Pre-approved Framework Treatment Confirmation Form (OCF-23/198)

Use this form for accidents that occur on or after October 1, 2003

****Claim Number:**	
****Policy Number:**	
Date of Accident: (YYYYMMDD)	

To the Applicant:
Please complete Parts 1 and 2. After your health practitioner has reviewed your Treatment Confirmation Form with you, sign Part 13.

Your health practitioner will complete all other parts of the form. A health practitioner (chiropractor, dentist, occupational therapist, optometrist, physician, physiotherapist, nurse practitioner, psychologist, speech language pathologist) must sign Part 5.

Collection, use and disclosure of this information is subject to all applicable privacy legislation. Additional disclosure and consent may be required depending on the manner in which the information is used and disclosed.

As indicated on the form, all attachments are sent directly to the insurer.

All fields must be completed subject to the following exceptions:

***required if known**

****at least one field in this section**

*****optional**

To the Initiating Health Practitioner:
Use this form for accidents that occur on or after October 1, 2003 for goods and services provided in accordance with a Pre-approved Framework (PAF) Guideline.

Consent: It is the responsibility of the initiating Health Practitioner to ensure that their collection, use and disclosure of information submitted are authorized by a consent form. The Ontario Claims Form 5 (OCF-5) *Permission to Disclose Health Information* can be used as a consent form.

Part 1 Applicant Information

To be completed by the applicant

Date Of Birth (YYYYMMDD)	Gender ☐ Male ☐ Female	*Telephone Number - - Extension
Last Name		
First Name	***Middle Name	
Address		
City	Province	Postal Code

Part 2 Insurance Company Information

To be completed by the applicant

Company Name	City or Town of Branch Office (if applicable)	
*Adjuster Last Name	*Adjuster First Name	
*Adjuster Telephone - - Extension	*Adjuster Fax - -	
**Name of policy holder: Same as Applicant ☐, OR:	**Policy Holder Last Name	*Policy Holder First Name

Part 3 Other Insurance Information

To be completed by the Initiating Health Practitioner with Information from the Applicant

OTHER INSURANCE: Is there other insurance coverage for any goods and services listed in this Pre-approved Framework Treatment Confirmation Form?
I have made reasonable enquiries of the applicant and have determined that:

☐ **NO** *There is no other insurance coverage identified for these goods and services*

☐ **YES** *There is other insurance coverage that is potentially available to cover/partially cover these goods and services.*

MOH	Is there Ministry of Health and Long-Term Care (MOH) coverage for any goods and services included in this Treatment Confirmation Form? ☐ Yes ☐ No ☐ Not applicable	
Other Insurer 1	*Other Insurer Name	*Other Insurance Plan Or Policy Number
	*Name of Plan Member	*Other Insurer's Identifier
Other Insurer 2	*Other Insurer Name	*Other Insurance Plan Or Policy Number
	*Name of Plan Member	*Other Insurer's Identifier

Part 4 Conflict of Interest Definition

A person has a conflict of interest relating to a Pre-approved Framework Treatment Confirmation Form if,

i) the person or a related person may receive a financial benefit, directly or indirectly, as a result of the provision, by the related person or another person, of goods or services contemplated by the Pre-approved Framework Treatment Confirmation Form, and

ii) the person who may receive the financial benefit is not the employee of the person who will provide the goods or services and does not have a contract with the person who will provide the goods or services or under which goods or services of that kind are provided.

Part 5 Signature of Initiating Health Practitioner

Name of Initiating Health Practitioner (please print)		College Registration Number	You are a:
Facility Name (if applicable)		AISI Facility Number (if applicable)	☐ Chiropractor ☐ Dentist ☐ Nurse Practitioner ☐ Occupational Therapist ☐ Optometrist ☐ Physician ☐ Physiotherapist ☐ Psychologist ☐ Speech-Language Pathologist
Address			
City	Province	Postal Code	
Telephone Number - -	Extension	*Fax Number - -	
*Email Address			

☐ I am not the first Initiating Health Practitioner

Conflict of Interest Declaration

☐ I wish to declare that I have no conflicts of interest relating to this Pre-approved Framework Treatment Confirmation Form, ***and*** I have determined, after making reasonable inquiries, that there are no conflicts of interest relating to this form on the part of any person who referred the applicant to a person who will provide goods or services contemplated in this form; or

☐ I am declaring the following conflicts of interest relating to this Pre-approved Framework Treatment Confirmation Form:

I certify that the goods and services contemplated are reasonable and necessary for the treatment and rehabilitation of the applicant for the injuries identified in Part 6, and the treatment proposed is in accordance with a PAF Guideline. I have reviewed the proposed treatment with the applicant.

I certify that the information provided is true and correct. I understand that it is an offence under the Insurance Act to knowingly make a false or misleading statement or representation to an insurer under a contract of insurance. I further understand that it is an offence under the federal Criminal Code for anyone, by deceit, falsehood, or other dishonest act, to defraud or attempt to defraud an insurance company. This information will be used for processing payments of claims; identifying and analysing the nature, effects and costs of goods and services that are provided to automobile accident victims, by health care providers; and detecting and preventing fraud.

Name of Initiating Health Practitioner (please print)	Signature of Initiating Health Practitioner	Date (YYYYMMDD)

To the Health Professional:
Please complete the following information based on your most recent examination of the applicant named above and return the form to the insurance company listed in Part 2. **Please print clearly.**

Part 6 Injury and Sequelae Information

Provide a description (list most significant first) and associated ICD-10-CA code for injuries and sequelae that are the direct result of the automobile accident.

Injury Description	†Injury Code

Note †: Refer to the User manual at www.hcaiinfo.ca for ICD-10-CA coding information.

Part 7 Prior and Concurrent Conditions

a) Was the applicant employed at the time of the accident?
☐ Yes ☐ No

b) Prior to the accident, did the applicant have any disease, condition or injury that could affect his/her response to treatment for the injuries identified in Part 6?
☐ No ☐ Unknown ☐ Yes (please explain)

c) If Yes to "b" above, did the applicant undergo investigation or receive treatment for this disease, condition or injury in the past year?
☐ No ☐ Unknown ☐ Yes (please explain and identify provider, if known)

Part 8 Barriers to Recovery

a) Have you identified any barriers to recovery that may affect the success of this treatment for this particular applicant? (For assistance in identifying barriers to recovery, please refer to the user manual at www.hcaiinfo.ca.)
☐ No ☐ Yes (please explain)

Applicant Name:		OCF23/198 - FAX BACK	Policy Number:	
Provider Name:			Claim Number:	
Provider Fax:			Date of Accident:	

Part 9 PAF Pre-approved Services

Category	Description	Maximum Fee	Estimated Fee
PAF (identify which PAF Guideline)			
*Supplementary Goods & Services			
*Other Pre-approved Services (including radiology)			
	Part 9 Sub-Total		

*Part 10 Other Health Providers

(required only if Part 11 Services are rendered by Other Providers)

Provider Reference	†Provider Type	Provider		Regulated (College Registration Number)	Unregulated (AISI Number if applicable, or blank)	Hourly Rate (if applicable)
		Last Name	First Name			
A						
B						
C						
D						

*Part 11 Other Goods or Services Within the PAF Guidelines Requiring Insurer Approval

Description	†Code	†Attribute	Provider Reference	Estimated		
				Quantity	†Measure	Cost

Note: † Refer to the User Manual coding guidelines posted at www.hcaiinfo.ca.
Attributes codes are used to further qualify the service codes and are described in the manual.

Payment by auto insurer is secondary to available collateral benefits.

Part 11 Sub-Total:

Total:

Briefly explain why the goods and services in Part 11 are being proposed and the treatment goal:

Are there any attachments? ☐ **Yes** ☐ **No** **If yes, how many?** _____
Send any attachments directly to the insurer

Part 12 Signature of Insurer

☐ ***I waive the requirement of the Applicant's signature.

☐ I have reviewed this Pre-approved Framework Treatment Confirmation Form, and based upon the information provided, I confirm that the policy referred to in Part 2 was in force at the time of the accident.

If other goods or services requiring insurer approval have been proposed in Part 11, I

☐ Approve ☐ Partially approve (explanation to follow or attached) ☐ Do not approve (explanation to follow or attached)

Name of Adjuster (please print)	Signature of Adjuster	Date (YYYYMMDD)

To the insurer: Please provide a copy of this page to the Applicant and the Initiating Health Practitioner indicated in Part 5.

Part 13 Signature of Applicant

I have reviewed this form. I have been informed about and agree with the proposed treatment. I certify that, to the best of my knowledge, the information I have provided is accurate. Payment for this treatment is pre-approved, and/or subject to the approval of the insurer. For services requiring insurer approval, I understand that, if I undertake those services prior to approval by the insurer, I may be responsible to my provider for any goods or services provided. All services are subject to coverage issues or exclusions.

I consent to sharing of personal information between my Initiating Health Practitioner and my insurer. If this OCF-23/198 is not being completed by the first Initiating Health Practitioner, I consent to the insurer contacting the first Initiating Health Practitioner to determine the amount of the PAF goods and services that have been consumed.

TO THE INSURER TO WHOM THIS APPLICATION IS BEING SUBMITTED:

I UNDERSTAND that you, and persons acting for you, will collect and use personal information and personal health information about me that is related to my claims for accident benefits arising out of the accident described in this application, and that all such information will be collected directly from me, or from any other person with my consent.

I ALSO UNDERSTAND that this information will be collected and used only as reasonably necessary for the purposes of:

- Investigating my claims and processing my claims as required by law, including the Ontario Automobile Policy;
- Obtaining or verifying information relating to my claims in order to determine entitlement and the proper amount of payment;
- Recovering payment from insurers and others liable in law for amounts that you pay in connection with my claims;
- Identifying and analyzing the nature and costs of goods and services that are provided to automobile accident victims by health care providers;
- Preventing fraud, and detecting fraud where there are reasonable grounds to suspect fraud;
- Compiling anonymized statistics for government agencies; and
- Assessing underwriting risks and claims experience.

I ALSO UNDERSTAND that you, and persons acting for you, may disclose this information to the following persons, who may collect and use this information only as reasonably necessary to enable you to carry out the purposes described above:

- Insurers; insurance adjusters; agents and brokers; employers; health care professionals; hospitals; accountants; financial advisors; solicitors; organizations that consolidate claims and underwriting information for the insurance industry; and my agents or representatives as designated by me from time to time.

I CONSENT to you collecting, using and disclosing this information in the manner described above, but no more of such information than is reasonably necessary to meet the legitimate purpose of such collection, use or disclosure.

I UNDERSTAND that if I have questions about this consent I am free to consult my insurance company representative or legal advisor before signing this document.

I AM ALSO AWARE that you, and persons acting for you, may be required or permitted by law to disclose this information to others without my knowledge or consent.

I certify that the information provided is true and correct.

I understand that it is an offence under the Insurance Act to knowingly make a false or misleading statement or representation to an insurer under a contract of insurance. I further understand that it is an offence under the federal Criminal Code for anyone, by deceit, falsehood, or other dishonest act, to defraud or attempt to defraud an insurance company.

Name of Applicant or Substitute Decision Maker (please print)	Signature of Applicant or Substitute Decision Maker	Date (YYYYMMDD)