



CASH ISA APPLICATION FORM

Please use black ink and write in CAPITALS. Defined terms shall have the meaning as set out in the Definitions section of the Brochure incorporating the Terms and Conditions. When you have completed and signed this application form, please return it to your Financial Adviser or to: Gilliat Financial Solutions, P.O. Box 874, Newport, NP20 9LE.

If you have any questions regarding the application process, please speak to your Financial Adviser or call 0845 848 0307

1. YOUR DETAILS

Title (Mr/Mrs/Miss/Ms):	
Surname:	
Full first names:	
Date of birth:	D D M M Y Y Y Y
Permanent home address:	
Post Code:	
Country:	
Phone Number:	

2. BANK DETAILS

Please complete the details below for receipt of payments:

Bank/Building Society:	
Address:	
Post Code:	

Account name:	
Account no:	
Sort code:	
Building Society Ref. or Roll No:	

3. NATIONAL INSURANCE NUMBER

Do you have a National Insurance (NI) number?: Yes No
If 'Yes', please write it below.

You should be able to find your NI number on a payslip, form P45 or P60, letter from Her Majesty's Revenue & Customs, letter from the DWP or pension order book.

NI Number:

4. PROVISION OF INFORMATION

For security purposes, please provide us with a password so we can give you information over the phone:

5. INVESTMENT DETAILS

Minimum investment £3,000 after deduction of any Fees where relevant.

A	Total amount being sent (Total on cheque or amount being transferred)	£
	i. I/we do not want you to pay fees to my/our adviser	<input type="checkbox"/>
B	ii. Please pay fees to my/our adviser (named in section 8)	<input type="checkbox"/>
	Please sign to confirm payment of fees:	Signed: <input type="text"/>
	Amount to be paid:	£

Amount to be invested in the Plan (Box A – Box B)

Diversified Asset Deposit Plan 3

£

Note: If you are asking us to pay fees to your adviser, you may wish to consider carefully the amount you send in order to maximise use of your ISA allowance.

Cheques should be made payable to **Gilliat Financial Solutions Client A/C 11**. If you are sending us a building society cheque, it should be payable to **Gilliat Financial Solutions Client A/C 11 (client name)**. If you send money by bank transfer, the details you require are: Gilliat Financial Solutions Client A/C 11, Barclays Bank, Sort code: 20-00-00, Account number: 6369 3317

PRODUCT PROVIDER: SOCIETE GENERALE | DEPOSIT TAKER: SG HAMBROS BANK LTD | PLAN ADMINISTRATOR: GILLIAT FINANCIAL SOLUTIONS

6. HAVE YOU RECEIVED FINANCIAL ADVICE?

A. Has a financial intermediary provided a recommendation for you to invest in this Product?

Yes

(Name of firm)

No

If you have answered yes, please proceed to Section 7.

If you have answered No to both of these questions, please do not proceed with this application. Gilliat Financial Solutions only accepts investments which have been assessed as appropriate for you by an authorised financial intermediary.

7. DECLARATION & AUTHORITY

I declare that:

- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or older;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I have not subscribed and will not subscribe more than the cash ISA subscription limit to a cash ISA;
- I have not subscribed and will not subscribe to another cash ISA in the same tax year(s) that I subscribe to this cash ISA;
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or, am married to, or in a civil partnership with, a person who performs such duties. I will inform Gilliat if I cease to be so resident and ordinarily resident or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties;
- I will inform Gilliat without delay of any change in my circumstances affecting any of the information in this application form.
- I declare that I have read the Brochure and Terms and Conditions and I agree to the Terms and Conditions under which my Plan will be managed.

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I authorise Gilliat:

- To make on my behalf any claims to relief from tax in respect of ISA investments.
- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

This is the standard client agreement upon which Gilliat Financial Solutions intend to rely. I understand that the Brochure and Terms & Conditions are the agreement under which my investment will be managed, and understand for my own protection that I should read them carefully before signing and ask for further information about any point on which I am unclear. I declare that Gilliat Financial Solutions shall act as Bare Trustee for the cash investment which will be placed on deposit with SG Hambros Ltd. I declare that this application form has been completed to the best of my knowledge and belief. I understand that Gilliat Financial Solutions does not provide investment advice and confirm that I have received advice on this investment from a financial intermediary as shown above or that its appropriateness has been assessed by a financial intermediary and I am satisfied that I do not need to take financial advice.

Signature:

Date:

Data Protection Act 1998

Arbuthnot Latham & Co., Limited is a member of the Arbuthnot Banking Group PLC group of Companies. Information held by us will be used to provide services to you and it may be passed to other Companies within the Group for their use, including identifying other products and services which may be of interest to you. Such products and services may be offered by the Bank or other members of the Group. If you do not wish such information to be divulged please tick the box below, and we will have your details removed from our mailing list. All personal clients have the right of access to their personal records which are held by the Bank. Such records may be viewed by the client subject to the payment of a fee in accordance with the Data Protection Act.

8. FOR FINANCIAL ADVISER'S USE ONLY

Adviser Firm:

Other special instructions re investment:

FCA Firm number:

Name of adviser:

If you are part of a network, please state which:

Prevention of money laundering

I confirm that I have carried out the appropriate identity checks and attached the 'Verification of Identity Certificate'.

Please tick the appropriate box:

- I have enclosed signed copies of the documentary evidence with this certificate.
- I have seen the original documents and recorded details.

Signed on behalf of the above:

By signing this application form, you agree to be bound by Gilliat's Terms of Business, details of which can be found at www.gilliat.co.uk.