UNITED STATES EQUESTRIAN FEDERATION, INC. ATHLETE SELECTION PROCEDURES 2012 FEI World Championship Endurance Team Newmarket, GBR <u>Revised 2/10/2012</u>

I. SELECTION SYSTEM

A. Minimum eligibility requirements for an Athlete to be considered for nomination to the Team:

1. Citizenship - The athlete must be a U.S. citizen and in compliance with FEI General Regulations, 23rd Edition, Article 119, which can be found on the FEI's website, <u>www.fei.org</u>, at the time of application, selection, and until the conclusion of the 2012 FEI World Endurance Championships ("the Event"). Athletes must have a valid U.S. Passport that does not expire until after August 27, 2012.

2. Minimum International Federation (IF) Standards for Participation:

- a. All athletes and horses wishing to compete on the 2012 FEI World Championship Endurance team (the "Team") must earn a FEI Certificate of Capability by June 25, 2012 in accordance with the "Endurance Qualification Criteria World Championship 2012" which can be found on the FEI website <u>www.usef.org</u> (See Attachment H).
- b. The athlete must have been born before January 1, 1999 as per the FEI Rules for Endurance 7th Edition Article 815.1(updated January 1, 2011).
- c. A horse must be a minimum of eight (8) years of age (the age being counted from January 1 of year of birth) as per FEI Rules for Endurance, 7th Edition, Article 815.2.4, (updated January 1, 2011).
- d. The athlete and horse must be registered and in good standing with the FEI at the time of application, selection, and until the conclusion of the Event (see FEI General Regulations 23rd Edition, Article 113, updated January 1, 2012).
- f. The athlete and horse must comply with FEI Rules for the Event.

3. Other Requirements:

- a. The athlete must be a USEF member in good standing at the time of application, selection, and until the conclusion of the Event.
- b. The athlete must be a current member in good standing of the American Endurance Ride Conference (AERC) and of AERC International.
- c. The athlete must be a member of the Endurance Rider Ranking List at the time of application.
- d. The horse must be recorded with USEF pursuant to USEF GR1102 and in good standing under USEF rules at the time of application, selection, and until the conclusion of the Event.
- e. Athletes wishing to be considered for the 2012 FEI World Championship Endurance Team must complete an application (Attachment A) and return it with the appropriate application fee to the United States Equestrian Federation, Inc. (USEF), Attention: Vonita Bowers, USEF Director of Endurance Activities, 4047 Iron Works Parkway, Lexington, Kentucky 40511. Applications and fees postmarked, hand-delivered, faxed, or submitted online on or before March 16, 2012 will be charged \$50 per application. Applications and fees postmarked, hand-delivered, faxed or submitted online after March 16, 2012 and received by USEF on or before March 23, 2012 will be charged an application fee of \$300 per application. NO APPLICATIONS WILL BE ACCEPTED AFTER MARCH 23, 2012. IF AN APPLICATION IS RECEIVED WITHOUT THE APPLICATION FEE, IT WILL BE ACCEPTED IF THE APPLICABLE FEE IS RECEIVED BY MARCH 30, 2012.

- f. Horse Loan Agreement: Owners of horses named to the FEI Nominated Entry (see Section I. C. 3.) must sign the USEF Horse Loan Agreement (Attachment B). A horse owner(s)' failure to sign the USEF Horse Loan Agreement may result in the removal of the athlete/horse combination from the FEI Nominated Entry.
- g. The athlete and horse owner(s) must certify, by signing the USEF 2012 FEI World Championship Endurance Team Application (Attachment A), that they have received and read the Athlete Selection Procedures for the 2012 FEI World Championship Endurance Team and the USEF Code of Conduct (Attachment C), and the USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment D), and that they understand those documents, and that they agree to be bound by them.

B. Tryout Events

<u>1. Provided below is the event name, date and location of the Selection Trial and a description</u> of Fitness and Soundness Assessments to be used as part of the selection process

Athlete/horse combinations wishing to be considered for the FEI Nominated Entry (see C. 3. below) must enter the 2012 FEI World Endurance Championship Selection Trial CEI3* 160km scheduled for March 31, 2012 in Mt. Pleasant Texas ("the Selection Trial").

Athletes wishing to have more than one horse compete at the Selection Trial may have the horse ridden by another rider ("Proxy Rider"). Proxy Riders who have not applied for selection for the Event are not eligible for selection. Athletes who have applied for selection for the Event and compete as a Proxy Rider are not eligible for selection with any horse that is not listed on their application.

All athlete/horse combinations named to the Long List (see C. 1. below) will be required to demonstrate continued preparation, soundness and ability by attending one (and ONLY one) Fitness and Soundness Assessment (see C.2. below) two to three weeks prior to the deadline for submission of the FEI Nominated Entry.

2. Provided below is a list of names, dates, locations and a description of how athletes qualify for any "preliminary or qualifying" events or procedures that are prerequisites to attend the trial or events listed above in B.1.(if any): N/A

<u>C. Provided below is a comprehensive, step-by-step description of the method that explains how</u> <u>athletes will go through the selection process to become Team nominees.</u>

1. Selection for Long List - Horses entered in the Selection Trial and finishing in the top 20 positions according to the official FEI results of the competition will be named to the Long List in alphabetical order with the athlete(s) who listed them on their application(s). This includes horses ridden by a Proxy Rider(s) at the Selection Trial.

The Chef d'Equipe may name to the Long List up to three applicant athlete /horse combinations who did not compete in or complete the Selection Trial in addition to those who finish in the top 20 positions at the Selection Trial.

Once athlete/horse combinations are named to the Long List, they will come under the control of the Chef d' Equipe. They shall not compete without the permission of the Chef d'Equipe.

2. Fitness and Soundness Assessments - All athlete/horse combinations named to the Long List will be required to demonstrate continued preparation, soundness, and ability by attending one (and ONLY one) Fitness and Soundness Assessment two to three weeks prior to the deadline for submission of the FEI Nominated Entry. The Fitness and Soundness Assessments will consist of one or more 40-70km workouts. There will be multiple Fitness and Soundness Assessments and the locations will be based upon the geographic distribution of Long List athlete/horse combinations. A list of Fitness and Soundness Assessment dates and locations will be posted on the USEF Website no later than April 16, 2012.

Immediately following the Fitness and Soundness Assessments, owners of horses on the Long List that have been nominated by more than one athlete must declare in writing to Vonita Bowers <u>vbowers@usef.org</u> the athlete with which the horse will remain in consideration. A final date for the declaration will be posted once the FEI Nominated Entry deadline is published.

3. FEI Nominated Entry – By no later than the closing date for the FEI Nominated Entry for the Event, a list of at least six (6) athlete/horse combinations and up to the maximum number of horses and athletes able to be nominated under the FEI rules for the Event will be recommended by the USEF Endurance Selectors (the FEI Nominated Entry), to the USEF Endurance High Performance Committee, the USEF High Performance Working group, and the USEF Executive Committee or its designees. This FEI Nominated Entry will be forwarded to the FEI no later than the deadline for FEI Nominated Entry for the Event.

The Selectors, in consultation with the Chef d'Equipe and the Veterinary Panel will evaluate athlete/horse combinations to be named to the FEI Nominated Entry to determine the prospect of the athlete/horse combination to win an individual or contribute to a Team medal at the Event. In making their recommendations, the Selectors will base their evaluations on the following factors, listed by order of importance: The athlete/horse combination's performance, but not necessarily their placing, at the Selection Trial; the soundness and fitness of the horse at the Selection Trial and the Fitness and Soundness Assessment; the performance of the athlete/horse combination at the Fitness and Soundness Assessment; the physical capacity, health and fitness of the athlete; the competition history of the athlete and horse (individually and as a combination).

In addition to recommending the FEI Nominated Entry, the Selectors may recommend Long List combinations to serve as substitute (see Definitions page 13) athlete/horse combinations for the FEI Nominated Entry. Recommended Substitutes are subject to approval by the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and by the USEF Executive Committee or its designees.

Once the FEI Nominated Entry for the Event has been announced, and prior to its submission to the FEI, Nominated Entry athlete/horse combinations may be substituted with substitute athlete/horse combinations for veterinary or medical reasons, or for breach of the USEF Code of Conduct, or for failure of the Horse Owner(s) to sign the USEF Horse Loan Agreement, or other exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event. Substitutions under this paragraph are subject to the approval of the Chef d' Equipe and the concurring approval of at least two of the three Selectors, one of whom must be the Eligible Athlete Selector. Any substitutions for veterinary reasons must also have the approval of the majority of the Veterinary Panel. Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF High Performance Working Group, and the USEF Executive Committee or its designees.

4. Short List – By no later than August 10, 2012, the Selectors will also recommend at least six (6) and up to Ten (10) athlete/horse combinations on the FEI Nominated Entry to a "Short List." Athlete/horse combinations shall travel to Europe in preparation for the Event. The remainder of the athlete/horse combinations on the FEI Nominated Entry will serve as substitutes for the Short List.

The Selectors, in consultation with the Chef d'Equipe and the Veterinary Panel will evaluate athlete/horse combinations to be named to the Short List to determine the prospect of the athlete/horse combination to win an individual or contribute to a Team medal at the Event. In making their recommendations, the Selectors will base their evaluations on the following factors, listed by order of importance: Athlete/horse combination's performance, but not necessarily their placing, at the Selection Trial; the soundness and fitness of the horse at the Selection Trial and the Fitness and Soundness Assessment; the performance of the athlete/horse combination at the Fitness and Soundness Assessment; the physical capacity, health and fitness of the athlete; the competition history of the athlete and horse (individually and as a combination).

Once the Short List for the Event has been announced, and prior to the submission of the FEI Definite Entry, Short List athlete/horse combinations may be substituted with substitute athlete/horse combinations for veterinary or medical reasons, or for breach of the USEF Code of Conduct, or for failure of the Horse Owner(s) to sign the USEF Horse Loan Agreement, or other exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event which is the subject of this selection. Substitutions under this paragraph are subject to the approval of the Chef d' Equipe and the concurring approval of at least two of the three Selectors, one of whom must be the Eligible Athlete Selector. Any substitutions for veterinary reasons must also have the approval of the majority of the Veterinary Panel. Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF High Performance Working Group and by the USEF Executive Committee or its designees.

5. Definite Entry – After submission of the FEI Nominated Entries, but no later than the closing date for FEI Definite Entries for the Event, the Selectors, in consultation with the Chef d'Equipe and Team Veterinarian, will evaluate the athlete/horse combinations on the Short List and recommend six (6) athlete/horse combinations and up to the maximum number of athletes able to be entered under the FEI rules for the Event with up to the maximum number of horses permitted, according to the FEI rules for the Event (the "Squad"). These recommendations are subject to the approval of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and by the USEF Executive Committee or its designees.

In addition, the Selectors, in consultation with the Chef d'Equipe and Team Veterinarian, may recommend a ranked list consisting of the athlete/horse combinations remaining on the Nominated Entry to serve as Substitute athlete/horse combinations for the Squad for the Event. The recommendations will also be subject to the approval of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and by the USEF Executive Committee or its designees.

6. After submission of the Definite Entry to the FEI and up to forty-eight (48) hours before the First Horse Inspection: After the submission of the FEI Definite Entry and until forty-eight (48) hours before the First Horse Inspection, the Selectors, in consultation with the Chef d' Equipe and Team Veterinarian, will evaluate the athlete/horse combinations on the Definite Entry and recommend four (4) athlete/horse combinations to be named to the Team and two (2) individuals and up to the maximum number of athletes able to be entered under the FEI rules for the Event with up to the maximum number of horses permitted, according to the FEI rules for the Event. These

recommendations are subject to the approval of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and by the USEF Executive Committee or its designees.

7. August 24, 2012 Declaration of Starters – Once at the Event venue, and after the First Horse Inspection, the Chef d' Equipe in consultation with the Team Veterinarian will declare the Team of four (4) athlete/horse combinations and two (2) Individuals that will compete in accordance with FEI General Regulations, 23rd edition, Article 121, (updated 1 January 2012). The Team and individuals will be the same as originally declared unless a substitution is made in accordance with the criteria outlined in IV. B. 5.

8. After the Declaration of Starters and up to two (2) hours before the start of the Competition-After the Declaration of Starters and up to two (2) hours before the start of the Competition, the Team and individuals will be the same as originally declared unless a Substitution is made in accordance with the criteria outlined in IV. B. 6.

D. Provided below are the names of all committees/groups who oversee the selection process, including the name(s) and role(s) of their members.

The USEF Endurance Selectors and USEF Endurance High Performance Committee will oversee the selection process, which process shall be reviewed and approved by the USEF High Performance Working Group and USEF Executive Committee or its designees (see Attachment G for list of USEF committees, their roles, and list of members).

E. Other Considerations

1. Equine Drug Testing – All applicant horses are subject to drug testing. Blood and/or urine samples may be collected at any time throughout the selection period, which is defined as commencing on March 16, 2012. Samples collected from horses will be tested for the presence of all substances forbidden and/or controlled by the FEI. If a prohibited substance is detected, its presence will be reported to Vonita Bowers, USEF Endurance High Performance Director. If a substance will be quantified and reported to Vonita Bowers, USEF Endurance High Performance Director. Any horse whose sample contains a prohibited substance or a restricted substance in a concentration greater than the applicable FEI limits for any FEI prohibited and/or quantitated substance may result in the elimination of the Veterinary Panel and with the approval of the USEF Endurance High Performance High Performan

2. Soundness - Horses that are candidates for selection for the Event may be examined at any time during the selection process (which is defined as commencing on March 16, 2012) for soundness by a member of the Veterinary Panel or alternate member of the Veterinary Panel, as appointed by the USEF Executive Committee, upon the recommendation of the USEF Endurance High Performance Committee and USEF High Performance Working Group.

All nominated horses that compete at the Selection Trial will be examined for soundness by a majority of the Veterinary Panel on Sunday April 1, 2012 following the Selection Trial. Horses found to be unsound by a majority of the Veterinary Panel may result in the elimination of the

athlete/horse combination with the unanimous approval of the Selectors and the concurring approval of the Chef d'Equipe.

All horses will be examined before, during and after the Fitness and Soundness Assessments by a majority of the Veterinary Panel. Horses found to be unsound by a majority of the Veterinary Panel may result in the elimination of the athlete/horse combination with the unanimous approval of the Selectors and the concurring approval of the Chef d'Equipe.

Further, horses that are candidates for selection for the Event may be examined by the Veterinary Panel at any time after the Fitness and Soundness Assessments and prior to traveling to the Event. Horses found to be unsound by a majority of the Veterinary Panel may result in the elimination of the athlete/horse combination with the unanimous approval of the Selectors and the concurring approval of the Chef d'Equipe.

3. Veterinary Panel Request – Applicants, *at their own expense*, must make available, upon the request of any member of the Veterinary Panel, the veterinary records of horses considered for selection. In addition, applicants, *at their own expense*, are responsible for supplying any follow-up diagnostic information requested by any member of the Veterinary Panel for horses considered for selection. Applicants, *at their own expense*, shall complete any treatment prescribed by any member of the Veterinary Panel, after consultation with the horse's regular veterinarian, if such a consultation is requested by the athlete, for horses considered for selection. Failure to comply with any request of any member of the Veterinary Panel may result in the removal of the athlete/horse combination from the selection process by the Selectors upon the recommendation of a majority of the Veterinary Panel and with the approval of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees.

4. Veterinary Treatment – Once the Short List is announced, by no later than the date for FEI Nominated Entries and prior to the departure of horses to the 2012 FEI World Endurance Championship venue for purposes of competing in the Event, all Short List athletes will be required to obtain written permission from two of the three members of the Veterinary Panel, one of whom will be the evaluating veterinarian, prior to their selected horses being treated by any person other than a member of the Veterinary Panel. This permission must be obtained by using an official USEF Endurance Veterinary Treatment Request Form (See Attachment E).

Failure to adhere to this policy and /or directives outlined on the USEF Veterinary Treatment Request Form may result in the removal of the athlete/horse combination from the selection process by the Selectors upon the recommendation of a majority of the Veterinary Panel and with the approval of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees. Failure to adhere to the directives of the Veterinary Panel as detailed on the USEF Endurance Veterinary Treatment Request Form may result in the removal of the athlete/horse combination from the selection process by the Selectors upon the recommendation of a majority of the Veterinary Panel and with the approval of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees.

5. Medical Request – In the Event of illness or injury, applicants may be required to submit to a medical examination by physicians(s) approved by the USEF Executive Committee (USEF physician(s)) at any time during the selection process for the purposes of determining the applicant's ability to compete at the Event. Failure to submit to such examination may result in the removal of the applicant from the selection process by the Selectors upon the recommendation of the USEF

physician(s) and with the approval of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees. Following the examination should the USEF physician(s) find the applicant to be unfit to compete the applicant will be removed from the selection process with the approval of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees.

II. DISCRETIONARY SELECTION

<u>A. Rationale for utilizing discretionary selection:</u> The option of discretionary selection is used to field the best team possible to represent the United States of America at the Event.

B. Discretionary criteria and explain how it will be used:

See Section I. C.

C. Discretionary Selection Committee:

- **1.** Names of the committee(s) that will be responsible for discretionary selection.
 - * USEF Endurance High Performance Selectors
 - * USEF Endurance High Performance Committee
 - * USEF High Performance Working Group
 - * USEF Executive Committee
 - * USEF Endurance Veterinary Panel

2. Complete list of the members of the committee(s), their titles and their NGB role that qualifies them to serve in this capacity.

See Attachment G for list of USEF committees, their roles, and list of members. A list of USEF Veterinary Panel members will be posted on the USEF website <u>www.usef.org</u>. Three Individuals (the "Selectors") will be appointed by the USEF Executive Committee upon the recommendation of the USEF Endurance High Performance Committee and the USEF High Performance Working Group. Selectors are subject to the USEF Code of Conduct and Code of Ethics and Conflict of Interest Policy of the USEF. These Selectors are members of the Endurance community who are knowledgeable and experienced in Endurance at the international level, and are senior members in good standing with USEF, including one Endurance Athlete Representative as defined by the USOC (see Definitions on page 13) but who is not an applicant for the 2012 FEI World Championship Endurance Team (the "Athlete Representative Selector"). In making their decision, the Selectors will consult with the USEF Chef d'Equipe and the majority of a panel of three veterinarians recommended by the USEF Endurance High Performance Committee and the USEF High Performance Working Group and approved by the USEF Executive Committee. A list of the Selectors will be posted on the USEF website <u>www.usef.org</u>.

A minimum of one Substitute Selector will also be named. A Substitute Selector will take the place of a Selector who has a conflict of interest or a substantial appearance of a conflict of interest. There will be a minimum of 20% Eligible Athlete representation on the panel of Selectors.

III. REMOVAL OF ATHLETES

A. Prior to acceptance of Definite Entry by the FEI, USEF has jurisdiction over potential

nominees: An athlete who is nominated to the Long List, FEI Nominated Entry, Squad, Team or Individual by USEF may be removed for any of the following reasons, as determined by USEF and in

accordance with these procedures.

- Voluntary withdrawal. The athlete must submit a written letter to the USEF CEO.
- Injury or illness as certified by a USEF approved physician (or medical staff). If an athlete refuses verification of their illness or injury by a USEF approved physician (or medical staff), their injury will be assumed to be disabling and they may be removed.
- Violation of the USEF Code of Conduct (Attachment C).
- Failure of an owner to sign the Horse Loan Agreement (Attachment B).
- Injury or illness of the horse as determined by a majority of the veterinary panel. If an athlete and/or horse owner(s) refuses to have the horse examined by the veterinary panel, the injury or illness will be assumed to be disabling and the horse may be removed.
- Exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, but only with the approval of the Chef d' Equipe and with the unanimous approval of the Selectors.
- Detection of a prohibited substance in the horse.
- Failure to adhere to all WADA, FEI, USEF and USADA anti-doping protocols, policies and procedures including participation in out of competition testing as required by WADA, FEI, USEF and USADA Rules.
- Failure to comply with any request of any member of the Veterinary Panel.
- Failure to obtain written permission from one of the members of the Veterinary Panel prior to the horse receiving veterinary treatment by any veterinarian not on the Veterinary Panel.

B. After the submission of the Definite Entry to the FEI:

An athlete nominated by the USEF to the Squad, Team, or as an Individual may be removed from the FEI Definite Entry or Team at any time for the following reasons:

- Violation of WADA, FEI, USEF and USADA anti-doping protocol, policies and procedures. In such instances, the adjudication process will be managed through the United States Anti-Doping Agency.
- Injury or illness as certified by a USEF approved physician (or medical staff).
- Injury or illness of the horse as determined by a majority of the Veterinary Panel.
- Violation of the USEF Code of Conduct.

In these procedures, the removal of an athlete is closely related to the process by which he would be substituted. Therefore, the reasons for which an athlete may be removed are thoroughly addressed in Section IV. Substitution of Athlete/Horse Combinations.

An athlete removed from the Team or Squad pursuant to this provision has the right to a hearing under the USEF's Bylaws, Article 703 and USEF General Rules, Chapter 6, GR 605 or USOC Bylaw, Section 9.

IV. SUBSTITUTION OF ATHLETE/HORSE COMBINATIONS

A. Following is the process by which the substitute pool of Athlete/horse combinations will be

identified: The list of athletes on the FEI Nominated Entry will comprise the pool of substitute athlete/horse combinations.

B. Substitute athlete/horse combination(s) will be selected, should a vacancy occur according to the following method:

1. Prior to acceptance of the FEI Nominated Entry by the FEI:

After the FEI Nominated Entry for the Event has been announced and prior to the submission of the FEI Nominated Entries, FEI Nominated Entry athlete/horse combinations may be substituted with substitute athlete/horse combinations for veterinary or medical reasons, or for breach of the USEF Code of Conduct, or for failure of the Horse Owner(s) to sign the USEF Horse Loan Agreement, or other exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, but only with the approval of the Chef d'Equipe and with the concurring approval of at least two of the three Selectors, one of whom shall be the Eligible Athlete Selector.

Any substitutions for veterinary reasons must also have the approval of the majority of the Veterinary Panel.

Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF High Performance Working Group and the USEF Executive Committee.

2. After submission of the FEI Nominated Entry and prior to acceptance of the FEI Definite Entry:

After the FEI Nominated Entry has been submitted and prior to the acceptance of the FEI Definite Entry, athlete/ horse combinations on the FEI Nominated Entry may only be substituted with substitute athlete/horse combinations that are also included on the FEI Nominated Entry. During this time period, substitutions may only be made for veterinary or medical reasons, or for breach of the USEF Code of Conduct, or other exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, but only with the approval of the Chef d' Equipe and the concurring approval of at least two (2) of the three (3) Selectors, one of whom is the Eligible Athlete Selector, the USEF Endurance High Performance Committee or its designees, USEF High performance Working Group or its designees, and the USEF Executive Committee or its designees.

Any substitutions for veterinary reasons must also have the approval of the majority of the Veterinary Panel.

Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF High Performance Working Group and the USEF Executive Committee.

3. After acceptance of Definite Entries by the FEI and up to forty-eight (48) hours before the First Horse Inspection:

After acceptance of the FEI Definite Entry and up to forty-eight (48) hours before the First Horse Inspection, Squad members may only be substituted with substitute athlete/horse combinations in accordance with of the FEI Regulations Rules for Endurance, 7th Edition, Article 819.3.1 (updated January 1, 2011) and according to the following criteria. Squad members may only be substituted with substitute athlete/horse combinations if permitted by the Organizing Committee for veterinary or medical reasons, or for breach of the USEF Code of Conduct, or other exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, based upon the approval of the Chef d'Equipe and with the concurring approval of at least two (2) of the three (3) Selectors, one of whom shall be the Active Athlete Selector. Any substitutions for veterinary reasons must also be approved by a majority of the Veterinary Panel. Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF Executive Committee.

4. Within 48 hours of the First Horse Inspection:

Within forty-eight hours of the First Inspection, Team members may only be replaced with Individual athlete/horse combinations or substitute athlete/horse combinations and the Individual athlete/horse combinations may only be substituted with a Team member or substitute athlete/horse combinations if permitted by the Organizing Committee for veterinary or medical reasons, or for breach of the USEF Code of Conduct, voluntary withdrawal from the Team, or other exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, based upon the approval of the Chef d'Equipe and with the concurring approval of at least two (2) of the three (3) Selectors, one of whom shall be the Active Athlete Selector. Any substitutions for veterinary reasons must also be approved by a majority of the Veterinary Panel. Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF Executive Committee.

5. Declaration of Starters:

Once at the Event venue, and after the first horse inspection, the Chef d'Equipe will declare the Team of four (4) athlete/horse combinations as well as the two (2) Individual athlete/horse combinations that will compete. In making this final declaration, the factors enumerated above for the evaluation and declaration for the Event shall be followed. The Team and Individual declared will be the same as those originally announced except that substitutions may be made in accordance with the following criteria. Any substitutions must be approved by the Chef d'Equipe and with the majority vote of the combined membership of the USEF High Performance Endurance Committee and the Selectors physically present at the competition site. All substitutions must also have the approval, by majority vote, of the designated members of the USEF High Performance Working Group and the Executive Committee physically present at the competition site. Any substitutions for veterinary reasons must also be approved by a majority of the members of the Veterinary Panel physically present at the competition site. Any substitutions for weterinary reasons must also be documented by a physician(s) approved by the USEF Executive Committee.

6. After the Declaration of Starters but before the Start of the Event:

Once the Team and Individuals have been declared substitutions may be permitted according to the following criteria:

Substitutes in Case of Accident or Illness: In case of an accident or illness of a competitor or a horse, where such accident or illness makes it impossible for that competitor or horse to take part in the competition, occurring between the declaration of starters and the start of the competition; substitutions can be made up to 2 hours before the start of the competition. The following conditions must be met; 1) a certificate of the condition from an officially recognized physician for the Athlete, and from a veterinary surgeon/Team Veterinarian for the horse, and 2) permission of the President of the Ground Jury. A competitor or horse, either or both, can only be replaced by another competitor or horse or by competitor/horse combination taken from those who have been duly entered as members of Teams or as Individuals and whose horses have successfully passed the First Horse Inspection" FEI Rules for Endurance 7th Edition, Articles 819.3.2 and 819.3.3 effective January 1, 2009 (updated January 1, 2011).

Any substitutions must be approved by the Chef d'Equipe and with the majority vote of the combined membership of the USEF High Performance Endurance Committee and the Selectors physically present at the competition site. All substitutions must also have the approval, by majority vote, of the designated members of the USEF High Performance Working Group and the USEF Executive Committee physically present at the competition site. Any substitutions for veterinary reasons must also be approved by a majority of the members of the Veterinary Panel physically present at the competition site. Any substitutions must also be documented by a physician(s)

approved by the USEF Executive Committee.

<u>C.</u> Group or committee that will be responsible for making athlete substitution determinations:

1. Group or committee who determines the substitute pool: USEF Selectors, USEF Veterinary Panel, USEF Endurance High Performance Committee, USEF High Performance Working Group, USEF Executive Committee or its designees.

- 2. Group or committee who determines a substitute to the Team:
 - a. Prior to acceptance of nominations by the FEI: USEF Selectors, USEF Veterinary Panel, USEF Endurance High Performance Committee, USEF High Performance Working Group, USEF Executive Committee or its designees.
 - **b.** After acceptance of nominations by the FEI: See IV. C. 2. a. above.
 - **c. During the Event:** Members of the groups and committees listed in IV. C. 2. a. above who are physically present.

V. SUPPORTING DOCUMENTS – USEF will retain all supporting documents, including evaluation forms and data from the selection process for six months past the date of the Closing Ceremonies of the 2012 FEI World Endurance Championship.

<u>VI. REQUIRED DOCUMENTS</u> – The following documents are required to be properly executed as a condition of nomination in the 2012 FEI World Endurance Championship and are included as attachments:

- Application (Attachment A)
- Horse Loan Agreement (Attachment B)
- USEF Code of Conduct (Attachment C)
- USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment D)

Applicants and horse owners will be required to certify that they have received and read a copy of the Application, USEF Code of Conduct, USEF Release, Assumption of Risk, Waiver and Indemnification, and Horse Loan Agreement, and that they understand the documents.

In addition, all persons participating in any decision made pursuant to the Selection Procedures including but not limited to Selectors, members of the Veterinary Panel, Physicians, members of the USEF Endurance High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees will be required to abide by the USEF Code of Ethics and Conflict of Interest Policies.

Reference is made to the attached USEF Code of Ethics and Conflict of Interest Policies for a full and accurate statement of the requirements of that policy. In particular, however, no Individual may participate in a particular phase of the selection process if, after full disclosure, the Individual is found by the applicable committee to have a disqualifying conflict of interest in the particular phase of the selection process in question per the USEF Code of Ethics and Conflict of Interest Policy.

VII. ADDITIONAL DOCUMENTS

Applicants are required to read the USEF Athlete Agreement (Attachment F) and are requested to sign it.

VIII. PUBLICITY/DISTRIBUTION OF PROCEDURES – The USEF Executive Committee approved

2012 FEI World Endurance Championship Selection Procedures Page **12** of **13**

Selection Procedures (complete and unaltered) will be posted/published by USEF in the following locations:

- A. NGB website: <u>www.usef.org</u>
 These procedures will be posted as soon as possible, but not more than 5 business days following notice of approval by the USEF Executive Committee
- B. NGB Official Publication: "Equestrian" magazine
- C. Other: The Procedure and Application Packet will also be available by mail upon request.

IX. DATE OF ENTRIES – The FEI Nominated Entry will be submitted to the FEI no later than the close of Nominated Entries. The FEI Definite Entry will be submitted to the FEI no later than the close of Definite Entries. The date for FEI Nominated Entry and the Date for the FEI Definite Entry will be posted on the USEF website www.USEF.org as soon as they are published by the FEI.

<u>X. ANTI-DOPING REQUIREMENTS</u> – Athletes must adhere to all IOC, WADA, FEI, USEF and USADA protocols, policies and procedures. This includes participation in out of competition testing as required by IOC, WADA, FEI, USEF, and USADA.

XI. DEVELOPMENT OF SELECTION PROCEDURES – The following committees/groups were responsible for creating these Selection Procedures: USEF Endurance Eligible Athletes Committee, USEF Endurance High Performance Committee, USEF High Performance Working Group, USEF Executive Committee. See Attachment G for list of USEF committees, their roles, and list of members.

XII. USEF BYLAWS AND GRIEVANCE PROCEDURES – The USEF Bylaws and Grievance Procedures can be found on the USEF's website <u>www.usef.org</u>.

XIII. INTERNATIONAL DISCLAIMER – These procedures are based on the FEI rules and regulations as presently known and understood. Any change in the Selection Procedures caused by a change in FEI rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to USEF. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

<u>XIV. ATHLETE OMBUDSMAN</u> – Athletes who have questions regarding their opportunity to compete that are not answered by USEF may contact the USOC Athlete Ombudsman: John W. Ruger by:

- Toll free telephone at (888) ATHLETE
- E-mail at john.ruger@usoc.org
- www.888Athlete.org

XV. FUNDING DISCLAIMER - Selection to the 2012 FEI World Championship Endurance Team does not guarantee that funds will be available to send a Team.

<u>XVI. DISCLAIMER</u> – Please see Attachment D – USEF Release, Assumption of Risk, Waiver and Indemnification.

Any questions regarding these Selection Procedures should be addressed to Vonita Bowers, Director of Endurance High Performance, United States Equestrian Federation, 4047 Iron Works Parkway, Lexington, KY 40511 Phone (859)-825-6001, Email: vbowers@usef.org

XVII. NGB SIGNATURES – I certify that I have read, understand and incorporated our IF standards/criteria into our Selection Procedures and that the information provided herein regarding Athlete Selection Procedures represents the method approved by the United States Equestrian Federation.

DEFINITIONS

ENDURANCE RIDER RANKING LIST- a list maintained by the USEF which ranks athletes according to their performance at designated trials. Points from the best two performances at designated Ranking Trials within the past 24 months are used to rank the athletes from highest number of points earned to lowest.

ENDURANCE ATHLETE REPRESENTATIVE – an athlete will be considered an Endurance Athlete Representative as defined by the USEF in Part IV-Athletes, Bylaw 401 as eligible for membership on a "designated committee" if he/she:

1. is a USEF Senior Active Member and within the ten (10) years preceding the election or appointment has represented the United States in a World Championship recognized by the FEI for which a competitive selection process was administered by the Federation; or

2. within the two (2) years preceding the election or appointment has demonstrated that he/she is actively engaged in athletic competition by holding a position on the Endurance National Training List on the closing date of applications for a Team selection for a World Championship recognized by the FEI.

FITNESS – whether the horse competed at a high level more frequently than is consistent with delivering a peak performance at the event for which selection is being made. Whether the horse demonstrated in training the modicum of preparation necessary for preparedness for the rigors of the event for which selection is being made.

INTERNATIONAL EXPERIENCE - a competitive record over the past two-three years that indicates that the athlete/horse combination has successfully met the challenges of FEI international events, demonstrating an ability to perform under pressure consistently.

PROXY RIDER- an athlete who competes a horse for another athlete.

SUBSTITUTE – replacing an athlete/horse combination after the FEI Definite Entries have been submitted.

MANAGEABILITY – on course the horse responds to the aids (directions) of the athlete as a result of his training. In the vet gate the horse demonstrates composure, obedience and confidence in himself and his handler.

SPEED - evidence that in the international record of the athlete/horse combination that the athlete/horse combination shows the ability to complete the 2012 FEI World Endurance Championship competition distances at the speed demanded.

UNITED STATES EQUESTRIAN FEDERATION, INC. ATHLETE SELECTION PROCEDURES 2012 FEI World Championship Endurance Team

Attachment List

Attachment A 2012 FEI World Endurance Championship Application

Attachment B Horse Loan Agreement

Attachment C USEF Code Of Conduct

Attachment D USEF Release, Assumption of Risk, Waiver, and Indemnification

Attachment E Request for Drug Administration

Attachment F Athlete Agreement

Attachment G USEF Committee List

Attachment H FEI Endurance Qualification Criteria 2012 World Endurance Championship



ATTACHMENT A

UNITED STATES EQUESTRIAN FEDERATION, INC. 2012 FEI WORLD ENDURANCE CHAMPIONSHIP TEAM

APPLICATION

I have read the USEF Athlete Selection Procedure for the 2012 FEI World Endurance Championship Team, and the USEF Code of Conduct and USEF Conflict of Interest Policy. I understand these documents and agree to be bound by them.

A separate form should be submitted for each horse wishing to be considered for the 2012 FEI World Endurance Championship Team. Please photocopy this application if you require additional forms.

RIDER INFO

DATE	_SIGNATURE OF RIDER	
NAME OF RIDER (print)		
SIGNATURE OF WITNES	S	
NAME OF WITNESS (prin	t)	
DATE	_SIGNATURE OF OWNER	
NAME OF OWNER (print	i)	
SIGNATURE OF WITNES	S	
NAME OF WITNESS (prin	t)	
RIDER'S PERMANENT A	DDRESS:	
MAILING ADDRESS WHI BE SENT:	ERE 2012 FEI WORLD ENDURANCE CH	IAMPIONSHIP MATERIALS SHOULD
TELEPHONE:	FAX:	MOBILE:
EMAIL ADDRESS:		
DATE OF BIRTH:	PLACE OF BIRTH:	AGE:
USEF#:	AERC#:	FEI#:
PASSPORT#:	PASSPORT EXPIRATION DATE:	
	HORSE INFO	
		HGT:
SEX:COLO	R:BREED:	
SIRE:	DAM:	BLANKET SIZE:
USEF NO:	FEI PASSPORT NO:	FEI REGISTRATION NO:

	Rider Name: OWNER INFO	(Attachment A Endurance Procedure
× ×	ON HORSE'S FEI PASSPORT)	
NAME & ADDRESS		
TELEPHONE:	FAX:	EMAIL ADDRESS:
DATE OF BIRTH:	PLACE OF BIRTH:	AGE:
CITIZENSHIP:	PASSPORT#:	
PASSPORT EXPIRATION	N DATE:	_
OWNER #2 (AS LISTED	ON HORSE'S FEI PASSPORT)	
NAME & ADDRESS		
		EMAIL ADDRESS:
TELEPHONE:	1 / / / /	
		AGE:
DATE OF BIRTH:		
DATE OF BIRTH:	PLACE OF BIRTH:	
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION	PLACE OF BIRTH: PASSPORT#: N DATE:	
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION <u>OWNER #3</u> (AS LISTED	PLACE OF BIRTH: PASSPORT#: V DATE: ON HORSE'S FEI PASSPORT)	
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION <u>OWNER #3</u> (AS LISTED	PLACE OF BIRTH: PASSPORT#: V DATE: ON HORSE'S FEI PASSPORT)	
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION <u>OWNER #3</u> (AS LISTED NAME & ADDRESS	PLACE OF BIRTH: PASSPORT#: I DATE: ON HORSE'S FEI PASSPORT)	
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION OWNER #3 (AS LISTED NAME & ADDRESS TELEPHONE:	PLACE OF BIRTH: PASSPORT#: N DATE: ON HORSE'S FEI PASSPORT) FAX:	
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION OWNER #3 (AS LISTED NAME & ADDRESS TELEPHONE: DATE OF BIRTH:	PLACE OF BIRTH: PASSPORT#: N DATE: ON HORSE'S FEI PASSPORT) FAX:	 EMAIL ADDRESS: AGE:
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION OWNER #3 (AS LISTED NAME & ADDRESS TELEPHONE: DATE OF BIRTH: CITIZENSHIP:	PLACE OF BIRTH: PASSPORT#: N DATE: ON HORSE'S FEI PASSPORT) FAX: PLACE OF BIRTH:	 EMAIL ADDRESS: AGE:
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION OWNER #3 (AS LISTED NAME & ADDRESS TELEPHONE: DATE OF BIRTH: CITIZENSHIP:	PASSPORT#: PASSPORT#: DATE: ON HORSE'S FEI PASSPORT) FAX: PLACE OF BIRTH: PASSPORT#:	 EMAIL ADDRESS: AGE:
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION OWNER #3 (AS LISTED NAME & ADDRESS TELEPHONE: DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION	PLACE OF BIRTH: PASSPORT#: N DATE: ON HORSE'S FEI PASSPORT) FAX: FAX: PLACE OF BIRTH: PASSPORT#: N DATE: GROOM #1 INFO	 EMAIL ADDRESS: AGE:
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION OWNER #3 (AS LISTED NAME & ADDRESS TELEPHONE: DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION GROOM'S NAME & ADI	PASSPORT#: PASSPORT#: ON HORSE'S FEI PASSPORT) FAX: PLACE OF BIRTH: PASSPORT#: DATE: DATE:	 EMAIL ADDRESS: AGE:
DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION OWNER #3 (AS LISTED NAME & ADDRESS TELEPHONE: DATE OF BIRTH: CITIZENSHIP: PASSPORT EXPIRATION GROOM'S NAME & ADI TELEPHONE:	PLACE OF BIRTH: PASSPORT#: ON HORSE'S FEI PASSPORT) FAX: PLACE OF BIRTH: PASSPORT#: DATE: DRESS: FAX:	 EMAIL ADDRESS: AGE:

	Rider Name:	(Attachment A Endurance Procedures
	GROOM #2 INFO	
GROOM'S NAME & ADD	RESS:	
TELEPHONE:	FAX:I	EMAIL ADDRESS:
DATE OF BIRTH:	PLACE OF BIRTH	AGE:
PASSPORT#:	PASSPORT EXPIRATION DATE:	·
APPLICATION FEE RECEIVED BY Marc		<u>IE APPLICATION FEE IS</u>
	VONITA BOWERS UNITED STATES EQUES' 4047 IRON WORKS PARK LEXINGTON, KY 40511 FAX (859) 231- 6662	TRIAN FEDERATION, INC. KWAY
	UNITED STATES EQUES 4047 IRON WORKS PARK LEXINGTON, KY 40511	,
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	UNITED STATES EQUES 4047 IRON WORKS PARK LEXINGTON, KY 40511	,



UNITED STATES EQUESTRIAN FEDERATION, INC. HORSE LOAN AGREEMENT (Attachment B)

It is understood that the horse owner(s) must certify, by signing the USEF application for the above competition, that they have received and read the Selection Procedures for the above competition, and the USEF Code of Conduct; that they understand these documents; and that they agree to be bound by them.

It is further understood that as required in the FEI General and Veterinary Regulations, the USEF's duly appointed Chef d'Equipe has final responsibility for general management, schooling, declaration and scratching of entries, and the observance of veterinary administration for all Team horses.

The USEF and the horse owner(s) understand that under all normal circumstances the horse(s) will be ridden/driven exclusively by _______. Notwithstanding the foregoing, the Chef d'Equipe reserves the right to change the rider/driver/vaulter, if, in his/her opinion, the best interests of the USEF will be served by doing so, providing the change is not in conflict with FEI rules. Before making the change, the Chef d'Equipe will notify both the rider/driver/vaulter and owner(s) of the horse, or the owner(s)' representative.

The USEF does not carry insurance on any horses placed in its custody and cannot be responsible for any accident, lameness, illness, or injury that could happen during stabling, training, transportation or competition. You may, of course, maintain your own insurance coverage.

By signing this agreement the horse owner(s) give their full permission to the USEF and its agents to administer medication to their horse(s) in the interest of the horse(s) welfare and well being during the loan period.

All financial and logistical information for the above competition will be outlined in the Rider/Driver/Vaulter Memorandum which will be circulated to riders/drivers/vaulters and the horse owners prior to the above competition. The USEF assumes no responsibility for salaries or other benefits.

By signing this agreement the horse owner(s) certifies that they have read, understand and agree to the attached prize money policy for the above competition, if applicable.

If the opportunity arises for you to sell your horse(s) during the loan period, you agree that the transfer of ownership will not take place until after the expiration of the loan period, unless both the USEF and the new owner(s) consent in writing to the transfer of ownership during the subject to this loan agreement. Furthermore, horse(s) will not be shown for sale during the competition that is the subject of this loan agreement.

Date

Signature of Owner(s)

Name of Owner(s)

Mailing Address

Horse Owner Social Security or Tax I.D. #



UNITED STATES EQUESTRIAN FEDERATION, INC. CODE OF CONDUCT

As a United States Equestrian Federation, Inc. (USEF) competitor and/or horse owner, I acknowledge my obligation to uphold and adhere to the highest standards of horsemanship, sportsmanship, and citizenship whenever I am representing my country whether as a team member, individual competitor, or horse owner, and whether during actual competition or not.

As a horseman, I will place my horse's welfare above all other considerations and faithfully observe both the USEF and the FEI Codes of Conduct.

As a sportsman, I will know and comply with all pertinent rules of USEF as my National Governing Body (NGB), the FEI as the International Governing Body, and, when applicable, the U.S. and International Olympic Committees, and honor the principles of fair play inherent in them. I will place the interests of the team above my own, and respect the decisions of its duly appointed/elected officials, made in the team's best interest.

As a citizen, I will respect the laws of my own country as well as those of foreign countries in which I or my horse(s) may compete. Knowing that as a USEF competitor and/or horse owner I also serve as an Ambassador for my sport, USEF, and my country. I will endeavor at all times to reflect credit on them through my conduct, dress, and behavior.

I clearly acknowledge my responsibility to ensure that my employees who accompany me whenever I am competing and/or representing my country also abide by this Code of Conduct.

I understand and accept that serious or repeated transgressions of these Codes may be grounds for suspension from a squad or team, or other appropriate disciplinary action. In addition, I agree to abide by selection procedures established by USEF wherever applicable.

Finally, I fully understand that entries will not be made until this application form is signed and completed in full and that this application indicates my intent to compete. Failure to participate may result in disciplinary action on USEF by the FEI (FEI Statutes 002.1, GR121.12, 165). I agree to reimburse all fines imposed by the FEI on USEF for failure to participate in the competitions entered and agree to honor all other penalties including possible and further disciplinary action.

Rider/Driver Electronic Signature – Type Rider/Driver name	USEF #	Date
Parent/Guardian Electronic Signature – Type Parent/Guardian name	USEF #	Date
Owner Electronic Signature – Type Owner name	USEF #	Date



UNITED STATES EQUESTRIAN FEDERATION, INC. RELEASE, ASSUMPTION OF RISK, WAIVER AND INDEMNIFICATION (Attachment D)

This document waives important legal rights. Read it carefully before signing.

I AGREE, in consideration for my participation as a competitor, and therefore a representative of the United States and the United States Equestrian Federation, Inc. (the National Federation), to the following:

I AGREE that I choose to participate voluntarily with my horse, as a rider, driver, handler, vaulter, longeur, lessee, owner, agent, or as parent or guardian of an exhibitor under 18 years of age. I am fully aware and acknowledge that horse sports involve inherent dangerous risks of accident, loss, and serious bodily injury including broken bones, head injuries, trauma, pain, suffering, or death ("Harm").

I AGREE to release the Federation from all claims for money damages or otherwise for any Harm to me or my horse and for any Harm caused by me or my horse to others, even if the Harm resulted, directly or indirectly, from the negligence of the Federation.

I AGREE to expressly assume all risks of Harm to me, or my horse, including Harm resulting from the negligence of the Federation.

I AGREE to indemnify (that is, to pay any losses, damages, or costs incurred by) the Federation and to hold it harmless with respect to claims for Harm to me, or my horse, and for claims made by others for any Harm caused by me, or my horse at the Competition.

I AGREE that "the Federation" as used above includes all of its officials, officers, directors, employees, agents, personnel, volunteers and affiliated organizations.

I FURTHER AGREE THAT ALL DECISIONS REFERENCED IN THE SELECTION PROCEDURE FOR THE 2012 FEI WORLD ENDURANCE CHAMPIONSHIP TEAM SHALL BE FINAL AS MADE BY THE REFERENCED INDIVIDUALS. BY SIGNING THE APPLICATION FOR THE 2012 FEI WORLD ENDURANCE CHAMPIONSHIP TEAM, APPLICANTS, AND OWNERS, AGREE TO ABIDE BY ALL DECISIONS MADE IN ACCORDANCE WITH THE SELECTION PROCEDURE BY THE CHEF D'EQUIPE, SELECTORS, VETERINARY PANEL, PHYSICIANS, THE USEF ENDURANCE HIGH PERFORMANCE COMMITTEE, THE USEF HIGH PERFORMANCE WORKING GROUP, AND THE USEF EXECUTIVE COMMITTEE OR SUBCOMMITEES THEREOF, AND AGREE TO HOLD THEM HARMLESS IN THIS REGARD. NOTHING HEREIN SHALL LIMIT AN APPLICANT'S RIGHTS UNDER THE TED STEVENS OLYMPIC AND AMATEUR SPORTS ACT AND THE USOC BYLAWS.

I represent that I have the requisite training, coaching and abilities to safely compete in this competition. **BY SIGNING BELOW, I AGREE** to be bound by all of the above terms, <u>by all applicable Federation Bylaws and General Rules</u>, <u>FEI rules and USOC Bylaws</u>.

Rider/Driver/Handler/Vaulter/Longeur

	Signature:
	Print Name:
Owner/Agent	
	Signature:
	Print Name:
Parent/Guardian	(Required if Rider/Driver/Handler/Vaulter/Longeur is under eighteen years of age.)
	Signature:
	Print Name:



ATTACHMENT E

REQUEST FOR DRUG ADMINISTRATION USEF SELECTION FOR ENDURANCE COMPETITIONS

AUTHORIZATION FROM A SELECTING VETERINARIAN MUST BE OBTAINED BEFORE TREATMENT IS GIVEN.

DATE: _____

PERSON RESPONSIBLE:

HORSE:

TREATMENT REQUESTED:

RATIONALE FOR TREATMENT (Reason for request):

TREATING VETERINARIAN:	DATE:
	 2112.

AUTHORIZING MEMBER OF VET PANEL: _____ DATE: _____

AUTHORIZING MEMBER OF VET PANEL: _____ DATE: _____

PERSON RESPONSIBLE: This document accurately describes therapy given to my horse on _____(date) at ______(time of day). I UNDERSTAND THAT MY HORSE MAY BE DRUG TESTED BY THE USEF AT ANY TIME DURING THE TRAINING SESSION AND /OR VETERINARY EVALUATIONS.

SIGNATURE AND DATE

PLEASE KEEP A COPY OF THIS DOCUMENT FOR YOUR RECORDS AND PERSONALLY GIVE THE ORIGINAL TO MS. VONITA BOWERS OR MAIL WITHIN 24 HOURS TO VONITA BOWERS AT THE USEF, 4047 IRON WORKS PARKWAY, LEXINGTON, KY 40511.

ATTACHMENT F

ATHLETE AGREEMENT

This Athlete Agreement ("Agreement") is entered into this _____ day of _____, 2012 by and between the United States Equestrian Federation, Inc. ("USEF"), a New York non-profit corporation designated by the United States Olympic Committee ("USOC") as the National Governing Body for equestrian sport in the United States of America and ______ (the "Athlete"), an individual, selected to compete in a Designated Competition as defined below (the "Team").

RECITALS

WHEREAS, the vision of USEF is to provide leadership for equestrian sport in the United States of America, promoting the pursuit of excellence from the grass roots to the Olympic Games, on a foundation of fair, safe competition and the welfare of its human and equine athletes, and embracing this vision, to be the best national equestrian federation in the world. In an effort to make this vision a reality, USEF selects and forms teams of world-class equestrian athletes all of whom USEF helps to educate, train, and support to achieve sustained competitive excellence in all levels of equestrian competition in order to help these athletes achieve their highest athletic potential.

WHEREAS, the Athlete is a non-employee USEF member in good standing who possesses extraordinary and unique skill and ability as an equestrian athlete, and whose performance in certain recognized competitions qualifies the Athlete for invitation to, and enjoyment of, the benefits and responsibilities of the Team.

WHEREAS, USEF desires to provide to the Athlete the opportunity to participate in the programs made available to the Athletes by USEF as members of the Team, and the Athlete desires to participate in such programs and therefore agrees to accept the responsibilities of team membership as set forth herein.

WHEREAS, this document is intended by the parties to set forth the rights and responsibilities that accompany membership on the Team.

WHEREAS, an athlete shall never be denied an opportunity to participate in, or to attempt to qualify for selection to participate in any protected competition unless provided an opportunity to be heard by an appropriate governing hearing committee.

Therefore, in consideration of certain benefits that USEF provides to Athlete in connection with his selection as a representative of the United States in the Olympic Games, Pan American Games, World Championships, Nation Cups, and Paralympic Games ("Designated Competitions"), USEF and Athlete agree as follows:

1. <u>Responsibilities of Athlete</u>

1.1 The Athlete shall honor and abide by the Code of Conduct.

1.2 The Athlete recognizes that he is a role model for the sport and thereby will uphold the principles of sportsmanship and animal welfare.

1.3 The Athlete shall give the horse sport a positive image. Behavior must be appropriate given it will reflect on the sport and the Team.

1.4 The Athlete agrees to remain current on any financial obligations to USEF.

1.5 The Athlete agrees to support the public relations efforts of USEF. As such, the Athlete agrees to appear and participate in up to four (4) noncommercial USEF promotional events should the Athlete's appearance at such events not compromise the Athlete's training and/or competition schedule as determined jointly by the Athlete and the USEF Team coach and/or Chef d' Equipe. Expenses incurred in connection with the fulfillment of this obligation will be bourne by USEF.

1.6 The Athlete shall wear and cause to be worn only USEF provided attire, if applicable, or approved attire, if not provided, during Designated Competitions while in the competition ring, schooling area and field of play. Attire includes clothing, saddle pads and blankets. With respect to the Olympic Games and the Pan American Games, Athlete shall wear only attire provided by or approved by the USOC. With respect to World Championships, Athlete shall wear only attire provided by or approved by the USOC.

2. Marketing Rights

The Athlete understands and agrees that in order to provide benefits to athletes, USEF must raise funds through the sale of corporate sponsorships. In order to further this endeavor:

2.1 The Athlete agrees that USEF has category exclusivity with respect to those products and services listed in Exhibit 1 to this Agreement. This list shall be subject to modification from time to time and the Athlete expressly agrees to permit USEF to make such modification. This Agreement does not preclude the Athlete from signing his own sponsorship and/or endorsement agreements but the Athlete agrees not to advertise his affiliation with any products or services, pursuant to any sponsorship or endorsement agreements that the Athlete may have, at any Designated Competition at which the Athlete agrees as

a member of the Team, without the prior written consent of USEF. The Athlete also agrees not to advertise his affiliation with any products or services, pursuant to any sponsorship or endorsement agreements that the Athlete may have, at any time while wearing any attire that designates or denotes the Athlete as a member of the Team without prior written consent of USEF.

2.2 USEF agrees that it in its pursuit for corporate sponsorships it will endeavor to secure additional benefits (i.e. discounts on goods or services or VIK) for eligible athletes.

2.3 USEF agrees to exert best efforts to promote the USA teams and their riders.

2.4 Athlete has the right to use his own individual image from Designated Competitions where he earned an individual medal. This use may be exercised by Athlete for commercial purposes provided that no conflicts exist between Athlete's commercial purpose and USEF official sponsors.

2.5 USEF and Athlete agree that in the interest of the overall betterment of equestrian sport they will each use their own sponsor opportunities to attempt to create a beneficial opportunity for the other party.

2.6 The Athlete hereby agrees to be filmed, videotaped, and photographed and to have his image and voice otherwise recorded in any media by the USEF's official photographer(s), file crew(s), and video crew(s) and by any other entity authorized by USEF. Furthermore, the Athlete hereby grants to USEF the irrevocable, fully paid up, worldwide right and license to use, and to authorize third parties to use, in all internet, media, new media, and media yet to be invented, the Athlete's name, likeness, picture, voice, and biographical information for: (1) news and information purposes; (2) promotion of the Team and the specific competitions in which the Athlete competes; (3) promotion of USEF; and (4) to support USEF's educational and philanthropic efforts through the production of educational and training videos, DVDs and other media. In no event may USEF authorize the use of the Athlete's name, picture, likeness, voice, and biographical information for the purpose of trade, including any use in a manner that would imply an endorsement of any company, product or service, without the Athlete's written permission other than pursuant to the group license described more fully below. This provision shall survive termination of this Agreement.

2.7 The Athlete specifically grants to USEF the irrevocable, fully paid up, worldwide right and license to use the Athlete's image in any USEF group licensing promotion. The Athlete understands that USEF will exercise this right only in a group basis, i.e. applications involving the use of images of three or more athletes without reference to any individual athletes name or identity. As such, when exercising this license, USEF shall not imply that any individual athlete endorses any product and/or service. USEF may license this right to a third party.

2.8 The Athlete shall have no right to use the name, trademarks or other intellectual property of USEF, nor to advertise any affiliation with the same, without the express written permission of USEF. The Athlete understands and agrees that he must obtain the prior written consent of USEF for any individual endorsement program in which the following would occur: the Athlete wears any attire that designates or denotes the Athlete as a past, present or future member of the Team or otherwise openly identifies the Athlete with a USEF Team or any other USEF controlled entity or program. This provision shall survive termination of this Agreement. Provided there are no conflicts with USEF sponsors, USEF and sponsor of Athlete may enter into an arrangement whereby such sponsor obtains access rights to certain names, trademarks or intellectual property owned by USEF.

2.9 The Athlete agrees that when appearing live, for any still photo, film or video intended to depict the Athlete as a member of the Team, the Athlete shall wear attire that designates or denotes the Athlete as a member of the Team.

2.10 The Athlete shall not remove or conceal any USEF sponsor logos from Team attire.

2.11 The Athlete, upon prior written permission from USEF, may add the logo of a private sponsor as long as it conforms to the requirements of the FEI rules. USEF will advise the Athlete as to the placement of the logo.

2.12 Except for those rights specifically granted to USEF, the Athlete shall retain sole and exclusive ownership of his individual publicity rights. The Athlete agrees to exercise those rights in such a manner as to not violate IOC, IPC, USOC, FEI, PASO and/or USEF regulations and restrictions and responsible compromise the Athlete's competition eligibility.

2.13 USEF agrees that it will consult with the Athlete before reproducing or publishing any depiction of the Athlete.

3. <u>Term and Termination</u>

3.1 <u>Term</u>.

This Agreement shall be effective from January 1, 2012 through November 30, 2012.

3.2 <u>Termination</u>.

This Agreement shall be terminable by either party at any time, and for any reason upon thirty (30) days written notice to the other party of the intent to terminate.

This Agreement, and all benefits and services provided hereunder, shall immediately terminate shall the Athlete plead guilty or be adjudicated guilty of a doping offense or if the Athlete pleads guilty to a crime involving the use, possession, or distribution of a controlled substance, whether or not the same is included on the FEI list of banned substances.

Notwithstanding the above paragraphs, USEF may terminate this Agreement at any time for Athlete Misconduct. The term Athlete Misconduct shall refer to conduct that violates the terms of this Agreement, the USEF Code of Conduct, the laws of any jurisdiction in which the Athlete is present, or any conduct which might bring disrepute to, or otherwise harm USEF, FEI, or the Olympic movement.

4. <u>Miscellaneous</u>

4.1 Nothing in this Agreement is intended to nor should it be construed to create an employer/employee relationship between USEF and the Athlete.

4.2 <u>Preservation of Competition Eligibility</u>. The Athlete shall not violate any provision or rule promulgated by the IOC, IPC, FEI, USOC, PASO or USEF governing eligibility of athletes to compete in Olympic, IPC, FEI, PASO or USEF sanctioned events. The Athlete agrees to maintain his membership in USEF in good standing at all times.

4.3 <u>Governing Law</u>. This Agreement shall be construed pursuant to the laws of the State of Kentucky without reference to principles governing choice or conflicts of laws. It is the intent of USEF and the Athlete that this Agreement be construed in accordance with the Ted Stevens Amateur and Olympic Sports Act of 1978, as amended as well as the USOC Bylaws, which are incorporated herein by reference.

4.4 <u>Superceding Law or Regulation</u>. Should this Agreement or any provision hereof violate any federal, state or local law or regulation, or, as a result

of amendment or revision to the Ted Stevens Olympic and Amateur Sports Act or the Olympic Charter, this Agreement or any provision hereof violates such amendments, the parties shall then negotiate in good faith to modify this Agreement to the extent reasonably necessary to bring about compliance with such law, charter, and/or rules and regulations; provided, however, that if such modification would cause this Agreement to fail in its essential purpose or purposes, or the parties are unable to reach agreement after negotiating in good faith, either party may terminate the Agreement.

4.5 <u>Headings</u>. The headings in this Agreement are for convenience only and shall not affect in any way the meaning of the provisions to which they refer.

4.6 <u>Entire Agreement</u>. This Agreement, together with all exhibits, shall constitute the entire agreement between the parties and shall supercede all prior agreements between the parties relating to the subject matter, written, oral or otherwise.

IN WITNESS WHEREOF, the parties hereto have entered into this Agreement as of the date first written:

UNITED STATES EQUESTRIAN FEDERATION

By:

John Long

Its: Chief Executive Officer

ATHLETE



UNITED STATES EQUESTRIAN FEDERATION, INC.

(Attachment G)

Executive Committee

David O'Connor, President Chrystine Tauber, Secretary Bill Hughes, Treasurer Judith Werner, VP, Administration and Finance Janine Malone, VP, FEI Affiliates Dr. Armand Leone, Jr., VP High Performance Bill Moroney, VP, National Affiliates Howard Simpson, At Large

Mike Tomlinson, DVM, At Large *Chester Weber, At Large *Phillip Dutton, Elected Athlete Carol Lavell, Elected Athlete Jane Clark, USET Foundation Alan Balch, USAE Trust *Chris Kappler, AAC Rep

The Executive Committee is populated according to Bylaw 322 of the United States Equestrian Federation, Inc.

High Performance Working Group

Dr. Armand Leone, Jr, Chair Linda Bibbler *Robert Costello Tucker Johnson *Anne Kursinski *Pete Kyle *Joseph Mattingley Lynn Seidemann Howard Simpson *George Williams *Karen O'Connor, Elected Athlete *Hope Hand, Elected Athlete *Chris Kappler, Elected Athlete *Devon Maitozo, Elected Athlete

The High Performance Working Group is populated according to Bylaw 311, Section 2(3).

Endurance High Performance Committee	Endurance Eligible Athletes Committee
*Joseph Mattingley, Chair	*Kathrine Brunjes, Chair
Todd Holbrook, DVM	*Carol Giles
Tony Benedetti	*Carolyn Hock
*Kathrine Brunjes	*Valerie Kanavy
Dinah Rojek	*Heather Reynolds
*Carol Giles	*Jeremy Reynolds
Suzanne Hayes	*Stephen Rojek
Linda Howard	*Michele Roush
*Valerie Kanavy	*Christoph Schork
*Michele Roush	*Margaret Sleeper
Mike Tomlinson, DVM	* Janice Worthington

The Endurance High Performance Committee is populated according to Bylaw 504, Section 2. The Endurance Eligible Athletes Committee is populated according to Bylaw 504, Section 3.

2012 Endurance Selectors

The Endurance Selectors to be determined and posted on the USEF Website www.usef.org.

The Selectors are appointed by the USEF Executive Committee upon the recommendation of the USEF Endurance High Performance Committee and the USEF High Performance Working Group.

2012 Veterinary Panel

The Veterinary Panel to be determined and posted on the USEF Website www.usef.org.

FEI 2012 WORLD ENDURANCE CHAMPIONSHIPS

Updated on 23.12.2011

QUALIFICATION CRITERIA

CH-M-E 160km – Euston Park (GBR) 25.08.2012

Please refer to the Qualification Rules available on the following link:

http://www.fei.org/disciplines/endurance/rules

1. Qualification period (Ref. Art. 816.3.3 and 816.3.13)

Start: 25 August 2010

End: no later than 60 days prior to (*Date to be confirmed*)

2. Qualification criteria

- 815.1 Any person from the year in which he/she reaches his/her 14th birthday and who is duly authorised by his/her National Federation is eligible to take part in all Endurance Rides (CEIs, CEIOs and Championships), either as an individual or as a member of a team.
- 815.2.4 For 4-Stars, CEIOs and Championships horses must be at least 8 years of age.
- 815.2.5 Mares obviously in advanced pregnancies, meaning over 120 days, or with foal at foot cannot be entered in any CEI or higher event.
- 815.2.6 All ages are determined as of the date of the event for which eligibility to participate is sought, and must be confirmed either through reliable registrations or written veterinary opinion, which may be recorded within Passports. Northern Hemisphere horses are deemed to have their birthday on the 1st January and Southern Hemisphere horses on the 1st August.

Seniors:

- 815.2.7 Horses must have graduated through the qualifying processes outlined above for Novice and CEI-Star qualifying up to, and including, the distance of the event for which a Certificate of Capability is sought.
- 816.3.9 Horses must have successfully completed at least 3 CEI 2* events or higher.
- 816.3.10 Of these 3 CEI events, at least one must be at the same distance, minimum speed (**14 kph**) and time frame as the 4-Star Championship and achieved no earlier than 24 months prior to, no later than the close of nominated entries for Championship and/or 60 days prior to the event, whichever is the longest and must be in combination with the nominated rider.

- 816.3.11 Riders must have graduated through the qualifying processes outlined above for Novice and CEI-Star qualifying up to, and including, the distance of the event for which a Certificate of Capability is sought.
- 816.3.12 Riders must have successfully completed at least 5 CEI 2* events or higher.
- 816.3.13 Of those 5 CEI events, one must be at the same distance, minimum speed (**14 kph**) and time frame as the 4* Championship and achieved no earlier than 24 months prior to, no later than the close of nominated entries for Championship and/or 60 days prior to the event, whichever is the longest and must be in combination with the nominated horse.
- 816.3.14 *Recording and Proof of Qualification:* Approved records must be provided for Rider/Horse results for eventual insertion into FEI Database/Passports as required. However, the ultimate responsibility for proof still remains with each NF.

817.4 Teams and Individuals

At Championships, the following applies to individuals and teams who are eligible to take part.

- 817.4.1 Teams: If an NF starts three or more competitors, up to four shall all constitute members of their Team, but are also eligible for individual classification and placing/awards.
- 817.4.2 Individuals: If an NF starts fewer than 3 competitors, they shall only be eligible for individual classification and placing/awards, but not as a Team.
- 817.4.3 With regard to the number of teams required for Championships, Art. 108 of the General Regulations applies.

REMINDER

REMINDER: All Riders & Horses participating in any International competition must be registered with the FEI.

See General Regulations, 23rd Edition Art. 113.3:

"Any Athlete and/or any Horse who is not registered with the FEI shall be automatically disqualified".

The **Nominated Entries** date is *tbc*