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SOLUTION OF THE NEUMANN PROBLEM  
FOR THE LAPLACE EQUATION

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*Abstract.* For fairly general open sets it is shown that we can express a solution of the Neumann problem for the Laplace equation in the form of a single layer potential of a signed measure which is given by a concrete series. If the open set is simply connected and bounded then the solution of the Dirichlet problem is the double layer potential with a density given by a similar series.

*Keywords:* single layer potential, generalized normal derivative

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Suppose that  $G \subset \mathbb{R}^m$  ( $m \geq 2$ ) is an open set with a compact boundary  $\partial G$ . If  $h$  is a harmonic function on  $G$  such that

$$\int_H |\operatorname{grad} h| \, d\mathcal{H}_m < \infty$$

for all bounded open subsets  $H$  of  $G$  we define the weak normal derivative  $N^G h$  of  $h$  as a distribution

$$\langle \varphi, N^G h \rangle = \int_G \operatorname{grad} \varphi \cdot \operatorname{grad} h \, d\mathcal{H}_m$$

for  $\varphi \in \mathcal{D}$  (= the space of all compactly supported infinitely differentiable functions in  $\mathbb{R}^m$ ). Here  $\mathcal{H}_k$  is the  $k$ -dimensional Hausdorff measure normalized so that  $\mathcal{H}_k$  is the Lebesgue measure in  $\mathbb{R}^k$ . We formulate the Neumann problem for the Laplace equation with a boundary condition  $\mu \in \mathcal{C}'$  (= the Banach space of all finite signed Borel measures with support in  $\partial G$  with the total variation as a norm) as follows:

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determine a harmonic function  $h$  on  $G$  for which  $N^G h = \mu$ . We wish to find the function  $h$  in the form of the single layer potential

$$\mathcal{U}\nu(x) = \int_{\mathbb{R}^m} h_x(y) \, d\nu(y),$$

where  $\nu \in \mathcal{C}'$ ,

$$\begin{aligned} h_x(y) &= (m-2)^{-1} A^{-1} |x-y|^{2-m} & \text{for } m > 2, \\ & A^{-1} \log |x-y|^{-1} & \text{for } m = 2, \end{aligned}$$

$A$  is the area of the unit sphere in  $\mathbb{R}^m$ . The single layer potential  $\mathcal{U}\nu$  is a harmonic function in  $G$  for which the weak normal derivative  $N^G \mathcal{U}\nu$  has sense. The operator  $N^G \mathcal{U} : \nu \mapsto N^G \mathcal{U}\nu$  is a bounded linear operator on  $\mathcal{C}'$  if and only if  $V^G < \infty$ , where

$$\begin{aligned} V^G &= \sup_{x \in \partial G} v^G(x), \\ v^G(x) &= \sup \left\{ \int_G \text{grad } \varphi \cdot \text{grad } h_x \, d\mathcal{H}_m; \varphi \in \mathcal{D}, |\varphi| \leq 1, \text{spt } \varphi \subset \mathbb{R}^m - \{x\} \right\} \end{aligned}$$

(see [9]). There are more geometrical characterizations of  $v^G(x)$  in [9] which ensure  $V^G < \infty$  for  $G$  convex or for  $G$  with  $\partial G \subset \bigcup_{i=1}^k L_i$ , where  $L_i$  are  $(m-1)$ -dimensional Ljapunov surfaces i.e. of class  $C^{1+\alpha}$  (see [16]).

If  $z \in \mathbb{R}^m$  and  $\theta$  is a unit vector such that the symmetric difference of  $G$  and the half-space  $\{x \in \mathbb{R}^m; (x-z) \cdot \theta > 0\}$  has  $m$ -dimensional density zero at  $z$  then  $n^G(z) = \theta$  is termed *the interior normal* of  $G$  at  $z$  in Federer's sense. If there is no interior normal of  $G$  at  $z$  in this sense, we denote by  $n^G(z)$  the zero vector in  $\mathbb{R}^m$ . The set  $\{y \in \mathbb{R}^m; |n^G(y)| > 0\}$  is called the reduced boundary of  $G$  and will be denoted by  $\widehat{\partial G}$ .

If  $G$  has a finite perimeter (which is fulfilled if  $V^G < \infty$ ) then  $\mathcal{H}_{m-1}(\widehat{\partial G}) < \infty$  and

$$v^G(x) = \int_{\widehat{\partial G}} |n^G(y) \cdot \text{grad } h_x(y)| \, d\mathcal{H}_{m-1}(y)$$

for each  $x \in \mathbb{R}^m$ . Throughout the paper we shall assume that  $V^G < \infty$ .

Denote  $C = \mathbb{R}^m - \text{cl } G$  and suppose for a while that  $\partial C = \partial G$ . For  $x \in \mathbb{R}^m$ ,  $f \in \mathcal{C}$ , where  $\mathcal{C}$  is the space of all bounded continuous functions on  $\partial G$  equipped with the maximum norm, we may define

$$W^G f(x) = d_G(x) f(x) - \int_{\partial G} f(y) n^G(y) \cdot \text{grad } h_x(y) \, d\mathcal{H}_{m-1}(y),$$

where

$$d_G(x) = \lim_{r \rightarrow 0^+} \frac{\mathcal{H}_m(\mathcal{U}(x; r) \cap G)}{\mathcal{H}_m(\mathcal{U}(x; r))}$$

is the  $m$ -dimensional density of  $G$  at the point  $x$  and  $\mathcal{U}(x; r) = \{y \in \mathbb{R}^m; |x - y| < r\}$ . (If  $V^G < \infty$  then there is  $d_G(x)$  for all  $x \in \mathbb{R}^m$  (see [9], Lemma 2.9).) The double layer potential  $W^G f$  is a function harmonic on  $\mathbb{R}^m - \text{cl } G$  and continuous on  $\partial G$ . Besides that  $W^G : f \mapsto W^G f$  is a bounded operator on  $\mathcal{C}$  and  $N^G \mathcal{U}$  is the dual operator of  $W^G$ . If  $W^G f = g$  on  $\partial G$  then  $W^G f$  is a solution of the Dirichlet problem on  $C$  with the boundary condition  $g$  (see [9], Theorem 2.19).

If we denote  $T^G = 2W^G - I$ , where  $I$  is the identity operator, then the Dirichlet problem for  $C$  and the Neumann problem for  $G$  lead to the dual equations

$$\begin{aligned} (1) \quad & (I + T^G)f = 2g, \\ (2) \quad & (I + T^G)^* \nu = 2\mu. \end{aligned}$$

Here  $L^*$  denotes the dual operator to the operator  $L$ .

If  $L$  is a bounded linear operator on the Banach space  $X$  we denote by  $\|L\|_{\text{ess}}$  the essential norm of  $L$ , i.e. the distance of  $L$  from the space of all compact linear operators on  $X$ . If  $\|T^G\|_{\text{ess}} < 1$  then  $G$  has a finite number of components and the equation  $(I + T^G)^* \nu = 2\mu$  has a solution if and only if  $\mu(\partial H) = 0$  for each bounded component  $H$  of  $G$ . The equation  $(I + T^G)f = 2g$  has a solution for each  $g \in \mathcal{C}$  if and only if  $G$  is unbounded and connected. (See [9].) It is well-known that this condition is fulfilled for sets with a smooth boundary (of class  $C^{1+\alpha}$ ) and for convex sets (see [9], [12]). J. Radon proved this condition for a set with bounded rotation in the plane (particularly for a set with a piecewise smooth boundary without cusps) (see [21], [22]). But this condition does not hold even for rectangular domains (i.e. formed by rectangular parallelepipeds) in  $\mathbb{R}^3$  (see [10]). If  $G \subset \mathbb{R}^3$  is a rectangular domain then there is a norm  $\| \cdot \|$  on  $\mathcal{C}$  equivalent to the maximum norm such that  $\| \|T^G\| \|_{\text{ess}} < 1$  (see [10], [1]). This condition is equivalent to

$$(3) \quad r_{\text{ess}}(T^G) < 1,$$

where the essential radius of the bounded linear operator  $L$  on the Banach space  $X$  is defined by

$$r_{\text{ess}}(L) = \liminf_{n \rightarrow \infty} (\|L^n\|_{\text{ess}})^{\frac{1}{n}}$$

(see [4]).

If  $X$  is a real Banach space we denote by  ${}^\wedge X$  the complexification of  $X$ . If  $L$  is a linear operator on  $X$  we extend  $L$  to  ${}^\wedge X$  by  $L(x + iy) = Lx + iLy$ . According to

[26], Chapter IX, Theorem 2.1 and Theorem 1.3 the operator  $\lambda I - T^G$  is a Fredholm operator on  $\mathcal{C}$  for all complex  $\lambda$  with  $|\lambda| \geq 1$  if and only if (3) holds.

A. Rathsfeld showed in [23], [24] that (3) holds for a polyhedral cone in  $\mathbb{R}^3$ . (Compare with the analogical result in [7].) The condition (3) holds even for  $G \subset \mathbb{R}^3$  with a piecewise smooth boundary (see [14]).

It is shown in this article that if  $T^G$  is quasicompact (i.e.  $r_{\text{ess}}(T^G) < 1$ ) then  $\text{cl}G$  has a finite number of components. The Neumann problem for  $G$  with the boundary condition  $\mu \in \mathcal{C}'$  has a solution if and only if  $\mu(\partial H) = 0$  for each bounded component  $H$  of  $\text{cl}G$ . We can take this solution in the form of the single layer potential  $\mathcal{U}\nu$  where  $\nu \in \mathcal{C}'$  is a solution of the equation  $(I + T^G)^*\nu = 2\mu$ . The equation  $(I + T^G)f = 2g$  has a solution for each  $g \in \mathcal{C}$  if and only if  $\text{cl}G$  is unbounded and connected.

But how to calculate a solution of the equation (1) or (2)? If  $G$  is convex then the series

$$(4) \quad \sum_{n=0}^{\infty} [(-T^G)^*]^n (2\mu)$$

represents a solution of (2) for each  $\mu \in \mathcal{C}'$  such that  $\mu(\partial G) = 0$ .

The attempt to justify the convergence of the series obtained from the equation (1) led C. Neumann to his investigation [17]–[19] of contractivity (for convex domains) of the operator  $T^G$  called by him the operator of the arithmetical mean. Neumann's method led to further investigation of domains with a smooth boundary by J. Plemelj (cf. [20]). His approach forms the basis of this paper.

The aim of this article is to prove that if  $G$  satisfies (3) then a solution of the Neumann problem for  $G$  with the boundary condition  $\mu \in \mathcal{C}'$  can be taken in the form of the single layer potential  $\mathcal{U}\nu$  where  $\nu$  is given by the series

$$\mu + \sum_{n=0}^{\infty} [(-T^G)^*]^n [I - (T^G)^*]\mu.$$

If  $\mathbb{R}^m - G$  is unbounded and connected then we can take  $\nu$  even in the form of the series (4). This condition is necessary for the convergence of the series (4) for each  $\mu \in \mathcal{C}'$  for which there is a solution of the Neumann problem with the boundary condition  $\mu$ . If  $\partial C = \partial G$  and  $\text{cl}G$  is unbounded and connected then a solution of the Dirichlet problem for  $C$  with the boundary condition  $g \in \mathcal{C}$  can be taken in the form of the double layer potential  $W^G f$  where

$$f = g + \sum_{n=0}^{\infty} (-T^G)^n (I - T^G)g.$$

**Lemma 1.** *If (3) holds then the set  $\mathcal{I}$  of all isolated points of  $\partial G$  is finite and*

$$0 < \inf_{x \in \partial G - \mathcal{I}} d_G(x) \leq \sup_{x \in \partial G - \mathcal{I}} d_G(x) < 1.$$

**P r o o f.** (See proof of Theorem 4.1 in [9].) Since  $T^G$  is quasicompact there are a natural number  $n$  and a compact linear operator  $K$  on  $\mathcal{C}$  such that

$$(5) \quad \|(T^G)^n + K\| < 1.$$

By the Radon theorem  $K$  can be arbitrarily closely approximated by finite dimensional operators of the form

$$\tilde{K}f = \sum_{k=1}^q \langle f, \nu_k \rangle \varphi_k$$

with  $\varphi_k \in \mathcal{C}$  and  $\nu_k \in \mathcal{C}'$  (see [9], pp. 102–103; compare Chapter V in [25]). Clearly, there is  $K$  of the form

$$Kf = \sum_{k=1}^{q_1} \langle f, \nu_k \rangle \varphi_k + \sum_{k=1}^{q_2} \psi_k f(y_k)$$

where  $M = \{y_1, \dots, y_{q_2}\} \subset \partial G$ ,  $\varphi_k \in \mathcal{C}$ ,  $\psi_k \in \mathcal{C}$ ,  $\nu_k \in \mathcal{C}'$ ,  $\nu_k$  does not charge single point sets and (5) is true.

Denote

$$k_1(x, y) = -2n^G(y) \cdot \text{grad } h_x(y)$$

for  $x, y \in \partial G$ . For fixed  $x \in \partial G$  and a natural number  $p$  we define  $k_p(x, y)$  by the recurrent formula

$$k_{p+1}(x, y) = \int_{\partial G} k_1(x, z) k_p(z, y) d\mathcal{H}_{m-1}(z).$$

By the inductive method we prove that for a fixed  $x$  the function  $k_p(x, y)$  is defined for  $\mathcal{H}_{m-1}$ -a.a.  $y \in \partial G$ , vanishes outside  $\widehat{\partial G}$  and

$$\int_{\partial G} |k_p(x, y)| d\mathcal{H}_{m-1}(y) \leq 2^p (V^G)^p.$$

Since  $(2d_G(x) - 1) = 0$  on  $\widehat{\partial G}$  we obtain by the inductive method

$$\begin{aligned} (T^G)^p f(x) &= (2d_G(x) - 1)^p f(x) + (2d_G(x) - 1)^{p-1} \int_{\partial G} k_1(x, y) f(y) d\mathcal{H}_{m-1}(y) \\ &+ (2d_G(x) - 1)^{p-2} \int_{\partial G} k_2(x, y) f(y) d\mathcal{H}_{m-1}(y) + \dots \\ &+ (2d_G(x) - 1) \int_{\partial G} k_{p-1}(x, y) f(y) d\mathcal{H}_{m-1}(y) \\ &+ \int_{\partial G} k_p(x, y) f(y) d\mathcal{H}_{m-1}(y). \end{aligned}$$

Put

$$k(x, y) = \sum_{j=1}^n (2 d_G(x) - 1)^{n-j} k_j(x, y).$$

Then

$$(T^G)^n f(x) = (2 d_G(x) - 1)^n f(x) + \int_{\partial G} k(x, y) f(y) d\mathcal{H}_{m-1}(y).$$

Denote by  $\lambda_x$  the measure

$$\int f d\lambda_x = (T^G)^n f(x).$$

Then for  $x \in \partial G - M$

$$\begin{aligned} \left\| \lambda_x + \sum_{k=1}^{q_1} \varphi_k(x) \nu_k \right\| &\leq \left\| \lambda_x + \sum_{k=1}^{q_1} \varphi_k(x) \nu_k \right\| + \sum_{k=1}^{q_2} |\psi_k(x)| \\ &= \left\| \lambda_x + \sum_{k=1}^{q_1} \varphi_k(x) \nu_k + \sum_{k=1}^{q_2} \psi_k(x) \delta_{y^k} \right\| \\ &= \sup \{ |(T^G)^n f(x) + K f(x)|; f \in \mathcal{C}, |f| \leq 1 \} \leq \|(T^G)^n + K\|. \end{aligned}$$

Put

$$\tilde{K} f(y) = \sum_{k=1}^{q_1} \varphi_k(y) \langle f, \nu_k \rangle.$$

Then  $(T^G)^n + \tilde{K}$  is a bounded operator on  $\mathcal{C}$ . Let now  $\varphi \in \mathcal{C}$ ,  $|\varphi| \leq 1$ . Since for  $x \in \partial G - M$

$$|(T^G)^n \varphi(x) + \tilde{K} \varphi(x)| \leq \left\| \lambda_x + \sum_{k=1}^{q_1} \varphi_k(x) \nu_k \right\| \leq \|(T^G)^n + K\|$$

the continuity of the function  $(T^G)^n \varphi + \tilde{K} \varphi$  yields  $\|(T^G)^n \varphi(x) + \tilde{K} \varphi(x)\| \leq \|(T^G)^n + K\|$  for  $x \in \text{cl}(\partial G - M)$ . For fixed  $x \in \text{cl}(\partial G - M)$  and a natural number  $k$  put  $\varphi_k(y) = \max(0, 1 - k|y - x|)$ . Then we obtain from (5) that  $|2 d_G(x) - 1|^n = \lim_{k \rightarrow \infty} |(T^G)^n \varphi_k(x) + \tilde{K} \varphi_k(x)| \leq \|(T^G)^n + K\| < 1$ . Since  $\partial G - \mathcal{I} \subset \text{cl}(\partial G - M)$  we have  $\mathcal{I} \subset M$ ,  $\mathcal{I}$  is finite and the inequality in the lemma holds.  $\square$

**Lemma 2.** *If  $r_{\text{ess}}(T^G) < 1$  then  $\mathcal{H}_{m-1}(\partial G) < \infty$ ,  $\mathcal{H}_{m-1}(\partial G - \hat{\partial}G) = 0$ .*

*Proof.* Since  $G$  has a finite perimeter and  $0 < d_G(x) < 1$  for  $\mathcal{H}_m$ -a.a.  $x \in \partial G$  by Lemma 1, we obtain  $\mathcal{H}_{m-1}(\hat{\partial}G) < \infty$  and  $\mathcal{H}_{m-1}(\partial G - \hat{\partial}G) = 0$  by the Gauss-Green theorem (see [3], Theorem 4.5.6).  $\square$

**Note 1.** Denote  $\tilde{G} = \text{int cl } G$ . Then  $\mathcal{H}_m(\tilde{G} - G) = 0$ ,  $\partial\tilde{G} = \partial C$ ,  $V^{\tilde{G}} < \infty$ ,  $N^{\tilde{G}} = N^G$ . If  $\nu \in \mathcal{C}'$ ,  $\nu(M) = 0$  for  $M \subset \partial G - \partial\tilde{G}$  then  $N^G \mathcal{U} \nu(M) = \nu(M)$  for  $M \subset \partial G - \partial\tilde{G}$ . If  $r_{\text{ess}}(T^G) < 1$  then we obtain  $r_{\text{ess}}(T^{\tilde{G}}) < 1$  because  $\partial G$  and  $\partial\tilde{G}$  differ only at finitely many isolated points of  $\partial G$  by Lemma 1. So, throughout the rest of the paper we will assume that  $\partial G = \partial C$ .

**Lemma 3.** *If  $W^G$  is Fredholm then  $\text{cl } G$  has a finite number of components.*

**Proof.** Suppose the opposite. Then we are going to construct such a sequence  $\{A_j\}$  of nonempty closed subsets of  $\text{cl } G$  that  $\text{cl } G - A_j$  is closed,  $A_{j+1} \not\subseteq A_j$  and  $A_j$  has infinitely many components. Put  $A_1 = \text{cl } G$ . For a given  $A_j$  we construct  $A_{j+1}$  in the following way. Since  $A_j$  is not connected there are nonempty closed disjoint sets  $C, D$  such that  $C \cup D = A_j$ . If  $H$  is a component of  $A_j$  then  $C \cap H, H \cap D$  are closed sets. Since  $H$  is connected, necessarily  $C \cap H = \emptyset$  or  $H \cap D = \emptyset$  and thus either  $H \subset C$  or  $H \subset D$ . Now we denote by  $A_{j+1}$  one of the sets  $C, D$  which has infinitely many components.

If there is a natural number  $i$  such that  $A_i$  is bounded we put  $B_j = A_j$  for  $j \geq i$ . If  $A_j$  is unbounded for each  $j$  we put  $i = 1$ ,  $B_j = \text{cl } G - A_j$ . Now we choose for every  $j \geq i$  a function  $\varphi_j \in \mathcal{D}$  such that  $\varphi_j = 1$  on a neighbourhood of  $B_j$  and  $\varphi_j = 0$  on a neighbourhood of  $\text{cl } G - B_j$ . If  $\nu \in \mathcal{C}'$  then

$$(N^G \mathcal{U} \nu)(\partial B_j) = \langle \varphi_j, N^G \mathcal{U} \nu \rangle = \int_G \text{grad } \varphi_j \cdot \text{grad } \mathcal{U} \nu = 0.$$

So  $N^G \mathcal{U}(\mathcal{C}')$  has an infinite codimension in  $\mathcal{C}'$ . Since  $N^G \mathcal{U}$  is the dual operator of  $W^G$  the operator  $N^G \mathcal{U}$  is Fredholm, too, by [26], Chapter VII, Theorem 3.5. This is a contradiction.  $\square$

**Note 2.** If  $r_{\text{ess}}(T^G) < 1$  then  $r_{\text{ess}}(T^C) < 1$  because  $T^C = -T^G$ . So, if  $r_{\text{ess}}(T^G) < 1$  then  $\text{cl } G$  and  $\mathbb{R}^m - G$  have a finite number of components by Lemma 3 and [26], Chapter IX, Theorem 2.1 and Theorem 1.3.

**Definition.** We shall denote by  $\mathcal{C}'_c$  the subspace of those  $\mu \in \mathcal{C}'$  for which there exists a (finite) continuous function  $\mathcal{U}_c \mu$  on  $\mathbb{R}^m$  such that  $\mathcal{U}_c \mu = \mathcal{U} \mu$  on  $\mathbb{R}^m - \partial G$ .

**Lemma 4.** *Let  $p$  be a positive integer and  $\lambda$  a complex number with  $|\lambda| > r_{\text{ess}}(T^G)$ . Then any  $\mu \in \mathcal{C}'$  satisfying the homogeneous equation*

$$[(T^G)^* + \lambda I]^p \mu = 0$$

*necessarily belongs to  $\mathcal{C}'_c$ .*

**Proof.** The lemma is an easy generalization of [9], Theorem 4.10 and we can obtain it by repeating all reasonings in [9], §4.  $\square$



**Notation.** Let us define a function  $\theta$  on  $\mathbb{R}^m$  as follows:

$$\begin{aligned}\theta(x) &= \exp(|x|^2 - 1)^{-1} \quad \text{for } |x| < 1, \\ \theta(x) &= 0 \quad \text{for } |x| \geq 1.\end{aligned}$$

For  $\delta > 0$  put

$$\theta_\delta(x) = h_\delta \theta(x/\delta)$$

with  $h_\delta \in \mathbb{R}$  chosen so that

$$\int_{\mathbb{R}^m} \theta_\delta(x) \, d\mathcal{H}_m(x) = 1.$$

Clearly,  $\theta_\delta \in \mathcal{D}$  for each  $\delta$ .

If  $f$  is locally integrable over  $\mathbb{R}^m$  we denote

$$R_\delta f(x) = \int_{\mathbb{R}^m} f(y) \theta_\delta(x - y) \, d\mathcal{H}_m(y), \quad x \in \mathbb{R}^m.$$

Then  $R_\delta f \in \mathcal{D}$ . If  $|f(y)| \leq \beta$  holds for  $\mathcal{H}_m$ -almost all  $y \in \mathbb{R}^m$  then the inequality

$$|R_\delta f(x)| \leq \beta$$

is true for any  $x \in \mathbb{R}^m$ . If  $f$  is continuous then  $R_\delta f$  converges locally uniformly to  $f$  for  $\delta \rightarrow 0_+$ .

Finally, for each  $\varepsilon > 0$  let

$$B^\varepsilon = \{x \in \mathbb{R}^m; \text{dist}(x, \partial G) > \varepsilon\}.$$

**Lemma 5.** Suppose that  $\mu \in \mathcal{C}'$  and  $\varepsilon > 0$ . Then

$$\lim_{\delta \rightarrow 0_+} R_\delta \mathcal{U} \mu = \mathcal{U} \mu$$

holds quasi - everywhere in  $\mathbb{R}^m$  and for each  $\delta \in (0, \varepsilon)$  we have  $R_\delta \mathcal{U} \mu = \mathcal{U} \mu$  on  $B^\varepsilon$ .

*P r o o f.* See [15], proof of Lemma 22. □

**Lemma 6.** Suppose  $\mathcal{H}_m(\partial G) = 0$ . Let  $\mu \in \mathcal{C}'$ . In the case  $m = 2$  suppose moreover that  $\mu(\mathbb{R}^m) = 0$ . Then

$$\begin{aligned}\sup_{\delta \in (0,1)} \int_{\mathbb{R}^m} |\text{grad } R_\delta \mathcal{U} \mu|^2 \, d\mathcal{H}_m &< \infty, \\ \int_{\mathbb{R}^m} |\text{grad } \mathcal{U} \mu|^2 \, d\mathcal{H}_m &< \infty.\end{aligned}$$

Proof. Since

$$\lim_{|x| \rightarrow \infty} |\mathcal{U}\mu(x)| = 0$$

there is  $\beta \in \mathbb{R}^1$  such that  $|\mathcal{U}_c\mu| \leq \beta$ . Fix  $R > 1$  such that  $\partial G \subset \mathcal{U}(0; R)$ . Suppose  $r > 2R$ ,  $\delta \in (0, 1)$ . By the Gauss-Green theorem we get

$$\begin{aligned} (6) \quad & \int_{\partial\mathcal{U}(0;r)} R_\delta \mathcal{U}\mu(z) (-n^{\mathcal{U}(0;r)}(z)) \cdot \text{grad}(R_\delta \mathcal{U}\mu(z)) \, d\mathcal{H}_{m-1}(z) \\ &= \int_{\mathcal{U}(0;r)} |\text{grad}(R_\delta \mathcal{U}\mu(x))|^2 \, d\mathcal{H}_m(x) \\ &\quad + \int_{\mathcal{U}(0;r)} (R_\delta \mathcal{U}\mu(x)) \Delta(R_\delta \mathcal{U}\mu(x)) \, d\mathcal{H}_m(x). \end{aligned}$$

Let  $\varphi \in \mathcal{D}$  satisfy  $|\varphi| \leq 1$  on  $\mathbb{R}^m$  and  $\varphi = 1$  on  $\mathcal{U}(0; 2R)$ . By Lemma 5 the function  $R_\delta \mathcal{U}\mu$  is harmonic on  $\mathbb{R}^m - \mathcal{U}(0; 2R)$  and we conclude that

$$\begin{aligned} (7) \quad & \int_{\mathcal{U}(0;r)} (R_\delta \mathcal{U}\mu(x)) \Delta(R_\delta \mathcal{U}\mu(x)) \, d\mathcal{H}_m(x) \\ &= \int_{\mathbb{R}^m} \varphi(x) (R_\delta \mathcal{U}\mu(x)) \Delta(R_\delta \mathcal{U}\mu(x)) \, d\mathcal{H}_m(x). \end{aligned}$$

It is well-known that  $\Delta \mathcal{U}\mu = -\mu$  in the sense of distributions. Since  $R_\delta \mathcal{U}\mu = \theta_\delta * (\mathcal{U}\mu)$  is the convolution of the functions  $\theta_\delta$  and  $\mathcal{U}\mu$  we have  $\Delta(R_\delta \mathcal{U}\mu) = \theta_\delta * (\Delta \mathcal{U}\mu) = \theta_\delta * (-\mu)$  in the sense of distributions (compare [27]). Since  $\varphi(R_\delta \mathcal{U}\mu) \in \mathcal{D}$  we have

$$\begin{aligned} (8) \quad & \int_{\mathbb{R}^m} \varphi(x) (R_\delta \mathcal{U}\mu(x)) \Delta(R_\delta \mathcal{U}\mu(x)) \, d\mathcal{H}_m(x) \\ &= - \int_{\mathbb{R}^m} R_\delta(\varphi R_\delta \mathcal{U}\mu)(x) \, d\mu(x). \end{aligned}$$

Since  $|R_\delta \mathcal{U}\mu| \leq \beta$ , because  $|\mathcal{U}\mu| \leq \beta$  on  $\mathbb{R}^m - \partial G$  and  $\mathcal{H}_m(\partial G) = 0$ , we get from (6), (7) and (8) the estimate

$$\begin{aligned} & \int_{\mathcal{U}(0;r)} |\text{grad} R_\delta \mathcal{U}\mu(x)|^2 \, d\mathcal{H}_m \leq \beta \|\mu\| + \int_{\partial\mathcal{U}(0;r)} |R_\delta \mathcal{U}\mu| |\text{grad} R_\delta \mathcal{U}\mu| \, d\mathcal{H}_{m-1}(z) \\ &= \beta \|\mu\| + \int_{\partial\mathcal{U}(0;r)} |\mathcal{U}\mu| |\text{grad} \mathcal{U}\mu| \, d\mathcal{H}_{m-1} \\ &\leq \beta \|\mu\| + \beta \frac{1}{A} \frac{\|\mu\|}{(r-R)^{m-1}} A r^{m-1} \leq 2^m \beta \|\mu\| \end{aligned}$$

by Lemma 5. Hence

$$(9) \quad \int_{\mathbb{R}^m} |\text{grad} R_\delta \mathcal{U}\mu|^2 \, d\mathcal{H}_m \leq 2^m \beta \|\mu\|.$$

Lemma 5 yields

$$\lim_{\delta \rightarrow 0_+} \text{grad } R_\delta \mathcal{U} \mu(x) = \text{grad } \mathcal{U} \mu(x)$$

whenever  $x \in \mathbb{R}^m - \partial G$ . Since  $\mathcal{H}_m(\partial G) = 0$ , Fatou's lemma may be applied to assert  $\int_{\mathbb{R}^m} |\text{grad } \mathcal{U} \mu|^2 \leq 2^m \beta \|\mu\|$ .  $\square$

**Lemma 7.** *Suppose  $\mathcal{H}_m(\partial G) = 0$ . Let  $\nu_1, \nu_2 \in \mathcal{C}'_c$ . In the case  $m = 2$  suppose moreover that  $\nu_i(\mathbb{R}^m) = 0$  for  $i = 1, 2$ . Then*

$$\int_{\partial G} \mathcal{U}_c \nu_1 \, dN^G \mathcal{U} \nu_2 = \int_G \text{grad } \mathcal{U} \nu_1 \cdot \text{grad } \mathcal{U} \nu_2 \, d\mathcal{H}_m.$$

**P r o o f.** (Compare with [15].) Let  $\psi$  be an infinitely differentiable function in  $\mathbb{R}^1$ ,  $0 \leq \psi \leq 1$ ,  $\psi(t) = 1$  for  $t \in \langle 0, 1 \rangle$  and  $\psi(t) = 0$  for  $t \in (2, \infty)$ . For  $\delta > 0$ ,  $x \in \mathbb{R}^m$  put

$$\begin{aligned} \psi_\delta(x) &= \psi(\delta|x|), \\ \varphi_\delta(x) &= \psi_\delta(x)(R_\delta \mathcal{U}_c \nu_1)(x). \end{aligned}$$

Since  $\mathcal{U}_c \nu_1$  is continuous,  $\varphi_\delta$  converge to  $\mathcal{U}_c \nu_1$  uniformly on  $\partial G$  for  $\delta \rightarrow 0_+$ . Since  $\varphi_\delta \in \mathcal{D}$  we have

$$(10) \quad \int_{\partial G} \mathcal{U}_c \nu_1 \, dN^G \mathcal{U} \nu_2 = \lim_{\delta \rightarrow 0_+} \int_{\partial G} \varphi_\delta \, dN^G \mathcal{U} \nu_2 = \lim_{\delta \rightarrow 0_+} \int_G \text{grad } \varphi_\delta \cdot \text{grad } \mathcal{U} \nu_2 \, d\mathcal{H}_m.$$

We are going to prove

$$(11) \quad \int_{\mathbb{R}^m} |\text{grad } \varphi_\delta|^2 \, d\mathcal{H}_m \leq K \quad \text{for } \delta \in (0, \delta_0).$$

Choose  $\delta_0 \in (0, 1/2)$  such that  $\partial G \subset \mathcal{U}(0; 1/(2\delta_0))$ . Let  $\delta \in (0, \delta_0)$ . Denote by  $\chi$  the characteristic function of the set  $\mathcal{U}(0; 2/\delta) - \mathcal{U}(0; 1/\delta)$ . Since  $R_\delta \mathcal{U}_c \nu_1 = \mathcal{U} \nu_1$  on  $\mathbb{R}^m - \mathcal{U}(0; 1/\delta_0)$  by Lemma 5 we have

$$\begin{aligned} \int_{\mathbb{R}^m} |\text{grad } \varphi_\delta|^2 \, d\mathcal{H}_m &= \int_{\mathbb{R}^m} |\psi_\delta \text{grad}(R_\delta \mathcal{U}_c \nu_1) + (R_\delta \mathcal{U}_c \nu_1) \text{grad } \psi_\delta|^2 \, d\mathcal{H}_m \\ &\leq \int_{\mathbb{R}^m} [|\text{grad } R_\delta \mathcal{U}_c \nu_1| + |\mathcal{U} \nu_1| \chi \sup |\psi'| \delta]^2 \, d\mathcal{H}_m \\ &\leq \int_{\mathbb{R}^m} |\text{grad } R_\delta \mathcal{U} \nu_1|^2 \, d\mathcal{H}_m \\ &\quad + \int_{\mathcal{U}(0; 2/\delta) - \mathcal{U}(0; 1/\delta)} [(\sup |\psi'|)^2 \delta^2 |\mathcal{U} \nu_1|^2 + 2|\mathcal{U} \nu_1| \delta |\text{grad } \mathcal{U} \nu_1| \sup |\psi'|] \, d\mathcal{H}_m. \end{aligned}$$

Since there is a positive constant  $L$  such that

$$\begin{aligned} |\mathcal{U}\nu_1(x)| &\leq \frac{L}{|x|^{m-2}}, \\ |\text{grad } \mathcal{U}\nu_1(x)| &\leq \frac{L}{|x|^{m-1}} \end{aligned}$$

for each  $x \in \mathbb{R}^m - \mathcal{U}(0; 1/\delta_0)$  we have

$$\int_{\mathbb{R}^m} |\text{grad } \varphi_\delta|^2 d\mathcal{H}_m \leq \int_{\mathbb{R}^m} |\text{grad } R_\delta \mathcal{U}\nu_1|^2 d\mathcal{H}_m + A\delta_0^{m-2} \sup |\psi'| L^2 (2 + \sup |\psi'|)$$

and (11) holds according to Lemma 6.

According to [28], Chapter V, §2, Theorem 1 there are  $f_1, \dots, f_m \in L_2(\mathbb{R}^m)$  and a sequence  $\delta_n \searrow 0$  such that

$$(12) \quad \lim_{n \rightarrow \infty} \int_{\mathbb{R}^m} \left( \frac{\partial}{\partial x_k} \varphi_{\delta_n} \right) g d\mathcal{H}_m = \int_{\mathbb{R}^m} f_k g d\mathcal{H}_m$$

holds for each  $g \in L_2(\mathbb{R}^m)$  and  $k = 1, \dots, m$ . Since Lemma 6 yields  $\frac{\partial}{\partial x_k} \mathcal{U}\nu_2 \in L_2(\mathbb{R}^m)$  we obtain from (10) and (12)

$$\int_{\partial G} \mathcal{U}_c \nu_1 dN^G \mathcal{U}\nu_2 = \int_G \sum_{k=1}^m f_k \left( \frac{\partial}{\partial x_k} \mathcal{U}\nu_2 \right) d\mathcal{H}_m.$$

It suffices to prove that  $f_k = \frac{\partial}{\partial x_k} \mathcal{U}\nu_1$ . Let  $g \in L_2(\mathbb{R}^m)$  have a compact support disjoint with  $\partial G$ . Then

$$\int_{\mathbb{R}^m} f_k g d\mathcal{H}_m = \lim_{n \rightarrow \infty} \int_{\mathbb{R}^m} g \frac{\partial}{\partial x_k} \varphi_{\delta_n} d\mathcal{H}_m = \int_{\mathbb{R}^m} g \frac{\partial}{\partial x_k} \mathcal{U}\nu_1 d\mathcal{H}_m$$

by Lemma 5. Since  $\mathcal{H}_m(\partial G) = 0$ , the set of such  $g$  is dense in  $L_2(\mathbb{R}^m)$ . Since  $\frac{\partial}{\partial x_k} \mathcal{U}\nu_1 \in L_2(\mathbb{R}^m)$  by Lemma 6, we have  $f_k = \frac{\partial}{\partial x_k} \mathcal{U}\nu_1$ .  $\square$

**Lemma 8.** *If  $G$  is bounded then there is a positive  $\nu \in \mathcal{C}'$  such that  $(T^G)^* \nu = -\nu$  and  $\mathcal{U}\nu$  is constant in  $G$ .*

*Proof.* According to [11], Chapter II, §1 and §4 there is a positive measure  $\nu$  on  $\text{cl}G$ , a constant  $L$  and a Borel set  $K$  of null capacity such that  $\mathcal{U}\nu = L$  on  $\text{cl}G - K$ . Since  $\mathcal{H}_{m-1}(K) = 0$  by [11], Theorem 3.13 and  $\mathcal{U}\nu$  is lower semicontinuous by [11], Theorem 1.3, we obtain  $\mathcal{U}\nu \leq L$  in  $G$ . Since  $\mathcal{U}\nu$  is super-mean-valued by [11], Theorem 1.4 we have  $\mathcal{U}\nu = L$  in  $G$ . Since  $\Delta \mathcal{U}\nu = -\nu$  in the sense of distributions (see [9], Remark 5.7) and  $\Delta \mathcal{U}\nu = 0$  in  $G$  obviously  $\nu$  is supported by  $\partial G$ . If  $\varphi \in \mathcal{D}$  then  $\langle \varphi, N^G \mathcal{U}\nu \rangle = \int_G \text{grad } \varphi \cdot \text{grad } \mathcal{U}\nu d\mathcal{H}_m = 0$  and thus  $[(T^G)^* + I]\nu = \frac{1}{2} N^G \mathcal{U}\nu = 0$ .  $\square$

**Lemma 9.** If  $\nu \in \mathcal{C}'$ ,  $\nu(\mathbb{R}^m) = 0$  then  $(N^G \mathcal{U} \nu)(\mathbb{R}^m) = 0$ .

**Proof.** If  $G$  is bounded, choose  $\varphi \in \mathcal{D}$ ,  $\varphi \equiv 1$  on a neighbourhood of  $\text{cl } G$ . Then

$$(N^G \mathcal{U} \nu)(\mathbb{R}^m) = \langle \varphi, N^G \mathcal{U} \nu \rangle = \int_G \text{grad } \varphi \cdot \text{grad } \mathcal{U} \nu = 0.$$

If  $G$  is unbounded then  $C$  is bounded. Since

$$N^G \mathcal{U} \nu = \frac{1}{2}[I + (T^G)^*] \nu = \frac{1}{2}[I - (T^C)^*] \nu = \frac{1}{2}(2I - N^C \mathcal{U}) \nu$$

we have

$$(N^G \mathcal{U} \nu)(\mathbb{R}^m) = \nu(\mathbb{R}^m) - \frac{1}{2}(N^C \mathcal{U} \nu)(\mathbb{R}^m) = 0.$$

□

**Lemma 10.** Let  $\lambda_1, \lambda_2$  be complex numbers,  $\nu_1, \nu_2 \in \mathcal{C}'$ ,  $\nu_i(\mathbb{R}^m) \neq 0$ ,  $N^G \mathcal{U} \nu_i = \lambda_i \nu_i$  for  $i = 1, 2$ . Then  $\lambda_1 = \lambda_2$ .

**Proof.** Put  $\mathcal{C}'_0 = \{\mu \in \mathcal{C}'; \mu(\mathbb{R}^m) = 0\}$ . Then there are  $\mu \in \mathcal{C}'_0$  and a complex number  $\alpha$  such that

$$\nu_2 = \alpha \nu_1 + \mu.$$

Then

$$\lambda_1 \alpha \nu_1 + N^G \mathcal{U} \mu = N^G \mathcal{U}(\alpha \nu_1 + \mu) = N^G \mathcal{U} \nu_2 = \lambda_2 \nu_2 = \lambda_2 \alpha \nu_1 + \lambda_2 \mu.$$

Hence

$$(\lambda_1 - \lambda_2) \alpha \nu_1 = \lambda_2 \mu - N^G \mathcal{U} \mu.$$

Since  $\lambda_2 \mu - N^G \mathcal{U} \mu \in \mathcal{C}'_0$  by Lemma 9, necessarily  $(\lambda_1 - \lambda_2) \alpha \nu_1 = 0$ . □

**Proposition 1.** Suppose  $r_{\text{ess}}(T^G) < 1$ . Let  $\lambda$  be an eigenvalue of  $(T^G)^*$ ,  $|\lambda| \geq 1$ . Then  $\lambda \in \{-1; 1\}$ .

**Proof.** Choose  $\nu \in \mathcal{C}'$ , an eigenvector corresponding to the eigenvalue  $\lambda$ . Since  $(T^G)^* = -(T^C)^*$  Lemma 8 yields that there is a positive measure  $\mu \in \mathcal{C}'$  such that  $(T^G)^* \mu = -\mu$  for  $G$  bounded and  $(T^G)^* \mu = \mu$  for  $C$  bounded. If  $\nu(\mathbb{R}^m) \neq 0$  then  $\lambda \in \{-1; 1\}$  by Lemma 10.

Suppose  $\nu(\mathbb{R}^m) = 0$ . Denote by  $\bar{\nu}$  the complex conjugate of  $\nu$ . Since  $\nu \in \mathcal{C}'_c$  by Lemma 4 we obtain from Lemma 2 and Lemma 7

$$\begin{aligned} \int_G |\text{grad } \mathcal{U} \nu|^2 &= \int_{\partial G} \mathcal{U}_c \bar{\nu} dN^G \mathcal{U} \nu = \frac{1}{2} \int_{\partial G} \mathcal{U}_c \bar{\nu} d(T^G + I)^* \nu = \frac{\lambda + 1}{2} \int_{\partial G} \mathcal{U}_c \bar{\nu} d\nu \\ &= \frac{\lambda + 1}{2} \int_{\partial G} \mathcal{U}_c \bar{\nu} d(N^G \mathcal{U} \nu + N^C \mathcal{U} \nu) = \frac{\lambda + 1}{2} \int_{\mathbb{R}^m} |\text{grad } \mathcal{U} \nu|^2 \end{aligned}$$

If

$$\int_{\mathbb{R}^m} |\text{grad } \mathcal{U}\nu|^2 \neq 0$$

then  $0 \leq \frac{1}{2}(\lambda + 1) \leq 1$  and  $\lambda \in \{-1; 1\}$  because  $|\lambda| \geq 1$ . If

$$\int_{\mathbb{R}^m} |\text{grad } \mathcal{U}\nu|^2 = 0$$

then  $\mathcal{U}\nu$  is constant on  $G$  and on  $C$ . Since  $\mathcal{U}_c\nu$  is continuous and

$$\lim_{|x| \rightarrow \infty} |\mathcal{U}\nu(x)| = 0$$

we have  $\mathcal{U}_c\nu \equiv 0$ . Since  $\mathcal{H}_m(\partial G) = 0$  by Lemma 2 we obtain  $\nu = 0$  by [11], Theorem 1.12 and Theorem 1.12', which is a contradiction.  $\square$

**Lemma 11.** *Let  $\nu \in \mathcal{C}'$ ,  $\nu(\mathbb{R}^m) \neq 0$ ,  $(T^G)^*\nu = \lambda\nu$ ,  $\lambda \neq 0$ . Then there is no  $\mu \in \mathcal{C}'$  such that  $[\lambda I - (T^G)^*]\mu = \nu$ .*

*Proof.* Suppose that there is such a  $\mu \in \mathcal{C}'$ . Then there are a complex number  $\alpha$  and  $\mu' \in \mathcal{C}'_0 = \{\varrho \in \mathcal{C}'; \varrho(\mathbb{R}^m) = 0\}$  such that  $\mu = \alpha\nu + \mu'$ . Then  $\nu = [\lambda I - (T^G)^*]\mu = [\lambda I - (T^G)^*]\mu' \in \mathcal{C}'_0$  by Lemma 9, which is a contradiction.  $\square$

**Proposition 2.** *Suppose  $r_{\text{ess}}(T^G) < 1$ . Let  $\lambda$  be an eigenvalue of the operator  $(T^G)^*$ , let  $\nu \in \mathcal{C}'$  be a corresponding eigenvector. If  $|\lambda| \geq 1$  then there is no  $\mu \in \mathcal{C}'$  such that*

$$[\lambda I - (T^G)^*]\mu = \nu.$$

*Proof.* According to Lemma 11 it suffices to suppose  $\nu(\mathbb{R}^m) = 0$ . Suppose that there exists such a  $\mu$ . According to Proposition 1 we have

$$(13) \quad N^G \mathcal{U}\nu = 0, \quad N^G \mathcal{U}\mu = -\frac{1}{2}\nu,$$

or

$$N^C \mathcal{U}\nu = 0, \quad N^C \mathcal{U}\mu = \frac{1}{2}\nu.$$

We can suppose that  $\mu \in \mathcal{C}'$ ,  $\nu \in \mathcal{C}'$ . Lemma 4 yields that  $\mu \in \mathcal{C}'_c$ ,  $\nu \in \mathcal{C}'_c$ . If (13) holds we obtain by Lemma 7 and Lemma 2

$$\begin{aligned} 0 &= \int_{\partial G} \mathcal{U}_c\mu \, dN^G \mathcal{U}\nu - \int_{\partial G} \mathcal{U}_c\nu \, dN^G \mathcal{U}\mu = \frac{1}{2} \int_{\partial G} \mathcal{U}_c\nu \, d\nu \\ &= \frac{1}{2} \int_{\partial G} \mathcal{U}_c\nu \, d[N^G \mathcal{U}\nu + N^C \nu] = \frac{1}{2} \int_{\mathbb{R}^m} |\text{grad } \mathcal{U}\nu|^2 \, d\mathcal{H}_m. \end{aligned}$$

Since  $\lim_{|x| \rightarrow \infty} |\mathcal{U}\nu(x)| = 0$  we have  $\mathcal{U}_c\nu \equiv 0$ . Since  $\mathcal{H}_m(\partial G) = 0$  we have  $\nu = 0$  by [11], Theorem 1.12 and Theorem 1.12', which is a contradiction. The other case is analogical.  $\square$

**Proposition 3.** Let  $X$  be a complex Banach space and  $T$  a bounded linear operator on  $X$ . Suppose that  $\lambda_1, \dots, \lambda_k$  are different complex numbers such that  $\min\{|\lambda_1|, \dots, |\lambda_k|\} > r > r_{\text{ess}}(T)$ . Suppose that  $\sigma(T) \cap \{\lambda; |\lambda| > r\} \subset \{\lambda_1, \dots, \lambda_k\}$  and  $\text{Ker}(\lambda_j I - T) = \text{Ker}((\lambda_j I - T)^2)$  for  $j = 1, \dots, k$ , where  $\sigma(T)$  denotes the spectrum of the operator  $T$  and  $\text{Ker}(\lambda_j I - T)$  is the null space of the operator  $(\lambda_j I - T)$ . Denote

$$P(\lambda) = \prod_{j=2}^k (\lambda - \lambda_j) \quad \text{for } k > 1,$$

$$1 \quad \text{for } k = 1,$$

$$Q(\lambda) = \frac{P(\lambda) - P(\lambda_1)}{\lambda - \lambda_1}.$$

Then there are constants  $M > 0$ ,  $q \in (0; 1)$  such that for each  $y \in (\lambda_1 I - T)(X)$  and any natural number  $n$  we have

$$(14) \quad \|(\lambda_1^{-1} T)^n P(T)y\| \leq M q^n \|y\|$$

and the series

$$(15) \quad P(\lambda_1)^{-1} \left[ Q(T)y + \lambda_1^{-1} \sum_{j=0}^{\infty} (\lambda_1^{-1} T)^j P(T)y \right]$$

is a solution of the equation

$$(16) \quad (\lambda_1 I - T)x = y.$$

*Proof.* Put  $\sigma_j = \sigma(T) \cap \{\lambda_j\}$  for  $j = 1, \dots, k$ . Put  $\sigma_{k+1} = \sigma(T) - \{\lambda_1, \dots, \lambda_k\}$ . Let  $P_j$  be the spectral projection corresponding to the spectral set  $\sigma_j$  for  $j = 1, \dots, k+1$  (see [26], Chapter VI, §4). Then  $P_1 + \dots + P_{k+1} = I$  and  $X$  is a direct sum of the spaces  $P_1(X), \dots, P_{k+1}(X)$ .

Since  $T$  maps  $P_{k+1}(X)$  into  $P_{k+1}(X)$  and the restriction of  $T$  on  $P_{k+1}(X)$  has a spectral radius smaller than or equal to  $r$  there are constants  $K > 0$  and  $q \in (0, 1)$  such that

$$(17) \quad \|(\lambda_1^{-1} T)^n y\| \leq K q^n \|y\|$$

for each  $y \in P_{k+1}(X)$ .

Fix  $j \in \{1, \dots, k\}$ . If  $\sigma_j = \emptyset$  then  $P_j = 0$  and  $P_j(X) = \{0\} = \text{Ker}(\lambda_j I - T)$ ,  $\text{Ker } P_j = (\lambda_j I - T)(X)$ . Now, let  $\sigma_j = \{\lambda_j\}$ . Since  $r_{\text{ess}}(T) < |\lambda_j|$  the operator

$(\lambda_j I - T)$  is Fredholm with index 0 by [26], Chapter VII, Theorem 5.4. According to [26], Chapter V, Theorem 2.3 the operator  $(\lambda_j I - A)^2$  is Fredholm with index 0, too. Since  $\text{codim}(\lambda_j I - T)(X) = \dim \text{Ker}(\lambda_j I - T) = \dim \text{Ker}(\lambda_j I - T)^2 = \text{codim}(\lambda_j I - T)^2(X)$  and  $(\lambda_j I - T)^2(X) \subset (\lambda_j I - T)(X)$  we have  $(\lambda_j I - T)^2(X) = (\lambda_j I - T)(X)$ . By [8], Satz 50.2 we have  $P_j(X) = \text{Ker}(\lambda_j I - T)$ ,  $\text{Ker } P_j = (\lambda_j I - T)(X)$ .

Now let  $y \in (\lambda_1 I - T)(X)$ . Since  $(\lambda_1 I - T)(X) = \text{Ker } P_1$  we have

$$y = \sum_{j=2}^{k+1} P_j y.$$

Since  $P_j(X) = \text{Ker}(\lambda_j I - T)$  for  $j = 2, \dots, k$  and thus  $P(T)P_j y = 0$ . We obtain

$$\|(\lambda_1^{-1} T)^n P(T)y\| = \|(\lambda_1^{-1} T)^n P(T)P_{k+1}y\| \leq K q^n (\|P(T)\| \|P_{k+1}\| \|y\|),$$

because  $P(T)P_{k+1}(X) \subset P_{k+1}(X)$ . The series (15) converges and

$$\begin{aligned} & (\lambda_1 I - T)P(\lambda_1)^{-1} [Q(T)y + \lambda_1^{-1} \sum_{n=0}^{\infty} (\lambda_1^{-1} T)^n P(T)y] \\ &= P(\lambda_1)^{-1} [P(\lambda_1)y - P(T)y + \sum_{n=0}^{\infty} (\lambda_1^{-1} T)^n P(T)y - \sum_{n=1}^{\infty} (\lambda_1^{-1} T)^n P(T)y] = y. \end{aligned}$$

□

**Lemma 12.** *Suppose  $r_{\text{ess}}(T^G) < 1$ . Denote by  $H_1, \dots, H_p$  the components of  $\text{cl } G$ . Suppose that  $\nu \in \mathcal{C}'$  satisfies  $N^G \mathcal{U} \nu = 0$ . Then there are  $c_1, \dots, c_p \in \mathbb{R}^1$  such that  $\mathcal{U} \nu = c_i$  on  $\text{int } H_i$ .*

*Proof.* Suppose that  $\nu(\mathbb{R}^m) = 0$ . Since  $\nu \in \mathcal{C}'_c$  by Lemma 4 we obtain from Lemma 7

$$0 = \int_{\partial G} \mathcal{U}_c \nu \, dN^G \mathcal{U} \nu = \int_G |\text{grad } \mathcal{U} \nu|^2 \, d\mathcal{H}_m.$$

Therefore  $\mathcal{U} \nu$  is constant on each component of  $G$ . Since  $\mathcal{U}_c \nu$  is continuous and  $\mathcal{U} \nu = \mathcal{U}_c \nu$  on  $\mathbb{R}^m - \partial G$ ,  $\mathcal{U} \nu$  is constant on  $\text{int } H_i$ .

Suppose now that  $\nu(\mathbb{R}^m) \neq 0$ . If  $G$  is bounded, Lemma 8 yields that there is  $\lambda \in \mathcal{C}'$  such that  $N^G \mathcal{U} \lambda = 0$ ,  $\lambda(\mathbb{R}^m) \neq 0$  and  $\mathcal{U} \lambda$  is constant on  $G$ . Thus

$$\mathcal{U} \nu = \frac{\nu(\mathbb{R}^m)}{\lambda(\mathbb{R}^m)} \mathcal{U} \lambda + \mathcal{U} \left( \nu - \frac{\nu(\mathbb{R}^m)}{\lambda(\mathbb{R}^m)} \lambda \right)$$

is constant on  $\text{int } H_i$ .

If  $G$  is not bounded, Lemma 8 yields that there is  $\lambda \in \mathcal{C}'$ ,  $\lambda(\mathbb{R}^m) \neq 0$  such that

$$T^G \lambda = -T^C \lambda = \lambda,$$

which is a contradiction with Lemma 10. □



**Theorem 1.** Suppose that  $r_{\text{ess}}(T^G) < 1$ . If  $\mu \in \mathcal{C}'$  then the Neumann problem with the boundary condition  $\mu$  has a solution if and only if  $\mu \in \mathcal{C}'_0$  (= the space of such  $\nu \in \mathcal{C}'$  for which  $\nu(\partial H) = 0$  for each bounded component  $H$  of  $\text{cl } G$ ). We can take a solution in the form of the single layer potential  $\mathcal{U}\nu$  where

$$(18) \quad \nu = \mu + \sum_{j=0}^{\infty} [(-T^G)^*]^j [I - (T^G)^*] \mu.$$

Moreover, there are constants  $M > 0$ ,  $q \in (0; 1)$  such that

$$(19) \quad \| [(-T^G)^*]^j [I - (T^G)^*] \mu \| \leq Mq^j \|\mu\|$$

for each  $\mu \in \mathcal{C}'_0$  and any natural number  $j$ .

If  $\mathbb{R}^m - G$  is unbounded and connected then

$$(20) \quad \| [(-T^G)^*]^j \mu \| \leq Mq^j \|\mu\|$$

for each  $\mu \in \mathcal{C}'_0$  and any natural number  $j$  and

$$(21) \quad \nu = \sum_{j=0}^{\infty} [(-T^G)^*]^j 2\mu.$$

The series (21) converges for each  $\mu \in \mathcal{C}'_0$  if and only if  $\mathbb{R}^m - G$  is unbounded and connected.

*P r o o f.* Let  $\mu \in \mathcal{C}'$ ,  $h$  be a solution of the Neumann problem with the boundary condition  $\mu$ . Let  $H$  be a bounded component of  $\text{cl } G$ . Since  $\text{cl } G$  has a finite number of components by Lemma 3, we can choose  $\varphi \in \mathcal{D}$  such that  $\varphi = 1$  on  $H$  and  $\varphi = 0$  on  $\text{cl } G - H$ . Then

$$\mu(\partial H) = \langle \varphi, \mu \rangle = \int_G \text{grad } h \cdot \text{grad } \varphi = 0.$$

Let  $H_1, \dots, H_p$  be all bounded components of  $\text{cl } G$ . We are going to prove that

$$N^G \mathcal{U}(\mathcal{C}') = \{ \mu \in \mathcal{C}' ; \mu(\partial H_i) = 0; i = 1, \dots, p \}.$$

Since  $\mathcal{U}\nu$  is a solution of the Neumann problem with the boundary condition  $N^G \mathcal{U}\nu$  we have

$$N^G \mathcal{U}(\mathcal{C}') \subset \{ \mu \in \mathcal{C}' ; \mu(\partial H_i) = 0; i = 1, \dots, p \}.$$

Since

$$p = \text{codim}\{\mu \in \mathcal{C}' ; \mu(\partial H_i) = 0 ; i = 1, \dots, p\} \leq \text{codim } N^G \mathcal{U}(\mathcal{C}') = \dim \text{Ker } N^G \mathcal{U}$$

because  $N^G \mathcal{U}$  is a Fredholm operator with index 0, it suffices to prove that  $\dim \text{Ker } N^G \mathcal{U} \leq p$ .

If  $\nu \in \text{Ker } N^G \mathcal{U}$  then  $\nu \in \mathcal{C}'$  by Lemma 4 and  $\mathcal{U}_c \nu$  remains constant on each component of  $\text{cl } G$  by Lemma 12. If  $G$  is unbounded and  $H_0$  is the unbounded component of  $\text{cl } G$  then  $\mathcal{U}_c \nu$  must vanish on  $H_0$ . This is clear provided  $m > 2$ , because then  $\mathcal{U} \nu$  tends to zero at infinity, while for  $m = 2$  the relation

$$\lim_{|x| \rightarrow \infty} \left| \mathcal{U} \nu(x) + \frac{1}{2\pi} \nu(\partial G) \log |x| \right| = 0$$

shows that the potential  $\mathcal{U} \nu$  can remain constant on  $H_0$  only if  $\nu(\partial G) = 0$  when its limit at infinity equals zero.

If  $\nu \in \mathcal{C}'$ ,  $\mathcal{U} \nu = 0$  in  $G$ ,  $\mathcal{U} \nu$  converges to 0 at infinity then  $\mathcal{U}_c \nu$  is a harmonic function in  $\mathbb{R}^m - \partial G$  which vanishes on  $\partial G$  and converges to 0 at infinity, hence  $\mathcal{U} \nu = \mathcal{U}_c \nu = 0$  in  $\mathbb{R}^m - \partial G$ . Since  $\mathcal{H}_m(\partial G) = 0$  by Lemma 2, we obtain  $\nu = 0$  by [11], Theorem 1.12, Theorem 1.12'.

If there is no  $\mu \in \mathcal{C}'$  with  $\mu(\partial G) \neq 0$  such that  $\mathcal{U} \mu$  vanishes identically on  $G$  then  $\dim \text{Ker } N^G \mathcal{U} \leq p$ . Suppose now that there exists such a  $\mu$ . Then  $m = 2$  and  $G$  is bounded. We are going to prove that there is no  $\nu \in \mathcal{C}'$ ,  $\nu(\partial G) = 0$  such that  $\mathcal{U} \nu = 1$  on  $G$ . It yields that  $\dim \text{Ker } N^G \mathcal{U} \leq p$ .

Fix  $r > 1$  large enough to guarantee  $\text{cl } G \subset \mathcal{U}(0; r)$  and consider a probability measure  $\mathcal{H}$  distributed on  $\partial \mathcal{U}(0; r)$  with a constant density with respect to  $\mathcal{H}_1$ . As is noticed in [9], Remark 5.10,

$$\mathcal{U} \mathcal{H} = \frac{1}{2\pi} \log \frac{1}{r} \quad \text{on } \mathcal{U}(0; r) \supset \text{cl } G.$$

Fubini's theorem implies the reciprocity law

$$(22) \quad \int_{\mathbb{R}^2} \mathcal{U} \nu \, d\mathcal{H} = \int_{\mathbb{R}^2} \mathcal{U} \mathcal{H} \, d\nu.$$

Now  $\mathcal{U} \nu$  (being harmonic on  $\mathbb{R}^2 - \text{cl } G$  and tending to 1 at  $\partial(\mathbb{R}^2 - \text{cl } G)$  and to zero at infinity) remains positive on  $\mathbb{R}^2 - \text{cl } G \supset \partial \mathcal{U}(0; r)$ , so that the left-hand side of (22) is positive, while the right-hand side equals  $\nu(\partial G) \frac{1}{2\pi} \log \frac{1}{r} = 0$ . (Compare [9], proof of Proposition 5.11.)

We have proved that there is a solution of the Neumann problem with the boundary condition  $\mu \in \mathcal{C}'$  if and only if  $\mu \in \mathcal{C}'_0$  and we can take a solution in the form of the single layer potential  $\mathcal{U}\nu$  where

$$[I + (T^G)^*]\nu = 2\mu.$$

Propositions 1, 2 and 3 yield the relations (18), (19), (20), (21).

Suppose now that  $\mathbb{R}^n - G$  is not unbounded and connected. Since  $\text{cl}C$  has a bounded component and  $r_{\text{ess}}(T^C) = r_{\text{ess}}(T^G)$  we have

$$[I - (T^G)^*](\mathcal{C}') = [I + (T^C)^*](\mathcal{C}') = N^C \mathcal{U}(\mathcal{C}') \not\subseteq \mathcal{C}'.$$

Since  $I - (T^G)^*$  is a Fredholm operator with index 0 by [26], Chapter IX, Theorem 2.1, Theorem 1.3 and Chapter VII, Theorem 3.5, there is a  $\mu \in \mathcal{C}'$ ,  $\mu \neq 0$  such that  $(T^G)^*\mu = \mu$ . Since  $\mu = \frac{1}{2}N^G \mathcal{U}\mu$  we have  $\mu \in \mathcal{C}'_0$ . But the series (21) diverges.  $\square$

**Example 1.** Consider  $G = \mathcal{U}(0; r) \subset \mathbb{R}^2$ . For  $f \in \mathcal{C}$ ,  $x \in \partial G$  we can calculate

$$\begin{aligned} T^G f(x) &= -2 \int_{\partial G} f(y) \frac{y}{r} \cdot \frac{1}{2\pi} \frac{y-x}{|x-y|^2} d\mathcal{H}_1(y) \\ &= - \int_{\partial G} f(y) \frac{1}{2\pi r} \frac{|y|^2 + |x|^2 - 2y \cdot x}{|x-y|^2} d\mathcal{H}_1(y) = -\frac{1}{2\pi r} \int_{\partial G} f(y) d\mathcal{H}_1(y). \end{aligned}$$

Hence

$$(T^G)^*\mu = \mu(\partial G)\mathcal{H},$$

where

$$\int_{\partial G} f d\mathcal{H} = -\frac{1}{2\pi r} \int_{\partial G} f d\mathcal{H}_1(y).$$

Using Theorem 1 we obtain that for  $\mu \in \mathcal{C}'$  for which  $\mu(\partial G) = 0$  we can take a solution of the Neumann problem with the boundary condition  $\mu$  in the form

$$\frac{1}{\pi} \int_{\partial \mathcal{U}(0; r)} \log \frac{1}{|x-y|} d\mu(y).$$

**Example 2.** Consider  $G = \mathbb{R}^2 - \mathcal{U}(0; r)$ . Since  $T^G = -T^C$  we obtain from Example 1 that

$$(T^G)^*\mu = \mu(\partial G)\mathcal{H},$$

where

$$\int_{\partial G} f d\mathcal{H} = +\frac{1}{2\pi r} \int_{\partial G} f(y) d\mathcal{H}_1(y).$$

Using Theorem 1 we obtain that for  $\mu \in \mathcal{C}'$  we can take a solution of the Neumann problem with the boundary condition  $\mu$  in the form

$$\frac{1}{\pi} \int_{\partial \mathcal{W}(0;r)} \log \frac{1}{|x-y|} d\mu(y) - \frac{\mu(\mathbb{R}^m)}{4\pi^2 r} \int_{\partial \mathcal{W}(0;r)} \log \frac{1}{|x-y|} d\mathcal{H}_1(y).$$

Since

$$\frac{1}{2\pi r} \int_{\partial \mathcal{W}(0;r)} \log \frac{1}{|x-y|} d\mathcal{H}_1(y) - \log \frac{1}{|x|}$$

is a harmonic function on  $G$  which vanishes on  $\partial G$  by [9], Remark 5.10 and tends to zero at infinity it vanishes in  $G$ . Thus

$$\frac{1}{\pi} \int_{\partial \mathcal{W}(0;r)} \log \frac{1}{|x-y|} d\mu(y) - \frac{\mu(\mathbb{R}^m)}{2\pi} \log \frac{1}{|x|}$$

is a solution of the Neumann problem with the boundary condition  $\mu$ .

**Theorem 2.** *Suppose that  $r_{\text{ess}}(T^G) < 1$  and  $\text{cl} G$  is unbounded and connected. Then there are constants  $M > 0$ ,  $q \in (0; 1)$  such that*

$$(23) \quad \|(-T^G)^j(I - T^G)f\| \leq Mq^j \|f\|$$

for each  $f \in \mathcal{C}$  and any natural number  $j$ . The solution of the Dirichlet problem for  $C$  with the boundary condition  $g \in \mathcal{C}$  is the double layer potential

$$W^G f(x) = \frac{1}{A} \int_{\partial G} f(y) n^G(y) \cdot \frac{y-x}{|y-x|^m} d\mathcal{H}_{m-1}(y),$$

where

$$(24) \quad f = g + \sum_{j=0}^{\infty} (-T^G)^j (I - T^G)g.$$

**P r o o f.** Since  $\lambda I + T^G$  is a Fredholm operator with index 0 for  $|\lambda| \geq 1$ , we have  $\sigma(T^G) \cap \{\lambda; |\lambda| \geq 1\} \subset \{-1; 1\}$  by Proposition 1, [28], Chapter VIII, §6, Lemma 1 and [26], Chapter VII, Theorem 3.5. Since there is a natural number  $n$  and a linear compact operator  $K$  on  ${}^{\wedge}\mathcal{C}$  such that  $\|(T^G)^n + K\| < 1$  we obtain from [13], Lemma 2 that  $\sigma((T^G)^n) \cap \{\lambda; |\lambda| \geq 1\}$  is an isolated subset of  $\sigma((T^G)^n)$ . Since  $\sigma((T^G)^n) = \{\lambda^n; \lambda \in \sigma(T^G)\}$  by [28], Chapter VIII, §7, the set  $\sigma(T^G) \cap \{\lambda; |\lambda| \geq 1\}$  is an isolated subset of  $\sigma(T^G)$ . Theorem 1 yields that  $(I + T^G)^*(\mathcal{C}') = \mathcal{C}'$ . Since  $(I + T^G)$  is a Fredholm operator of index 0 we have  $\text{Ker}((I + T^G)^*) = \{0\}$ . Since  $I + T^G$  is a Fredholm operator we have  $(I + T^G)(\mathcal{C}) = \mathcal{C}$  by [28], Chapter VII, §5. Now, the assertion of the theorem is a consequence of Proposition 3.  $\square$

**Note 3.** Suppose that  $r_{\text{ess}}(T^G) < 1$ ,  $\text{cl}G$  is unbounded and connected,  $g \in \mathcal{C}$ . Let  $M, q$  be the constants from Theorem 2. Since

$$\sup_{x \in C} |W^G h(x)| \leq \|h\| \left( V^G + \frac{1}{2} \right)$$

for each  $h \in \mathcal{C}$  by [9], Theorem 2.16, we obtain from Theorem 2

$$\sup_{x \in C} |W^G g_j(x)| \leq M \left( V^G + \frac{1}{2} \right) q^j \|g\|$$

where

$$g_j = (-T^G)^j (I - T^G)g.$$

So, the series

$$W^G g + \sum_{j=0}^{\infty} W^G g_j$$

converges absolutely uniformly on  $C$  to  $W^G f$ , the solution of the Dirichlet problem for  $C$  with the boundary condition  $g$ , where  $f$  is given by (24). Besides,

$$\sup_{x \in C} |W^G f| \leq (V^G + 1) \left( 1 + \|T^G\| + 1 + \sum_{j=1}^{\infty} M q^j \right) \|g\|.$$

**Note 4.** Fix  $x_0 \in \partial \mathcal{U}(0; 1)$ . Then  $-\frac{1}{\pi} \lg|x - x_0|$  is a solution of the Neumann problem for  $\mathcal{U}(0; 1)$  with the boundary condition  $\delta_{x_0}$  (= the Dirac measure supported in  $\{x_0\}$ ). But the function  $-\frac{1}{\pi} \lg|x - x_0|$  is not bounded in  $\mathcal{U}(0; 1)$ . So, for the Neumann problem we cannot obtain the same estimates as for the Dirichlet problem in Note 3. Nevertheless, if  $r_{\text{ess}}(T^G) < 1$  then there exists  $q \in (0; 1)$  such that for each compact  $K \subset G$  there is a constant  $M_K$  such that

$$\begin{aligned} \sup_{x \in K} |\mathcal{U} \mu(x)| &\leq M_K \|\mu\|, \\ \sup_{x \in K} |\mathcal{U} \mu_j(x)| &\leq M_K q^j \|\mu\| \end{aligned}$$

for each  $\mu \in \mathcal{C}'_0$ , where

$$\mu_j = [(-T^G)^*]^j [I - (T^G)^*] \mu$$

so that the series

$$\mathcal{U} \mu + \sum_{j=0}^{\infty} \mathcal{U} \mu_j$$

converges locally uniformly in  $G$  to the solution of the Neumann problem with the boundary condition  $\mu$  and

$$\sup_{x \in K} \left| \mathcal{U} \mu(x) + \sum_{j=0}^{\infty} \mathcal{U} \mu_j(x) \right| \leq M_K \left( 1 + \frac{1}{1-q} \right) \|\mu\|.$$

**Note 5.** Denote by  $\mathcal{H}$  the restriction of  $\mathcal{H}_{m-1}$  to  $\widehat{\partial G}$ . Denote by  $L_1(\mathcal{H})$  the space of all functions  $f$  measurable with respect to  $\mathcal{H}$  such that

$$\int_{\partial G} |f| \, d\mathcal{H} < \infty.$$

For  $f \in L_1(\mathcal{H})$  denote by  $\nu_f \in \mathcal{C}'$  the measure

$$\nu_f(M) = \int_M f \, d\mathcal{H}.$$

If  $f \in L_1(\mathcal{H})$  then

$$(T^G)^* \nu_f = \nu_g$$

where

$$g(x) = T' f(x) = \frac{2}{A} \int_{\partial G} n(x) \cdot \frac{x-y}{|y-x|^m} f(y) \, d\mathcal{H}(y).$$

Suppose that  $r_{ess}(T^G) < 1$ . If  $f \in L_1(\mathcal{H})$  and  $\nu_f \in \mathcal{C}'_0$  then

$$g = f + \sum_{j=0}^{\infty} (-T')^j (I - T') f$$

converges in  $L_1(\mathcal{H})$  and  $\mathcal{U} \nu_g$  is a solution of the Neumann problem with the boundary condition  $\nu_f$ .

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