



Administration for Children and Families

**Administration on Children, Youth and Families (ACYF)/  
Family and Youth Services Bureau (FYSB)**

**State Personal Responsibility Education Program (PREP)  
HHS-2010-ACF-ACYF-PREP-0125**

**Due Date for FY 2010 Abbreviated Applications:** August 30, 2010

**Due Date for FY 2010 Post-Award State Plans:** February 1, 2011

**Due Date for FY 2011 Applications and State Plans:** April 29, 2011

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**DEPARTMENT OF HEALTH AND HUMAN SERVICES  
ADMINISTRATION FOR CHILDREN AND FAMILIES**

**Program Office:** Family and Youth Services Bureau, Administration on Children, Youth and Families

**Funding Opportunity Title:** Personal Responsibility Education Program (PREP)

**Announcement Type:** Mandatory

**Funding Opportunity Number:** HHS-2010-ACF-ACYF-PREP-0125

**CFDA Number:** 93.092

**Due Date for FY 2010  
Abbreviated Applications:** August 30, 2010

**Due Date for FY 2010  
Post-Award State Plans:** February 1, 2011

**Due Date for FY 2011  
Applications and State Plans:** April 29, 2011

**Executive Summary**

The Administration on Children, Youth and Families' (ACYF) Family and Youth Services Bureau (FYSB) is accepting applications from States and Territories for the development and implementation of the Personal Responsibility Education Program (PREP). FY 2010 applications are estimated to be awarded by September 30, 2010 with restrictions on the draw down of awarded funds until the Post-Award State Plans have been approved by ACYF. The anticipated award date for FY 2011 applications is by September 30, 2011. The Abbreviated Application and Post-Award State Plan due dates are indicated in the overview section of this announcement.

**I. DESCRIPTION**

**Statutory Authority**

The legislative authority for this announcement is Section 2953 of the Patient Protection and Affordable Care Act of 2010, Pub. L. No. 111-148, which adds a new Section 513 to Title V of the Social Security Act, to be codified at 42 U.S.C. § 713, authorizing the Personal Responsibility Education Program (PREP)

**1. Purpose**

The President signed into law the Patient Protection and Affordable Care Act on March 23, 2010. The Act amended Title V of the Social Security Act to include a new formula grant program entitled the Personal Responsibility Education Program (PREP). Funds must be used for a program designed to educate adolescents on both abstinence and contraception to prevent

pregnancy and sexually transmitted infections (STIs), including HIV/AIDS, and at least three adulthood preparation subjects, which are defined later in this announcement. PREP funding is available for fiscal years 2010 through 2014.

The Administration for Families and Children (ACF), Administration on Children, Youth and Families' (ACYF) Family and Youth Services Bureau (FYSB) is accepting mandatory formula grant applications from States and Territories for the development and implementation of the Personal Responsibility Education Program (PREP). This announcement sets forth the application requirements, the application process, and other administrative and fiscal requirements on how to apply for funding in FY 2010 and FY 2011.

The purpose of this announcement is to enable States to support personal responsibility education programs that replicate evidence-based effective program models or substantially incorporate elements of effective programs that have been proven on the basis of scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. The statute requires that a State application for the funds describe how it will use the funds to achieve its goals for reducing the pregnancy rates and birth rates for youth populations, especially youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances, including youth in foster care, homeless youth, youth with HIV/AIDS, pregnant youth who are under 21 years of age, mothers who are under 21 years of age, and youth residing in areas with high birth rates for youth. ACF also recognizes youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances to include culturally underrepresented youth populations, including Hispanic, African American, or Native American teenagers, youth in or aging out of foster care or adjudication systems, runaway youth, and out of school youth. As States design their programs, ACF also encourages them to consider the needs of lesbian, gay, bisexual, transgender, and questioning youth and how their programs will be inclusive of and non-stigmatizing toward such participants. Under this announcement, funds are available for projects operating in one or multiple sites that target impacts on key sexual behavioral outcomes for youth.

Eligible applicants are the 50 United States the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Mariana Islands, the Federated States of Micronesia, the Marshall Islands, and Palau (referred to hereinafter as "States"). A separate funding opportunity announcement will be made specifically for tribal applicants in the coming months.

Under this announcement, an appropriation in the amount of \$55,250,000 will be allotted to States to implement PREP. Individual State awards for each fiscal year shall be at least \$250,000. States may only submit one request for funding under this announcement; approved applicants will be awarded funding for a 5 year period in allotments as indicated in the chart in Appendix B. Allotment calculations for each State are based upon the Bureau of Census data on the number of 10 – 19 year olds in the State. The State allocations for FY 2011 are subject to change based upon potential fluctuations in State populations of 10 -19 year olds. If there are changes in State allocations in FY 2011 a revised chart will be made available for FY 2011 applicants at a minimum of 60 days prior to the April 29, 2011 application due date. Approved State applications in FY 2010 are funded for FY 2010 through FY 2014.

States awarded PREP grants in FY 2010 and in FY 2011 are eligible to receive annual allotments through FY 2014. Allotments to States for any given fiscal year shall remain available for expenditure by the State through the end of the second succeeding fiscal year, e.g. funds allocated for FY 2010 remain available for expenditure by the State through September 30, 2012. States are allowed to administer the program directly or through sub-awards to public or private entities. If sub-awards are awarded by the States, the State will be required to develop policies to ensure that the program is carried out in accordance with the approved application. After award in FY2010, States are required to submit a letter of intent to secure grant funding for FY 2011 through FY 2014.

States that do not submit an application and/or receive an award in FY 2010 are eligible to apply for funding in FY 2011. States awarded in FY 2011 are eligible to receive the allocated funding for FY 2011 through FY 2014. States that do not submit an application and/or receive an award in FY 2010 are eligible to submit an application in FY 2011 for FY2010 and FY 2011 allocations. The expenditure period for annual funding remains through the end of the second succeeding fiscal year, i.e. FY 2010 funds shall remain available for expenditure by the State through September 30, 2012, regardless of when they are awarded.

If a State does not submit an application in FY 2010 or FY 2011, the State is ineligible to apply for funds from the amounts allotted for each of the fiscal years FY 2012 through FY 2014.

In States where PREP awards were not made in either FY 2010 or FY 2011, the sum of the unexpended annual State allotments for FY 2010 through FY 2014 will be utilized to award 3-year discretionary grants to local organizations and entities for the same purpose and in the same State. A separate funding opportunity announcement will be published regarding these discretionary grants.

## **2. Background**

PREP is funded to educate youth on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/AIDS and on other adulthood preparation subjects. States are encouraged to target youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances, including youth in foster care, homeless youth, youth with HIV/AIDS, pregnant youth who are under 21 years of age, mothers who are under 21 years of age, and youth residing in areas with high birth rates for youth. ACF also recognizes youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances to include culturally underrepresented youth populations, including Hispanic, African American, or Native American teenagers, youth in or aging out of foster care or adjudication systems, males, runaway youth, and out of school youth.

FYSB strongly supports PREP efforts that are embedded in comprehensive, high-quality interventions and services that rely on the best available research evidence to inform and guide practice. Recognizing that the goal of an effective, comprehensive pregnancy prevention effort is broader than the scope of any one agency, FYSB is working in close collaboration with other HHS agencies and looks forward to partnering with States and others to foster high-quality, well-

coordinated Personal Responsibility Education programs for youth with a specific focus on populations that are most at risk of teen pregnancy. We realize that coordination of services with other agencies has been an essential characteristic of State and local programs for many years. We will continue to encourage, support, and promote the continuation of these collaborative activities, as close collaboration at all levels will be essential to effective, comprehensive pregnancy prevention and youth parenting support programming efforts.

### **3. Personal Responsibility Education Program Requirements**

The process for fulfilling requirements necessary to utilize FY2010 PREP funding will include a two step process for States. The first step is the submission of an application for funding, which will include the Federally required standard application documents and an abbreviated program narrative as outlined in *Section IV.2 Content and Form of Abbreviated Application Submission* of this funding opportunity announcement. The second step is the submission of a Post-Award State Plan, which includes documentation as outlined in *Section IV.4 Content and Form of Post-Award State Plan Submission*. The approval decisions of Post-Award State Plans by ACYF are estimated to be made by June 6, 2011 for FY 2010 awardees. Awards and the approval of State Plans for FY2011 awardees are estimated to be made by September 30, 2011.

The required components of PREP are as follows:

#### **i. Abstinence, Contraception and Adulthood Preparation Subjects**

The law stipulates that a program must emphasize abstinence and contraception for the prevention of pregnancy and sexually transmitted infections. The law also requires that at least three of the following adulthood preparation subjects be addressed:

- a. Healthy relationships, such as positive self-esteem and relationship dynamics, friendships, dating, romantic involvement, marriage, and family interactions.
- b. Adolescent development, such as the development of healthy attitudes and values about adolescent growth and development, body image, racial and ethnic diversity, and other related subjects.
- c. Financial literacy.
- d. Parent-child communication.
- e. Educational and career success, such as developing skills for employment preparation, job seeking, independent living, financial self-sufficiency, and work-place productivity.
- f. Healthy life skills, such as goal-setting, decision making, negotiation, communication and interpersonal skills, and stress management.

#### **ii. Evidence Based and Effective Program Model(s)**

The law states that personal responsibility education programs are required to “replicate evidence-based effective programs or substantially incorporate elements of effective programs that have been proven on the basis of rigorous scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth.” (Section 513(b)(2)(B)(i) of the Social Security Act, to be codified at 42 U.S.C. § 713 (b)(2)(B)(i)).

HHS established, through a systematic review, a list of 28 program models that are considered evidence-based programs that have been found to be effective in one of the behavioral outcomes – delaying sexual activity, increasing condom or contraception use for sexually active youth, or reducing pregnancy among youth. This list is in Appendix A and also at <http://www.hhs.gov/ophs/oah/prevention/research/programs/index.html>. These are some examples of programs that are eligible for replication and the programs from which States can incorporate significant elements.

The website includes complete information regarding the process and criteria used for the review of pregnancy prevention programs, intervention implementation reports that provide descriptive information about each program model and its evaluation, and a database of all the studies that were considered in this review. This independent review was conducted by Mathematica Policy Research, Inc. (MPR) through a contract with ACF. The purpose of this review was to identify program models that were shown to be effective through rigorous evaluation.

States should use this list in the development of their program as a guide for evidence-based program models; along with assuring that their program addresses (1) both abstinence and contraception and (2) incorporates at least three of the adult preparation subjects noted in *Section I.3.i*. Of the 28 program models meeting the evidence-based criteria, 27 models addressed abstinence and contraception in some fashion. One program, *Promoting Health Among Teens! Abstinence Only Intervention*, is abstinence-only and if used would have to be adapted. States will also need to assess program models under consideration to determine whether they would adhere to the requirements that at least three adult preparation subjects be addressed or whether additional components addressing these additional adult preparation subjects will need to be added to the program model.

Whether States (or their sub-awardees) are replicating an entire program or incorporating significant elements of evidence-based programs into a program, fidelity to the original evidence-based program model or program elements is strongly encouraged.

When a full program model is being replicated with fidelity, adaptations to the program should generally be minimal, such as revising details in a role play, updating out-dated statistics, adjusting reading and comprehension levels, making activities more interactive or tailoring learning activities and instructional methods to youth culture or development level. In some cases, more significant adaptations may be needed, such as adding components to address the additional adult preparation components of PREP or ensuring that both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections are adequately addressed in a program. States (or their sub-awardees) also may choose to add on components related to pregnancy prevention and prevention of sexually transmitted infections. Any component that is added onto an evidence-based program must be well-integrated into the evidence-based program model and should not alter the core components of the evidence-based program model.

If a State or its sub-awardees choose to substantially incorporate elements of evidence-based programs, the State must ensure that the elements selected not only meet the PREP requirements,



but that there is a strong likelihood that the elements selected, when working in concert together, are likely to have positive impacts on the targeted behaviors.

### **iii. Goal(s), Objectives, and Logic Models**

States are to submit goals, objectives and logic models for the program in their post-award State Plans. For each State-led program or sub-awardee program proposed to be funded by this Funding Opportunity Announcement, States and/or sub-awardees are required to develop:

- (1) a program-specific goal(s) statement;
- (2) up to six outcome objectives that clearly state expected results or benefits of the intervention proposed and link with the goal(s) statement, as well as multiple process objectives; and
- (3) a logic model demonstrating how proposed inputs and activities will lead to the outcome objectives and ultimately the achievement of the goal(s) statement.

A goal is a general statement of what the project expects to accomplish. It should reflect the long-term desired impact of the project on the target group(s) as well as reflect the program goals contained in this Funding Opportunity Announcement. The State and/or sub-awardee should outline the vision and short/long-term goals of the proposed program in the goal(s) statement. As appropriate, the goal(s) statement should mirror the outcomes found to be effective in the original evidence-based program model, and States and/or sub-awardees are encouraged to cite additional research that suggests the proposed approach for adapting their programs will have the greatest chance of success.

An objective is a statement which defines a measurable result that the program expects to accomplish. All proposed objectives should be specific, measurable, achievable, realistic, and time-framed (S.M.A.R.T.).

- **Specific:** An objective is to specify one major result directly related to the program goal, state who is going to be doing what, to whom, by how much, and in what time-frame. It must specify what will be accomplished and how the accomplishment will be measured.
- **Measurable:** An objective must be able to describe in realistic terms the expected results and specify how such results will be measured.
- **Achievable:** The accomplishment specified in the objective must be achievable within the proposed time line and as a direct result of program activities.
- **Realistic:** The objective must be reasonable in nature. The specified outcomes – i.e. expected results – must be described in realistic terms.
- **Time-framed:** An outcome objective must specify a target date or time frame for its accomplishments.

Outcome objectives – i.e. S.M.A.R.T. objectives related to the outcomes of the program – must be supported with several process objectives – i.e. S.M.A.R.T. objectives related to the processes or activities of the program.

States will be required to submit, as a component of the post-award State Plan, goals statement(s), outcome objectives, and logic models for all State-led programs or sub-awardee programs funded by PREP, along with assurance that the logic model demonstrates that the

activity will lead to the outcome objectives enumerated and ultimately the achievement of the goal(s) statement.

If a State or sub-awardee chooses to make adaptations to a program model – for example, where a State substantially incorporates elements of effective programs into its proposed activities, or where a State adds education on adult preparation subjects to a program model – its goals, objectives, and logic models should adequately demonstrate how all elements of the programs/activities will work in concert to achieve the expected positive impacts on the targeted behaviors and outcomes. The logic model must demonstrate the rationale for adaptations proposed, that is, that the adaptations will not detract from outcomes expected from implementing the original program model as-is, but rather increase the chances for achieving impacts. If necessary and appropriate, a short (e.g. 1-2 page) narrative may accompany the goal(s) statement, objectives, and logic model in the post-award State Plan, to clearly communicate the rationale for adapting program model(s), and to demonstrate how all components will work in concert to achieve the expected positive impacts.

Further information on sources available for developing programs, as well as information on logic models, is provided in Appendix C.

#### **iv. Target Population(s)**

Personal Responsibility Education programs must provide services to youth. Applicants are encouraged to serve youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances, including youth in or aging out of foster care, homeless youth, youth with HIV/AIDS, pregnant youth who are under 21 years of age, mothers who are under 21 years of age, and youth residing in areas with high birth rates for youth. Youth are defined as those who have attained age 10 but have not attained age 20, except in the case of pregnant youth or mothers, which may include youth under 21 years of age. Applicants are to generally describe in their application abstract the populations to be served. Specific information about target population(s) is to be included in the post-award State Plan.

#### **v. Information and Support**

With the submission of the post award State Plan programs must describe informational and supportive activities that will be utilized to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception. The information and activities carried out under the program must be provided in the cultural context that is most appropriate for individuals in the particular population group to which they are directed. Programs must place substantial emphasis on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections among youth. Applicants must also describe how the support activities and services will be provided to eligible youth.

#### **vi. Medical Accuracy and Age Appropriateness**

The evidence-based Personal Responsibility Education Program (PREP) model(s) proposed by the applicant must be medically accurate and complete. Medically accurate and complete programs are verified or supported by the weight of research conducted in compliance with accepted scientific methods and published in peer-reviewed journals, where applicable; or comprising information that leading professional organizations and agencies with relevant expertise in the field recognized as accurate, objective, and complete.

The program(s) must provide medically accurate and complete contraceptive information, and inform youth about the responsibilities and consequences of being a parent, and how early pregnancy and parenthood can interfere with educational and other goals, and the risks associated with sexually transmitted infections, including HIV. States are to provide specific information on the medical accuracy and completeness of programs in the post-award State Plan.

Programs funded with PREP funds must provide age-appropriate information and activities. The program(s) must demonstrate the capability of communicating with youth based upon their varying stages and levels of development. Topics, messages and teaching methods must be suitable to specific age groups of youth, based upon varying capacities of cognitive, emotional and behavioral development. States are to describe how age-appropriate information and programmatic activities will be provided in their post-award State Plan.

#### **vii. Performance Measurement**

All States and sub-awardees are required to monitor and report on program(s) implementation and outcomes through performance measures. Performance measures are intended for monitoring purposes and to provide feedback about whether grantees are implementing programs as intended and seeing outcomes as expected.

In the first year of the program, HHS plans to develop performance measures that could be uniformly collected across grantees. (Data collection and reporting on these measures will require the Department to obtain approval under the Paperwork Reduction Act.) By the end of the first grant year, final performance measures will be distributed to grantees and funded recipients will be required to report on these measures. HHS will provide training on how to implement performance data collection and reporting. Generally, there are five broad categories of performance measures that HHS anticipates all grantees will be required to track: (1) output measures (e.g., number of youth served, hours of service delivery); (2) fidelity/adaptation; (3) implementation and capacity building (e.g., community partnerships, competence in working with the identified population); (4) outcome measures (e.g., behavioral, knowledge, and intentions); and (5) community data (e.g. STIs, birth rates, etc.). Applicants should describe their capacity to report on such performance measures.

States applying for funds must indicate, in the post-award State Plan, their agreement to collect information related to the performance measures and report the data to HHS. States are encouraged to develop additional indicators of program performance.

#### **viii. Evaluation**

HHS will select a subset of projects, e.g. State-led programs, or sub-awardee programs, funded under this announcement to participate in Federal impact evaluations. All States and sub-awardees will be required to participate if selected, and must give their assurance that they will participate if selected.

#### **ix. Access to Health Care and other Services**

As appropriate, applicants may provide teenage pregnancy prevention-related health care service referrals to other providers of health care services (e.g., substance abuse, alcohol abuse, tobacco cessation, family planning, mental health issues, intimate partner violence), local public health

and social service agencies, hospitals, voluntary agencies, and health or social services supported by other Federal programs (e.g., Medicaid, SCHIP, TANF) or State/local programs. Programs should encourage the enrollment of eligible youth in public assistance programs such as Medicaid and CHIP, or any other Federal or State assistance program for which they may be eligible. While States may help youth find services they need and make referrals, such health services may not be paid for with PREP funds.

#### **x. Maintenance of Effort**

No payment of PREP funds shall be made to a State if the expenditure of non-federal funds by the State for activities, programs, or initiatives for which PREP funds are to support is less than the amount expended by the State for such activities, programs, or initiatives for fiscal year 2009.

#### **xi. Other Program Requirements**

All grantees must budget annually the costs of sending one or two key staff persons to attend 1) the three-day national Personal Responsibility Education grantee meeting in Washington, D.C., and 2) the anticipated two day regional meeting for Personal Responsibility Education grantees in their region. The key staff in attendance at the annual meetings must be one of the lead program staff and/or must be integrally involved in the collection of data for the national evaluation of PREP. Each meeting provides specific training for Personal Responsibility Education Program Coordinators and important program requirement updates. Grantees may send more than two key staff persons to the national meeting.

#### ***Definitions***

**Adaptation** – The modification of an evidence-based program model that has been developed for a single, demographic, ethnic, linguistic, and/or cultural group for use with other groups.

**Age-Appropriate** – Topics, messages, and teaching methods that are suitable to particular ages or age groups of children and adolescents, based on developing cognitive, emotional, and behavioral capacity typical for the age or age group.

**Capacity** – The resources (i.e., staff, skills, facilities, finances, technology, partnerships capabilities, and other resources) an organization possesses to implement a PREP program.

**Core Components** – Program characteristics that must be kept intact when intervention is being replicated or adapted, in order for it to produce program outcomes similar to those demonstrated in the original evaluation research. It is the responsibility of the State and/or sub-awardee to consult directly with curriculum developers to determine the core components of the program model.

**Effectiveness** – The impact of a PREP program under conditions that are likely to occur in a real-world implementation.

**Evidence-based program models** – Program models for which systematic empirical research or evaluation has provided evidence of effectiveness. The listing of evidence-based program which the Department has identified as having met the standards to be considered effective and eligible for funding for replication is available on the OAH Web page at: <http://www.hhs.gov/ophs/oah>.

**Fidelity** – The degree to which an intervention is delivered as designed. Faithfulness with which a curriculum or program is implemented; that is, how well the program is implemented without compromising its core content which is essential for the program effectiveness.

**Implementation** – The process of introducing and using interventions in real-world service settings, including how interventions or programs are adopted, sustained and taken to scale.

**Medically Accurate and Complete** – Verified or supported by the weight of research conducted in compliance with accepted scientific methods and published in peer-reviewed journals, where applicable; or comprising information that leading professional organizations and agencies with relevant expertise in the field recognized as accurate, objective, and complete.

**Memorandum of Understanding (MOU)** – A written statement from a stakeholder organization or individual describing a commitment, including possibly a financial role, in supporting the implementation of a program.

**Objectives** – The specific changes expected as a result of the program.

**S.M.A.R.T. Objectives** -- Objectives that are Specific, Measurable, Achievable, Realistic and Time-framed.

**Stakeholders** – Individuals and organizations that have a shared interest in the program results. Stakeholders include participants, families, staff and volunteers, funders, and community organizations that share the program vision and are actively committed to the program through a Memorandum of Understanding (MOU).

**State** – Inclusive of the 50 States, District of Columbia, Puerto Rico, US Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Federate States of Micronesia, the Marshall Islands and Palau.

**Training and Technical Assistance** – The provision of advice, assistance, and/or training pertaining to the initiation, operation or implementation of the proposed program model.

**Youth** – An individual who has attained age 10, but has not attained age 20.

## **II. AWARD INFORMATION**

### **1. Type of Award**

In FY 2010 through FY 2014, ACYF will make mandatory formula grants available to States to fund programs described in this announcement.

### **2. Summary of Funding**

The legislative authority and funding for this announcement are provided by Section 2953 of the Patient Protection and Affordable Care Act of 2010, Pub. L. No. 111-148, which adds a new Section 513 to Title V of the Social Security Act, to be codified at 42 U.S.C. § 713, authorizing

the Personal Responsibility Education Program (PREP). Section 513(a)(3) indicates that, amounts allotted to a State for a fiscal year shall remain available for expenditure by the State through the end of the second succeeding fiscal year. FY 2010 funds allotted under Section 513(a)(1)(A) will remain available for expenditure until September 30, 2012 (with an additional 90 days allowed for close-out).

### ***State Allocations***

Each State shall be allotted a minimum of \$250,000 or an amount determined by a formula to calculate the target population. The formula for determining the allocation is to calculate the proportion of the number of youth between the ages of 10 and 19 of a State to the total number of youth between the ages of 10 and 19 in all of the States and territories, adjusted on a pro rata basis to account for States receiving the minimum \$250,000 annual grant.

The computation of the proportion of eligible youth is based upon the most recent Bureau of Census data. If data is unavailable for a jurisdiction, the applicant will be eligible for the minimum allocation of \$250,000. See [Appendix B](#) for FY 2010 estimated allotments. The State allocations for FY 2011 are subject to change based upon potential fluctuations in State populations of 10 -19 year olds. A State allocation chart will be made available for FY 2011 prior to the application due date. Additionally, annual updates to the State allocation charts will be made available to awardees through FY 2014.

### ***Expenditure Period***

States are eligible to apply for FY 2010 and FY 2011 funding under this announcement.

Each FY's allocations will remain available for expenditure by the State through the end of the second succeeding fiscal year. For example, allocations awarded in FY 2010, through this announcement, would remain available for expenditure by the State until September 30, 2012 (with an additional 90 days allowed for liquidation). Allocations awarded in FY 2011 would remain available for expenditure until September 30, 2013 (with an additional 90 days allowed for liquidation). The final date for submitting applications for FY2010 and FY 2011 funding is April 29, 2011.

### ***Allocation of Unexpended Appropriations***

In the case that a State does not apply for PREP allocations in FY 2010 or in FY 2011, the available allocations will be utilized to award discretionary grants to local organizations which may include faith-based organizations, consortia and entities in non-participating States. The discretionary awards will be made for up to three year project periods in fiscal years 2012 through 2014. Awards to organizations under the discretionary program will be for the expressed purpose of implementing PREP activities consistent with the legislative and announcement requirements, in States that do not submit an application for an award in FY 2010 or in 2011.

### ***Approved Application Project Period***

States may apply under this announcement to begin their project periods in FY 2010 or in FY 2011. States will not be required to submit full applications after the initial funding year unless there are material changes made to the program. Instead, States will be required to submit

written requests to receive funding for each of the successive FY's allocations. ACF will provide States with guidance for submitting any updates to their applications.

### ***Sub-Awards***

States may fund sub-awards with the Personal Responsibility Education Program award.

### ***Reasonable Costs***

ACYF supports reasonable and necessary costs for grants within the scope of approved projects.

### ***Funding Restrictions***

Neither the State nor any of its sub-awardees may use Federal or matching funds under this award to support inherently religious activities, including, but not limited to, religious instruction, worship, prayer, or proselytizing (45 CFR Part 87).

Funds may be used to cover costs of personnel, consultants, equipment, supplies, grant-related travel, and other grant-related costs. Funds may not be used for building alterations or renovations, construction, fundraising activities, political education or lobbying. Funds under this announcement cannot be used for the following purposes:

- To supplant or replace current public or private funding.
- To supplant ongoing or usual activities of any organization involved in the project.
- To purchase or improve land, or to purchase, construct, or make permanent improvements to any building.
- To reimburse pre-award costs.
- To support planning efforts and other activities associated with the development and submission of Post-Award State Plans.

Funds must be used in a manner consistent with program requirements as outlined in this announcement. Allowable administrative functions/costs include:

- Usual and recognized overhead, including indirect rates for all consortium organizations that have a Federally approved indirect cost rate; and
- Management and oversight of specific project components funded under this program.

## **III. ELIGIBILITY INFORMATION**

### **1. Eligible Applicants**

A total of 59 jurisdictions are eligible. Eligible entities include all 50 States, the District of Columbia, Puerto Rico, US Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Federated States of Micronesia, the Marshall Islands, and Palau.

The authorized representative, established under State law, shall apply for and administer the Personal Responsibility Education Program. A signed letter from the authorized representative must accompany each application; it must include documentation or a citation establishing the authorized representative's authority to apply for and administer the Personal Responsibility Education grant program funds on behalf of the State.

## 2. Cost-Sharing or Matching

Cost-sharing or matching of non-Federal funds is not required. The intent of this appropriation is to supplement and not to supplant existing pregnancy prevention services provided in the State by federal, state, local or other funding.

States are encouraged to engage in collaborative partnerships to implement the program(s). While there is no cost-sharing requirement included in this announcement, applicant institutions, including any collaborating institutions, may devote other resources to support this effort. Examples of support could include donated equipment and space, institutional funded staff time and efforts, or other investments. Applicant organizations that plan to provide support must outline specific contributions to the project and provide assurances that their organization and any collaborators are committed to providing these non-Federal funds and resources to the project.

## IV. APPLICATION AND STATE PLAN SUBMISSION INFORMATION

### 1. Application for Funding and State Plan Checklists

FY2010 applicants are to submit all documents in the order listed in the checklist. Each item is described in more detail in *Section IV. 2* and *Section IV.4*.

| FY 2010 State Personal Responsibility Education Program<br><b>Application for Funding Checklist</b><br><b>FY 2010 - Due August 30, 2010</b> |  | FY 2010 State Personal Responsibility Education Program<br><b>Post-Award State Plan Checklist</b><br><b>FY 2010 -Due February 1, 2011</b> |
|---|--|---|
| 1. Application for Federal Assistance SF-424  |  | 1. Application for Federal Assistance SF-424 (as applicable to address modifications)   |
| 2. Project/Performance Site Location(s) SF-P/PSL  |  | 2. Project/Performance Site Location(s) SF-P/PSL (as applicable, to address modifications)  |
| 3. Budget Information-Non-construction Programs - SF-424A   |  | 3. Budget Information-Non-construction Programs – SF-424A (as applicable to address modifications)  |
| 4. Assurance -SF-424B - Non-Construction Programs   |  | 4. Letter from the Authorized Representative (Transmittal Letter)   |



|   |  |                                   |
|---|--|-----------------------------------|
| 5. Letter from the Authorized Representative (Transmittal Letter) |  | 5. Table of Contents              |
| 6. Abbreviated Program Narrative                                  |  | 6. State Plan Abstract            |
| 7. Certification Regarding Lobbying                               |  | 7. Program Narrative              |
| 8. Certification Regarding Environmental Tobacco Smoke            |  | 8. Budget Narrative/Justification |
| 9. SF-LLL – Disclosure of Lobbying Activities, if applicable      |  | 9. Appendices                     |

FY2011 applicants are to submit all documents in the order listed in the checklist. Each item is described in more detail in *Section IV. 2* and *Section IV.4*.

|  |                                   |   |
|--|-----------------------------------|---|
| FY 2011 State Personal Responsibility<br>Education Program<br><b>Application for Funding and State Plan Checklist</b><br><br><b>FY 2011 – Due April 29, 2011</b><br><br><b>Applicants that did not submit applications for funding in FY 2010 and are submitting applications for funding on FY 2011 for the first time must submit all documents listed in this table with their initial application submission for FY 2010 and/or FY 2011 funds.</b> |                                   |   |
| 1. Application for Federal Assistance SF-424   | 6. Table of Contents              | 11. Certification Regarding Lobbying                          |
| 2. Project/Performance Site Location(s) SF-P/PSL   | 7. State Plan Abstract            | 12. Certification Regarding Environmental Tobacco Smoke       |
| 3. Budget Information-Non-construction Programs - SF-424A  | 8. Program Narrative              | 13. SF-LLL – Disclosure of Lobbying Activities, if applicable |
| 4. Assurance -SF-424B - Non-Construction Programs  | 9. Budget Narrative/Justification |   |
| 5. Letter from the Authorized Representative (Transmittal Letter)  | 10. Appendices                    |   |

## **2. Content and Form for the Application for Funding Submission for FY 2010 (Due August 30, 2010)**

Each application must include the following components placed in the order given:

### ***Number of Copies***

Applicants must submit *one original* application and *two full copies* with all attachments.

### **i. Standard Forms**

As the application summary, Application for Federal Assistance Standard Form-424 version 02 (SF-424) is an important form that Federal program administrators refer to repeatedly for program and contact information. The SF-424 must be submitted with the application

- For the CFDA Number (box 11), enter 93.092.
- For the CFDA Title, enter “Personal Responsibility Education Program.”
- For the estimated funding (box 18), make sure that all totals match those provided on the budget summary (SF-424A).

Applicants will also submit the **SF-P/PSL** Project/Performance Site Location(s), **SF-424A** Budget Information - Nonconstruction Programs, and **SF-424B** Assurances - Non-construction Programs standard forms.

States may submit a revised SF-424, SF-P/PSL, and SF-424A as necessary with their post-award State Plan submission. States may include their full allocated or budgeted amount of funding in the “Other” category of the SF-424A with the application submission and later provide a SF-424A delineating category costs with the Post-Award State Plan submission.

Applicants must submit the appropriate certification of their compliance with all Federal statutes relating to non-construction programs by submitting SF-424B with their application. Applicants will make the appropriate certification of their compliance with all Federal statutes and regulations relating to nondiscrimination, including 45 CFR Part 87, by signing and submitting the SF-424B.

All forms, certifications, and assurances are available on the ACF Grant Opportunities Resources webpage at [http://www.acf.hhs.gov/grants/grants\\_resources.html](http://www.acf.hhs.gov/grants/grants_resources.html).

### **ii. Letter from the Authorized Representative (Transmittal Letter)**

The transmittal letter signed by the Authorized Representative must include the Code of Federal Domestic Assistance (CFDA) Number 93.092 and “Personal Responsibility Education” as the priority area to which the application is responding. The letter must also include documentation or a citation of the authority of the authorized representative to apply for and administer funds on behalf of the State.

### **iii. Abbreviated Program Narrative**

The abbreviated Program Narrative is the part of the application that will offer substantive information about the proposed State program, and it will be used as the primary basis to

determine whether or not the project meets the minimum requirements for awards. The narrative must provide a clear and concise description of your project.

The abbreviated Program Narrative must be double-spaced, formatted to 8 ½" x 11" (letter-size) pages with 1" or larger margins on top, bottom, and both sides, and a font size of not less than 12 point. All pages, charts, figures and tables must be numbered. The abbreviated Program Narrative should not exceed 10 single-sided pages.

The abbreviated narrative description of the program must contain the following:

- ***Need Statement:*** Describe the need for services in the proposed target area by identifying the most recent statistical data, documentation of the incidence of teen births in the area to be served in relation to the proposed geographic area to be served. Generally, describe how the project will benefit the target population.
- ***Process Statements:*** Describe the process you will use to:
  - Develop the State Plan
  - Assure medical accuracy
  - Ensure that all funded programs/sub-awardees will address the required components of PREP
  - Ensure that all programs will use or adapt evidence-based models

All States must give their assurance that they or their sub-awardees will participate, if selected, in a national evaluation. All States must also provide assurance that they and their sub-awardees will comply with the requirements of Section 513 of the Personal Responsibility Education statute in the implementation of PREP. Applicants must clearly state their agreement to adhere to the national evaluation and to operate the project in compliance with Section 513 in the abbreviated Program Narrative.

#### **iv. Certification Regarding Lobbying**

Applicants must furnish a Certification Regarding Lobbying with their application. Submission is required for all applicants prior to award..

If applicable, recipients under the announcement must provide a copy of the SF-LLL disclosure form, which must be completed by the reporting entity, whether sub-awardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. Section 1352.

#### **v. Certification Regarding Environmental Tobacco Smoke**

The 20 U.S.C Sections 7181 through 7184 imposes restrictions on smoking in facilities where federally funded children's services are provided. HHS grants are subject to these requirements only if they meet the Act's specified coverage. The Act specifies that smoking is prohibited in any indoor facility (owned, leased, or contracted for) used for the routine or regular provision of kindergarten, elementary, or secondary education or library services to children under the age of 18. In addition, smoking is prohibited in any indoor facility or portion of a facility (owned, leased, or contracted for) used for the routine or regular provision of federally funded health care, day care, or early childhood development, including Head Start services to children under

the age of 18. The statutory prohibition also applies if such facilities are constructed, operated, or maintained with Federal funds. The statute does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, portions of facilities used for inpatient drug or alcohol treatment, or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per violation and/or the imposition of an administrative compliance order on the responsible entity.

#### **vi. Disclosure of Lobbying Activities (SF-LLL)**

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Applicants must furnish an executed copy of the Certification Regarding Lobbying prior to award

#### **vii. D-U-N-S Number Requirement**

All applicants must have a D&B Data Universal Numbering System (D-U-N-S) number. A D-U-N-S number is required whether an applicant is submitting a paper application or using the Government-wide electronic portal, [Grants.gov](http://Grants.gov). A D-U-N-S number is required for every application for a new award or renewal/continuation of an award, including applications or plans under formula, entitlement, and block grant programs. A D-U-N-S number may be acquired at no cost online at <http://www.dnb.com>. To acquire a D-U-N-S number by phone, contact the D&B Government Customer Response Center:

U.S. and U.S Virgin Islands: 1-866-705-5711

Alaska and Puerto Rico: 1-800-234-3867 (Select Option 2, then Option 1)

Monday - Friday 7 AM to 8 PM C.S.T.

Applicants should verify that their correct D-U-N-S number is included in their SF-424 at 8c.

### **3. Application for Funding Submission Information**

#### ***Submission Dates and Times***

Application for Funding and Post-Award State Plan due dates for FY 2010 and the Application and State Plan due date for FY 2011 applications are available in the overview section, at the beginning of this announcement.

#### ***Extension/Waiver of Due Date and Receipt Time***

ACF may extend an application due date and receipt time when circumstances such as natural disasters occur (floods, hurricanes, etc.); when there are widespread disruptions of mail service; or in other rare cases. The determination to extend or waive due date and receipt time requirements rests with ACF's Chief Grants Management Officer.

### ***Late applications***

Applications received after September 30, 2010 will be reviewed for award in FY 2011

### ***Electronically-Submitted Applications***

ACF cannot accommodate transmission of applications by facsimile or email.

### ***Mail Submission***

Mail or hand deliver your application to:

LeBretia White  
Program Specialist  
Family and Youth Service Bureau  
Administration for Children and Families  
U. S. Department of Health and Human Services  
Portals Office Building, Suite 800  
1250 Maryland Avenue, SW  
Washington, DC 20024

### ***Hand-Delivered Applications***

Applications hand-delivered by applicants, applicant couriers, other representatives of the applicant, or by overnight/express mail couriers must be **received** on, or before, the due date listed in this section, between the hours of 8:00 a.m. and 4:30 p.m., eastern time, Monday through Friday (excluding Federal holidays). Applications should be delivered to the address provided in this section of the announcement.

When you arrive at the building, call the main telephone line from the lobby. Someone will meet you in the lobby to receive the application. The main telephone numbers are: (202) 205-8307 or (202) 205-9605.

## **4. Content and Form of Post-Award State Plan Submission (February 1, 2011)**

The Post-Award State plan is to be double spaced and to be formatted with subheadings as outlined in this section. Plans are to be submitted as indicated in *Section IV.3*.

### ***Number of Copies***

Applicants must submit *one original* application and *two full copies* with all attachments.

### **i. Standard Forms**

Grantees should re-submit all required Standard Forms (**SF-424** Application for Federal Assistance, **SF-P/PSL** Project/Performance Site Location(s), and **SF-424A** Budget Information - Nonconstruction Programs), with any necessary revisions with the Post-Award State Plan.

In the SF-424, for the CFDA Number (box 11), enter 93.092.

- For the CFDA Title, enter "Personal Responsibility Education Program."
- For the estimated funding (box 18), make sure that all totals match those provided on the budget summary (SF-424A).

Applicants will submit a SF-424A Budget Information form with the Post-Award State Plan that provides the amount of funding of each the line item categories in Section B.6 of the form. The SF-424A will be reviewed in light of their proposed activities for the entire budget period. Only if States submit annual amendments that significantly affect budget information for that fiscal year (such as 10 percent of funds being transferred from one section to another), will they need to submit updated budget summaries. Complete Sections A (Budget Summary) through F (Other Budget Information) of the SF-424A.

The SF-P/PSL is only to be submitted with the State Plan, if there are modifications required based upon the documentation previously provided in the application.

Standard Forms (SFs) are available in the [Grants.gov Forms Repository web site](#). Versions of other Standard Forms are also available on the [OMB Grants Management Forms web site](#). For information regarding accessibility issues, visit the [Grants.gov Accessibility Compliance Page](#).

## **ii. Assurances**

Applicants must again submit the appropriate certification of their compliance with all Federal statutes relating to non-construction programs by submitting SF-424B with their post-award State plan. Applicants will again make the appropriate certification of their compliance with all Federal statutes and regulations relating to nondiscrimination, including 45 CFR Part 87, by signing and submitting the SF-424B. A fillable and savable version of the SF-424B is available at [Grants.gov Forms Repository web site](#).

## **iii. Letter from the Authorized Representative (Transmittal Letter)**

With all copies, include a signed transmittal letter from the administering agency's authorized representative that includes documentation or a citation of the authorized representative's authority to apply for and administer funds on behalf of the State.

## **iv. Table of Contents**

Provide a table of contents that includes all applicable items listed in the post-award State Plan checklist.

## **v. State Plan Abstract**

Applicants will include a one-page abstract (no more than 500 words) of the post-award State Plan. The abstract will provide an overview of the plan and will form the basis for the application summary in grants management documents. The abstract may also be distributed to provide information to the public and Congress and represents a high-level summary of the project. As a result, applicants must prepare a clear, accurate, concise abstract that can be understood without reference to other parts of the State Plan and that provides a description of the proposed project, including: brief statement of the project, whether it is for a local, county-wide or State-wide project; mechanism for delivering services (e.g., sub-awards to local organizations through a competitive bid process); geographic area to be served (urban, rural, suburban); description of target population to be served; a short description of the intervention to

be; overarching goal(s); and monitoring strategies. The applicant should include the following information on the first page of the Project Abstract (this information is not included in the 500 word maximum):

- Project Title
- Applicant Name
- Fiscal Year
- Grant Allocation Amount
- Address
- Contact Name
- Contact Phone Numbers (Voice, Fax)
- E-Mail Address and Web Site Address, if applicable

#### vi. Program Narrative

The Program Narrative must contain a detailed description of the following:

- **Goal Statement, Objectives and Logic Models:** States are to submit goals, objectives and logic models for the program in their post-award State Plans. States and/or sub-awardees are required to develop:
  - a. a program-specific goal(s) statement;
  - b. up to six outcome objectives that clearly state expected results or benefits of the intervention proposed and link with the goal(s) statement, as well as multiple process objectives; and
  - c. a logic model demonstrating how proposed inputs and activities will lead to the outcome objectives and ultimately the achievement of the goal(s) statement. (See Appendix C)
- **Updated Need Statement:** Identify the most recent statistical data, documentation of the incidence of teen births in the area to be served in relation to the geographic area to be served. Describe specifically how the project will benefit the target population. Other information is to be documented such as sexually transmitted disease rates; socio-economic conditions (disparities) including income levels; existing services; and unmet needs in the proposed service area. If the proposed population has unique challenges and barriers, these must be addressed. Applications must include:
  - The most recent birth rates among the same age groups based on data from the Centers for Disease Control and Prevention National Center for Health Statistics Data. Also, indicate the most recent pregnancy rates for the State for youth ages 10 to 14 and youth ages 15 to 19 and, and trends in those rates for the most recently preceding 5-year period for which data are available;
  - State-established goals for reducing the pregnancy rates and birth rates for youth populations; and

- A description of the State’s plan to achieve such goals, especially among youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances, including youth in or aging out of foster care, youth with HIV/AIDS, pregnant youth under 21 years of age and their partners, mothers under 21 years of age and their partners, and youth residing in areas with high teen birth rates
- **Target Population:** Applicants must provide realistic estimates of the overall number of program(s) participants and the number participating in the proposed project site(s). Applicants must indicate how many participants are expected to participate in the first and second year of implementation, and break out the types of participants by age groups – specifically ages 10 to 14 and 15 to 19 - and race and ethnicity.

Statistical data on other correlating variables may be used to substantiate the need to serve specific priority populations. For example, Latino/Latina and Native American teens have high teen birth rates within geographic pockets of some States that otherwise have lower rates of teen pregnancy or teen births. Additionally, older adolescents, 18-19 year olds, account for most teen pregnancies and are the most underserved in programs.

Applicant must include a description of how all youth will be eligible to participate in program services without regard to race, ethnicity or sexual identity.

- **Program Management:** Provide modifications or updates on how the State plans to govern and manage the execution of its overall program. Include the applicant’s governance structure, roles/responsibilities, operating procedures, composition of committees, workgroups, teams and associated leaders, and communication plans that will provide adequate planning, monitoring, financial management, and control to the overall project.

The applicant organization is to demonstrate how it will effectively and efficiently carry out its project(s) across its geographical catchment area.

The project management activities must provide details on how plans and decisions are developed and documented, issues/risks managed, and meetings facilitated. Describe mechanisms to ensure accountability across community participants and incremental progress in achieving milestones necessary for improvement must be specified. Describe how partnerships and mechanisms will be used to ensure financial and programmatic accountability.

Identify and describe how education, training, and monitoring processes will be used to maintain fidelity to the evidence-based program model(s).

- **Program Plan/Approach:** Describe the overall plan for the program. This plan shall state overall goals that address issues identified in the need statement. This program plan will include descriptions of the following:



- The range of mechanisms that will be used to deliver services and the actual services themselves (i.e. school-based programs, clinic-based programs, and/or youth development programs). The description should include information about how referrals will be made to other health services and programs, when appropriate.
  - The emphasis of abstinence and contraception for the prevention of pregnancy and sexually transmitted infections.
  - The three adult preparation subjects that will be addressed.
  - The entities and providers with which the State plans to have formal arrangements and the types of services to be provided.
  - The target populations to be served.
  - The program model(s) to be implemented (or adaptations of evidence-based models) that will be medically accurate and complete and age appropriate. Include discussion on how the model(s) will meet the needs of and are tailored to the unique cultural and environmental needs of the identified target populations.
  - Description of how this project will make a positive impact. In addition, include a discussion of lessons learned from previous projects of this type including how the experience helped develop the rationale for the proposed State approach.
  - As appropriate, state how the project will be coordinated, integrated and linked to existing services within the service area. If applicable, describe how the applicant will provide, directly and/or by referral, teenage pregnancy prevention related health or social services.
- ***Model(s) to be Replicated/Implementation Strategy:*** Describe the rationale for choosing the evidence-based model(s) proposed for replication or substantially incorporating elements of effective programs that have been proven on the basis of rigorous scientific research and how this approach is based upon the applicant's previous practice and community needs assessment.

Describe how the applicant will implement the intervention with fidelity and what, if any, minor adaptations are being proposed. If adaptations are proposed, include a justification or rationale for any proposed adaptations. The description must clearly relate to project(s) objectives and must address intensity of services.

If a State plans to operate a competitive or other similar sub-awarding process, the process by which the State will ensure that sub-awardees replicate or substantially incorporate elements from the evidence-based program that have been identified through the evidence review and listed in Appendix A or substantially incorporate elements of effective programs that have been proven on the basis of rigorous scientific research.

Discuss why the program models selected or elements of those models will be effective in reducing rates of teen pregnancy and births, or associated sexual outcome

behaviors in the selected setting(s) (e.g., school, school-district, community-based setting, county, target population). If a State plans to operate a competitive or other similar sub-awarding process, describe the process by which the State will assure that the models or elements of models selected are ones likely to be effective in achieving the program goals with the targeted population in the setting to be used by the sub-awardee.

Describe how the State will ensure that facilitators/educators who will deliver the program(s) have been or will be formally trained in the program model or elements of the program model and this training is delivered by professionals who can provide follow-up technical assistance to facilitators.

Describe how the State will ensure that those who implement programs with this funding have access to implementation materials and training in the evidence-based intervention they seek to replicate or from which they will be incorporating elements.

Describe the adaptations that will be made and an explanation of why the adaptations do not unduly alter the core components of the evidence based program models or elements of those models and that there is reason to believe that the adaptations are likely to augment, rather than diminish, program effectiveness. Or, if a State is going to sub-award funds, describe how States will ensure that adaptations proposed by sub-awardees do not unduly alter the core components of the evidence based program models or elements of those models and that there is reason to believe that the adaptations are likely to augment, rather than diminish, program effectiveness

- ***Sub-Awardee Involvement:*** Describe the process for Sub-Awardee involvement that includes efforts to (1) publicize the availability of these funds (2) to encourage the involvement of new providers, (3) to make clear the process, if any, for application and award of these funds, (4) to provide proposal development assistance whenever possible, if requested by groups eligible for funding, and (5) to provide this information on a timely basis.
- ***Collaborations and Stakeholder Participation:*** Describe how the State will develop official documents and a monitoring plan in such a way as to assure financial accountability and program(s) integrity to the proposed plan and the priorities of the State and of ACYF. Potential partnerships may include, but are not limited to: health care providers and professional organizations, middle/high schools, school districts, community colleges, academic health centers, universities and community groups.

State Plans will be strengthened by the inclusion of credible stakeholder organizations. State Plans are to include sub-awardee documents and/or clear descriptions of when the State will forward copies of formal partnerships, yet to be determined.

- ***Performance Measurement:*** Applicants are to describe their capacity to report on performance measures. Discuss how the applicant will track the five broad categories

of performance measures, to include: (1) output measures (e.g., number of youth served, hours of service delivery); (2) fidelity/adaptation; (3) implementation and capacity building (e.g., community partnerships, competence in working with the identified population); (4) outcome measures (e.g., behavioral, knowledge, and intentions); and (5) community data (e.g. STIs, birth rates, etc.).

- **Evaluation:** All States and sub-awardees will be required to participate if selected into the national evaluation, and must give their assurance that they will participate if selected.

### **vii. Budget Narrative/Justification**

The budget narrative must thoroughly describe how the proposed categorical costs are derived. Discuss the necessity, reasonableness, and allocability of the proposed costs. For in-kind contributions, the source of the contribution and how the valuation of that contribution was determined must also be described. All Applicants must outline proposed costs that support all project activities in the Budget Narrative/Justification. Applicants are to document budgeting for staff attendance to a national and regional meeting under the travel cost category.

The application must include the allowable activities that will take place during the funding period and outline the estimated costs that will be used specifically in support of the program. Costs are not allowed to be expended until the start date listed in the Notice of Grant Award. Whether direct or indirect, all costs must be allowable, allocable, reasonable and necessary under the applicable OMB Cost Circular: <http://www.whitehouse.gov/omb/circulars>. (Circular A-87 for State, Local and Indian Tribal Governments and Circular A-122 for Non Profit Organizations). Any fees as program income must be used as toward the goals and objectives of the project.

### **viii. Appendices**

The applicant must include the following, if not previously submitted with the application: 1) Resumes for State Program Director and detailed position descriptions (include key staff and positions for sites); 2) Memoranda of Understanding from all participating sites; 3) Memoranda of Understanding from all outside resources and/or partners; 4) An organizational chart, program organization chart and map describing the multiple sites in each group of the project; and 5) Other appendices that the State deems necessary to support its application narrative.

## **5. Post-Award State Plan Submission Information**

### ***Electronically-Submitted Post-Award State Plans***

ACF cannot accommodate transmission of Post-Award State Plans by facsimile or email.

### ***Mail Submission***

Mail or hand deliver your Post-Award State Plans to:

LeBretia White

Program Specialist  
Family and Youth Service Bureau  
Administration for Children and Families  
U. S. Department of Health and Human Services  
Portals Office Building, Suite 800  
1250 Maryland Avenue, SW  
Washington, DC 20024

### ***Hand-Delivered Applications***

Post-Award State Plans hand-delivered by applicants, applicant couriers, other representatives of the applicant, or by overnight/express mail couriers must be **received** on, or before, the due date listed in this section, between the hours of 8:00 a.m. and 4:30 p.m., eastern time, Monday through Friday (excluding Federal holidays). Post-Award State Plans should be delivered to the address provided in this section of the announcement.

### **6. Application and State Plan Submission Information for FY 2011**

Grantees and new applicants submitting requests for funding in FY 2011 must submit an Application (inclusive of a State Plan) for FY 2010 and/or FY 2011 as listed in the FY 2011 table in *Section IV.1 by April 29, 2011*. Guidance for FY 2011 submissions is located in *Section IV.5*. The final date for submitting applications for FY 2010 and/or 2011 is April 29, 2011.

### **7. Intergovernmental Review**

This program is not subject to State review by Executive Order 12372 and does not require review by the State's State Single Point of Contact (SPOC).

## **V. APPLICATION REVIEW INFORMATION**

The Commissioner of ACYF will approve any application/State plan that meets the requirements of PREP and this announcement. The Commissioner will not disapprove an application/State plan except after reasonable notice of the Commissioners' intention to disapprove has been provided to the applicant and after a six-month period providing an opportunity for the applicant to correct any deficiencies. The notice of intention to disapprove will be provided to the applicant within 60 days of the date of the submission of the post-award State Plan.

## **VI. AWARD ADMINISTRATION INFORMATION**

### **1. Award Notices:**

States that submit applications will be notified by the Personal Responsibility Education Program Office of approval status through the issuance of an application review packet. A packet containing an originally signed cover letter will be sent to the authorized representative that signed the application summary (SF-424, section 21). A second packet, with a copy of the cover letter, will be sent to the contact listed on the application summary (SF-424, section 8f). Award notices require the approval of the Office of Grants Management and the Financial Assistance Award which indicates the terms of the grant is signed by the Grants Management Officer.

### **2. Expenditure Restrictions**

Special conditions apply to this award. Draw down of funds will be restricted pending the submission of the Post-Award State Plan by States awarded PREP funding. Grant awards will not allow expenditure of funds for planning and other activities associated with the development and submission of State Plans.

### **3. Anticipated Award Dates:**

The anticipated award dates for FY 2010 applications is September 30, 2010 and September 30, 2011 for FY 2011 applications.

### **4. Administrative Requirements:**

The following provisions of Title V of the Social Security Act, 42 U.S.C. § 701 *et. seq.*, shall apply to allotments and grants made under this announcement to the same extent and in the same manner as such provisions apply to allotments made under Section 502(c) of the Social Security Act.

- (1) Section 504(b)(6) (relating to prohibition on payments to excluded individuals and entities).
- (2) Section 504(c) (relating to the use of funds for the purchase of technical assistance).
- (3) Section 504(d) (relating to a limitation on administrative expenditures).
- (4) Section 506 (relating to reports and audits), but only to the extent determined by the Secretary to be appropriate for grants made under this section.
- (5) Section 507 (relating to penalties for false statements).
- (6) Section 508 (relating to nondiscrimination).

Except for the provisions listed above, no other provisions of Title V of the Social Security Act shall apply to allotments and grants made under this announcement.

Awards issued under this announcement are subject to the Uniform Administrative Requirements and Cost Principles of 45 CFR Part 74 (Awards And Sub-awards To Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations), or 45 CFR Part 92 (Grants And Cooperative Agreements To State, Local, And Tribal Governments).

An application funded with the release of Federal funds through a grant award, does not constitute, or imply, compliance with Federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable Federal regulations.

Grantees are subject to the limitations set forth in 45 CFR Part 74, Subpart E-Special Provisions for Awards to Commercial Organizations (45 CFR §74.81\_Prohibition against profit), which states that, "... no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs."

Grantees are also subject to the requirements of 45 CFR Part 96 (Block Grants), Equal Treatment for Faith-Based Organizations: "Direct Federal grants, sub-award funds, or contracts under this ACYF program shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services funded under this

program. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which can be found at 45 CFR Part 87, and includes the prohibition against Federal funding of inherently religious activities, which can be found at the HHS web site at: <http://www.hhs.gov/fbci/waisgate21.pdf>.

### ***Award Term and Condition for Trafficking in Persons***

Awards issued under this announcement are subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to [http://www.acf.hhs.gov/grants/award\\_term.html](http://www.acf.hhs.gov/grants/award_term.html).

### **5. Reporting Requirements:**

Grantees shall submit annual performance reports unless the awarding agency requires quarterly or semi-annual reports. State grantees under the PREP program will be required to submit program progress reports and financial status reports, semi-annually. Reports will be due 30 days after the conclusion of the reporting period. Since budget periods overlap throughout the project period, when reporting program performance data, States are to report on activities and youth served during the reporting period, regardless of which fiscal year funds provided for those services. This will enable the program office to aggregate program data for each year of the project.

Failure to submit reports on time may be a basis for withholding grant funds, suspension, or termination of the grant. In addition, all funds reported after the obligation period will be recouped.

### ***Financial Status Reports***

Grantees must submit annual Financial Status Reports. The first SF-269 for funding under this announcement, which is due April 30, 2010, is based on the Federal FY and will cover September 30, 2010 through March 30, 2010. The final SF-269 for funding under this announcement, which is due October 30, 2011, will cover April 1, 2010, through September 29, 2011. The SF 269 can be found at [Grants.gov Forms Repository web site](#).

Financial Status Reports will be submitted to the Personal Responsibility Education Grants Management Office Contact listed in *Section VII. Agency Contacts*.

### ***Program Progress Reports***

ACYF grantees must submit Performance Progress Reports using the standardized forms in Appendix D. A Program Performance Report must be filed with HHS describing the activities carried out, and include an assessment of the effectiveness of those activities in achieving the purposes of the grant. A section of this performance report must be completed by each grantee or sub-awardee that performed the direct services contemplated in the application certifying performance of such services. Consortia grantees are to compile performance reports into a

comprehensive report for submission. The Performance Progress Reports are due semi-annually, 30 days after the conclusion of the reporting period.

Grantees will be required to monitor progress on a uniform set of process and outcome performance measures, after approval by OMB. The performance measures will be developed by ACYF and refined through the grant process. States will receive training and technical assistance from ACYF and its contractor(s) on data collection methodologies. Each applicant must describe their capability to implement monitoring and reporting systems to aid in internal data collection around metrics for successful achievement of performance measures. ACYF will develop performance measures for the Personal Responsibility Education Program, and seek OMB approval for a uniform performance measures data collection instrument. When approved, all award recipients will be responsible for reporting on these measures and using the OMB approved data collection instrument.

Performance Progress Reports will be submitted to the Personal Responsibility Education Program Office Contact listed in *Section VI. Agency Contacts*.

## **6. Other Information**

### **Continuation Awards**

Prior to FY 2011 through FY 2013, the ACF will send States guidance for submitting any updates to their applications.

### **The Paperwork Reduction Act of 1995 (P.L. 104-13)**

Public reporting burden for information collections in this announcement are estimated to average XX hours per response, including the time for reviewing instructions, gathering and maintaining the data needed and reviewing the collection information.

The Abbreviated Application, Post-Award State Plan and Performance Progress Report requirements are approved under OMB control number 0970-0380, which expires 01/31/2011. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

## **VII. AGENCY CONTACTS**

### **Personal Responsibility Education Program Office Contact**

LeBretia White  
FYSB/Personal Responsibility Education Division  
Portals Office Building, Suite 800  
1250 Maryland Avenue, SW.  
Washington, DC 20024  
Tel: 202-205-9605  
Fax: 202-205-9535  
E-mail: [lebretia.white@acf.hhs.gov](mailto:lebretia.white@acf.hhs.gov)

### **Personal Responsibility Education Grants Management Office Contact**

Nathaniel Morris West  
Division of Mandatory Grants  
Administration for Children and Families  
Office of Grants Management  
Division of Mandatory Grants  
370 L'Enfant Promenade, SW  
Washington, DC 20447  
Tel: 202-401-1230  
Fax: 202-401-5644  
E-mail: [nathaniel.west@acf.hhs.gov](mailto:nathaniel.west@acf.hhs.gov)

**Federal Relay Service:**

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service for assistance at 1-800-877-8339 (TTY - Text Telephone or ASCII - American Standard Code For Information Interchange).

Date: Bryan Samuels  
Commissioner  
Administration on Children, Youth and Families

Administration for Children and Families  
Department of Health and Human Services

OMB Control No. 0970-0380  
Expires: 1/31/2011

**Appendices**



- Appendix A – List of Evidence Based Program Models  
 Appendix B – Estimated State Allotments for FY 2010  
 Appendix C – Sample Logic Model Format  
 Appendix D – Performance Progress Report Cover Page and Program Indicators  
 Forms and Instructions

**APPENDIX A: List of Evidence-Based Program Models**

| <b>Program Name</b>   | <b>Setting</b>                        |
|---|---------------------------------------|
| <a href="#">Aban Aya Youth Project (PDF)</a>  | Middle schools                        |
| <a href="#">Adult Identity Mentoring (Project AIM) (PDF)</a>  | Middle schools                        |
| <a href="#">All4You! (PDF)</a>  | Alternative high schools              |
| <a href="#">Assisting in Rehabilitating Kids (ARK) (PDF)</a>  | Substance use treatment facilities    |
| <a href="#">Be Proud! Be Responsible! (PDF)</a>   | Middle schools, high schools, or CBOs |
| <a href="#">Be Proud! Be Responsible! Be Protective! (PDF)</a>  | Middle schools, high schools, or CBOs |
| <a href="#">Becoming a Responsible Teen (BART) (PDF)</a>  | Middle schools, high schools, or CBOs |
| <a href="#">Children’s Aid Society (CAS)—Carrera Program (PDF)</a>  | CBOs                                  |
| <a href="#">¡Cuidate! (PDF)</a>   | Middle schools, high schools, or CBOs |
| <a href="#">Draw the Line/Respect the Line (PDF)</a>  | Middle schools                        |
| <a href="#">FOCUS (PDF)</a>   | CBOs or clinics                       |
| <a href="#">HIV Risk Reduction Among Detained Adolescents (PDF)</a>   | Youth detention facilities            |
| <a href="#">Horizons (PDF)</a>  | CBOs or clinics                       |
| <a href="#">It’s Your Game: Keep it Real (PDF)</a>  | Middle schools                        |
| <a href="#">Making a Difference! (PDF)</a>  | Middle schools or CBOs                |
| <a href="#">Making Proud Choices! (PDF)</a>   | Middle schools or CBOs                |
| <a href="#">Project TALC (PDF)</a>  | CBOs                                  |
| <a href="#">Promoting Health Among Teens! Abstinence-Only Intervention (PDF)</a> (formerly known as Promoting Health Among Teens!)  | Middle schools or CBOs                |
| <a href="#">Promoting Health Among Teens! Comprehensive Abstinence and Safer Sex Intervention (PDF)</a> (formerly known as Comprehensive Abstinence and Safer Sex Intervention) | Middle schools or CBOs                |
| <a href="#">Raising Healthy Children (PDF)</a> (formerly known as the Seattle Social Development Project)   | Elementary schools                    |
| <a href="#">Reducing the Risk (PDF)</a>   | High schools                          |

|   |  |
|---|--|
| <a href="#">Rikers Health Advocacy Program (RHAP) (PDF)</a> | CBOs or youth detention facilities     |
| <a href="#">Safer Sex (PDF)</a>                             | CBOs or clinics                        |
| <a href="#">SiHLE (PDF)</a>                                 | CBOs or clinics                        |
| <a href="#">Sisters Saving Sisters (PDF)</a>                | CBOs or clinics                        |
| <a href="#">Teen Health Project (PDF)</a>                   | CBOs                                   |
| <a href="#">Teen Outreach Program (PDF)</a>                 | Middle schools, high schools, or CBOs  |
| <a href="#">What Could You Do? (PDF)</a>                    | High schools, CBOs, or clinics         |
|   | * CBOs = Community-Based Organizations |

**APPENDIX B: Estimated State Allotments for FY 2010 and FY 2011**

| <b>STATE/TERRITORY</b> | <b>FY 2010 and FY 2011</b> |
|------------------------|----------------------------|
| Alabama                | \$789,678                  |
| Alaska                 | \$250,000                  |
| Arizona                | \$1,099,599                |
| Arkansas               | \$485,372                  |
| California             | \$6,553,554                |
| Colorado               | \$793,058                  |
| Connecticut            | \$596,440                  |
| Delaware               | \$250,000                  |
| District of Columbia   | \$250,000                  |
| Florida                | \$2,795,687                |
| Georgia                | \$1,707,218                |
| Hawaii                 | \$250,000                  |
| Idaho                  | \$274,861                  |

|                |                    |
|----------------|--------------------|
| Illinois       | <b>\$2,231,758</b> |
| Indiana        | <b>\$1,100,446</b> |
| Iowa           | <b>\$499,981</b>   |
| Kansas         | <b>\$480,260</b>   |
| Kentucky       | <b>\$696,997</b>   |
| Louisiana      | <b>\$769,607</b>   |
| Maine          | <b>\$250,000</b>   |
| Maryland       | <b>\$962,931</b>   |
| Massachusetts  | <b>\$1,062,646</b> |
| Michigan       | <b>\$1,754,708</b> |
| Minnesota      | <b>\$868,121</b>   |
| Mississippi    | <b>\$537,218</b>   |
| Missouri       | <b>\$991,673</b>   |
| Montana        | <b>\$250,000</b>   |
| Nebraska       | <b>\$306,743</b>   |
| Nevada         | <b>\$419,320</b>   |
| New Hampshire  | <b>\$250,000</b>   |
| New Jersey     | <b>\$1,412,929</b> |
| New Mexico     | <b>\$346,571</b>   |
| New York       | <b>\$3,236,330</b> |
| North Carolina | <b>\$1,544,312</b> |
| North Dakota   | <b>\$250,000</b>   |
| Ohio           | <b>\$1,916,033</b> |
| Oklahoma       | <b>\$615,320</b>   |
| Oregon         | <b>\$591,798</b>   |
| Pennsylvania   | <b>\$2,046,335</b> |

|                                |                     |
|--------------------------------|---------------------|
| Rhode Island                   | \$250,000           |
| South Carolina                 | \$760,906           |
| South Dakota                   | \$250,000           |
| Tennessee                      | \$1,012,182         |
| Texas                          | \$4,400,234         |
| Utah                           | \$525,624           |
| Vermont                        | \$250,000           |
| Virginia                       | \$1,284,773         |
| Washington                     | \$1,081,919         |
| West Virginia                  | \$276,094           |
| Wisconsin                      | \$930,024           |
| Wyoming                        | \$250,000           |
| Puerto Rico                    | \$740,740           |
| Virgin Islands                 | \$250,000           |
| Guam                           | \$250,000           |
| American Samoa                 | \$250,000           |
| Northern Mariana Islands       | \$250,000           |
| Federated States of Micronesia | \$250,000           |
| Marshall Islands               | \$250,000           |
| Palau                          | \$250,000           |
| <b>Total</b>                   | <b>\$55,250,000</b> |

## APPENDIX C:

### Logic Models, and Resources for Developing Programs and Logic Models

A logic model is a diagram that shows the relationship between the program components and activities and desired process and outcome objectives. It is a visual way to present and share understanding of the relationships among the resources available to implement the proposed intervention, the strategies/activities planned for implementation, and the outputs and outcomes expected. The outputs are often expressed as S.M.A.R.T. process objectives. All States and/or sub-awardees must create logic models that provide an overview of the entire program for the duration in which it is expected to occur. The logic models must detail how inputs (e.g. resources) will be utilized to fund activities for the achievement of specific process and outcome objectives enumerated and ultimately the achievement of the goal(s) statement.

The following is an overview on the construction of logic models. The goal of a logic model is to provide a systematic and visual way to show the connection between program resources, activities, and expected results. States and/or sub-awardees are encouraged to submit program logic models that include the following aspects:

- 1) Challenges Faced by the Program
  - a. Specific items a program wants to address.
  - b. Example: High rates of teen pregnancy among a specific population.
- 2) Inputs of the Program
  - a. Resources necessary to accomplish goals.
  - b. Example: Funding allocated towards a pregnancy prevention program, through a grant program, or in-kind resources.
- 3) Processes/Activities
  - a. The specific actions supported by the inputs.
  - b. Example: Meetings, classes.
- 4) Outputs
  - a. The products of the activities
  - b. Example: The number of students completing a program.
- 5) Outcomes
  - a. Expected changes as a result of the program. These can be divided into immediate, intermediate (e.g. annual), and long term (e.g. 5-8 years).
  - b. Example: (Annual) Increased consistent condom usage among a specific population; (Long-Term) Decreased rates of teen pregnancy.

In addition, applicants are encouraged to address the following four areas in the construction of their logic model: demographics (e.g. age, race, sex), external factors which may influence the program's success (e.g. economic situation), the constraints faced by the program (e.g. negotiations with stakeholders; loss of non-federal resources), and the assumptions being made in the adoption of a specific program (e.g. what is believed about the method of intervention that cannot be tested; what is believed about the target population that cannot be tested; etc.).



## Resources for Developing Programs and Logic Models

A potential resource for identifying and creating relevant programs geared toward outcomes is the Center for Disease Control and Prevention's (CDC) *10 Steps to Promoting Science-Based Approaches (PSBA) to Teen Pregnancy Prevention using Getting to Outcomes (GTO)* (see <http://www.cdc.gov/reproductivehealth/adolescentreprohealth/PDF/LittlePSBA-GTO.pdf>). This guide provides a clear 10-step process to assessing the needs of a community, selecting a program, implementing it, and tracking progress. States and sub-awardees are encouraged to review these steps in developing their approaches and their logic models.

Another helpful resource is the publication entitled *Tools to Assess the Characteristics of Effective Sex and STD/HIV Education Program*. This document uses past research to identify 17 characteristics that are associated with pregnancy prevention programs' success. States and sub-awardees are encouraged to consider these 17 characteristics in developing their programs and their logic models.

## APPENDIX D:

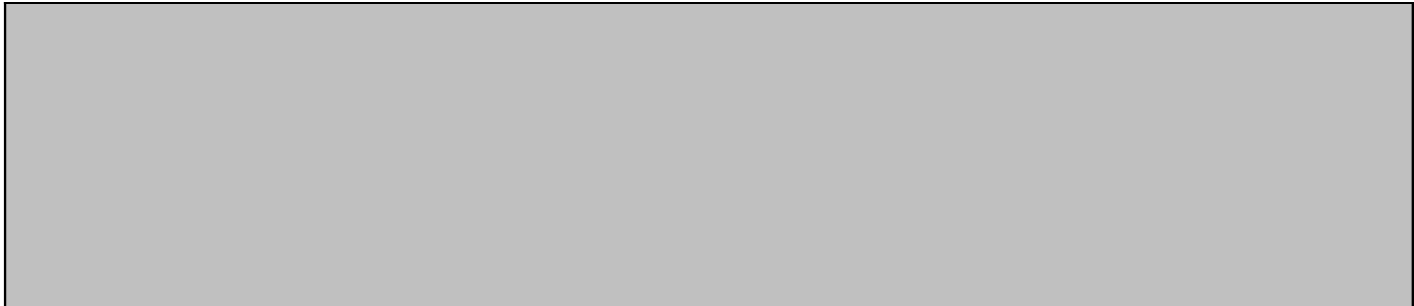
### Performance Progress Report Cover Page and Program Indicators Forms and Instructions

OMB Control No: 0970-0380

Expiration Date: 01/31/2011

### PERFORMANCE PROGRESS REPORT COVER PAGE PERSONAL RESPONSIBILITY EDUCATION PROGRAM

|  |  | Page  | of Pages |
|--|--|---|----------|
| 1. Federal Agency and Organization Element to Which Report is Submitted  | 2. Federal Grant Number                            | 3a. DUNS  |          |
|  |  | 3b. EIN   |          |
| 4. Recipient Organization (Name and complete address including zip code) |  | 5. Recipient Identifying Number or Account Number   |          |
| 6. Project/Grant Period<br>Start Date: (Month, Day, Year)                | 7. Reporting Period End Date<br>(Month, Day, Year) | 8. Final Report? <input type="checkbox"/> Yes<br><input type="checkbox"/> No  |          |
| End Date: (Month, Day, Year)   |  | 9. Report Frequency<br><input type="checkbox"/> annual <input type="checkbox"/> semi-annual<br><input type="checkbox"/> quarterly <input type="checkbox"/> other<br>(If other, describe: _____) |          |
| 10. Performance Narrative  |  |   |          |



11. Other Attachments *(attach other documents as needed or as instructed by the awarding Federal Agency)*

**12. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.**

12a. Typed or Printed Name and Title of Authorized Certifying Official

12c. Telephone *(area code, number and extension)*

12d. Email Address

12b. Signature of Authorized Certifying Official

12e. Date Report Submitted *(Month, Day, Year)*

13. Agency use only



**PERFORMANCE PROGRESS REPORT  
COVER PAGE  
PERSONAL RESPONSIBILITY EDUCATION PROGRAM  
INSTRUCTIONS**

| Item | Data Elements  | Instructions  |
|------|--|---|
| 1.   | <b>Awarding Federal agency and Organizational Element to Which Report is Submitted</b>   | Enter the name of the awarding Federal agency and organizational element identified in the award document or otherwise instructed by the agency. The organizational element is a sub-agency within an awarding Federal agency.  |
| 2.   | <b>Federal Grant or Other Identifying Number Assigned by the awarding Federal agency</b> | Enter the grant/award number contained in the award document.   |
| 3a.  | <b>DUNS Number</b>   | Enter the recipient organization's Data Universal Numbering System (DUNS) number or Central Contract Registry extended DUNS number.   |
| 3b.  | <b>EIN</b>   | Enter the recipient organization's Employer Identification Number (EIN) provided by the Internal Revenue Service.   |
| 4.   | <b>Recipient Organization</b>  | Enter the name of recipient organization and address, including zip code.   |
| 5.   | <b>Recipient Account Number or Account Number</b>  | Enter the account number or any other identifying number assigned by the recipient to the award. This number is strictly for the recipient's use only and is not required by the awarding Federal agency.   |
| 6.   | <b>Project/Grant Period</b>  | Indicate the project/grant period established in the award document during which Federal sponsorship begins and ends. Note: Some agencies award multi-year grants for a project/grant period (e.g., 5 years) that are funded in increments known as budget periods or funding periods. These are typically annual increments. Please enter the project/grant period, not the budget period or funding period. |
| 7.   | <b>Reporting Period End Date</b>   | Enter the ending date of the reporting period. For quarterly, semi-annual, and annual reports, the following calendar quarter reporting period end dates shall be used: 3/31; 6/30; 9/30; and or 12/31. For final PPRs, the reporting period end date shall be the end date of the project/grant period. The frequency of required reporting is usually established in the award document.                    |
| 8.   | <b>Final Report</b>  | Mark appropriate box. Check "yes" only if this is the final report for the project/grant period specified in Box 6.   |
| 9.   | <b>Report or Frequency</b>   | Select the appropriate term corresponding to the requirements contained in the award document. "Other" may be used when more frequent reporting is required for high-risk grantees, as specified in OMB Circular A-110.   |

| Item | Data Elements         | Instructions  |
|------|-----------------------|---|
| 10.  | Performance Narrative | Leave blank and complete Form PREP Program Indicators                             |
| 11.  | Other Attachments     | Attach other documents as needed or as instructed by the awarding Federal agency. |

**PERFORMANCE PROGRESS REPORT  
 PROGRAM INDICATORS  
 PERSONAL RESPONSIBILITY EDUCATION PROGRAM (PREP)**

|   |                         |          |      |   |
|---|-------------------------|----------|------|---|
|   |                         |          | Page | of Pages                                  |
| 1. Federal Agency and Organization Element to Which Report is Submitted | 2. Federal Grant Number | 3a. DUNS |      | 4. Reporting Period End Date (MM/DD/YYYY) |
|   |                         | 3b. EIN  |      |   |

| Program Indicators |   |                  |                    |
|--------------------|---|------------------|--------------------|
| (1)<br>Item        | (2)<br>Activity Description   | (3)<br>Indicator | (4)<br>Explanation |
| B-01               | Major activities and accomplishments during this period             |                  |                    |
| B-02               | Describe any challenges related to the areas addressed in item B-01 |                  |                    |
| B-03               | Significant Observations  |                  |                    |
| B-04               | Organizational Issues   |                  |                    |
| B-05               | Technical assistance and Training                                   |                  |                    |
| B-06               | Activities planned for next reporting period                        |                  |                    |

**PERFORMANCE PROGRESS REPORT  
PROGRAM INDICATORS  
PERSONAL RESPONSIBILITY EDUCATION PROGRAM (PREP)  
INSTRUCTIONS**

**Schedule**

Submit the original progress report to the Office of Grants Management, Division of Mandatory Grants, and a copy to the Program Office. Reports are due 30 days after the end of the second and fourth quarter of the budget period (every 6 months).

A FINAL PROGRAM REPORT IS DUE 90 DAYS AFTER THE PROJECT PERIOD END DATE.

| <b>Item</b>               | <b>Data Elements</b>   | <b>Instructions</b>   |
|---------------------------|--|---|
| 1                         | <b>Awarding Federal agency and Organizational Element to Which Report is Submitted</b>   | Enter the name of the awarding Federal agency and organizational element identified in the award document or otherwise instructed by the agency. The organizational element is a sub-agency within an awarding Federal agency.  |
| 2                         | <b>Federal Grant or Other Identifying Number Assigned by the awarding Federal agency</b> | Enter the grant/award number contained in the award document.   |
| 3a                        | <b>DUNS Number</b>   | Enter the recipient organization's Data Universal Numbering System (DUNS) number or Central Contract Registry extended DUNS number.   |
| 3b                        | <b>EIN</b>   | Enter the recipient organization's Employer Identification Number (EIN) provided by the Internal Revenue Service.   |
| 4                         | <b>Reporting Period End Date</b>   | Enter the ending date of the reporting period. For quarterly, semi-annual, and annual reports, the following calendar quarter reporting period end dates shall be used: 3/31; 6/30; 9/30 and or 12/31. For final PPRs, the reporting period end date shall be the end date of the project/grant period. The frequency of required reporting is usually established in the award document. |
| <b>Program Indicators</b> |  |   |
| B-01(4)                   | <b>Major activities and accomplishments during this period</b>                           | Recommend use of project task charts from approved grant application and/or project work plan with this section. Describe any draft/final products related to the project.  |
| B-02(4)                   | <b>Challenges</b>  | Describe any deviations or departures from the original project plan including actual/anticipated slippage in task completion dates, and special problems encountered or expected. Use this report section to advise Project Officer and Grants Management Specialist of resolution or actions taken to resolve the challenges presented during the reporting period.                     |
| B-03(4)                   | <b>Significant observations</b>  | List the type and number of services accessed by target population, the number of youth serviced in each community and other relevant project data  |
| B-04(4)                   | <b>Organizational Issues</b>   | Briefly describe any organizational (i.e. staffing and personnel , policy and procedures) and planning team issues that affected the management of the grant during the reporting period.   |

|         |   |   |
|---------|---|---|
| B-05(4) | <b>Technical Assistance and Training</b>            | Describe any issues, functions, or processes that your organization needs addressed through technical assistance, training, national conferences or on-site visits. |
| B-06(4) | <b>Activities planned for next reporting period</b> | Briefly describe.   |

# Personal Responsibility Education Program (PREP) 2010 Funding Opportunity Announcements (FOA)

## *Frequently Asked Questions*

1. Who can provide guidance regarding fiscal questions on PREP?

*See Section VII. Agency Contact of the FOA*

**Nathaniel West, Grant Specialist**

**Phone: 202-401-1230      Email: [Nathaniel.west@acf.hhs.gov](mailto:Nathaniel.west@acf.hhs.gov).**

2. Who can provide guidance regarding programmatic questions on PREP?

*See Section VII. Agency Contact of the FOA*

**LeBretia White, Program Specialist**

**Phone: 202-205-9605      Email: [lebretia.white@acf.hhs.gov](mailto:lebretia.white@acf.hhs.gov).**

**Applicants that are hearing-impaired and/or speech-impaired may contact the fiscal and programmatic staff using the Federal Relay Service for assistance at 1-800-877-8339 (TTY - Text Telephone or ASCII - American Standard Code for Information Interchange).**

3. Does ACF plan to hold a technical assistance webinar/conference call?

**Yes. A webinar will be held on Wednesday, August 18, 2010 at 4:00 pm EST.**

4. What is the absolute deadline for FY 2010 applications for FY 2010 awards?

**The deadline for Applications for FY 2010 awards for PREP is August 30, 2010. However, States may continue to apply for FY 2010 PREP funds after August 30, 2010 and as part of an application for FY 2011 funds.**

***See Section IV.4 (pp. 16 - 21) of the FOA. Applicants that apply for FY 2010 funds need not submit a full application for FY 2011 funds.***

***See Section IV and Overview of the FOA. It states that the due date for the FY 2010 Application for PREP is on August 30, 2010.***

5. It is our understanding after reading the FOA that funds for FY 2010 cannot be drawn down until the application has been submitted AND the State plan is approved in December. Is this in fact the case, or can funds be accessed sooner?

**See Section VI.2 Expenditure Restrictions (pp. 27 – 28) of the FOA. Draw down of award funds will be restricted pending the submission and approval of the Post-Award State Plan,**

6. The Standard Forms (SFs) available through the grants.gov link listed in the FOA are not interactive and will not applicants to fill them in. Where can an applicant find fillable versions of the required SFs? The forms are accessed?

**See Section IV.2 (p. 18) of the FOA. All forms, certifications, and assurances are available on the ACF Grant Opportunities Resources webpage at [http://www.acf.hhs.gov/grants/grants\\_resources.html](http://www.acf.hhs.gov/grants/grants_resources.html). This website provides interactive, fillable forms.**

**Versions of SFs that are compliant with the requirements of section 508 of the Rehabilitation Act of 1973 (29 U.S.C. ‘794 d) are available in the [Grants.gov Forms Repository](#). Section 508-compliant forms are not fillable.**

7. The PREP FOA requires a Program Narrative by August 30, 2010. Must the target populations and geographic areas to be served be included in the Abbreviated Program Narrative and submitted by that due date? Or, may applicants provide general information regarding State teen pregnancy and birth data in the narrative? What programmatic decisions need to be made by August 30 for inclusion in the application?

**See Section IV.2 Abbreviated Program Narrative (pp. 18 - 19) of the FOA. Yes. The proposed target populations and geographic/target areas must be identified in the Need Statement of the Abbreviated Program Narrative**

**Actual targeted populations and actual geographic/target areas must be identified in the Post-Award State Plan’s.**

**For PREP applicants, implementation of a selected model is required in the Post-Award State Plan.**

8. The FOA for PREP states that decisions regarding approved applications will be made by Sept. 30, 2010 with restrictions on the draw down of awarded funds. Since funds cannot be used in the development of the Post-Award State Plan (*Section VI.2. p.29*), may funds be used to hire a staff person prior to June 2011? If not, what expenses would be considered allowable during the restricted funding period?

**See Section VI.2 Expenditure Restrictions (pp. 28 - 29) of the FOA. For PREP awards, the draw down of funds will be restricted pending the submission of the Post-Award State Plan by awarded States. In consideration of allowable expenses during the restricted**

**funding period, the FOA indicates that grant awards will not allow expenditure of funds for planning and other activities associated with the development and submission of State Plans.**

9. If States fail to submit a letter of intent by August 30, are they then ineligible to submit a proposal?

***See Section I.1 (pp. 6) of the FOA. “After award in FY 2010, States are required to submit a letter of intent to secure grant funding for FY 2011 through FY 2014.” A letter of intent is not required with the application for funds due on August 30<sup>th</sup>.***

10. Is there deadline flexibility in the application process as in the past?

***See Section IV.1 Overview of the FOA. The deadline of August 30, 2010 is crucial in order to make FY 2010 awards available by September 30, 2010. States that require an extension on the due date for submission of the Application must contact LeBretia White at [lebretia.white@acf.hhs.gov](mailto:lebretia.white@acf.hhs.gov).***

11. Are individual organizations eligible to apply for, and receive awards under, the PREP program? Can the Governor’s office designate a non-profit to be the lead organization or primary recipient?

***See Section III.1 (p. 15) of the FOA. States and Territories are the only eligible applicants.***

***See Section II.2 Sub-Awards (p. 15) of the FOA. States may make sub-awards to individual organizations***

12. How can a State applicant find out which agency is authorized to apply for an award under the PREP FOA?

**Contact the Governor’s office or governing official’s office in your state for information on the designated department or agency authorized to make application for the PREP grant.**

13. Can a community organization be pre-selected by the State, and developed into a stakeholder, or must they be chosen through a competitive RFP process as a sub-awardee?

**The way a sub-awardee is selected by the State is determined by State regulation, policy and/or legislation at the State’s discretion; it is not determined by statute, Federal regulation, or ACF policy.**



14. Can a non-profit administer funds for the State?

**See Section III.1 of the FOA. States and Territories are the only eligible applicants. The State, as the applicant, is responsible for fiscal control and oversight in the administration of the grant. The State can make sub-awards to non-profit, faith-based, and other organizations to implement the PREP programming.**

15. I read through the FOA, but can not find where or how to submit an application electronically. Can the application be submitted electronically and is the announcement listed on grants.gov or grant solutions?

**See Section IV.3 (p. 21) of the FOA. There is no option to submit the application electronically. ACF can not accommodate transmission of applications by facsimile or email. ACF does not post announcements of mandatory funding opportunities on Grants.gov.**

**Applications must be mailed or hand delivered to:**

**LeBretia White  
Program Specialist  
Family and Youth Service Bureau  
Administration for Children and Families  
U. S. Department of Health and Human Services  
Portals Office Building, Suite 800  
1250 Maryland Avenue, SW.  
Washington, DC 20024**

16. What level of specificity is needed regarding the project description in the Application for PREP?

**See Section IV of the PREP FOA. The Abbreviated Program Narrative, to be submitted with the Application, should provide a summary of the project(s) while the Program Narrative submitted with the Post-Award State Plan should provide a detailed description of the project(s).**

17. There are several organizations in the State waiting to hear about funding through the HHS/Office of Adolescent Health (OAH) for Tier 1 Pregnancy Prevention funds. If any of these organizations are funded, a substantial gap in services in the State will be filled. Since notification on the OAH Tier 1 Pregnancy Prevention funds will not be available until after the PREP and AEGP due date of August 30, will flexibility be supported in planning target populations and/or geographic areas in the Abbreviated Application materials? Since this would require some degree of non-specificity in the Abbreviated Application submission, will it be acceptable to determine further specifics as part of the Post-Award State Plan?

**Yes. Some flexibility is expected and allowable in the Abbreviated Application in order for States to coordinate planned programs with the receipt of Tier 1 Pregnancy Prevention funds.**

**There is no prohibition from coordinating with Tier 1 awardees and actual target populations and/or geographic areas are required to be specified in the post award state pan due February 1<sup>st</sup>, 2010.**

18. What is the breakdown of the Title V regional areas, as this information is needed to calculate the budgeted cost for a regional meeting as required by the FOA in *Section I.3.xi (p. 12)*?

**The States that comprise the regional areas are as follows:**

**REGION 1**

CT, MA, ME, NH, RI, & VT

**REGION 2**

NJ, NY, PR

**REGION 3**

DC, DE, MD, PA, VA, WV

**REGION 4**

AL, FL, GA, KY, MS, NC, SC, TN

**REGION 5**

IL, IN, MI, MN, OH, WI

**REGION 6**

AR, LA, NM, OK, TX

**REGION 7**

IA, KS, NE, MO

**REGION 8**

CO, ND, MT, SD, UT, WY

**REGION 9**

AZ, CA, NV, HI, GU (GUAM), AS (AMERICAN SAMOA), MP (NORTHERN MARIANAS ISLAND), MH (MARSHALL ISLANDS), PW (PALAU)

**REGION 10**

AK, ID, OR, WA

19. When and with what submission is the SF-424A Budget Information - Nonconstruction Programs required?

***See Section IV.1 - 2 (pp. 16-18) of the FOA. The SF-424 A is required with the Application submission due on August 30, 2010. States may submit a revised/updated SF-424A with the Post-Award State plan that is due on February 1, 2011.***

20. Is there a limitation on the number of pages to be submitted for the Program Narrative in the State Plan?

***See Section IV.4 (pp. 21-27) of the FOA. There is no limitation on the number of pages to be submitted for the Post-Award State Plan under the PREP program.***

21. Are tables and charts included in the 10-page limitation for the Abbreviated Program Narrative?

***See Section IV.2.iii, (p. 19) of the FOA. Tables and charts are to be included in the ten-page limit for the PREP Abbreviated Program Narrative.***

22. How should the SF-P/PSL (Project/Performance Site Location) form be completed for the Abbreviated Application if the primary performance site(s) have not yet been determined?

***See Section IV.1-2 (pp. 16-18) of the FOA. For PREP, the SF-P/PSL Project/Performance Site Location(s) form is to be submitted with the Abbreviated Application noting proposed site(s) for the project.***

23. Can the project performance sites be revised in the SF-P/PSL for the Post-Award State Plan?

***See Section IV.1-2 (pp. 16-18) of the FOA. States may submit a revised/updated SF-P/PSL with the Post-Award State Plan, if applicable.***

24. Is this FOA the only guidance we will get to develop our proposals or will another more detailed guidance come out to help us prepare for the Post-Award State Plan Deadline of February 1, 2011?

**Additional guidance will be provided in the form of technical assistance to support States in the development of the Post-Award State Plans.**

24. Is it necessary to submit the Abbreviated Application August 30, 2010, if the State elects to submit an application for FY 2010 and 2011 funds under the FY 2011 due date of April 29, 2011?

***See Section I.1 (p. 6) of the FOA.* No, if the State elects to apply for FY 2010 and 2011 funds under the FY 2011 due date of April 29, 2011, the State Plan and all required SFs may be submitted at that time. Application in FY 2011 is a single-step process.**

25. What are some examples of activities, programs or initiatives that would qualify under this Maintenance of Effort?

***See Section I.2 (p. 6) of the FOA.* Activities, programs and initiatives that qualify under the Maintenance of Effort are non-federally supported activities, programs and initiatives to educate youth on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/AIDS and adulthood preparation subjects.**

26. Please provide a fuller explanation of what is meant on page 12 of the PREP FOA when it states:

“No payment of PREP funds shall be made to a State if the expenditure of non-Federal funds by the State for activities, programs, or initiatives for which PREP funds are to support is less than the amount expended by the State for such activities, programs, or initiatives for fiscal year 2009.”

***See Section I.3.x. (p. 12) of the FOA.* This is referred to as Maintenance of Effort (MOE). Under MOE, no State, Stakeholder, and/or Sub-grantee is allowed to supplant, or reduce, their current level of spending regarding any teen pregnancy programs that are currently in operation.**

27. Is a State eligible to receive PREP funding if the State spent \$0 in non-Federal funds for FY 2009?

***See Section I.3.x, (p. 12) of the FOA and legislation.* If the State did not expend non-Federal funds for PREP related programs in FY 2009, such States will be ineligible to receive Federal funding for PREP. States cannot apply for funding in excess of the amount of non-Federal funding expended by the State in FY 2009 for PREP related activities.**

28. The PREP FOA places emphasis on evidence-based programs. Is there a standard for this that must be observed? Is there a list of screened, promising, and evidence-based practices that should be used?

**See Section I.2.ii (p. 7) of the FOA.** There is a list of some of the screened, promising and evidence-based models that went through a systematic review by HHS. HHS established a list of 28 program models that are considered evidence-based programs and have been found to be effective in one of the behavioral outcomes – delaying sexual activity, increasing condom or contraception use for sexually active youth, or reducing pregnancy among youth. A list of these programs is available in the FOA as Appendix A. The list is also available at <http://www.hhs.gov/ophs/oah/prevention/research/programs/index.html>.

29. Does the State need to choose an evidence-based program model prior to submitting the abbreviated application?

**See Section IV.2.iii (pp. 19) of the FOA.** The State does not need to choose an evidence-based program model(s) prior to submitting the abbreviated application. However, States must describe the process they intend to use in making a selection of program model to ensure that all programs will use or adapt an evidence-based model(s) in the Process Statement of the Abbreviated Program Narrative .

30. When will a FOA for the PREP Tribal program be available for application?

**ACF plans to publish a PREP Tribal FOA during FY 2011. Interested parties are encouraged to sign up for email update from the HHS Grants Forecast website at <http://www.acf.hhs.gov/hhsgrantsforecast/> by clicking on the “Email Update” tab. All planned ACF FOAs are announced on the HHS Grants Forecast website prior to publication to the ACF Grant Opportunities webpage <http://www.acf.hhs.gov/grants/index.html>.**

31. How do the recent “Teen Pregnancy Prevention” grants from the Office of Adolescent Health fit with these new initiatives? How can they best co-exist?

**HHS is coordinating pregnancy prevention programs at the federal level, including PREP and Teen Pregnancy Prevention grants, in order to achieve the best outcomes for youth. HHS has not developed guidance on how these programs can best co-exist at the state and local level; however, there is the expectation that all pregnancy prevention programs at the state and local levels will coordinate their activities as appropriate, in order to achieve the best outcomes for youth.**

32. Can the PREP funds be used to educate teens about paternity and child support responsibilities?

**See section I.2 of the FOA.**

**The PREP FOA states that programs must, among other things:**

- **Replicate evidence based effective program models or substantially incorporate elements of effective programs that have been proven on the basis of scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth;**
- **Emphasize abstinence and contraception for the prevention of pregnancy and sexually transmitted infections; and**
- **Address at least three adulthood preparation subjects.**