

Appendix  
Forms for certificates of compliance,  
exemption certificates and records of equipment

Certificate of compliance.....	2
Initial survey .....	3
Endorsement of extension of the certificate's period of validity, where rule i/11, para. 1 is applicable.....	4
Endorsement of new survey.....	5
Exemption certificate.....	7
Record of equipment.....	10

## CERTIFICATE OF COMPLIANCE

This certificate of compliance shall be accompanied by a record of equipment

(authority's stamp)

(country)

**for a new/existing <sup>1</sup> fishing vessel  
issued pursuant to**

\_\_\_\_\_  
(designation of the Member State's relevant legal provisions)

**by way of confirmation that the ships listed below fulfil the provisions contained in Council Directive 97/./EC on the establishment of harmonised safety provisions for fishing vessels of 24 metres and over**

in accordance with authorisation from the Government of \_\_\_\_\_

(full official designation of the Member State)

of \_\_\_\_\_

(full official designation of the competent organisation recognised pursuant to the provisions contained in Council Directive 94/57/EC)

Name of the ship	Distinctive number or letters	Home port	Length <sup>2</sup>

Date of contract for building or major rebuilding <sup>3</sup>: \_\_\_\_\_

Date when the keel was laid or the ship was at an equivalent stage of construction<sup>3</sup> \_\_\_\_\_

Date of delivery or completion of major rebuilding<sup>3</sup> \_\_\_\_\_

1 Delete as applicable, cf. the definitions in article 2, paras. 2 and 3.

2 Length as defined in article 2, para. 6.

3 Cf. the definitions in article 2, para. 2.

(reverse of certificate)

**Initial survey**

IT IS HEREBY CERTIFIED:

- 1. that the ship has been surveyed in accordance with Rule I/6, para. 1, letter a) of the Annex to the Torremolinos Protocol of 1993
- 2. that the survey showed:
  - 1. that the ship fulfils all the requirements contained in Council Directive 97/70/EC, and
  - 2. that the maximum permitted draught under all load conditions has been entered in the stability log by \_\_\_\_\_ ;
- 3. that an exemption certificate has/has not<sup>1</sup> been issued.

This certificate is valid until \_\_\_\_\_, subject to surveys undertaken in accordance with the regulations contained in I/6, para. 1, letter b), nos. ii) and iii) and letter c).

Issued at \_\_\_\_\_, on \_\_\_\_\_  
(place of issue of the certificate) (date of issue)

\_\_\_\_\_  
(signature of the person issuing the certificate)  
and/or  
(stamp of the issuing authority)

If the declaration is signed, the following sentence is added:  
The undersigned declares that he/she has appropriate authorisation from the specified Member State to issue this certificate.

\_\_\_\_\_  
(signature)

<sup>1</sup> Delete as applicable.

(next page of certificate)

**Endorsement of extension of the certificate’s period of validity, where Rule I/11, para. 1 is applicable**

This certificate shall, pursuant to Rule I/11, para. 1, be recognised as valid until \_\_\_\_\_

Signature: \_\_\_\_\_  
(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
(signature and/or stamp of the issuing authority)

---

**Endorsement of extension of certificate’s period of validity until the ship reaches the port where it shall be surveyed, where Rule 1,11, para. 2 or 4, is applicable**

This certificate shall, pursuant to Rule I/11, para. 1, be recognised as valid until \_\_\_\_\_

Signature: \_\_\_\_\_  
(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
(signature and/or stamp of the issuing authority)

(next page of certificate)

**Endorsement of new survey**

**Survey of equipment**

IT IS HEREBY CERTIFIED that the ship has been surveyed as required in Rule I/6, para. 1, letter b), no. ii), and that the survey showed that the ship fulfils all the relevant requirements.

Signature: \_\_\_\_\_

(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

(signature and/or stamp of the issuing authority)

**Radio survey**

IT IS HEREBY CERTIFIED that the ship has been surveyed as required in Rule I/6, para. 1, letter b), no. iii), and that the survey showed that the ship fulfils all the relevant requirements.

Signature: \_\_\_\_\_

(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

(signature and/or stamp of the issuing authority)

(next page of certificate)

**2nd periodical radio survey**

Signature: \_\_\_\_\_  
(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
(signature and/or stamp of the issuing authority)

**3rd periodical radio survey**

Signature: \_\_\_\_\_  
(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
(signature and/or stamp of the issuing authority)

---

**Endorsement concerning intermediate survey**

IT IS HEREBY CERTIFIED that the ship has been surveyed as required in Rule I/6, para. 1, letter b), no. iii), and that the survey showed that the ship fulfils all the relevant requirements.

Signature: \_\_\_\_\_  
(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
(signature and/or stamp of the issuing authority)

**EXEMPTION CERTIFICATE**

(authority's stamp)

(country)

**for a new/existing<sup>1</sup> fishing vessel  
issued pursuant to**

(designation of the Member State's relevant legal provisions)

**by way of confirmation that the ships listed below fulfil the provisions contained in Council Directive 97/70/EC on the establishment of harmonised safety provisions for fishing vessels of 24 metres and over**

in accordance with authorisation from the Government of \_\_\_\_\_  
(full official designation of the Member State)

of \_\_\_\_\_  
(full official designation of the competent organisation recognised pursuant to the provisions contained in Council Directive 94/57/EC)

Name of the ship	Distinctive number or letters	Home port	Length <sup>2</sup>

1 Delete as applicable, cf. the definitions in article 2, paras. 2 and 3.

2 Length as defined in article 3, para. 6.

(next page of certificate)

IT IS HEREBY CERTIFIED

that the ship, pursuant to Rule \_\_\_\_\_

is exempt from the requirements regarding : \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Condition for granting of exemptions:

\_\_\_\_\_  
\_\_\_\_\_

This certificate is valid until \_\_\_\_\_, provided that the certificate of compliance with which this certificate is associated continues to be valid.

Issued at \_\_\_\_\_, on \_\_\_\_\_  
(place of issue of the certificate) (date of issue)

\_\_\_\_\_  
(signature of the person issuing the certificate) and/or (stamp of the issuing authority)

If the declaration is signed, the following sentence is added:

The undersigned declares that he/she has appropriate authorisation from the specified Member State to issue this certificate.

\_\_\_\_\_  
(signature)



(next page of certificate)

**Endorsement of extension of the certificate’s period of validity, where Rule I/11, para. 1 is applicable**

This certificate shall, pursuant to Rule I/11. Para. 1, be recognised as valid until

\_\_\_\_\_

Signature: \_\_\_\_\_

(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

(signature and/or stamp of the issuing authority)

---

**Endorsement of extension of certificate’s period of validity until the ship reaches the port where it shall be surveyed, where Rule 1,11, para. 2 or 4, is applicable**

This certificate shall, pursuant to Rule I/11. Para. 1, be recognised as valid until

\_\_\_\_\_

Signature: \_\_\_\_\_

(signature of the person entitled to make the endorsement)

Place: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

(signature and/or stamp of the issuing authority)

(supplementary form to the certificate of compliance)

## RECORD OF EQUIPMENT

### Relating to the certificate of compliance

This record shall be permanently attached to the certificate of compliance

#### Record of equipment for compliance with Council Directive 97/70/EC on the establishment of harmonised safety provisions for fishing vessels with a length of 24 metres and over

##### 1. Particulars of ship

Name of the ship	Distinctive number or letters	Home port	Length <sup>1</sup>

##### 2. Details of life\_saving appliances

1 Total number of persons for which life_saving appliances are provided		
	Port side	Starboard side
2 Total number of lifeboats		
2.1 Total number of persons accommodated by them		
2.2 Number of partially enclosed lifeboats (Rule VII/18)		
2.3 Number of totally enclosed lifeboats (Rule VII/19)		
3. Number of rescue boats		
3.1 Number of boats which are included in the total lifeboats shown above		
4. Life_rafts		
4.1 Life_rafts with approved launching appliances		
4.1.1 Number of life_rafts		
4.1.2 Number of persons accommodated by them		
4.2 Life_rafts for which approved launching appliances are not required		
4.2.1 Number of life_rafts		

<sup>1</sup> Length as defined in article 3, para. 6.

4.2.2 Number of persons accommodated by them		
5. Number of lifebuoys		
6. Number of life_jackets		
7. Immersion suits		
7.1 Total number		
7.2 Number of immersion suits complying with the requirements for life_jackets		
8. Number of thermal protective appliances <sup>(1)</sup>		
9. Radio installations used in life_saving appliances		
9.1 Number of radar transponders		
9.2 Number of two_way VHF radiotelephone apparatus		

(1) Except those required in Regulation VII/17, para. 8, no. xxi) and VII/20, para. 5, a), no. xxiv).

3. Details of radio facilities

Designation	Actual equipment
1. Primary systems	
1.1 VHF radio installation	
1.1.1 DSC encoder	
1.1.2 DSC watch receiver	
1.1.3 Radiotelephony	
1.2 MF radio installation	
1.2.1 DSC encoder	
1.2.3 Radiotelephony	
1.3 MF/RF radio installation	
1.3.1 DSC encoder	
1.3.2 DSC watch receiver	
1.3.4 Direct_printing radiotelegraphy	
1.4 INMARSAT ship earth station	
2. Secondary means of alerting	
3. Facilities for reception of maritime safety information	
3.1 NAVTEX receiver	
3.2 EGC receiver	
3.3 Direct_printing RF receiver	
4. Satellite EPIRB	

Designation	Actual equipment
4.1 COSPAS_SARSAT	_____
4.2 INMARSAT	_____
5. VHF EPIRB	_____
6. Ship's radar transponder	_____
7. 2,182 kHz watch receiver <sup>(1)</sup>	_____
8. Device for generating the radiotelephone alarm signal on 2,182 kHz <sup>(2)</sup>	_____

(1) Unless another date has been decided by the IMO's Maritime Safety Committee, this point does not need to appear in the list accompanying certificates issued after 1 February 1999.

(2) This point does not need to appear in the list accompanying certificates issued after 1 February 1999.

4. Methods used to ensure availability of radio facilities

4.1 Duplication of equipment: \_\_\_\_\_

4.2 Shore based maintenance: \_\_\_\_\_

4.3 At sea maintenance capability: \_\_\_\_\_

IT IS HEREBY CERTIFIED that this record of equipment is correct in all respects.

Issued at \_\_\_\_\_, on \_\_\_\_\_  
 (place of issue of the certificate) (date of issue)

\_\_\_\_\_  
 (signature of the person issuing the certificate)  
 and/or  
 (stamp of the issuing authority)

If the declaration is signed, the following sentence is added:

The undersigned declares that he/she has appropriate authorisation from the specified Member State to issue this certificate.

\_\_\_\_\_  
 (signature)