

# Shenyin Wanguo Securities (H.K.) Limited (“SWS”)

## Investment Fund Redemption Form

### Section 1: Account Information:

Client Name	(1)	(2)
Account No.		AE Name (AE Code)

### Section 2: Redemption Information:

*I/We have read the latest Explanatory Memorandum and the addenda thereto (if any) before I/we complete this Form. I/We hereby instruct SWS to redeem on my behalf the investment fund(s) with details as specified below:*

1. The Fund I/we selected in this section;
2. The number of units specified in this section; and
3. Please Credit all the redemption proceeds net of expenses (if any) to my/our securities account maintained with SWS.

	Fund Name	No. of Units to be Redeemed <sup>#</sup>
<input style="width: 40px; height: 20px;" type="checkbox"/>	SWS Strategic Investment Funds – Shenyin Wanguo China Policy Focus Fund (Class A)	No. of Units: _____
<input style="width: 40px; height: 20px;" type="checkbox"/>	SWS Strategic Investment Funds – Shenyin Wanguo RMB Mainland Investment Fund (Class A)	No. of Units: _____

**#Please insert the number of units to be redeemed or “ALL” if total redemption is required.**

### Section 3: Representations and Warranties:

*I/We hereby represent and warrant that I/we have the full power and authority to request redemption of the above units and that such units are not subject to any pledge otherwise encumbered in any fashion.*

### Section 4: Execution

The Person(s) signing below represent(s) and warrant(s) that he is/they are duly authorized to sign this Form.

<p>(1)</p>       <p>_____ Signature(s) of Applicant Name:</p> <p>(affix company chop if applicable)</p>	<p>(2)</p>       <p>_____ Signature(s) of Applicant Name:</p> <p>(affix company chop if applicable)</p>	       <p>_____ Signature(s) of AE Name:</p> <p>Date: _____</p>
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