



GLOBAL
SECURITY
VERIFICATION

Measured Performance - Improved Security

Global Security Verification Report

Team Concepts Printing Fty

Registration Number	: A20190-779054
Company	: Team Concepts Printing Fty
Date of Verification	: 20-Mar-2014
Auditor(s) Name	: Gary Peng

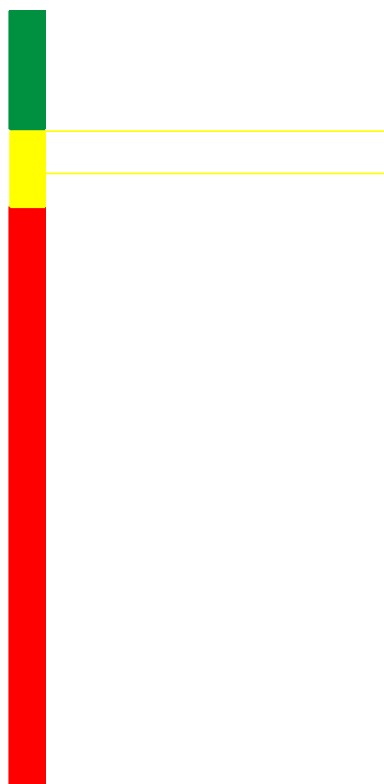
Overall Rating

Participating Facilities : 14299

Low Risk Priority (86 – 100)
Meet Expectations.

Medium Risk Priority(76 – 85)
Further Improvement Needed.

High Risk Priority(0 – 75)
Urgent Action Required.



Facility Score **84**

Global Score **78**

Company Profile

Company name	Team Concepts Printing Fty		
Contact name	Mr. Wu Gaoxiong		
Address 1	Jinfu 2 Rd, Huanan Ind Park, Liaobu Town		
Address 2			
City	Dongguan		
State	Guangdong		
Country	China	Postal Code	523405
Phone	86-769-38893686		
Fax	86-769-38893668		
Email	kosewu@yahoo.com.cn		
Website	Nil		
Number of employees			
Permanent	170		
Temporary	0		
Overseas / Migrant	0		
Total	170		

Business Overview

Industry	Paper products		
Key / Main product	Printing products		
Country of operation	China		
	Yes	No	Not Applicable
Participation in security initiatives		●	
Description of security initiative	-		

Facility Structure

Number of buildings	
Distribution	0
Production	1
Warehouse	0
Container yards	0
Other	2
Total	3
Facility size	10000M. Sq.
Facility size outside grounds	9000M. Sq.
Warehouse customs bonded	NO
Free trade zone	NO

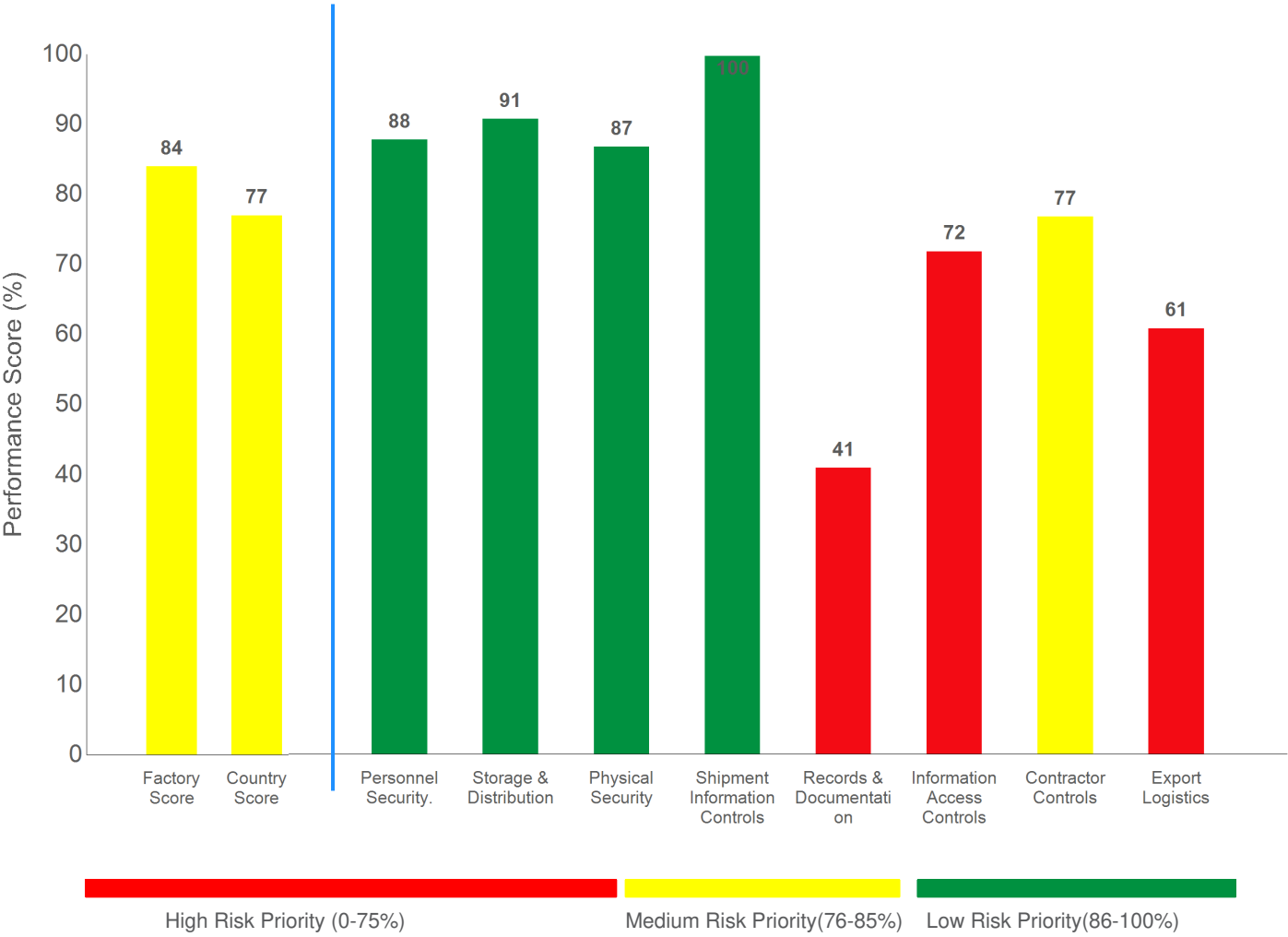
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Logistics/Transportation for shipments to US	
Trucks owned By Company	No
Percent of goods exported to US	
By air	0
By sea	100
By rail	0
By truck	0
Description of "other" buildings	One 3-storey office building and one 6-storey dormitory building
Export Logistics	
Facility responsible for the relationships with the following type of logistics :	
Air	Never
Sea	Never
Rail	Never
Land Carriers	Always
Consolidators	Never
Freight forwarders	Never
NVOCC	Never
Other 3rd party logistics providers	Never

I. Executive Summary

Top Critical Findings	Global Freq. of Compliance %
Only selected incoming and outgoing cargo vehicles are checked.	79%
For conveyance entries/exits, logs are not maintained with seal number	75%



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II. Performance Trend Analysis

Why Performance Trend Analysis Matter?

Investors in the international supply chain look closely at trends to make a judgment about the current and future direction of a business partners performance. It is often easier to determine how best to support the development and implementation of measures for enhancing business performance, by looking at a chart of performance trends over a period of time, in relation to the performance traits of similar such enterprises operating within like industries. Mutual understanding of cause and effect is an important first step toward achieving a shared objective of continuously enhancing performance and building stronger business partner relationships.



Section Name	Current	Last	First	Change (Current-Last)	Change (Current-First)
Records & Documentation	41	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Personnel Security.	88	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Physical Security	87	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Information Access Controls	72	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Shipment Information Controls	100	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Storage & Distribution	91	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Contractor Controls	77	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Export Logistics	61	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Overall Score	84	Not Applicable	Not Applicable	Not Applicable	Not Applicable

▲ Advancers ■ Constant ▼ Decliner

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III. Key Strengths and Challenges

Facility Strengths	Global Freq. of Compliance%
There is a system in place to review periodically and maintain daily security logs for invalid password attempts and file access	35%
Where restricted areas exist, guards check employees ID to monitor access to these areas	38%
Reference checks are conducted	41%
Guards or security personnel with no other assignments monitor CCTVs.	43%
Security guidelines for hiring are evaluated periodically to ensure their effectiveness	47%
Employment history checks are conducted	49%
The facility uses fencing or other barrier materials to enclose cargo handling and storage areas to prevent unauthorized access.	52%
IDs specify access for loading/packing dock areas	52%
Each month facility management reviews and approves a list of employees with special access to controlled or sensitive areas	53%
When selecting the contractors used by the company, the company considers the contractor's security controls	56%

Facility Challenges	Global Freq. of Compliance%
Employees' entries and exits are not recorded	87%
Desktops do not lock automatically after a designated period of inactivity	82%
The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Personnel Security procedures are being performed properly.	79%
The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Physical Security procedures are being performed properly.	79%
Incoming and outgoing cargo vehicles are not checked.	79%
For conveyance entries/exits, logs are not maintained with seal number	75%
The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Information Access Controls procedures are being performed properly.	74%
The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Shipment Information Controls procedures are being performed properly.	74%
The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Storage & Distribution procedures are being performed properly.	74%
Password changes are not required by policy, or enforced in a systematic manner.	74%

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Top 10 Strengths For Paper Products Industry

Strengths	Facility Performance
Broken seals are reported	C
The facility has written security standards and procedures for its contractors	C
The facility has designated a company official responsible for conveyance/transport security	C
Incoming and outgoing cargo vehicles are checked by an additional or separate process	NC
All containers and trucks are checked for tampering, false compartments, and other evidence of unauthorized access before loading	C
The facility has designated a company official responsible for personnel security	C
Company identification is required for entry of personnel	C
The facility has written personnel security guidelines for hiring	C
The facility has designated a company official responsible for plant security	C
The facility has a process for examining and verifying an applicant's official identification	C

Top 10 Strengths For China

Strengths	Facility Performance
The facility has procedures for identifying which employees are allowed access to automated systems.	C
The facility has designated a company official responsible for conveyance/transport security	C
All full outgoing containers/trailers or trucks and vans are sealed.	C
All containers and trucks are checked for tampering, false compartments, and other evidence of unauthorized access before loading	C
For conveyance entries/exits, logs are maintained with driver's name	C
The facility has designated a company official responsible for personnel security	C
The facility has designated a company official responsible for plant security	C
The facility has written personnel security guidelines for hiring	C
Company identification is required for entry of personnel	C
The facility has a process for examining and verifying an applicant's official identification	C

C

Compliance

NC

Non Compliance



Top 10 Challenges For Paper Products Industry

Challenges	Facility Performance
Reference checks are not conducted to all applicants	C
Employment history checks are not conducted to all applicants.	C
When selecting the contractors used by the facility, the facility does not consider the hiring practices of some of the contractors.	NC
When selecting the contractors used by the facility, the facility does not consider the contractor's corporate history	NC
When selecting the contractors used by the facility, the facility does not consider the financial stability of some of the contractors.	C
The facility does not have a process in place requiring all personnel to participate in the security awareness program	C
For conveyance entries/exits, records are not maintained for manifest check	C
The facility does not have documented procedures for identifying which employees are allowed access to facility documents	C
There is no Key Control Program which includes a Control Log that accounts for all keys/access cards on-hand, issued, and returned (name, date out, date in, reason, name of issuing person).	C
The facility does not have a documented procedure for contractors to report security violations to the facility management.	C

Top 10 Challenges For China

Challenges	Facility Performance
Reference checks are not conducted to all applicants	C
When selecting the contractors used by the facility, the facility does not consider the hiring practices of some of the contractors.	NC
Employment history checks are not conducted to all applicants.	C
When selecting the contractors used by the facility, the facility does not consider the financial stability of some of the contractors.	C
When selecting the contractors used by the facility, the facility does not consider the contractor's corporate history	NC
Photo identification is not required to some of the visitors.	NC
For conveyance entries/exits, records are not maintained for manifest check	C
The facility does not have a process in place requiring all personnel to participate in the security awareness program	C
The facility does not have a documented procedure for contractors to report security violations to the facility management.	C
When selecting the contractors used by the facility, the facility does not consider the security controls of some contractors.	C

C

Compliance

NC

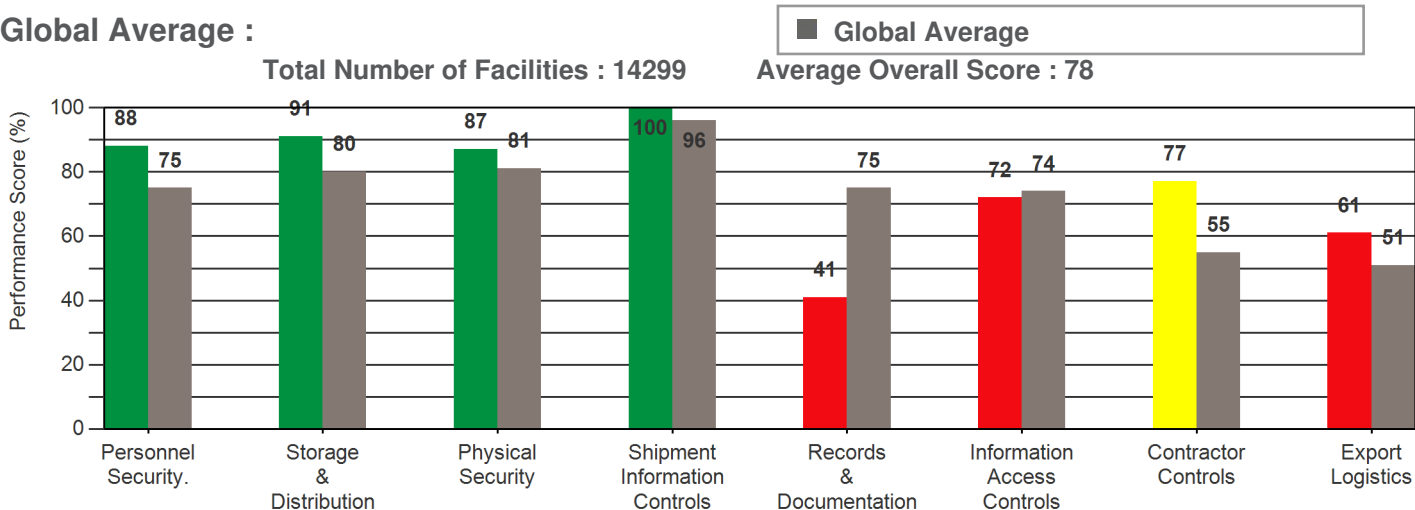
Non Compliance

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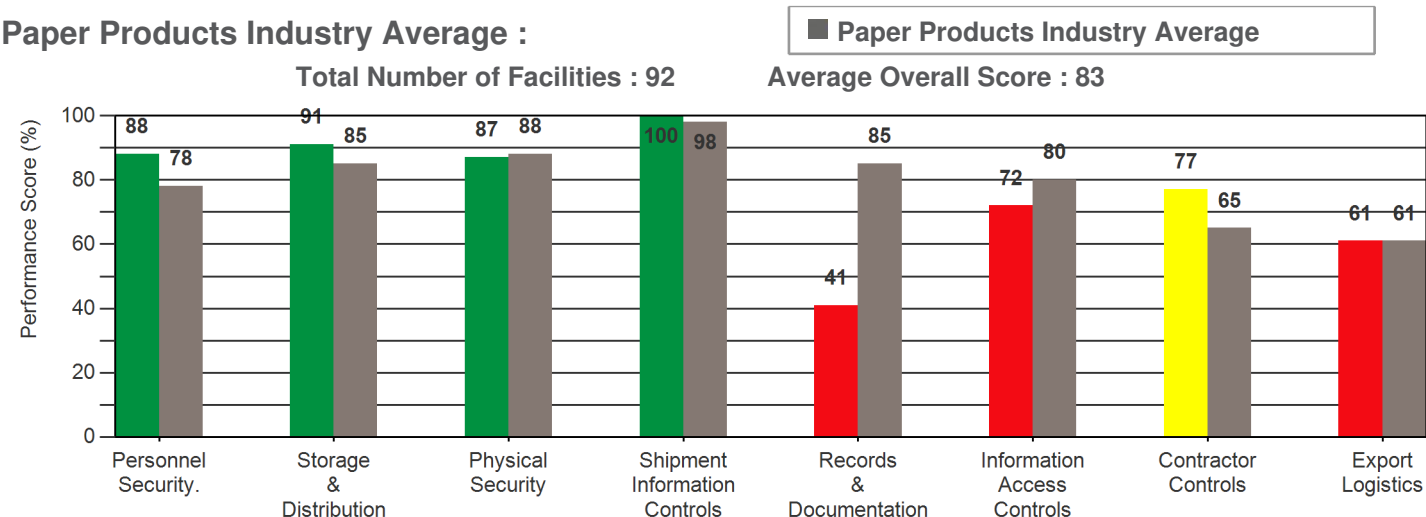
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IV. Comparison Benchmark

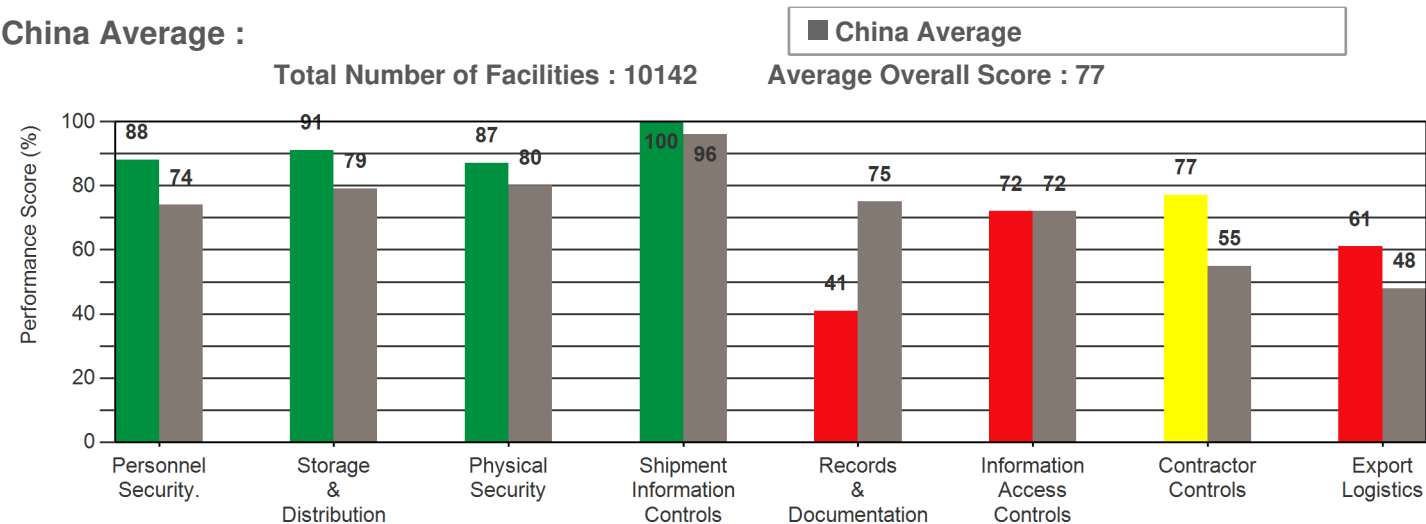
Global Average :



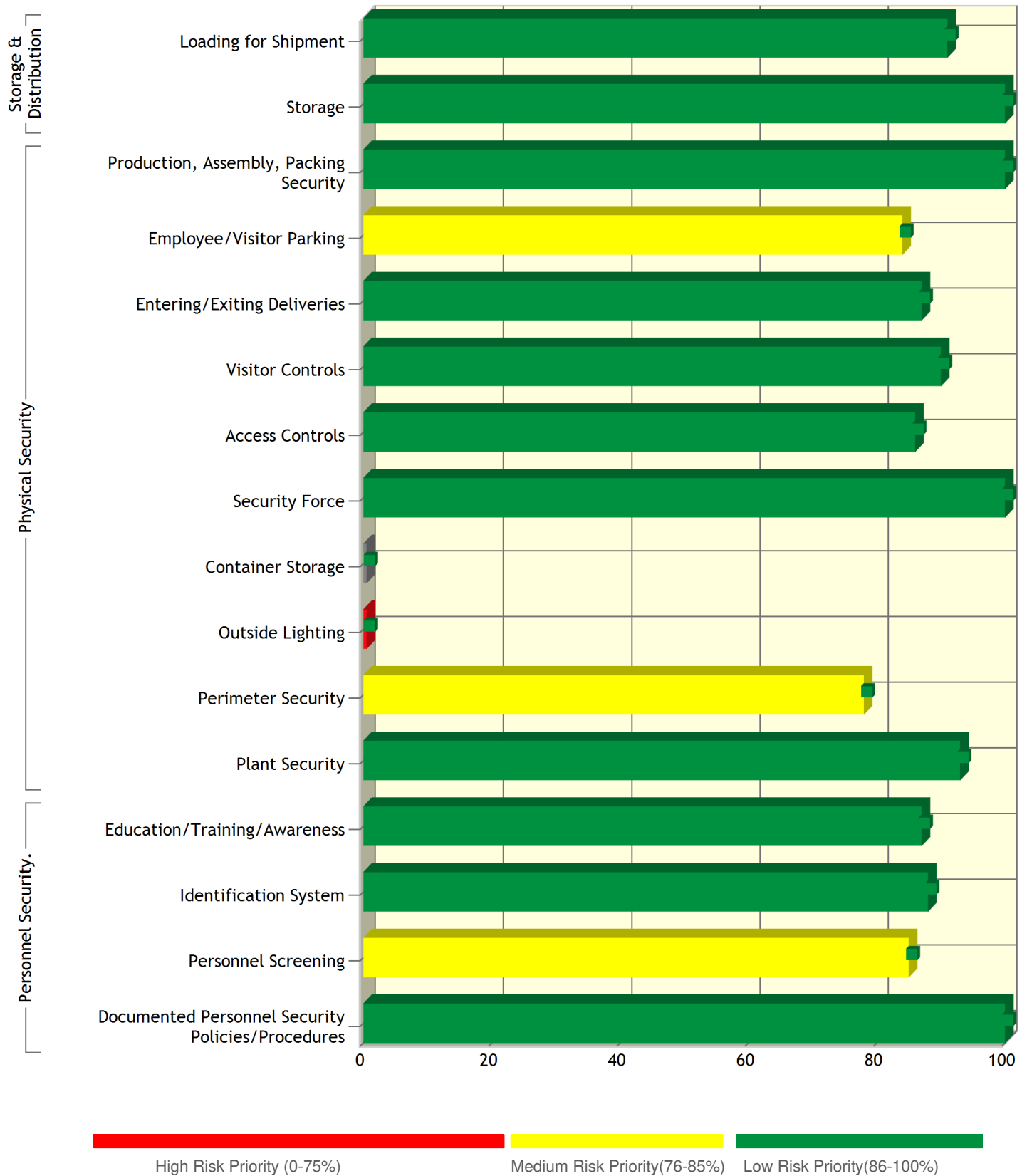
Paper Products Industry Average :



China Average :



V. Sub Section Outcomes



VI. Facility Score Card

Security Sections	Subsection Score (0-100)	Section Score (0-100)
Personnel Security.		88
• Documented Personnel Security Policies/Procedures	100	
• Personnel Screening	85	
• Identification System	88	
• Education/Training/Awareness	87	
Storage & Distribution		91
• Storage	100	
• Loading for Shipment	91	
Physical Security		87
• Plant Security	93	
• Perimeter Security	78	
• Outside Lighting	0	
• Container Storage	N/A	
• Security Force	100	
• Access Controls	86	
• Visitor Controls	90	
• Entering/Exiting Deliveries	87	
• Employee/Visitor Parking	84	
• Production, Assembly, Packing Security	100	
Shipment Information Controls		100
Records & Documentation		41
Information Access Controls		72
Contractor Controls		77
Export Logistics		61
OVERALL SCORE		84

VII. Opportunities for Improvements

 Enhancements identified within this section are **Critical** to meeting US Customs & Border Protection **Minimum** foreign manufacturer security criteria

Performance Rating



88% Section: Personnel Security.

SubSection: Personnel Screening

International Supply Chain Security Requirements & Criteria	
A process must be in place to screen prospective employees and to periodically check current employees	
Periodic checks and reinvestigations for existing employees should be performed based on cause and/or the sensitivity of the employee's position	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> Periodic and follow-up background checks are not conducted on employees based on circumstances and/or sensitivity/scope of employee responsibility. 	47 %

SubSection: Identification System

International Supply Chain Security Requirements & Criteria	
Management or security personnel must adequately control the issuance and removal of employee, visitor, and vendor ID badges	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The security staffs is not informed of missing IDs. 	62 %

SubSection: Education / Training / Awareness

International Supply Chain Security Requirements & Criteria	
Written procedures must stipulate how seals are controlled and affixed to loaded containers, including recognizing and reporting compromised seals and/or containers to local Customs authorities	
IT security policies, procedures and standards must be in place and provided to employees in the form of training	
Employees must be made aware of the procedures the company has in place to address a situation and how to report it	
Additional training should be provided to employees in the shipping and receiving areas, as well as those receiving and opening mail	
Specific training should be offered to assist employees in maintaining cargo integrity, recognizing internal conspiracies, and protecting access controls	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> New employee orientation does not include computer security 	62 %
<ul style="list-style-type: none"> New employee orientation does not include reporting compromised security infrastructure (broken locks, windows, computer viruses, etc.) 	68 %
<ul style="list-style-type: none"> New employee orientation does not include recognizing and detecting dangerous substances and devices 	68 %

91% Section: Storage & Distribution

SubSection: Loading for Shipment

International Supply Chain Security Requirements & Criteria	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> Goods for shipment are tracked by the use of electronic and hardcopy procedures. 	0 %
<ul style="list-style-type: none"> Cargo handling and storage areas are not secured with perimeter walls, fencing or other barrier materials to prevent unauthorized access. 	51 %
<ul style="list-style-type: none"> The loading and departure of containers/trailers is not captured on CCTV and the recording is kept for 45 days 	43 %
<i>Only records from March 15, 2014 were kept.</i>	

87% Section: Physical Security

SubSection: Plant Security

International Supply Chain Security Requirements & Criteria	
Alarm systems and video surveillance cameras should be utilized to monitor premises and prevent unauthorized access to cargo handling/storage areas	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The facility does not have an automatic intrusion detection or alarm system 	38 %

SubSection: Perimeter Security

International Supply Chain Security Requirements & Criteria	
Cargo handling and storage facilities in international locations must have physical barriers and deterrents that guard against unauthorized access.	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The facility has adjoining/overhanging structures or foliage which would potentially facilitate illicit entry over the fenced areas into the facility 	48 %
<i>Some sundries stored nearby the fence.</i>	

SubSection: Outside Lighting

International Supply Chain Security Requirements & Criteria	
Adequate lighting must be provided inside and outside the facility, including entrances/exits, cargo handling/storage areas, fence lines, and parking areas	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The facility has no outside lighting 	97 %
<ul style="list-style-type: none"> The inside and outside of the facility including around entrances and exits, cargo handling and storage areas, fence lines and parking areas do not have adequate lighting. 	69 %

SubSection: Access Controls

International Supply Chain Security Requirements & Criteria	
Access controls must include the positive identification of all employees, visitors, and vendors at all entry points	
Procedures must be in place to identify, challenge, and address unauthorized/unidentified persons	
Exceptions Noted:	Global Freq. of Compliance %

• Employees' entries and exits are not recorded	87 %
• Recordings (e.g., tapes or electronic files) are not kept for a minimum of 30 days or according to client specific requirement, whichever is longer.	47 %
<i>Only records from March 15 were kept.</i>	

SubSection: Visitor Controls

International Supply Chain Security Requirements & Criteria	
Visitors must present photo identification for documentation purposes upon arrival.	
Exceptions Noted:	Global Freq. of Compliance %
• Photo identification is not required of all visitors.	63 %

SubSection: Entering / Exiting Deliveries

International Supply Chain Security Requirements & Criteria	
Container integrity must be maintained to protect against the introduction of unauthorized material/person(s)	
Written procedures must stipulate how seals are controlled and affixed to loaded containers, including recognizing and reporting compromised seals and/or containers to local Customs authorities	
Measures must be in place to ensure the integrity and security of processes relevant to the transportation, handling, and storage of cargo in the supply chain	
All shortages, overages, and other significant discrepancies or anomalies must be resolved and/or investigated appropriately	
Measures must be in place to ensure the integrity and security of processes relevant to the transportation, handling, and storage of cargo in the supply chain.	
Exceptions Noted:	Global Freq. of Compliance %
• Incoming and outgoing cargo vehicles are not checked.	79 %
• For conveyance entries/exits, logs are not maintained with seal number	75 %
• Examinations of containers/trailers found with broken seals do not document other anomalies	37 %
• There is no documented procedure to verify seal number against facility documentation when the container/trailer is turned over to the next supply chain link (including trucks and closed vans).	65 %
• There is no documented procedure to verify whether the seal is intact when the container/trailer is turned over the next supply chain link (including trucks and closed vans).	67 %

SubSection: Employee / Visitor Parking

International Supply Chain Security Requirements & Criteria	
Access controls prevent unauthorized entry to facilities, maintain control of employees and visitors, and protect company assets. Access controls must include the positive identification of all employees, visitors, and vendors at all points of entry.	
Exceptions Noted:	Global Freq. of Compliance %
• Vendor and visitor vehicles are not inspected when enter facility area	73 %

41% Section: Records & Documentation

International Supply Chain Security Requirements & Criteria	
Measures must be in place to ensure the integrity and security of processes relevant to the transportation, handling, and storage of cargo in the supply chain	
Exceptions Noted:	Global Freq. of Compliance %
• The facility has no policy in place to ensure that security procedures are documented	63 %
• The facility has not conducted a site security assessment	73 %

• The facility has no documented security improvement action plan summarizing identified vulnerabilities and their relevant corrective actions	52 %
• The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Personnel Security procedures are being performed properly.	79 %
• The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Physical Security procedures are being performed properly.	79 %
• The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Information Access Controls procedures are being performed properly.	74 %
• The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Shipment Information Controls procedures are being performed properly.	74 %
• The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Storage & Distribution procedures are being performed properly.	74 %
• The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Contractor Controls procedures are being performed properly.	56 %
• The facility has no documented procedure and/or assessment reports to conduct periodic security checks to ensure that Export Logistics procedures are being performed properly.	62 %

72%

Section: Information Access Controls

International Supply Chain Security Requirements & Criteria	
Procedures must be in place to ensure that all information used in clearing merchandise/cargo is legible, complete, accurate, and protected against the exchange, loss or introduction of erroneous information. Documentation control must include safeguarding computer access and information	
Documentation control must include safeguarding computer access and information.	
Exceptions Noted:	Global Freq. of Compliance %
• There is no system in place to suspend a login user ID after three failed access attempts	41 %
• Computer backup information are not stored in a fire resistant safe or at an off site facility	61 %
• Desktops do not lock automatically after a designated period of inactivity	82 %
• Password changes are not required by policy, or enforced in a systematic manner.	74 %

77%

Section: Contractor Controls

International Supply Chain Security Requirements & Criteria	
Foreign manufacturers must ensure that business partners develop security processes and procedures consistent with the C-TPAT security criteria to enhance the integrity of the shipment at point of origin, assembly or manufacturing. Periodic reviews of business partners' processes and facilities should be conducted based on risk, and should maintain the security standards required by the foreign manufacturer.	
Foreign manufacturers must have written and verifiable processes for the selection of business partners including, carriers, other manufacturers, product suppliers and vendors (parts and raw material suppliers, etc).	
Exceptions Noted:	Global Freq. of Compliance %
• When selecting the contractors used by the facility, the facility does not consider the contractor's corporate history	63 %
• When selecting the contractors used by the facility, the facility does not consider the contractor's hiring practices	40 %
• The facility does not require its contractors to conduct self-assessment of their security policies and procedures and to share the results of those assessments with the facility	29 %

61%

Section: Export Logistics

International Supply Chain Security Requirements & Criteria

For those business partners eligible for C-TPAT certification (carriers, importers, ports, terminals, brokers, consolidators, etc.) the foreign manufacturer must have documentation (e.g., C-TPAT certificate, SVI number, etc.) indicating whether these business partners are or are not C-TPAT certified.

Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The facility does not require eligible and/or ineligible carriers to demonstrate security compliance standards. 	30 %
<ul style="list-style-type: none"> The facility does not have written or electronic confirmation of its partners' compliance with C-TPAT or C-TPAT-equivalent security criteria (e.g., contract language, a letter of commitment signed at the management level or above, signed acknowledgement of receiving the facility's C-TPAT participation announcement). 	0 %
<ul style="list-style-type: none"> Facility does not conduct periodic unannounced security check to ensure that transport company is in compliance with the contract. 	26 %

NS

Section: Transparency In Supply Chain

■ Not Scored

International Supply Chain Security Requirements & Criteria

Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The facility does not conduct on-site inspections of the contractors' implementation of the their security standards/procedures that includes compliance with human trafficking and slavery policies. 	19 %
<ul style="list-style-type: none"> The facility does not require its contractors to conduct self-assessment of their security policies and procedures including status of their compliance with human trafficking and slavery policies and share the results of those assessments with the facility. 	16 %

VIII. Facility Photos For Team Concepts Printing Fty

1.Facility Entrance



2.Perimeter Fencing



3.Facility Building



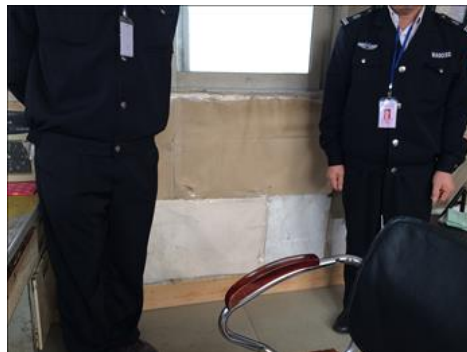
4.Employee Parking



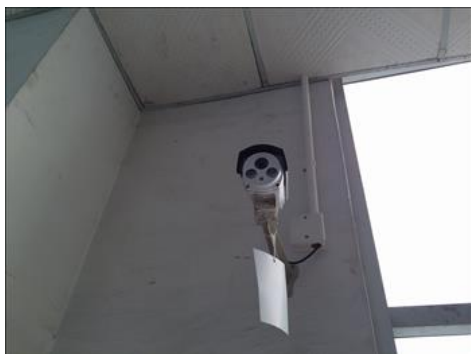
5.Visitor Parking



6.Security Room- Communication Equipment



7.CCTV system and monitor



8.Packing Area



9.Finished Goods Warehouse



10.Loading Area



11.Facility Name



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