

[YOUR INSTITUTIONAL LETTERHEAD]

**Please do not submit consent forms on the WHO letter head**



**[Informed Consent Form for \_\_\_\_\_]**

Name the group of individuals for whom this consent is written.

Explanation   
Example 

[Name of Principal Investigator]

[Name of Organization]

[Name of Sponsor]

[Name of Proposal and version]

**This Informed Consent Form has two parts:**

- Information Sheet (to share information about the research with you)
- Certificate of Consent (for signatures if you agree to take part)

**You will be given a copy of the full Informed Consent Form**

## **PART I: Information Sheet**

### **Introduction**

Briefly state who you are and explain that you are inviting them to participate in the research you are doing.

Explanation   
Example 

### **Purpose**

Explain in lay terms why you are doing the research.

Explanation   
Example 

### **Type of Research Intervention**

Briefly state the type of intervention that will be undertaken.

Explanation   
Example 

### **Participant selection**

State why this participant has been chosen for this research.

Explanation   
Example 


## Voluntary Participation

Indicate clearly that they can choose to participate or not. State, only if it is applicable, that they will still receive all the services they usually do whether they choose to participate or not.

Explanation   
Example 

## Information on the Trial Drug [Name of Drug]

Include this section only if the protocol is for a clinical trial

- 1) give the phase of the trial and explain what that means. Explain to the participant why you are comparing or testing the drugs. 
- 2) provide as much information as is appropriate and understandable about the drug such as its manufacturer or location of manufacture and the reason for its development.
- 3) explain the known experience with this drug
- 4) explain comprehensively all the known side-effects/toxicity of this drug, as well as the adverse effects of all the other medicines that are being used in the trial

Example 

## Procedures and Protocol

Describe or explain the exact procedures that will be followed on a step-by-step basis, the tests that will be done, and any drugs that will be given. Explain from the outset what some of the more unfamiliar procedures involve (placebo, randomization, biopsy, etc.) Indicate which procedure is routine and which is experimental or research.

Explanation 

In this template, this section has been divided into two: firstly, an explanation of unfamiliar procedures and, secondly, a description of process.

### A. Unfamiliar Procedures

This section should be included if there may be procedures which are not familiar to the participant.

If the protocol is for a clinical trial:

1) involving randomization or blinding, the participants should be told what that means and what chance they have of getting which drug (i.e. one in four chances of getting the test drug).

Example 

2) involving an inactive drug or placebo, it is important to ensure that the participants understand what is meant by a placebo or inactive drug.

Example 

3) which may necessitate a rescue medicine, then provide information about the rescue medicine or treatment such as what it is and the criterion for its use.

Explanation   
Example 

If the protocol is for clinical research:

Firstly, explain that there are standards/guidelines that will be followed for the treatment of their condition. Secondly, if as part of the research a biopsy will be taken, or surgery carried out, then explain whether it will be under local anesthesia, sedation or general anesthesia, and what sort of symptoms and side effects the participant should expect under each category.

Example 

For any clinical study (if relevant)

If blood samples are to be taken explain how many times and how much, in a language that the person understands. It may, for example, be inappropriate to tell a tribal villager that blood equal to a wine-glass full will be taken but it may be very appropriate to use pictures or other props to illustrate the procedure if it is unfamiliar.

If the samples are to be used only for this research, then explicitly mention here that the biological samples obtained during this research procedure will be used only for this research, and will be destroyed after \_\_\_\_ years, when the research is completed.

Explanation   
Example 

**B. Description of the Process**

Describe to the participant what will happen on a step-by-step basis.

Explanation   
Example 

**Duration**

Include a statement about the time commitments of the research for the participant including both the duration of the research and follow-up, if relevant.

Example 



**Side Effects**

Potential participants should be told if there are any known or anticipated side effects and what will happen in the event of a side effect or an unexpected event.

Example 

**Risks**

Explain and describe any possible or anticipated risks. Describe the level of care that will be available in the event that harm does occur, who will provide it, and who will pay for it.

Explanation   
Example 

**Discomforts**

Explain and describe the type and source of any anticipated discomforts that are in addition to the side effects and risks discussed above.

Example 

### **Benefits**

Mention only those activities that will be actual benefits and not those to which they are entitled regardless of participation.

Explanation   
Example 

### **Incentives**

State clearly what you will provide the participants with as a result of their participation. WHO does not encourage incentives. However, it recommends that reimbursements for expenses incurred as a result of participation in the research be provided.

Explanation   
Example 

### **Confidentiality**

Explain how the research team will maintain the confidentiality of data, especially with respect to the information about the participant which would otherwise be known only to the physician but would now be available to the entire research team.

Explanation   
Example 



### **Sharing the Results**

Where it is relevant, your plan for sharing the information with the participants should be provided.

Explanation   
Example 

### **Right to Refuse or Withdraw**

This is a reconfirmation that participation is voluntary and includes the right to withdraw.

Explanation   
Example 

### **Alternatives to Participating**

Include this section only if the study involves administration of investigational drugs or use of new therapeutic procedures. It is important to explain and describe the established standard treatment.

Example 

### **Who to Contact**

Provide the name and contact information of someone who is involved, informed and accessible (a local person who can actually be contacted. State also that the proposal has been approved and how.

Example 

**This proposal has been reviewed and approved by [name of the local IRB], which is a committee whose task it is to make sure that research participants are protected from harm. If you wish to find about more about the IRB, contact [name, address, and telephone number.]**

**PART II: Certificate of Consent**

This section can be written in the first person. It should include a few brief statements about the research and be followed by a statement similar to the one in bold below. If the participant is illiterate but gives oral consent, a witness must sign. A researcher or the person going over the informed consent must sign each consent.



***I have read the foregoing information, or it has been read to me. I have had the opportunity to ask questions about it and any questions that I have asked have been answered to my satisfaction. I consent voluntarily to participate as a participant in this research and understand that I have the right to withdraw from the research at any time without in any way affecting my medical care.***

Print Name of Participant \_\_\_\_\_

Signature of Participant \_\_\_\_\_

Date \_\_\_\_\_  
Day/month/year

***If illiterate***

A literate witness must sign (if possible, this person should be selected by the participant and should have no connection to the research team).

***I have witnessed the accurate reading of the consent form to the potential participant, and the individual has had the opportunity to ask questions. I confirm that the individual has given consent freely.***

Print name of witness \_\_\_\_\_

AND

Thumb print of participant

Signature of witness \_\_\_\_\_



Date \_\_\_\_\_  
Day/month/year

***I have accurately read or witnessed the accurate reading of the consent form to the potential participant, and the individual has had the opportunity to ask questions. I confirm that the individual has given consent freely.***

Print Name of Researcher \_\_\_\_\_

Signature of Researcher \_\_\_\_\_

Date \_\_\_\_\_  
Day/month/year

A copy of this Informed Consent Form has been provided to participant \_\_\_\_\_ (initialled by the researcher/assistant)