

Essence Futures (Hong Kong) Limited
SFC CE No.: AVM812
39/F One Exchange Square, Central, Hong Kong
Tel: (852) 2213 1000
Fax: (852) 2213 1010

安信期貨(香港)有限公司
證監會中央編號: AVM812
香港中環交易廣場 1 座 39 樓
電話: (852) 2213 1000
傳真: (852) 2213 1010

A/C No: _____

FUTURES CLIENT ACCOUNT OPENING DOCUMENT (CORPORATE ACCOUNT)
期貨客戶開戶文件(公司帳戶)

Please complete in BLOCK LETTERS 請用正楷填寫

1 Client Name 客戶名稱			
English 英文: _____			
Chinese 中文: _____			
2 Account Name (if different from above) 帳戶名稱(如與上述不同)			
3 Nature of Entity (i.e. Partnership, Private Limited Company, Public Limited Company etc.) 公司性質 (如合夥商號、私人有限公司、公眾有限公司等)			
4 Country/Area of Incorporation 註冊成立國家/地區	Date of Incorporation (yyyy/mm/dd) 註冊成立日期 (年/月/日)	Certificate of Incorporation No. 註冊成立證書號碼	Business Registration No. 商業登記編號
5 Registered Office in Country of Incorporation/Establishment 註冊地的註冊地址			

Principal Place of Business (if different from above) 主要營運地址 (如與上述不同)			

Correspondence Address 通訊地址			

Telephone No. 電話號碼	Fax No. 傳真號碼	E-mail Address 電郵地址	
i) _____	i) _____		
ii) _____	ii) _____		
6 All statements/contract notes/trading confirmations/correspondence to be sent to you: 所有結單/買賣單據/交易確認通知/信件遞送至:			
<input type="checkbox"/> Principal Place of Business Address 主要營業地址			
<input type="checkbox"/> Registered Address 註冊地址			
<input type="checkbox"/> E-mail Address 電郵地址			
<input type="checkbox"/> Others, please specify 其他, 請註明:			

7 Nature of Client's business 客戶業務性質			

Does the Client's ordinary business involves the acquisition, disposal or holding of shares and debentures (Either as principal or agent)? 客戶的通常業務(不論以主事人或代理人身份), 是否涉及買、賣或持有股票及債券?			
<input type="checkbox"/> Yes 是			
<input type="checkbox"/> No 否			

8 Is the Client a member or participant registered with any stock or commodities exchange or are you regulated or supervised by any government or regulatory authority?
 客戶是否為任何股票或期貨交易所的註冊會員或參與者或受任何政府或監管機構所規管或監管?

Yes, details are 是，詳情為 _____

No 否

9 Share Capital 股本

Authorized Share Capital: _____ shares of par value USD / HKD / Other (please specify) _____ each share.
 法定股本：_____ 股，面值為美元 / 港元 / 其他貨幣（請註明）_____ 元之股份。

Issued Share Capital: _____ shares of par value USD / HKD / Other (please specify) _____ each share, fully / partly paid.
 已發行股本：_____ 股，面值為美元 / 港元 / 其他貨幣（請註明）_____ 元之已繳足 / 未繳足股份。

10 Shareholders Information 股東資料

The individuals who are the ultimate beneficial owners of the shares of the Company (Not applicable to public listed companies)
 公司股權最終實益擁有人（不適用於公開上市公司）

Registered Shareholders (Name and Address) 註冊股東(姓名及地址)	Beneficial Shareholders (if share(s) is/are held on trust) 實益股東(如股票以信託形式持有)	No. of shares 股票數量
i)		
ii)		
iii)		
iv)		
v)		

11 Director(s) Information 董事資料			
Name 名稱	Address 地址	ID / Passport No. 身份證 / 護照號碼	Specimen Signature 簽名樣本
i)			
ii)			
iii)			
iv)			
v)			
12 Ownership of Account 帳戶擁有權 Is the Client the ultimate beneficial owner of the Account? (Are you acting for your own account and not for a third party?) 客戶是否本帳戶的最終實益擁有人? (客戶是否為本身而非為第三者運作此帳戶?) <input type="checkbox"/> Yes 是 <input type="checkbox"/> No, details of the ultimate beneficial owner(s) of the Account is/are as follow 否, 本帳戶的最終實益擁有人如下:			
Name 名稱	I.D. / Passport No. and Issuing Country 身份證/護照號碼及簽發國家	Address 地址	Telephone / Fax No. 電話 / 傳真號碼
i)			
ii)			
iii)			
13 If the Client is a collective investment scheme, discretionary account and/or discretionary trust, please fill in the name of the scheme, account and/or trust. 若客戶為集合投資計劃、全權委託帳戶及/或全權委託信託, 請於本欄填寫有關計劃、帳戶及/或信託之名稱。			

14 Internet Trading Service 網上交易服務

The Client would like to register for the Future and Options Internet Trading Services and the relevant password.

客戶現申請登記期貨及期權之網上交易服務及其有關密碼。

15 Unless otherwise instructed by Client, all monies payable to Client are to be credited to the following bank account(s)

除經客戶另行指示外，否則所有須付予客戶的款項將會被轉入下列帳戶

Bank Name 銀行名稱

A/C Number 帳戶號碼

HKD 港元

USD 美元

OTHER CURRENCY 其他貨幣

Bank Account Holder's Name (should match the name(s) shown on bank statements and this form)

帳戶持有人名稱 (須與客戶於銀行結單及此表格上的名稱相符)

If bank account is outside Hong Kong, please state the address location and SWIFT code (if applicable) of the bank

若為香港以外銀行帳戶，請提供銀行地址、所在地區及 SWIFT 編號 (若適用者)

16 Identity, address and contact details of the person(s) ultimately responsible for giving instructions in relation to transactions to be conducted through the Account (Not applicable if it is same as the ultimate beneficial owner(s)).

就此帳戶進行交易發出指示的最終負責人仕的身份、地址及聯絡細節 (若與帳戶最終實益擁有人相同則不用填寫本欄)。

(For Individual) (個人適用)

Name 名稱：

ID/Passport No. 身份證 / 護照號碼：

Address 地址：

(For Corporate) (公司適用)

Name 名稱：

Business Registration No./
Certificate of Incorporation No.
and Place of Incorporation

Registered office address 註冊地址：

商業登記/公司註冊號碼及註冊地：

Contact Person 聯絡人：

Contact No. 聯絡電話：

If the Client is a collective investment scheme, discretionary account and/or discretionary trust, please fill in particulars of the investment manager who is responsible for the investment decision.

若客戶為集合投資計劃、全權委託帳戶及/或全權委託信託，請於本欄填寫負責投資決定的投資經理之詳細資料。

17 Is the Client acting as an agent for any one or more third parties?

客戶是否作為任何一個或多個第三者的代理人身份？

Yes 是 No 不是

If yes, please provide details of Client's principal :

如屬上述情況，請提供客戶的主理人的詳細資料：

Name 姓名/名稱：_____

Identity/Passport No./Registration Place and Number 身份證/護照號碼/註冊地方及號碼：

Address 地址：_____

Contact Details 聯絡細節：_____

18 The Client's financial information (in HKD, please specify otherwise) 客戶財政資料(港元，如非港元請註明)

a) Annual net profit after tax 每年稅後淨盈利

i) Amount 數額：_____ for fiscal year ending 財政年度 _____

ii) Amount 數額：_____ for fiscal year ending 財政年度 _____

b) Total net worth 客戶總資產淨值：_____ Total Liabilities 總負債：_____

c) Does the Client own any property / real estate? 客戶是否擁有任何資產 / 物業？

No 沒有 Yes, details are 有，詳情為：_____

with financing 有借貸

without financing 無借貸

19 The Client's investment experience 客戶投資經驗

Experience (in year(s)) 經驗(年)

HK listed securities 香港上市證券

Overseas listed securities 海外上市證券

HK futures and options 香港期貨及期權

Overseas futures and options 海外期貨及期權

Other Relevant investment experience (if any) 其他有關投資經驗(如有)

20 The Client's investment objectives 客戶投資目標

Arbitrage 套利 Hedging 對沖 Speculation 投機

Others, please specify 其他目的，請註明：_____

21 The Client's Risk tolerance 客戶可承受風險

Low 低風險 Medium 中等風險 High 高風險

22 Is any Authorized Person who is authorized to give trading instructions to Essence Futures (Hong Kong) Limited an employee or a director of a person registered or licensed with Hong Kong SFC?

任何獲授權向安信期貨(香港)有限公司發出交易指示的獲授權代理人是否為香港證監會註冊或認可人士的僱員或董事？

Yes, details are 是，詳情為：_____

No 不是

If yes, has Client obtained approval from the employer of such Authorized Person to open a futures and options trading account with Essence Futures (Hong Kong) Limited?

如果是的話，客戶是否已獲得該獲授權代理人的僱主批准於安信期貨(香港)有限公司開立期貨及期權戶口？

Yes 是 No 不是

23 Staff Account 職員帳戶

Is any of the Client's shareholders, directors, partners, Authorized Person(s), the person(s) ultimately responsible for giving instructions for the Account or the ultimate beneficial owners of the Account a director or an employee of Essence Futures (Hong Kong) Limited or any of its subsidiaries?

貴公司之股東、董事、合夥人、獲授權代理人、就帳戶進行的交易發出指示之最終負責人仕或帳戶最終實益擁有人是否為安信期貨(香港)有限公司或其附屬公司之董事或職員?

No 不是

Yes 是：

Name of the director(s) or employee(s)

董事或職員之姓名：_____

24 Related Account 關連帳戶

Does any of the Client's shareholders, directors, partners, Authorized Person(s), the person(s) ultimately responsible for giving instructions for the Account or the ultimate beneficial owner(s) of the Account ("Relevant Person(s)") have any relationship with the director(s) or employee(s) of Essence Futures (Hong Kong) Limited or any of its subsidiaries?

貴公司之股東、董事、合夥人、獲授權代理人、就帳戶進行的交易發出指示之最終負責人仕或帳戶最終實益擁有人(「有關人士」)與安信期貨(香港)有限公司或其附屬公司之董事或職員是否有親屬關係?

No 不是

Yes 是：

Name of the Relevant Person(s)

有關人士之姓名：_____

Name of the director(s) or employee(s)

董事或職員之姓名：_____

Relationship

關係：

Father/Mother/Son/Daughter

父親/母親/兒子/女兒

Husband/Wife 丈夫/妻子

Other 其他：_____

25 Specific Requirements or Instructions (if any) 特殊要求或指示 (如有)：

26 Appointment of Agent to accept service of process 接收法律程序文件送達之代理人之委任：

Where the Client is not incorporated in Hong Kong or does not have a place of business in Hong Kong it hereby appoints _____
of _____ Hong

Kong to accept service of process.

倘若客戶並非在香港註冊且在香港並無營業地址，客戶茲委任 _____，地址為 _____
_____，為其在香港收受法律程序文件之代理人。

Board Resolutions**董事局決議**

We hereby certify that the following resolutions have been passed by the board of directors (“Board”) of _____ (“Company”) at a meeting of the Board duly convened and held at _____ on _____ at which a quorum was present and acted throughout in accordance with the constitution of the Company / the following resolutions have been duly passed in accordance with the constitution of the Company* and have been duly recorded in the minute book of the Company and that no action have been taken to rescind or amend the said resolutions and that the same are now in full force and effect.

本公司茲證明，下列決議案經_____（「本公司」）董事局於_____年_____月_____日於_____正式召開之董事局會議通過，該會議有足夠法定人數出席，並自始至終按照本公司章程規定行事 / 下列決議案經按照本公司章程規定正式通過*，且已被正式載入本公司之會議記錄冊內。並無任何人採取任何行動撤消或修改上述決議案，而該決議案正式全面生效及有效。

IT WAS RESOLVED THAT:

「決議通過:

1. Futures Client Account(s)* (“Account”) be opened and maintained in the name of the Company with Essence Futures (Hong Kong) Limited (“EFHK”) for the purpose of holding funds relating to any purchases, sales, holdings and other dealings in futures contracts and options contracts as the Company may instruct EFHK as its agent to effect from time to time on behalf of the Company and that the Account be maintained and all such purchases, sales, holdings and other dealings be effected subject to and in accordance with the provisions of terms and conditions stated therein the Agreement for Futures and Options Trading (“Agreement”) to be issued by EFHK to the Company in connection with the opening of the Account; and
1. 以本公司名義在安信期貨(香港)有限公司(「安信期貨(香港)」)開立並維持一個或多個期貨客戶賬戶*(「賬戶」)以持有資金，使本公司可不時指示安信期貨(香港)作為本公司代理人，代表本公司買賣及持有期貨合約和期權合約及作其他期貨合約和期權交易，而該賬戶及所有該等買賣、持有及其他交易均須根據將由安信期貨(香港)關於開立賬戶而發給本公司之期貨及期權交易協議書內所詳列的條款和條件(「協議」)之各項規定辦理並受其規限；及
2. the Futures Client Account Opening Document and the Agreement (collectively, the “Account Opening Documents”) in such form as completed and produced to the meeting be and are hereby approved and any _____ director(s) of the Company be authorized to sign any of the Account Opening Documents for and on behalf of the Company and the signed originals thereof be delivered to EFHK.
2. 批准經填妥並於本次會議上提交期貨客戶開戶文件及協議(合稱「開戶文件」)，並授權本公司任何_____名董事代表本公司簽署任何上述開戶檔，並將經簽署之該等文件正本送交安信期貨(香港)。
3. The Company authorize, with immediate effect, any of the following individual(s)(the “Authorized Persons”) to give oral and/or written instruction(s) to EFHK (i) in relation to the operation of the account(s) maintained by the Company with EFHK and (ii) to effect purchases, sales, holdings and other dealings in futures contracts and options contracts on behalf of the Company. Any instruction(s) given by the Authorized Persons shall be absolutely binding on the Company. EFHK shall have no obligation whatsoever to inquire about or confirm the authority of the Authorized Persons in giving any instructions. The Company accept full responsibility for all oral and/or written instruction(s) given by the Authorized Persons on the Company’s behalf.”

A/C No: _____

3. 本公司已授權下列任何人士(“被授權人士”)就(i)本公司在安信期貨(香港)有限公司(「安信期貨(香港)」)所開立之期貨客戶賬戶之運作及(ii)代表本公司進行買賣或持有期貨合約和期權合約又或以其他方式進行之交易向安信期貨(香港)發出口頭或書面指示,並即時生效,該等被授權人士作出的任何指示對本公司具有絕對的約束力,安信期貨(香港)毋須查詢或核實被授權人士發出任何指示所依據的權力。本公司必需對該等被授權人士發出的全部口頭或書面指示負上全部責任。」

TRADING AUTHORIZATION 交易授權

The following individuals are authorized to place the oral or written instructions for trades on behalf of client

下列人士均可對賬戶以書面或口頭形式發出交易指示

Authorized Person's Name	ID Card No./Passport No.	Telephone/ Mobile Telephone No.	Specimen Signature
授權人士姓名	身份證或護照號碼	電話/手提電話號碼	簽名樣本
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

SIGNING ARRANGEMENT 簽署安排

The following individuals are authorized to deposit/withdraw fund/securities to/from the account, give settlement instructions and any other instructions on behalf of client and the specimen signatures appearing against their names are the true signatures of the authorized individuals:

下列授權人士簽署均可代表公司對賬戶進行資金/證券存入或提取,發出結算指示和其他賬戶有關指示,而授權人士之真實簽名樣本已於其姓名旁邊註明

Authorized Person's Name	ID Card No./Passport No.	Telephone/ Mobile Telephone No.	Specimen Signature
授權人士姓名	身份證或護照號碼	電話/手提電話號碼	簽名樣本
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Duly authorized for and on behalf of

正式授權代表

Date 日期:

DECLARATION, AGREEMENT and ACKNOWLEDGEMENT**聲明、同意及承認：-**

I/We hereby declare that the information given in this account opening document (the "document") is true and correct and that I/we have not willfully withheld any material fact(s). I/We understand that I/we may be required to provide additional information or submit documentary proof as to the information provided in this form when requested by EFHK.

本人/吾等謹此聲明開戶文件（“開戶文件”）上提供之所有資料均為真實及正確，本人/吾等並未刻意隱瞞任何重要事實。除上述提供的資料外，本人/吾等清楚安信期貨也許要求本人/吾等提供更多相關的資料或證明文件。

I/We request EFHK to open a Futures and/or Options Trading Account ("the Account") (as the case may be). I/We have read and understood the provisions of the current version of the Standard Terms and Conditions for Futures and Options Trading ("Agreement") of Essence Futures (Hong Kong) Limited ("EFHK") of which this document forms an integral part of the Agreement and I/we hereby agree to be bound by the Agreement as a whole (receipt of a copy whereof is hereby acknowledged by me/us) as the same may be amended from time to time and the Rules and Regulations of The Hong Kong Futures Exchange Limited ("HKFE") or any other regulatory body(ies) as amended from time to time governing the purchase and sale of futures and/or options quoted on the HKFE or any other exchanges.

本人/吾等向安信期貨申請開立期貨及/或期權買賣帳戶（“該帳戶”）（按情況適用）。本人/吾等已閱讀過及明白安信期貨(香港)有限公司("安信期貨")現行版本的期貨及期權交易標準條款("協議書")而本開戶文件構成協議書的一部分，本人/吾等在此同意受不時被修改的該協議之整體(謹此聲明本人/吾等已收受其副本)及期貨交易所（“期交所”）及其他監管機構不時修訂以監管於期交所或其他交易所進行期貨及/或期權買賣之條例及規則所約束。

I/We hereby acknowledge and confirm that the Supplemental Document contained therein the Agreement consisting of (a) Information about EFHK (b) Disclaimer and (c) Risk Disclosure Statements for Futures and Options in a language of my/our choice (English or Chinese) and I/we have been invited to read the same, to ask questions and take independent advice if I/we wish. I/we confirm that the EFHK Representative Declaration in this document (including the description of such Representative) forms part of the Risk Disclosures Statements for Futures and Options.

本人/吾等承認及確認載於協議書內補充文件，包括 (a) 關於安信期貨的資料 (b) 免責聲明 及 (c) 適用於期貨或期權的風險披露聲明，而本人/吾等已獲邀按本人/吾等選擇之語言閱讀補充文件、提出問題及徵求獨立的意見（如本人/吾等有此意願）。本人/吾等確認，安信期貨代表在本文件中之聲明(包括對該代表之描述)構成適用於期貨或期權的風險披露聲明之一部分。

I/We understand that my/our submission of this document and the acceptance of such document by EFHK in no way implied approval for opening of the account for me/us and that EFHK reserves the unconditional right to reject my/our application. I/We further understand that I/we may be required to place a deposit on the value of the futures and/or options to be purchased or sold requested by EFHK.

本人/吾等明白本人/吾等遞交此開戶文件及安信期貨接納此開戶文件並非表示安信期貨同意開立帳戶予本人/吾等，而安信期貨保留無條件拒絕本人/吾等開戶申請之權力；本人/吾等知悉安信期貨或會要求本人/吾等就將買入或賣出之期貨及/或期權先存入款項。

I/We hereby authorize EFHK and the bank(s) mentioned in this document to verify and exchange the information contained in this document and such other information relating to my/our personal particulars, my/our bank account(s) in the bank(s) mentioned in this form between EFHK and such other third parties as EFHK may deem appropriate for the purpose of approving my/our application and for such other purposes as long as I/we shall remain an accounts with EFHK.

本人/吾等謹此授權安信期貨及此開戶文件提及之銀行相互及向合適第三者查證及交換此開戶文件上之資料和其他關於本人/吾等之個人資料、本人/吾等之銀行帳戶，以作核實開戶申請及其他目的如本人/吾等仍為閣下的客戶。

I/We hereby execute and deliver in favour of EFHK an authorization letter in form as per Schedule A hereto pursuant to the Standard Terms and Conditions for Futures and Options Trading. The authorization letter if issued by my/our authorized representative shall deem to be duly executed and delivered by me/us and I/we shall be fully liable and indemnified EFHK from and against any liability, cost, lost and/or damages in performing its services under such authorization.

根據期貨及期權交易標準條款之規定，本人/吾等在此簽署及向閣下交付，格式以本文件之附表 A 為準之授權書。若該授權書是由本人/吾等授權人士發出，該授權書將被視為已由本人/吾等有效執行及交付；如因執行此授權而引致安信期貨有任何責任、費用、損失及/或損害，本人/吾等將全力承擔並向安信期貨作出彌償。

This document and the Agreement are drawing in English and Chinese Languages, all two versions being equally authenticated. In the event of inconsistency or conflict arising in the interpretation of document and Agreement, the English language version shall deem to be conclusive and prevail.

本開戶文件及協議書擬定中英文版本，兩種語言版本均具同等效力。當中英文版本有爭議或差異時，應以英文版本解譯並視為確實及具最終效力。

Client Authorized Signature(s) with company chop
客戶簽署並蓋章

Authorized signatory's name(s) and title(s):
客戶簽署代表人的姓名及職銜

Date (dd/mm/yy)
日期 (日/月/年)

IF THIS DOCUMENT IS NOT EXECUTED BY THE CLIENT IN FRONT OF ESSENCE FUTURES (HONG KONG) LIMITED'S EMPLOYEE, BELOW SHOULD BE SIGNED BY A SPECIFIED PERSON¹ (if applicable)

若客戶並非在安信期貨的僱員面前簽立此份文件，以下應由指定人士¹簽署（若適用者）

The undersigned person hereby certifies the signing of this document (together with the above Standard Terms and Conditions) by the above Client and sighting of related identity documents of such Client.

下述簽署人士謹此驗證上述客戶簽立此文件（連同上述的標準條款）及其有關的身份證明文件

Signed and Certified by

簽署及驗證

Signature 簽署

Name 姓名

Profession / Affiliate Entity / Title

Date (dd/mm/yy) 日期 (日/月/年)

所屬專業 / 聯屬人士 / 職銜

Contact Details 聯絡資料

¹ Any licensed or registered person with Hong Kong SFC or his affiliate, an affiliate of EFHK, professional person (such as a branch manager of a bank, certified public accountant, lawyer or notary public)
任何由香港證監會發牌或註冊之人士或其聯繫人士、安信期貨的聯繫人士、專業人士（例如銀行分行經理、執業會計師、律師或公證人）核證。

EFHK REPRESENTATIVE DECLARATION 安信期貨代表聲明

I / We, Essence Futures (Hong Kong) Limited representative(s), hereby declare and confirm that I / we have provided the Risk Disclosure Statements and Disclaimers to the above Client in a language of the Client's choice (English or Chinese) and invited the Client to read the same, ask questions and take independent advice if the Client wishes

本人 / 吾等為安信期貨(香港)有限公司的註冊職員，並謹此聲明及確認本人 / 吾等已按照上述客戶所選擇的語言(中文或英文)向客戶提供風險披露聲明及免責聲明及邀請客戶閱讀該等聲明、提出問題及徵求獨立的意見(如客戶有此意願)。

*The above Client / Joint Client signature(s) was / were made in my / our presence.

*以上客戶 / 聯名客戶簽署乃於本人 / 吾等面前簽立。

Signature(s) of EFHK Representative(s)

安信期貨註冊職員之簽署

Name(s) and CE No.(s) of EFHK Representative(s)

安信期貨註冊職員之名稱及中央編號

Date (dd/mm/yy)

日期(日/月/年)

*This sentence must be deleted if not applicable. 若不適用，此句必要被刪去。

APPROVED AND ACCEPTED BY EFHK 安信期貨批核及接納

Authorized Signature

獲授權代表簽署

Risk Control Department

風險管理部

EFHK Approving Officer

安信期貨批核主任

Legal & Compliance Department

法務及合規部

Date (dd/mm/yy)

日期(日/月/年)

Remarks 備註

Use of personal data in direct marketing consent letter**使用個人資料作直接促銷同意書**

We, Essence Futures (Hong Kong) Limited, intend to use your personal data in direct marketing which requires your consent.

我們，安信期貨(香港)有限公司擬使用閣下的個人資料作直接促銷，為此須取得閣下的同意。

By signing this consent letter, you agree to receive promotional and direct marketing information from us in respect of financial, insurance, securities, investment services and products of us may offer. You also agree that we can share your personal data with our group companies for marketing and promotional purposes. You understand and accept that we and our group companies may, from time to time, engage third parties to provide marketing services.

通過簽署本同意書，閣下同意我們向閣下發放關於財務、保險、證券、投資的服務和產品的推廣資料。你亦同意我們與我們集團公司分享閣下的個人資料作行銷用途。你亦明白和接受我們和我們集團公司可能不時聘請第三方代表我們提供行銷服務。

In the future, if you do not wish us to use or provide your data to other persons for the use in direct marketing, you may exercise your opt-out right by notifying us at (852) 2213 1000.

如閣下將來不希望我們使用或提供閣下的資料給他人作直接促銷，請以電話(852) 2213 1000 通知我們。

I/ We, the undersigned, agree and accept that you may use and share my/our personal data in direct marketing as described in this consent letter.

本人/吾等，下述簽署人，同意及接受 貴司使用和分享我/吾等的個人資料作直接促銷用途。

Client signature(簽署):

Name(姓名):

Date(日期):

DOCUMENTATION CHECK LIST FOR ACCOUNT OPENING 開立帳戶之文件核對表

重要事項：所有提交的文件如非正本，必須經認可人士或安信期貨員工認證後，方為有效。

Important note: All documents submitted must either be original or certified copy by recognized person / staff members of EFHK.

公司戶口 CORPORATE ACCOUNT在香港註冊的公司 Incorporated in Hong Kong

1. 董事局決議及授權書
Board Resolutions and Authorization
2. 公司主要股東/董事/獲簽署人/授權人/受益人/擔保人的香港身份證(如香港永久性居民)或護照(如非香港永久性居民)
The Hong Kong Identity Card (for Hong Kong Permanent Resident) or passport (for non-Hong Kong Permanent Resident) of substantial shareholders/directors/authorized signatories/authorized persons/beneficial owners/guarantor of the Company
3. 公司章程
Memorandum and Article of Association
4. 公司註冊證書
Certificate of Incorporation
5. 商業登記證
Business Registration Certificate
6. 最近週年申報表
Latest Annual Return
7. 最近經審核財務報表 (如適用)
Latest Audited Financial Statements (if applicable)
8. 最近三個月內的通訊地址證明 (如適用)
Address Proof of Correspondence Address which issued within the last 3 months (if applicable)
9. 安信期貨合理情況下需要的其他文件或資料
Other document and/or information as EFHK may reasonably requires

在香港以外註冊的公司 Incorporated outside Hong Kong

1. 董事局決議及授權書
Board Resolutions and Authorization
2. 公司主要股東/董事/獲簽署人/授權人/受益人/擔保人的香港身份證(如香港永久性居民)或護照(如非香港永久性居民)
The Hong Kong Identity Card (for Hong Kong Permanent Resident) or passport (for non-Hong Kong Permanent Resident) of substantial shareholders/directors/authorized signatories/authorized persons/beneficial owners/guarantor of the Company
3. 公司章程
Memorandum and Article of Association
4. 公司註冊證書
Certificate of Incorporation
5. 董事名單
Register of Directors
6. 股東名單
Register of Members
7. 董事在職證明或存續證明(正本及 6 個月內簽發)
Certificate of Incumbency or Certificate of Good Standing (Original and issued within the last 6 months)
8. 最近經審核財務報表 (如適用)
Latest Audited Financial Statements (if applicable)
9. 最近三個月內的通訊地址證明 (如適用)
Address Proof of Correspondence Address which issued within the last 3 months (if applicable)
10. 安信期貨合理情況下需要的其他文件或資料
Other document and/or information as EFHK may reasonably requires