FORM 21

REPORT BY REGISTRANT OF TRADES EXECUTED OTHER THAN THROUGH A SECURITIES EXCHANGE

Pursuant to Section 86 of the Securities Act, 2012 and By-law 56 of the Securities (General) By-Laws, 2015

General Instructions:	Please complete all relevant sections; where the allocated space is insufficient, you may continue on a separate page and attach to the completed form. All supporting information and attached pages should be appropriately numbered and referenced. See detailed list of required attachments. This form and any attachments should be certified by the Chief Executive Officer and two Directors of the Applicant. Where the Chief Executive Officer is unavailable to sign the form, the form should be signed by any other duly authorized senior officer whose proof of authorization must be submitted with this form. Completed forms should be submitted to: The Director Market Regulation and Surveillance Trinidad and Tobago Securities and Exchange Commission 57-59 Dundonald Street Port of Spain Trinidad
Item 1	State the exact name as specified in the registrant's constituting or organizational documents.
Item 2	State the name, business address and telephone number of the issuer whose securities were the subject of the transaction.
Item 3	Include the designation of the securities traded that is sufficient to identify the type and class, including yield, series and maturity.
Item 4	Provide a summary of the details of the trade.

Item 5	State the name and contact details relating to the seller or sellers of the securities traded. The contact information shall include the address, telephone number, email address and fax number for each seller. If sold through a nominee account, provide the name, address, telephone number and fax number of the beneficial owner(s) of the account.
Item 6	State the name and contact details relating to the purchaser or purchasers of the securities traded. The contact information shall include the address, telephone number, email address and fax number for each purchaser. If sold through a nominee account, provide the name, address, telephone number and fax number of the beneficial owner(s) of the account.
Item 7	If the securities were purchased and full payment thereof was not made in cash at the time of purchase, explain in the "nature of payment" column the nature of the consideration given. If the consideration consisted of any arrangement, state when the note or other obligation was discharged in full or the last instalment paid.
Item 8	State the relevant details listed with respect to any stamp duty payments made to the Board of Inland Revenue.
Item 9	Where the security is an Exchange listed security, state (with sufficient details) the reason for the trade being executed off the floor of the exchange.
Item 10	Give the name, business telephone number and email address of a senior officer of the registrant who is knowledgeable about the trade conducted off the exchange, and who may be contacted to discuss it.
Item 11	Date the Form. Include the signature of the Chief Executive Officer and two Directors of the Applicant. Where the Chief Executive Officer is unavailable to sign the form, the form should be signed by any other duly authorized senior officer whose proof of authorization must be submitted with this form.

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Pursuant to Section 86 of the Securities Act, 2012 and By-law 56 of the Securities (General) By-Laws, 2015

1. NAME OF REGISTRANT

Name of Registrant

2. DETAIL OF ISSUER OF SECURITIES TRADED

Name of Issuer			
Primary Business Address			
Work Phone (1-xxx-xxx-xxxx) ext. (xxxx)		Fax Phone (1-xxx-xxx-xxxx)	
Email Address			

3. DESCRIPTION OF SECURITIES TRADED

Type of Security	
Amount	
Currency($)	
Face Value	
Yield	
Tenor	
Issue Date (dd/mm/yyyy)	
Maturity Date (dd/mm/yyyy)	
Issue price per security	
Aggregate sale price	

Other	

4. PARTICULARS OF THE TRADE(S)

Date of the Trade	Total Number Or Value of Securities purchased / sold	Unit Price of the Securities purchase / sold where applicable	Total Purchase price or Aggregate Market Value

5. CONTACT DETAILS FOR THE SELLER

Date of Trade (dd/mm/yyyy)	Name of Seller [(First Name Middle Name Last Name) / Company Name]	Address	Contact Number (1-xxx-xxx-xxxx)	Number of shares traded OR Values of securities traded

6. DETAILS OF PURCHASER(S) OF SECURITIES TRADED

Date of Trade (dd/mmm/yyyy)	Name of Purchaser [(First Name Middle Name Last Name)/ Company Name	Address	Contact Number (1-xxx-xxx-xxxx)	Number of shares traded OR Securities Traded

7. NATURE OF PAYMENT

Explain the nature of payment

8. RECORD OF STAMP DUTY PAYMENT

Date of Stamp Duty	Amount of Stamp Duty paid ($)	Reference / Receipt No.

9. RATIONALE

Explain the rationale for the “off the floor” execution of trade

10. CONTACT DETAILS

Name (First name Middle Name Last name)	
Position in Organization	
Work Phone (1-xxx-xxx-xxxx) ext. (xxxx)	
Fax Phone(1-xxx-xxx-xxxx)	
Mobile Phone (1-xxx-xxx-xxxx)	
Email Address	

11. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information in this form are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

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_____	_____	_____
Print Name	**Print Name**	**Print Name**
_____	_____	_____
Signature	**Signature**	**Signature**
_____	_____	_____
Position	**Position**	**Position**
_____	_____	_____
Date	**Date**	**Date**

FOR OFFICIAL USE ONLY

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By : _____ Date (DD/MM/YYYY) _____