Assessee	<p>Section 160 of Indian Income Tax Act, 1961 provides that any person(assessee) can be represented through Representative Assessee. Therefore, this column should be filled in by representative assessee only as specified in Section 160 of the Indian Income-tax Act, 1961, such as, an agent of the non-resident, guardian or manager of a minor, lunatic or idiot, Court of Wards, Administrator General, Official Trustee, receiver, manager, trustee of a Trust including Wakf.</p> <p>This field will contain particulars of the Representative Assessee. This field is mandatory if applicant is minor, deceased, idiot, lunatic or mentally retarded. Column 1 to 13 will contain details of person on whose behalf this application is submitted.</p> <p>Proof of Identity and Proof of address is also required for representative assessee.</p>
----	---	---

15	Proof of Identity and Proof of Address documents	<p>It is mandatory to attach proof of identity and proof of address with PAN application. Documents should be in the name of applicant. List of documents which will serve as proof of identity and address for each status of applicant is as given below:</p>
----	--	---

Document acceptable as proof of identity and address as per Rule 114 of Income Tax Rules, 1962

For Individuals and HUF

	Proof of Identity (Copy of)	Proof of address (copy of)
	<ol style="list-style-type: none"> Copy of passport, or Copy of Person of Indian Origin (PIO) card issued by Government of India, or Copy of Overseas Citizen of India (OCI) card issued by Government of India, Or Copy of other national or citizenship Identification Number or Taxpayer Identification Number duly attested by "Apostille" (in respect of countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located. 	<ol style="list-style-type: none"> Copy of Passport, or Copy of Person of Indian Origin (PIO) card issued by Government of India, or Copy of Overseas Citizen of India (OCI) card issued by Government of India, or Copy of other national or citizenship Identification Number or Taxpayer Identification Number duly attested by "Apostille" (in respect of the countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located, or Copy of Bank account statement in the country of residence, or Copy of Non-resident External(NRE0 bank account statement in India, or Copy of Certificate of Residence in India or Residential permit issued by the State Police Authorities, or Copy of Registration certificate issued by the Foreigner's Registration Office showing Indian address, or Copy of Visa granted & Copy of appointment letter or contract from Indian Company & Certificate (in original) of Indian address issued by the employer.

For other than Individuals and HUF		
	<p>1. Copy of Certificate of Registration issued in the country where the applicant is located, duly attested by "Apostille" (in respect of the countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located, or</p> <p>2. Copy of registration certificate issued in India or of approval granted to set up office in India by Indian Authorities.</p>	<p>1. Copy of Certificate of Registration issued in the country where the applicant is located, duly attested by "Apostille" (in respect of the countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located, or</p> <p>2. Copy of registration certificate issued in India or of approval granted to set up office in India by Indian Authorities.</p>

16	KYC Details	It is mandatory to provide KYC details in case of an application filled by a Foreign Institutional Investor or a Qualified Foreign Investor, as prescribed under the regulations issued by Securities and Exchange Board of India (SEBI). Please refer the guidelines issued by the Securities and Exchange Board of India (SEBI) and Prevention of Money Laundering Act for filling these details.
17	Signature / Thumb impression	<p>Application must be signed by (i) the applicant; or (ii) Karta in case of HUF; or (iii) Director of a Company; or (iv) Authorised Signaturee in case of AOP, Body of Individuals, Local Authority and Artificial Juridical Person; or (v) Partner in case of Firm/LLP; or (vi) Trustee; or (vii) Representative Assessee in case of Minor/deceased/idiot/lunatic/mentally retarded.</p> <p>Applications not signed in the given manner and in the space provided are liable to be rejected.</p>

GENERAL INFORMATION FOR PAN APPLI CANTS

- (a) Applicants may obtain the application form for PAN (Form 49A) from any IT PAN Service Centres(managed by UTIITSL) or TIN-Facilitation Centres(TIN-FCs)/PAN Centres (managed by NSDL), or any other stationery vendor providing such forms or download from the Income Tax Department website(www.incometaxindia.gov.in)/UTIITSL website(www.utiitsl.com)/NSDL website (www.tin-nsdl.com).
- (b) The fee for processing PAN application is Rs. 85/- (plus service tax, as applicable).In case, the PAN card is to be dispatched outside India then additional dispatch charge of Rs 850 will have to be paid by applicant.
- (c) Those already allotted a ten digit alphanumeric PAN shall not apply again as having or using more than one PAN is illegal. However, request for a new PAN card with the same PAN or/and Changes or Correction in PAN data can be made by filling up 'Request for New PAN Card or/and Changes or Correction in PAN Data' form available from any source mentioned in (a) above. The cost of application and processing fee is same as in the case of Form 49A.
- (d) Applicant will receive an acknowledgment containing a unique number on acceptance of this form. This **acknowledgment number** can be used for tracking the status of the application.
- (e) For more information / Application status enquiry contact:

	Income-tax Department	NSDL	UTIITSL
Website	www.incometaxindia.gov.in	www.tin-nsdl.com	www.utiitsl.com
Call Center	0124-2438000	020-27218080	022-67931300
Email ID		tininfo@nsdl.co.in	utiitsl.gsd@utiitsl.com

SMS		SMS NSDLPAN<space>Acknowledgement No. & send to 57575 to obtain application status.	
Address		INCOME TAX PAN SERVICES UNIT (Managed by National Securities Depository Limited), 3rd Floor, Sapphire Chambers, Near Baner Telephone Exchange, Baner, Pune - 411 045.	IT PAN Service Centres(managed by UTIITSL) PB no 20, Plot no 3, Sector- 11, CBD- Belapur – Navi Mumbai 400614

FROM :

Client's Name : _____

Address : _____
: _____
: _____

e-mail ID : _____

Tel No. : _____

Mobile No. : _____

To,

ICICI Securities Ltd

A Wing, 3rd Floor,

Autumn Estate Farm Road

Chandivli, Andheri (East)

Mumbai – 400072

Dear Sir/ Madam,

Sub: Authorization for processing of Permanent Account number (PAN) under the Income Tax act, 1961.

Ref : 3-in-1 / Wise Invest Account Opening Form No.

I/ We, Mr. Mrs. _____ is/are Non resident Indian(s) / Person
Of Indian Origin presently residing at _____, have vide application No.
_____ applied for opening a 3-in-1 / Wise Invest Account with ICICI Securities Ltd (I-Sec)

I/We are aware that for investment in and trading of Indian Securities on Indian exchanges and for investment in Mutual Fund, investors are required to obtain a Permanent account Number (PAN) under the Income Tax Act, 1961 and as per SEBI circular dated 2nd July 2007 respectively.

I/We are also aware that the I-Sec has made arrangements to facilitate submission of PAN application by NRI clients to M/s UTI Technology Services Limited .

I/We wish to avail of the facility offered by I-Sec for submission of PAN application and have submitted herewith the duly filled Form49AA / Form 49A (Application form for Allotment of Permanent Account Number) . I/we understand that, subsequent to the successful processing of the application, M/s UTI Technology Services Limited would dispatch the PAN card to me directly

.

I/we do hereby agree that :

1. I-Sec would not deal in PAN procurement process except for forwarding the duly filled application form to the Firm.
2. The PAN Card would be directly dispatched by M/s UTI Technology Services Limited to me and I-Sec would have no intervention in the same.
3. I-Sec would not be held responsible for matters pertaining to the PAN processing formalities.
4. My/our application for opening the 3-in-1 / Wise Invest account will be processed only after duly attested PAN card copy is submitted by me to I-Sec.

I/we shall indemnify I-Sec and its representatives, employees and agents against all losses, costs, expenses, suits, damages whatsoever arising out of or in connections with their assistance and their services that may be provided to me/us for helping me/us in procuring the PAN.

Thanking You,

Signature : _____

Customer Name : _____