

# Key Information Memorandum & Common Application Form



KYC mandatory from January 01, 2011

- ICICI Prudential Gilt Fund - Treasury Plan
- ICICI Prudential Gilt Fund - Investment Plan

- ICICI Prudential Gilt Fund - PF Option - Treasury Plan
- ICICI Prudential Gilt Fund - PF Option - Investment Plan

## Continuous offer for units at NAV based prices.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the Schemes/Mutual Fund, due diligence certificate by AMC, Key Personnel, Investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.icicipruamc.com](http://www.icicipruamc.com).**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

### ICICI Prudential Asset Management Company Limited - Investment Manager

Regd. Office:  
12th Floor, Narain Manzil,  
23 Barakhamba Road,  
New Delhi 110 001.

Corporate Office:  
3rd Floor, Hallmark Business Plaza,  
Sant Dyaneshwar Marg, Bandra (East),  
Mumbai - 400 051.  
Tel: (022) 26428000, Fax: (022) 26554165.

Central Service Office:  
2nd Floor, Block B-2, Nirlon Knowledge Park,  
Western Express Highway, Goregaon (East),  
Mumbai 400 063.  
Tel.: 022-26852000, Fax No.: 022-2686 8313.

**Cheques are to be drawn in favour of:**

ICICI Prudential Gilt Fund - Treasury Plan

ICICI Prudential Gilt Fund - PF Option - Treasury Plan

ICICI Prudential Gilt Fund - Investment Plan

ICICI Prudential Gilt Fund - PF Option - Investment Plan

**Statutory Details:** ICICI Prudential Mutual Fund (the Fund) was set up as a Trust sponsored by Prudential plc (through its wholly owned subsidiary namely Prudential Corporation Holdings Ltd) and ICICI Bank Ltd. ICICI Prudential Trust Limited (the Trust Company), a company incorporated under the Companies Act, 1956, is the Trustee to the Fund. ICICI Prudential Asset Management Company Ltd (the AMC) a company incorporated under the Companies Act, 1956, is the Investment Manager to the Fund. ICICI Bank Ltd and Prudential Plc (acting through its wholly owned subsidiary namely Prudential Corporation Holdings Ltd) are the promoters of the AMC and the Trust Company.

**Risk Factors:** All investments in mutual funds and securities are subject to market risks and the NAV of the schemes may go up or down depending upon the factors and forces affecting the securities market and there can be no assurance that the fund's objectives will be achieved. Past performance of the Sponsors, AMC/Fund does not indicate the future performance of the Schemes of the Fund. The Sponsors are not responsible or liable for any loss resulting from the operation of the Schemes beyond the contribution of an amount of Rs.22.2 lacs, collectively made by them towards setting up the Fund and such other accretions and additions to the corpus set up by the Sponsors. **Mutual Fund investments are subject to market risk. Please read the Statement of Additional Information and Scheme Information Document carefully before investing.**



**5 INVESTMENT & PAYMENT DETAILS (Refer Instruction No. IV)** For Plans & Sub-options please see key features for scheme specific details

**1** Name of scheme **ICICI PRUDENTIAL**

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme in which you plan to invest)

Retail Option       Growth/Cumulative    OR     Dividend –     Reinvestment    or     Payout    OR     AEP ^ –     Regular\*    or     Appreciation  
 Institutional Option      \* Cumulative – AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

**Dividend Frequencies**     Daily     Weekly     Fortnightly     Monthly     Quarterly     Half Yearly      **AEP Frequencies**     Monthly     Quarterly     Half Yearly

**Payment Details for Scheme 1**      **Mode of Payment**     Cheque     DD     Funds Transfer     NEFT     RTGS

Amount Paid    ₹    A      DD Charges (if applicable)    ₹    B      Amount Invested    ₹    A + B  
 Cheque / DD Number    \_\_\_\_\_      Date    D   D   M   M   Y   Y      BANK / BRANCH

**BANK ACCOUNT DETAILS (For Payment Details of Scheme 1)** Mandatory information – If left blank the application is liable to be rejected.

**Account Type**     Current     Savings     NRO     NRE     FCNR      **Account Number**    \_\_\_\_\_  
**Bank Details**      NAME OF BANK      BRANCH NAME / CITY

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. Please see the Third Party Payment Declaration form and respective instructions with this document.

**2** Name of scheme **ICICI PRUDENTIAL**

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme in which you plan to invest)

Retail Option       Growth/Cumulative    OR     Dividend –     Reinvestment    or     Payout    OR     AEP ^ –     Regular\*    or     Appreciation  
 Institutional Option      \* Cumulative – AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

**Dividend Frequencies**     Daily     Weekly     Fortnightly     Monthly     Quarterly     Half Yearly      **AEP Frequencies**     Monthly     Quarterly     Half Yearly

**Payment Details for Scheme 2**      **Mode of Payment**     Cheque     DD     Funds Transfer     NEFT     RTGS

Amount Paid    ₹    A      DD Charges (if applicable)    ₹    B      Amount Invested    ₹    A + B  
 Cheque / DD Number    \_\_\_\_\_      Date    D   D   M   M   Y   Y      BANK / BRANCH

**BANK ACCOUNT DETAILS (For Payment Details of Scheme 2)** Mandatory information – If left blank the application is liable to be rejected.

**Account Type**     Current     Savings     NRO     NRE     FCNR      **Account Number**    \_\_\_\_\_  
**Bank Details**      NAME OF BANK      BRANCH NAME / CITY

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. For Third Party Payment Declaration form and instructions please refer to pages 13-14 and 19 respectively.

Please ensure that the Bank Account details are mentioned separately, for Cheque and Demand Draft (DD) payments for Investments in **Scheme 1** and in **Scheme 2**. ^ AEP - Automatic encashment plan

**6 NOMINATION DETAILS** • For Single nomination, please fill in the details below • For Multiple nominations, please use the form on page 17

I/We hereby nominate the under-mentioned Nominee to receive the amounts to my/our credit in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

Date of Birth is MANDATORY in case Nominee is a minor

Nominee      NAME OF NOMINEE      Date of Birth    D   D   M   M   Y   Y  
 Guardian      IF NOMINEE IS A MINOR - MANDATORY      RELATIONSHIP WITH MINOR  
 Nominee's Address      HOUSE / FLAT NO      STREET ADDRESS  
 CITY / TOWN      PIN CODE      SIGNATURE OF GUARDIAN

**7 INVESTOR(S) DECLARATION & SIGNATURE(S)**

The Trustee, **ICICI Prudential Mutual Fund**, I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd. (the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s). I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. **If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).**

SIGNATURE OF FIRST APPLICANT      SIGNATURE OF SECOND APPLICANT      SIGNATURE OF THIRD APPLICANT      D   D   M   M   Y   Y



**ACKNOWLEDGEMENT SLIP**  
Please Retain this Slip

To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.

<b>1</b> Scheme	<b>ICICI PRUDENTIAL</b>	SCHEME AND OPTION	₹	AMOUNT	CHEQUE / DD No.	D	D	M	M	Y	Y
<b>2</b> Scheme	<b>ICICI PRUDENTIAL</b>	SCHEME AND OPTION	₹	AMOUNT	CHEQUE / DD No.	D	D	M	M	Y	Y
<b>1</b>	DRAWN ON BANK & BRANCH		<b>2</b>	DRAWN ON BANK & BRANCH		EXISTING FOLIO NO.					



# COMMON APPLICATION FORM FOR SYSTEMATIC INVESTMENTS

Application No. \_\_\_\_\_

Please read INSTRUCTIONS (Page 18-20) carefully. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

<b>ARN- BROKER CODE</b>	<b>SUB-BROKER CODE</b>	<b>FOR OFFICIAL USE ONLY</b>
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		SERIAL NUMBER, DATE & TIME OF

**1 EXISTING UNITHOLDERS INFORMATION** If you have an existing folio no. with PAN & KYC validation, please mention your name & folio No. and proceed to Step 4

Name  Mr.  Ms.  M/s  FIRST  MIDDLE  LAST  Folio No.

**2 APPLICANT(S) DETAILS** (Please Refer to Instruction No. II (b)) Mandatory information - If left blank the application is liable to be rejected.

1st Applicant  Mr.  Ms.  M/s  FIRST  MIDDLE  LAST  Date of Birth\* 

D	D	M	M	Y	Y	Y	Y
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PAN\*  Enclosed (Please )<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

Name of \*\*  Mr.  Ms.  GUARDIAN IN CASE FIRST APPLICANT IS A MINOR OR CONTACT PERSON IN CASE OF NON-INDIVIDUAL APPLICANTS

PAN\*  Enclosed (Please )<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

2nd Applicant  Mr.  Ms.  FIRST  MIDDLE  LAST  Date of Birth 

D	D	M	M	Y	Y	Y	Y
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PAN\*  Enclosed (Please )<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

3rd Applicant  Mr.  Ms.  FIRST  MIDDLE  LAST  Date of Birth 

D	D	M	M	Y	Y	Y	Y
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PAN\*  Enclosed (Please )<sup>5</sup>  Attested PAN Card  KYC Acknowledgement Letter

<sup>5</sup> For PAN & KYC requirements, please refer to the instruction Nos. II b(4), V(l) & X \* Name of Guardian/Contact Person is Mandatory in case of Minor/Non-Individual Investor

<b>Mode of holding</b> [Please tick (✓)] <input type="radio"/> Single <input type="radio"/> Joint <input type="radio"/> Anyone or Survivor <i>(Default option: Anyone or Survivor)</i>	<b>Status of First Applicant</b> [Please tick (✓)] <input type="radio"/> Others <input type="radio"/> Minor <input type="radio"/> NRI/PIO <input type="radio"/> Resident Individual <input type="radio"/> HUF <input type="radio"/> Trust <input type="radio"/> Bank/FI <input type="radio"/> AOP/Bol <input type="radio"/> Club/Society <input type="radio"/> Company <input type="radio"/> FII	<b>PLEASE SPECIFY</b> <input type="radio"/> Sole Proprietorship <input type="radio"/> Partnership Firm <input type="radio"/> Company <input type="radio"/> FII
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<b>Correspondence Address (Please provide full address)*</b>	<b>Overseas Address (Mandatory for NRI / FII Applicants)</b>
<input type="text"/> HOUSE / FLAT NO.	<input type="text"/> HOUSE / FLAT NO.
<input type="text"/> STREET ADDRESS	<input type="text"/> STREET ADDRESS
<input type="text"/> STREET ADDRESS	<input type="text"/> STREET ADDRESS
<input type="text"/> CITY / TOWN	<input type="text"/> CITY / TOWN
<input type="text"/> STATE	<input type="text"/> STATE
<input type="text"/> COUNTRY	<input type="text"/> COUNTRY
<input type="text"/> PIN CODE	<input type="text"/> PIN CODE

Tel. (Off.)  Tel. (Res.)  Fax

Email  Mobile

**Occupation** [Please tick (✓)]  Professional  Business  Retired  Housewife  Service  Student  Others (Please specify)

Please  if you wish to receive Account statement / Annual Report/ Other statutory information via Post instead of Email

Please  any of the frequencies to receive **Account Statement through e-mail** <sup>£</sup>:  Daily  Weekly  Monthly  Quarterly  Half Yearly  Annually

\* Mandatory information - If left blank the application is liable to be rejected. <sup>£</sup> Please refer to instruction no.IX

**3 BANK ACCOUNT DETAILS OF FIRST APPLICANT** (Please Refer to Instruction No. III) Mandatory information - If left blank the application is liable to be rejected.

**Account Type**  Current  Savings  NRO  NRE  FCNR **Account Number**

**Name of Bank**

**Branch Details**  BRANCH NAME  BRANCH CITY

**9 Digit MICR code**  **11 Digit IFSC Code**

**4 DEMAT ACCOUNT DETAILS OF FIRST APPLICANT** (Please refer Instruction No. XI)  NSDL OR  CDSL

**Depository Participant (DP) ID (NSDL only)**  **Beneficiary Account Number (NSDL only)**  **Depository Participant (DP) ID (CDSL only)**

**FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US**

**ICICI Prudential Asset Management Company Limited**

3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051. India

Application No. \_\_\_\_\_

SIGNATURE STAMP & DATE

SIGNATURE STAMP & DATE

SIGNATURE STAMP & DATE

**TOLL FREE NUMBER** 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL** enquiry@icicipruamc.com **WEBSITE** www.icicipruamc.com

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

**5 INVESTMENT & PAYMENT DETAILS (Refer Instruction No. IV)** For Plans & Sub-options please see key features for scheme specific details

Name of scheme **ICICI PRUDENTIAL**

**Option & Sub option** (Please ✓ the appropriate boxes only if applicable to the scheme in which you plan to invest)

Retail Option   
  Institutional Option   
  Growth/Cumulative   
 OR   
 Dividend –  Reinvestment or  Payout   
 OR   
 AEP^ –  Regular\* or  Appreciation  
\* Cumulative – AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)

**Dividend Frequencies**  Daily  Weekly  Fortnightly  Monthly  Quarterly  Half Yearly   
 **AEP Frequencies**  Monthly  Quarterly  Half Yearly

Micro SIPs (Please ✓) Mandatory for Investment of equal to or less than ₹ 50,000/- per annum under SIP registration (Please refer instruction No. V(II))

1 <sup>st</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER
2 <sup>nd</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER
3 <sup>rd</sup> Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) ( IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER

**SIP Through**  ECS/Standing Instruction / Direct Debit  PDCs †   
 **SIP Date**  7<sup>th</sup>  10<sup>th</sup>  15<sup>th</sup>  25<sup>th</sup>   
 **SIP Frequency\***  Monthly  Quarterly

**Payment Details for First Cheque/DD**   
 **Mode of Payment**  Cheque  DD  Funds Transfer  NEFT  RTGS

Amount Paid ₹    
 DD Charges (if applicable) ₹    
 Amount Invested ₹

Cheque/DD Number    
 Date    
 BANK / BRANCH

**Subsequent SIP Installment Details**

From Cheque No.  to Cheque No.    
 Amount Invested ₹

No. of Cheques    
 Drawn on

Start Month/Year    
 End Date\*\*  12 / 2014  12 / 2016  Or other please fill in alongside

^ AEP - Automatic encashment plan, Please ✓ applicable check boxes. \*Default SIP Frequency is Monthly. †PDC - Post dated Cheques

**SIP Top Up<sup>‡</sup>** (Optional) ₹    
 **Top Up Frequency<sup>‡</sup>**  Half Yearly  Yearly   
 #Top Up amount has to be in multiples of ₹ 500 only. ‡ In case of quarterly SIP, only yearly frequency is available under SIP TOP UP. Please refer to instructions V (k).

**BANK ACCOUNT DETAILS** (For Payment Details of SIP first Cheque/DD & Installments) Mandatory information – If left blank the application is liable to be rejected.

**Account Type**  Current  Savings  NRO  NRE  FCNR   
 **Account Number**

**Name of Bank**    
 **BRANCH NAME / CITY**

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. For Third Party Payment Declaration form and instructions please refer to pages 19-20 and 25 respectively.

Please ensure that the Bank Account details are mentioned for Cheque and Demand Draft (DD) payments for firsts & subsequent payment details.

**6 NOMINATION DETAILS** • For Single nomination, please fill in the details below • For Multiple nominations, please use the form on page 23

I/We hereby nominate the under-mentioned Nominee to receive the amounts to my/our credit in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

Date of Birth is MANDATORY in case Nominee is a minor

**Nominee**    
 **Date of Birth**

**Guardian**    
 **RELATIONSHIP WITH MINOR**

**Nominee's Address**    

**7 INVESTOR(S) DECLARATION & SIGNATURE(S)**

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s). I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

SIGNATURE OF FIRST APPLICANT   
 SIGNATURE OF SECOND APPLICANT   
 SIGNATURE OF THIRD APPLICANT



**ACKNOWLEDGEMENT SLIP**  
Please Retain this Slip

To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.

Scheme **ICICI PRUDENTIAL**   
 SCHEME AND OPTION    
 ₹ TOTAL AMOUNT    
 ₹ AMOUNT PER CHEQUE

From Cheque/DD No.    
 To Cheque/DD No.    
 BANK AND BRANCH

From Date    
 End Date\*\*  12 / 2014  12 / 2016  12 / 2021  12 / 2099





Please read INSTRUCTIONS overleaf carefully. All sections to be completed in ENGLISH in BLACK / DARK COLOURED INK and in BLOCK LETTERS.

<b>ARN- BROKER CODE</b>	<b>SUB-BROKER CODE</b>	<b>FOR OFFICIAL USE ONLY</b>
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		SERIAL NUMBER, DATE & TIME OF RECEIPT

Please tick (✓)  New Registration  Cancellation  Change in Bank Account\* [\*Please provide a cancelled cheque] Date: 

D	D	M	M	Y	Y
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The Trustee, ICICI Prudential Mutual Fund,  
I/We have read and understood the contents of the Offer Document/Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

<b>Sole/First Applicant's Name</b>	<b>Existing Folio No.</b>
Mr. Ms. M/s FIRST MIDDLE LAST	

Scheme Name: ICICI PRUDENTIAL \_\_\_\_\_  
 Plan/Option\*: \_\_\_\_\_ Sub-Option\*: \_\_\_\_\_  
 \*Refer to the "Key Scheme Features" on pages 21-22

SIP Frequency:  Monthly  Quarterly  
 (Default SIP frequency is Monthly)  
 In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP.

Each SIP Amount: Rs. \_\_\_\_\_ Rupees in words: \_\_\_\_\_

SIP Start Month/Year  

M	M	Y	Y	Y	Y
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**SIP TOP UP (Optional)** (Tick to avail this facility) **TOP UP Amount\*: Rs.** \_\_\_\_\_ **TOP UP Frequency:**  Half Yearly  Yearly  
 \* TOP UP amount has to be in multiples of Rs.500 only. [Please refer to Instruction No. C(6)]

SIP End Month/Year  
 12 / 2014  12 / 2016  
 12 / 2021  12 / 2099  
 Or other please fill in below

**YOUR CONFIRMATION/DECLARATION:** I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

SIP Date:  
 7th  10th  15th  25th

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

1st Holder	2nd Holder	3rd Holder
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I/We, Mr. / Ms. / M/s. \_\_\_\_\_ (NAME AS PER THE BANK RECORD) \_\_\_\_\_ (NAME AS PER THE BANK RECORD)

hereby authorise ICICI Prudential Mutual Fund and their authorised service providers to debit from my/our Bank Account No. mentioned below (hereinafter referred as "funding account") by ECS (Debit Clearing)/Direct Debit for collection of SIP payments/authorise the bank to record a Standing Instruction for debit to my bank account as mentioned below, as instructed by ICICI Prudential Mutual Fund.

**PARTICULARS OF BANK ACCOUNT**

Account Type  Current  Savings  NRO  NRE  FCNR Account Number \_\_\_\_\_

Name of Bank \_\_\_\_\_

Branch Name \_\_\_\_\_ BRANCH CITY \_\_\_\_\_

9 Digit MICR code \_\_\_\_\_ (Please enter the 9 digit number that appears next to the cheque number). In case of At Par accounts, kindly provide the correct MICR number of the bank branch. MICR code starting and/or ending with 000 are not valid for ECS.

Enclosed [please tick (✓)]:  Blank cancelled cheque  Photocopy of Cheque [Please refer to Instruction No. C(5)]

**Authorisation of the Bank Account Holder for Auto Debit (ECS)/Standing Instruction/Direct Debit**

I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform ICICI Prudential Mutual Fund, about any changes in my bank account. I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme. I/We apply for the units of the Scheme and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. This is to inform I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my payment towards my investment in ICICI Prudential Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS mandate Form to get it verified & executed. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is not effected at all for reasons of incomplete or incorrect information, the user institution would not be held responsible. I/We agree to abide by the terms, conditions, rules and regulations of this facility. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the Mutual Fund or the Bank responsible. If the date of debit to my/our account happens to be a non banking/business day as defined in the Scheme Information Document of the said Scheme of ICICI Prudential Mutual Fund, execution of the debit will happen as per the normal practice of the bank mandated by the investor and allotment of units will happen as per the Terms and Conditions listed in the Scheme Information Document of the Mutual Fund. I/We have read and understood the Scheme Information Document(s) of the Fund. I/We apply for the units of the scheme and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. I/We confirm to have understood the terms & conditions, investment objectives, investment pattern, fundamental objectives and risk factors applicable to the Plans and/or Options under the Scheme(s). I/We agree to abide by the terms, conditions, rules and regulations of the Plan(s). I/We have understood the details of the scheme and I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby agree to avail the TOP UP facility for SIP and authorize my bank to execute the ECS/Standing Instruction/Direct Debit for a further increase in installment from my designated account. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay / wrong debits on the part of the bank for executing the standing instructions of additional sum on a specified date from my account. If the transaction is not effected at all for reasons of incomplete or incorrect information, the user institution would not be held responsible. I/We agree to abide by the terms, conditions, rules and regulations of this facility. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

**SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS (Mandatory)**

1st Holder	2nd Holder	3rd Holder
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**BANK MANDATE SECTION (Mandatory)**

<b>ICICI PRUDENTIAL MUTUAL FUND</b>	<b>ACKNOWLEDGEMENT SLIP</b> (To be filled in by the investor) SIP Amount Rs. _____ SIP Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> SIP TOP UP Amount Rs. _____ Frequency: <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly	Scheme Name: _____ Option: _____ Sub-Option: _____ Folio No./ Application No. _____ Acknowledgement Stamp
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## TERMS AND CONDITIONS

### A) SIP Payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

#### List Cities for SIP Auto Debit via ECS (Debit Clearing)

• Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Baroda • Belgaum • Bhavnagar • Bhillwara • Bhopal • Bhubaneswar • Bijapur • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangeree • Dehradun • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hassan • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Kota • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • New Delhi • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Thiruvananthapuram • Tirunelveli • Tirupati • Tirupur • Trichur • Trichy • Tumkur • Udaipur • Udupi • Varanasi • Vijaywada • Vishakhapatnam.

1. This facility is offered to the investors having bank accounts in the select cities mentioned above. The cities in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of ICICI Prudential Mutual Fund without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
2. The bank account provided for ECS (Debit) should participate in local MICR clearing.
3. SIP auto debit is available only on specific dates of the month viz. 7th/10th/15th/25th. In case 7th/10th/15th/25th is a holiday, then next business day. In case the Auto Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
4. Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.  
The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received **30 days prior to the subsequent SIP date.**
5. The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
6. Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for ECS.
7. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
8. In case of "At Par" cheques, investors need to mention the MICR number of his actual bank branch.
9. Investor(s) must select only one SIP Date and SIP End Month. In case the investor does not select any Date/End Month or selects Multiple Dates/Months, the AMC reserves the right to reject the application.

### B) SIP Payment through Standing Instruction/Direct Debit Facility

1. Standing Instruction/Direct Debit facility is offered to the investors having Bank Account with:

Nature of facility	Banks
Standing instruction	Axis Bank, HDFC Bank, ICICI Bank, State Bank of India & The Dhanalakshmi Bank Ltd.
Direct debit	IDBI Bank, Indusind Bank & Kotak Mahindra Bank.
Direct debit (Only Core Banking branches*)	Bank of Baroda, Bank of India, Punjab National Bank & Union Bank of India.

\* Please contact your local bank branch to confirm if it offers core banking facility.

2. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date.
3. Standing Instructions incomplete in any respect are liable to be rejected.
4. SIP is liable for cancellation if direct debit fails for three consecutive times.
5. The Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

### C) General Instructions

1. Existing investors need to provide their folio number in this Standing Instruction or the Auto Debit form and need not to fill in the Common Application Form.  
**For minimum application amount to be invested in SIP, risk factors, features etc. please refer to the Key Information Memorandum.**
2. If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
3. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
4. For load structure of the schemes, please refer to the Key Scheme Features.
5. In case of SIP with payment mode as ECS/Auto Debit, investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
6. **SIP TOP UP Facility:**
  - (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals.
  - (b) The TOP UP amount has to be in multiples of Rs.500 only.
  - (c) The frequency is fixed at Yearly and Half Yearly basis. In case the frequency is not selected, the TOP UP facility may not be registered.
  - (d) In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.
7. The investor hereby agrees to indemnify and not hold responsible, the AMC and its employees, the R&T agent and the service providers in case his/her bank is not able to effect any of the payment instructions for whatsoever reason.





**5 DIVIDEND TRANSFER (DTP) (Please refer to instruction No. XIV)**

Name of Source scheme	ICICI PRUDENTIAL	(SCHEME FROM WHICH YOU WISH TO OPT FOR DTP)
Name of Target scheme	ICICI PRUDENTIAL	(SCHEME INTO WHICH YOU WISH TO TRANSFER DIVIDEND)
<b>Option &amp; Sub option</b> (Please ✓ the appropriate boxes only if applicable to the scheme into which you wish to transfer dividend)		
<input type="radio"/> Retail Option <input type="radio"/> Institutional Option	<input type="radio"/> Growth OR <input type="radio"/> Dividend -	<input type="radio"/> Reinvestment or <input type="radio"/> Payout OR <input type="radio"/> AEP ^ - <input type="radio"/> Regular* or <input type="radio"/> Appreciation
* Cumulative - AEP Regular Option: Encashment of units is subject to declaration of dividend in the respective Scheme(s). Please refer to Instruction no. IV(c)		
Dividend Frequencies <input type="radio"/> Daily <input type="radio"/> Weekly <input type="radio"/> Fortnightly <input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Half Yearly		AEP Frequencies <input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Half Yearly

**6 INVESTOR(S) DECLARATION & SIGNATURE(S)**

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s). I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. **If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).**

SIGNATURE OF FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT	D	D	M	M	Y	Y
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**ACKNOWLEDGEMENT SLIP** To be filled by investor Subject to realization of cheque & furnishing of mandatory information / documents.  
Please Retain this Slip

Scheme	ICICI PRUDENTIAL	SCHEME AND OPTION	Rs.	AMOUNT	UNITS
<input type="radio"/> STP <input type="radio"/> SWP <input type="radio"/> DTP	EXISTING FOLIO NO. /				
SOURCE / FROM SCHEME	TARGET / TO SCHEME	FREQUENCY & NO. OF INSTALLMENTS			



## IMPORTANT NOTES - PLEASE READ BEFORE FILLING UP THE FORM

1. This Application Form is meant to enable a person to comply with the client identification programme laid down by the Prevention of Money Laundering Act, 2002 (PMLA) hereinafter referred to as Know Your Client (KYC) requirements. It is for use by **INDIVIDUALS** only. A separate form is provided for non-individual entities such as Hindu Undivided Family (HUF), Corporates, Trusts, Societies, etc.
2. This form is not an Investment Application Form, and is only meant for providing information and documents required for KYC compliance. Applicant must be KYC compliant while investing with any SEBI registered Mutual Fund which has subscribed to the services of CDSL Ventures Limited (CVL) for compliance of the KYC procedure. A list of participating Mutual Funds is available on the website of AMFI at [www.amfiindia.com](http://www.amfiindia.com).
3. Subscription to participating Mutual Fund Units may be made only after obtaining the KYC Acknowledgement at their respective designated Points of Acceptance / Investor Service Centres.
4. Each Unitholders / Investors must attach their KYC Acknowledgement along with the Investment Application Form(s) / Transaction Slip(s) while investing for the first time in every folio. Applications Forms / Transaction Slips not accompanied by KYC Acknowledgement are liable to be rejected by the participating Mutual Funds.
5. **Joint Holders:** Joint holders need to be individually KYC compliant before they can invest with any participating Mutual Fund. e.g. in case of three joint holders, all holders need to be KYC compliant and copies of each holder's KYC Acknowledgement must be attached to the investment application form with any Mutual Fund.
6. **Minors:** In case of investments in respect of a Minor, the Guardian should be KYC compliant and attach their KYC Acknowledgement while investing in the name of the minor. The Minor, upon attaining majority, should immediately apply for KYC compliance in his/her own capacity and intimate the participating Mutual Fund(s) concerned, in order to be able to transact further in his/her own capacity.
7. **Power of Attorney (PoA) Holder:** Investors desirous of investing through a PoA must note that the KYC compliance requirements are mandatory for both the PoA issuer (i.e. Investor) and the Attorney (i.e. the holder of PoA), both of whom should be KYC compliant in their independent capacity and attach their respective KYC Acknowledgements while investing.
8. If an individual becomes a Mutual Fund Investor due to an operation of law, e.g., transmission of units upon death of an investor, the claimant / person(s) entering the Register of Unitholders of the participating Mutual Fund(s) will be required to be KYC compliant before such transfer can take place.
9. The KYC process requires investors to provide their Proof of identity (PAN card copy only) and Proof of Address (any valid documents listed in section B of the KYC Application Form) to comply with KYC requirements. Participating Mutual Funds reserve the right to seek any additional information / documentation in terms of the PMLA at any point of time.
10. Participating Mutual Funds/ CVL will not be liable for any errors or omissions on the part of the applicant / Unit holders in the KYC Application Form. Documents received in support of KYC requirements will be verified at the designated "Points of Service" (PoS), on a best effort basis. However acceptance and processing of the KYC Application Form is subject to independent verification by CVL. In the event of any KYC Application Form being rejected for lack of information / deficiency / insufficiency of mandatory documentation, etc. CVL will inform the applicant of such rejection.
11. The participating Mutual Fund, its Asset Management Company (AMC), Trustee Company and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios / rejection of any application / non-allotment of units or mandatory redemption of units / refund due to non-compliance with the provisions of the PMLA, SEBI guidelines or where the AMC / Mutual Fund believes that transaction(s) by an applicant / investors is / are suspicious in nature within the purview of the PMLA and SEBI guidelines and requires reporting the same to Financial Intelligence Unit - India (FIU-IND).
12. Once the investor is KYC compliant, he will be required to intimate his/her KYC details to all the participating Mutual Funds with whom he/she has investments. The KYC Compliance will be deemed to have been completed for the investor in all folios held by him/her (identified by his/her PAN) in the records of the participating Mutual Fund.
13. In case of NRI, details of overseas address along with proper proof for the same & passport copy are mandatory documents.

## GUIDELINES FOR FILLING UP THE KYC APPLICATION FORM

### General

1. The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS**.
2. Please tick in the appropriate box wherever applicable.
3. Please fill the form in legible handwriting so as to avoid errors in your application processing. Please do not overwrite. Corrections should be made by canceling and re-writing, and such corrections should be counter-signed by the applicant.
4. Applications incomplete in any respect and/or not accompanied by required documents are liable to be rejected.
5. Applications complete in all respects and carrying necessary documentary attachments should be submitted at the designated PoS. A complete list of PoS is available on the website of AMFI at [www.amfiindia.com](http://www.amfiindia.com), [www.cdsiindia.com](http://www.cdsiindia.com) and **Mutual Fund websites**.
6. You are required to submit a Proof-of-Identity document and a Proof-of-Address document for each address filled by you in this form. Documents submitted to support Identity and Address should be

### i. Proof of Identity

- Original PAN Card + Self-attested photocopies (Originals will be returned over-the-counter after verification)

### ii. Proof of Address

- Original Documents + Self-attested photocopies (Originals will be returned over-the-counter after verification) **OR**
- True Copies attested by a Notary Public / Gazetted Officer / Manager of a Scheduled Commercial Bank or Multinational Foreign Banks (Name, Designation and Seal should be affixed on the copy). Unattested photocopies of an original document are not acceptable
- If the above documents including attestation / certifications are in regional language or foreign language then the same has to be translated into English for submission.

### A. Identity Details

1. Name: Please state your name as Title (Mr/Mrs/Ms/Dr/Commander/etc.), First, Middle and Last Name in the space provided. **This should match with the name as mentioned in the PAN card failing which the application is liable to be rejected. If the PAN card has a name by which the applicant has been known differently in the past, than the one provided in this application form, then requisite proof should be provided e.g. marriage certificate, or gazetted copy of name change.**
2. Date of Birth: Please ensure that this matches with the Date of Birth as indicated in the PAN card.
3. Nationality: Foreign Nationals are not allowed to apply, unless they are Non-Resident Indians (NRIs) or Persons of Indian Origin (PIO).
4. Status : Please tick your current residential status.
5. Please affix most recent colour photograph and sign across the photograph.

### B. Address Details

1. Address for Communication : Please provide here the address where you wish to receive all communications sent by the participating Mutual Funds with whom you invest. **The address you give here will supercede existing information in the records of the participating Mutual Fund / Registrars and Transfer Agent to the participating Mutual Fund. This address should match with the address in the 'Proof-of-Address' submitted as supporting document; otherwise the KYC Application Form is liable to be rejected.**
2. Contact Details: Please provide your Telephone / Email contact details. The contact details given by you here **will not supercede** existing information in the records of the participating Mutual Fund / Registrars and Transfer Agent to the participating Mutual Fund. You will have to independently communicate the same to them in case of any change(s).
3. Proof of Address Documents : Please note that **each** of the two addresses mentioned by you will need to be supported by a 'Proof-of-Address' bearing your or your spouse's / parent's

(documents to establish relationship also to be submitted) name as supporting documents. Please tick the box as applicable, for the document provided by you. You may attach any one of the following documents (Any document having an expiry date should be valid on the date of submission):

• Latest\* Land Line Telephone Bill • Latest\* Electricity Bill • Passport • Driving License • Latest\* Bank Passbook • Latest\* Bank Account Statement • Voter Identity Card • Ration Card • Latest\* Demat Account Statement • Registered Lease / Sale Agreement of residence • Proof of Address issued by Bank Managers of Scheduled Commercial Banks / Multinational Foreign Banks / Gazetted Officer/ Notary Public / Elected Representatives to the Legislative Assembly / Parliament / Any other document approved by AMFI as a valid address proof.

\* These documents should not be more than three months old as on the date of submission of this form.

4. Permanent Address / Overseas Address: If you are a Resident Indian, and your Permanent address is different from the one mentioned in the Address for Correspondence, please state it here. If you are a Non-Resident Indian or a Person of Indian Origin, it is mandatory for you to state your Overseas Address here.

### C. Other details

1. Gross Annual Income details: Please tick the applicable box indicating your Gross Annual Income (including both taxable and tax-free incomes).
2. Occupation details: Please indicate your current occupation by ticking the one most applicable to you. You are required to fill up the next section, if it additionally applies to you.
3. **Politically Exposed Persons (PEP)** are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/Judicial/ military officers, senior executives of state-owned corporations, important political party officials, etc.

**After you have completed filling up the KYC Application Form, please submit the same along with the entire set of supporting documents to any designated PoS. Please also submit a photocopy of the Form for acknowledgement purpose, which you can retain for your records.**

### Other important notes, after the KYC Acknowledgement is issued to you:

1. Please preserve the document from CVL which confirms your KYC compliance. You will need to attach photocopies of this document when you invest for the first time in every folio, in any participating Mutual Fund.
2. If you observe any error in the details captured by CVL, you are requested to approach your nearest designated PoS.
3. If you are already holding investments in any participating Mutual Funds, please provide a copy of your KYC acknowledgement, giving details of your Folio / account numbers to such Funds or their Registrars. Please note that your signature on the KYC Application Form should match with that on the records of the participating Mutual Funds.
4. In respect of new investors, signature on the Application Form for investing / transacting in participating Mutual Fund should match with that on this KYC Application Form.
5. After allotment of KYC compliance, if there are any changes in an Applicant's details such as Name, Address, Status, Income bracket, Occupation or Signature, the change should be registered with CVL through a designated PoS expeditiously, by using the KYC Details Change form. **It should be noted that only after such registration will the change be reflected in the participating Mutual Fund's records. Particularly with respect to change of address, investors should register such change giving 10 days time for the subsequent communications from participating Mutual Funds to reach them at their new address.** Original / Attested copies of documents supporting the change will be required to be submitted together with the KYC Details Change Form. **For any other investment related information or changes thereto, the applicant should approach the participating Mutual Funds or their Registrars.**

Investors Applying for KYC, Please Submit the KYC Documents on A4 Size Paper Only.

## CHECKLIST (Before submitting this form, please go through the following checklist)

1. Please ensure that the form is completed in all respects and signed by you.
2. Please affix your recent photograph and sign across the photograph.
3. Please attach your PAN card as proof of Identity. This should be a photocopy plus original for verification.
4. Please attach a Proof of Address Document (one for each distinct address). These should be either original + photocopies or attested / notarised photocopies.
5. If you are an NRI, you must mention your overseas address in B(4).
6. Please submit a photocopy of the duly completed KYC Application Form.

For assistance or enquiries please approach the Point of Service where you had submitted your KYC Application Form.





**Investment Manager: ICICI Prudential Asset Management Company Limited**

Regd. Office: 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi 110 001.

Corporate Office: 3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051, Tel: (91) (022) 26428000, Fax: (022) 2655 4165.

Central Service Office: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

### Third Party Payment Declaration

(This should be enclosed with each payment/SIP Enrolment)

**Payments by : Parent/Grand-Parents/Related Persons Other than the Registered Guardian**

**Payments to : To a Minor Folio only; In consideration of: Natural love and affection or as gift only**

**Maximum Value : Not Exceeding Rs 50,000/- (each regular purchase or per SIP installment)**

Folio No.		Application No.		PAN	
(For existing unitholders only)			KYC Acknowledgement : <input type="checkbox"/> Attached		

<b>Beneficial Minor's Name:</b>					
Mr. / Ms.					
<b>Investment Amount</b>	<b>Payment Cheque No.</b>	<b>Cheque Date</b>		<b>Cheque Drawn on A/c. No.</b>	
₹		D	D	M	M
<b>Cheque Drawn on Bank:</b>					

### DECLARATION & SIGNATURES

	Parent/Grand Parents/Related Persons other than the Registered Guardian	Guardian of Minor, as registered in the folio
<b>Name</b>		
<b>Relationship with Minor:</b>		
<b>IT PAN</b>		
<b>KYC Acknowledgement (Mandatory - any amount)</b>	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached
<b>Declaration</b>	I hereby declare and confirm that the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love and affection or as gift from my bank account only.	I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the minor.
<b>Signature</b>		
<b>Contact Number</b>		

### Bankers Certificate in case of Demand Draft/Pay Order/Any Other pre-funded instrument:

To whomsoever it may concern, we hereby confirm the following details regarding the instrument issued by us:

<b>Instrument Type:</b>	<input type="checkbox"/> Demand Draft	<input type="checkbox"/> Pay Order
<b>Instrument Number:</b>	Dated: D D M M Y Y	
<b>Investment Amount:</b>		
<b>In Favour of / Favours:</b>		
<b>Payable At:</b>		

### Details of Bank Account Debited for issuing the instrument

<b>Bank Account Number:</b>	<b>Account Type</b>
<input type="checkbox"/> Demand Draft OR <input type="checkbox"/> Pay Order } Issued through cash <input type="checkbox"/> Yes / <input type="checkbox"/> No   Debit from account <input type="checkbox"/> Yes / <input type="checkbox"/> No (Cash shall not be accepted for investment of Rs.50,000/- or more)	
<b>Account Holder/Applicant Details</b>	<b>Income Tax PAN</b>
1.	
2.	
3.	

### If the issuing bank branch is outside India

We further declare that we are registered as a Bank/branch as mentioned below:

<b>Under the Regulator</b>	Name of Regulator
<b>In the Country</b>	Country Name
<b>Registration No.</b>	Registration Number

We confirm having carried out necessary Customer due diligence with regard to the Beneficiary and to the source of the funds received from him, as per the standards of Anti-Money Laundering laws in our country.

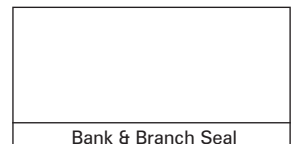
**Branch Manager/Declarant(s):**

Signature: \_\_\_\_\_

Name: \_\_\_\_\_ Address: \_\_\_\_\_

\_\_\_\_\_ City \_\_\_\_\_

Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_ Contact No. \_\_\_\_\_



**Custodian on behalf of an FII or client:**

Should be enclosed with each payment

**To whomsoever it may concern**

**Application and Payment Details**

*(All details below are mandatory)*

Folio No.		Application Form no.	
Beneficial Applicant/ Investor Name:			
Investment Amount			
Payment Mode	<input type="checkbox"/> Cheque	<input type="checkbox"/> Funds Transfer	<input type="checkbox"/> RTGS <input type="checkbox"/> NEFT
Payment Cheque /UTR No.		Dated:	D D M M Y Y
Payment from Bank:			
Payment from A/c No.:			

We further declare that we are registered as a Custodian with SEBI under Registration No: \_\_\_\_\_

We confirm that the beneficial owner stated above and that this payment is issued by us in our capacity as Custodian to the Applicant/Investor. The source of this payment is from funds provided to us by the Applicant/Investor.

Signature of Declarant(s): \_\_\_\_\_  
(Acting as a Banker/Custodian)

Name(s) of Declarant(s): \_\_\_\_\_

Income Tax PAN : \_\_\_\_\_

Address of Declarant(s): \_\_\_\_\_

City \_\_\_\_\_

Postal Code: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_

**Payment by Employer on behalf of Employee under Systematic Investments Plans through Payroll deductions, if applicable**

**To whomsoever it may concern**

We hereby declare that the application form no: \_\_\_\_\_ for subscription of units in \_\_\_\_\_

\_\_\_\_\_ (Name of the Scheme /Plan/ Option) is accompanied by cheque no: \_\_\_\_\_

dated \_\_\_\_\_ drawn on \_\_\_\_\_ (Name of the Bank / Branch).

We confirm that the beneficial owner(s) of the investment in these units is/are \_\_\_\_\_

\_\_\_\_\_ (Name of the Employee, with employee number), who is my / our employee and am providing the funds for these investments through the payroll deduction.

Signature of Declarant(s): \_\_\_\_\_

Name(s) of the Declarant(s): \_\_\_\_\_

Income Tax PAN : \_\_\_\_\_

Address of Declarant(s): \_\_\_\_\_

City \_\_\_\_\_

Postal Code: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_

**Signature(s) of Beneficiary(ies)**

1. \_\_\_\_\_ 2. \_\_\_\_\_ 3. \_\_\_\_\_

Please refer to the instruction no.IX for the details on Third Party Declaration.





**Investment Manager: ICICI Prudential Asset Management Company Limited**

Regd. Office: 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi 110 001.

Corporate Office: 3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051, Tel: (91) (022) 26428000, Fax: (022) 2655 4165.

Central Service Office: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

## Multiple Bank Accounts Registration Form

Please strike unused sections to avoid unauthorised use.

Folio No.		/		Application No.		PAN	
-----------	--	---	--	-----------------	--	-----	--

(For existing unitholders only)

Name of Sole/First Unitholder

Mr. / Ms. / M/s.	
------------------	--

### A – ADDITION OF BANK ACCOUNTS

Please register my/our following bank accounts for all investments in my/our folio. I/we understand that I/we can choose to receive payment proceeds in any of these accounts, by making a specific request in my/our redemption request. I/We understand that the bank accounts listed below shall be taken up for registration in my/our folio in the order given below and the same shall be registered only if there is a scope to register additional bank accounts in the folio subject to a maximum of five in the case of individuals and ten in the case of non individuals.

For each bank account, investors should produce originals for verification or submit originals of the documents mentioned below.

Name of the Bank	
Account Number	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/>
Branch Address	
City	
PIN	9 Digit MICR code ^
IFSC Code ^ ^ (11 digit)	
Document attached (Any one) – <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate	

^ 9 digit code on your cheque next to the cheque number. ^ ^ 11 digit code printed on your cheque.

Name of the Bank	
Account Number	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/>
Branch Address	
City	
PIN	9 Digit MICR code ^
IFSC Code ^ ^ (11 digit)	
Document attached (Any one) – <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate	

Name of the Bank	
Account Number	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/>
Branch Address	
City	
PIN	9 Digit MICR code ^
IFSC Code ^ ^ (11 digit)	
Document attached (Any one) – <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate	

Name of the Bank	
Account Number	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/>
Branch Address	
City	
PIN	9 Digit MICR code ^
IFSC Code ^ ^ (11 digit)	
Document attached (Any one) – <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate	

### B - DEFAULT BANK ACCOUNT

From among the bank accounts registered with you or mentioned above, please register the following bank account as a Default Bank Account into which future redemption and/or dividend proceeds, if any of the above mentioned folio will be paid:

Bank Account Number	Bank Name
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**SIGNATURES (To be signed as per mode of holding. In case of non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)**

Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder



**Investment Manager: ICICI Prudential Asset Management Company Limited**

*Regd. Office:* 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi 110 001.

*Corporate Office:* 3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051, Tel: (91) (022) 26428000, Fax: (022) 2655 4165.

*Central Service Office:* 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

### C - Bank Account Deletion Form

Folio No.		/		Application No.		PAN	
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*(For existing unitholders only)*

**Name of Sole/First Unitholder**

Mr. / Ms. / M/s.	
------------------	--

**Please delete the following Bank accounts as registered accounts for my/our above folio:**

<b>Bank Account Number</b>	<b>Bank Name</b>
<b>Bank Account Number</b>	<b>Bank Name</b>
<b>Bank Account Number</b>	<b>Bank Name</b>
<b>Bank Account Number</b>	<b>Bank Name</b>

*Deletion of a default bank account is not permitted unless the investor mentions another registered bank account as a default account in Part B of this Form.*

SIGNATURES (To be signed as per mode of holding. In case of non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)		
Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder

#### Instructions and Terms & Conditions:

- This facility allows a unit holder to register multiple bank account details for all investments held in the specified folio (existing or new). Individuals/HuF can register upto 5 different bank accounts for a folio by using this form. Non-individuals can register upto 10 different bank accounts for a folio. For registering more than 5 accounts, please use extra copies of this form.
- Please enclose a cancelled cheque leaf for each of such banks accounts. This will help in verification of the account details and register them accurately. The application will be processed only for such accounts for which cancelled cheque leaf is provided. Accounts not matching with such cheque leaf thereof will not be registered.
- If the bank account number on the cheque leaf is handwritten or investor name is not printed on the face of the cheque, bank account statement or pass book giving the name, address and the account number should be enclosed. If photocopies are submitted, investors must produce original for verification.
- Bank account registration/deletion request will be accepted and processed only if all the details are correctly filled and the necessary documents are submitted. The request is liable to be rejected if any information is missing or incorrectly filled or if there is deficiency in the documents submitted.
- The first/sole unit holder in the folio should be one of the holders of the bank account being registered.
- The investors can change the default bank account by submitting this form. In case multiple bank accounts are opted for registration as default bank account, the mutual fund retains the right to register any one of them as the default bank account.
- A written confirmation of registration of the additional bank account details will be dispatched to you within 10 calendar days of receipt of such request.
- If any of the registered bank accounts are closed/ altered, please intimate the AMC in writing of such change with an instruction to delete/ alter it from of our records.
- The Bank Account chosen as the primary/default bank account will be used for all Redemption payouts/ Dividend payouts. At anytime, investor can instruct the AMC to change the default bank account by choosing one of the additional accounts already registered with the AMC.
- In case redemption request accompanied with request for change of Bank mandate, the Asset Management Company will process the redemption but the release of redemption proceeds shall be deferred on account of additional verification, but will be within the regulatory limits as specified by Securities and Exchange Board of India time to time.
- If in a folio, purchase investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank accounts types for redemption can be SB/NRO/NRE.
- The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their various bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.



**NOMINATION FORM**

**ANNEXURE "1"**

ICICI Prudential Asset Management Company Limited  
 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063.  
 Phone: (91)(22) 26852000, Fax: (91)(22) 2686 8313 • e-mail: enquiry@icicipruamc.com

Date: \_\_\_\_\_

1. I/We, Mr/Ms/Mrs \_\_\_\_\_ hereby nominate the following to receive the amounts under folio no (mentioned below)/ application number (printed below) in the event of my/our death. For existing investors folio no. is mandatory, in the absence of which the nomination will be rejected.

**Nominee 1** Folio No. \_\_\_\_\_ Application No. \_\_\_\_\_

**Name**  
 Mr. Ms. M/s. \_\_\_\_\_

**Percentage of nomination** \_\_\_\_\_ **DOB (Mandatory if "Nominee 1" is minor)** \_\_\_\_\_

**Guardian's Name & Address (if "Nominee 1" is minor)** \_\_\_\_\_ **Guardian's Signature (if "Nominee 1" is minor)** \_\_\_\_\_

**Address**  
 \_\_\_\_\_  
 City \_\_\_\_\_ PIN \_\_\_\_\_  
 State \_\_\_\_\_ Country \_\_\_\_\_

**Nominee 2**  
**Name**  
 Mr. Ms. M/s. \_\_\_\_\_

**Percentage of nomination** \_\_\_\_\_ **DOB (Mandatory if "Nominee 2" is minor)** \_\_\_\_\_

**Guardian's Name & Address (if "Nominee 2" is minor)** \_\_\_\_\_ **Guardian's Signature (if "Nominee 2" is minor)** \_\_\_\_\_

**Address**  
 \_\_\_\_\_  
 City \_\_\_\_\_ PIN \_\_\_\_\_  
 State \_\_\_\_\_ Country \_\_\_\_\_

**Nominee 3**  
**Name**  
 Mr. Ms. M/s. \_\_\_\_\_

**Percentage of nomination** \_\_\_\_\_ **DOB (Mandatory if "Nominee 3" is minor)** \_\_\_\_\_

**Guardian's Name & Address (if "Nominee 3" is minor)** \_\_\_\_\_ **Guardian's Signature (if "Nominee 3" is minor)** \_\_\_\_\_

**Address**  
 \_\_\_\_\_  
 City \_\_\_\_\_ PIN \_\_\_\_\_  
 State \_\_\_\_\_ Country \_\_\_\_\_

2. I/We agree and accept that: (a) All payments and settlements made to nominee(s) and signature of nominee(s) acknowledging will constitute a full & valid discharge of the liability of the AMC/Mutual Fund/Trustees. (b) This nomination will stand cancelled in the event of the nominee(s) pre-deceasing me/us. In case of multiple nominations, if any of the nominee(s) is/are deceased, the said nominee(s) share will be distributed equally amongst the surviving nominees or in such proportion as may be communicated by me/us. (c) I/We have read the AMC's instructions on nomination in the KIM/Scheme Information Document and I/we hereby confirm to adhere to such rules or amendments thereto as may be made from time to time. (d) The nomination will be registered only when it is complete in all respects to the satisfaction of the AMC. (e) The AMC will not entertain any claim other than that of a registered nominee(s), unless so directed by any competent court. (f) This instruction supercedes all previous nominations made by me/us in respect of the folio(s) indicated above.

Signature

First Holder \_\_\_\_\_ Second Holder \_\_\_\_\_ Third Holder \_\_\_\_\_

**Instructions**

- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of units and witnesses.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the asset management company against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee."
- If the sum of the percentage entered in the nomination is less than 100% then this application will be rejected.
- If the nomination percentage is not mentioned, equal weightage would be given to each nominee.
- In case of existing customer the folio no. is mandatory. In the absence of which, the nomination will be rejected.

# INSTRUCTIONS FOR FILLING UP THE COMMON APPLICATION FORM

## I. GENERAL INSTRUCTIONS

- The application form is for Resident Investors/NRIs/FIIs and should be completed in English in BLOCK Letters.
  - The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.
- Signature mismatch cases:** While processing the redemption / switch out request in case the AMC / Registrar come across a signature mismatch, then the AMC / Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors. A list of such documents may be notified by AMC from time to time on its website.
- If you are a new investor and wish to apply for SIP through Auto Debit by way of Electronic Clearing Service (ECS) or Standing Instructions to your bank account, you are required to fill in the respective form, in addition to the Common Application Form.
  - The investors who wish to avail Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) / Dividend Transfer Plan (DTP) must fill in the Smart Features form in addition to the Common Application Form or use separate transaction forms available in any of the ICICI Prudential Mutual Fund Customer Service Centers.
  - The application form number, the scheme name and the name of the applicant should be mentioned on the reverse side of the instrument (Cheque, Demand Draft etc.) that accompanies the application.
  - The Application completed in all respects along with the cheque/demand draft, must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable, are liable to be rejected and the money paid will be refunded without interest.
  - No receipt will be issued for the Application money. The Customer Service Centers will stamp and return the acknowledgment slip in the application form, to acknowledge receipt of the application.
  - Overwriting on application forms/transaction slips:** In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.

## II. UNITHOLDERS INFORMATION

### a) Existing Unit-holders

If you have an existing folio with PAN validation & KYC validation (if applicable), please mention the Folio Number in Step 1 and proceed to Step 4 in the application form. Please note that the applicable details and mode of holding will be as per the existing folio.

### b) New Applicant

- Name and address must be given in full (P.O. Box Address is not sufficient). In the case of NRI/PIO/FII investors, an overseas address must also be provided.
- Name of the guardian must be mentioned, if the investments are being made on behalf of a minor. Date of Birth of the First Applicant is mandatory in case of a minor.

**Investments of the existing minor investor, on minor attaining majority:** Upon attaining majority, a minor has to write to the fund, giving his/her specimen signature duly authenticated by his/her banker, as well as his/her new bank mandate, PAN details, UIN details (if applicable as per prevalent SEBI Guidelines), in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his/her own right.

- In case of an application under Power of Attorney (PoA) or by a Limited Company, Body Corporate, Registered Society, Trust or Partnership etc., the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

**Power of Attorney (POA):** In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc., under his/her folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document, to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, PoA holder's signature is available in the PoA or proof of identity along with signature is produced along with the PoA.

- PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir-05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. Accordingly, any application not accompanied with the PAN is liable to be rejected. Please note that the PAN copy needs to be attested by any of the following: (a) At the Mutual Fund office (verification with original to be done by the person accepting the documents) (b) Your Financial Advisor (c) Your Bank Manager (d) Gazetted Officer (e) Notary (f) Judicial Authority.
- Applicants should indicate their status by ticking the appropriate check-box. Applications without a tick in the 'Status' box will be considered as investment by 'Others'. Those who select the status as 'Others', they should specify their status in the space provided.
- Applicants should specify the mode of holding. In case it is not mentioned, the default will be 'anyone or survivor'. In the case of joint holders, the first named holder shall receive all the Account Statements, dividends / redemptions / refund warrants and any other correspondence sent from time to time.
- Name of a contact person should be mentioned in case of the investment by a Company/Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society/AOP/BOI.
- Transactions without Scheme/Option Name:** In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the payment instrument. In case of fresh/additional purchases, if the Scheme name is not mentioned on the application form/transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque/Demand Draft. The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

## III. BANK DETAILS

The first Unit-holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular I/AMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete. Please quote 9 Digit MICR Code No. of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book after your cheque number). Please attach a blank "cancelled" Cheque or a clear photocopy of a cheque issued by your bank verifying of the Code Number. The AMC reserves the right to make dividend/redemption payments through ECS/NFT/RTGS where details are

available.

## IV. INVESTMENT DETAILS

- The Investor has to fill a separate form for schemes that he/she wishes to invest in through a Lumpsum Investment and Systematic Investments
  - The Investor can opt to invest in 2 different schemes through one Lumpsum Investment form and must ensure that all the relevant details are correctly filled.
  - The Investor can invest in only one scheme through one Systematic Investment form; he/she has to fill a separate form for each scheme in which he/she wishes to invest in through a Systematic Investment Plan.
- Investor should select only one option (growth/dividend) and corresponding sub-option (e.g. Reinvest/Payout in case of Dividend Option) under the scheme(s) wherever applicable by ticking the appropriate box. In case of Dividend Transfer Plan, the Investor must fill in the Smart Features form separately.
- Investors opting for the Automatic Encashment Plan (AEP) option (under the Monthly Income Plan, MIP 25 & Income Plan) are requested to choose either the AEP-Regular option or the AEP Appreciation Option. The investor has the option of selecting either Monthly/Quarterly/Half Yearly sub option under the Appreciation Option. In case investor has selected multiple options under AEP, the default option would be AEP Regular option, and the default sub option under Appreciation Option would be Monthly sub option.
- In case, the investor has not selected the option/sub-option for his investments, default option/sub-option as prescribed in the offer document of the relevant scheme will be applied.
- For minimum application amount &, please refer to Key Scheme Features Table.

## V. SYSTEMATIC INVESTMENT PLAN (SIP)

- For availability of Systematic Investment Plan (SIP) Facility, please refer to the Key Scheme Features on page nos. 27-31 & also refer to Instruction No.VI (a) for mode of payment for SIP through PDCs.
- Investors opting for the SIP Facility, need to fill the Common Application Form For Systematic Investments and tick any of the payment option such as
  - SIP payment through Bank Standing Instruction/Direct Debit
  - Auto Debit by way of Electronic Clearing Service (ECS)
  - Post Dated Cheques (PDCs).
  - If the payment option is Auto Debit through ECS or Standing Instruction to the Bank, investors also need to fill & sign the respective Standing Instructions form.
- The Second SIP installment amount and the subsequent SIP installment amounts should be of the same amount. However, the First SIP installment need not be of the same amount as Second and Subsequent SIP installments amount. This is applicable only to investors opting for SIP through PDCs.
- In case of SIP transaction where, the mode of payment is through Standing Instruction/Auto Debit facility (offered by select banks) or ECS, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment.
- Investors subscribing for SIP are required to submit their SIP request at least 30 days prior to the date of first debit date and the SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.
- In case of SIP with payment mode as ECS/Auto Debit, the investors are required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
- For exit load structure under the Schemes, please refer to the Key Scheme Features.
- Please issue post dated cheques dated for either the 7th or 10th or 15th or 25th of the month. A credit confirmation will be sent to the unit holder indicating the new balance to his or her credit in the account.

1. For cheques drawn from locations as determined by ICICI Prudential Asset Management Company Ltd. (the AMC) from time to time and notified on its website www.icicpruamc.com

- Option 1:** The First SIP installment should be paid through a Demand Draft payable at places where the Official Points of Acceptance of Transaction of the AMC are located. All the other installments should be through post dated cheques for the SIP dates, i.e. the 7th or 10th or 15th or 25th of the month. The SIP date selected by an Investor should fall at least 30 days after the date of first SIP installment. For Example; if the first SIP installment is on October 12, 2010, then the SIP date that can be selected by an investor for the second and subsequent installments should fall after November 11, 2010.
- Option 2:** In case all the installments (i.e. including the first Installment) are paid through post-dated cheques, the SIP Application along with the post-dated cheques should be submitted at the Official Points of Acceptance of Transactions of the AMC, at least 30 days before the start of the SIP. The Post dated cheques for all of the SIP installments (including the first Installment), should be dated as per the specified SIP dates only, i.e. the 7th or 10th or 15th or 25th of the month.
- For all SIP applications with Post Dated Cheques, the SIP date selected by an Investor should fall at least 30 days after the date of first SIP installment. For Example; if the first SIP installment is on October 12, 2010, then the SIP date that can be selected by an investor for the second and subsequent installments should fall after November 11, 2010.

- SIP Auto Debit via ECS (Debit Clearing) is available at the following 87 centers: • Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Baroda • Belgaur • Bhavnagar • Bhillwara • Bhopal • Bhubaneswar • Bijapur • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hassan • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Kota • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • New Delhi • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Thiruvananthapuram • Tirunelveli • Tirupati • Tirupur • Trichur • Trichy • Tumkur • Udaipur • Udupi • Varanasi • Vijaywada • Vishakhapatnam.
- Existing investors opting for a SIP need to provide their Folio Number along with the SIP details.
- SIP TOP UP Facility:** (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals. (b) The TOP UP amount has to be in multiples of Rs.500 only. (c) The frequency is fixed at a Half Yearly and a Yearly basis. If the frequency is not selected, the TOP UP facility may not be registered. (d) In case of Quarterly SIP frequency, only Yearly frequency is available under SIP TOP UP.
- MICRO SIP:** Systematic Investment Plans (SIP's) where the aggregate of installments in a rolling 12 month period or in a financial year i.e. April to March, does not exceed Rs 50,000 (to be referred as "Micro SIP" hereinafter). Micro SIP investors are required to submit any of the following **Photo Identification Documents** along with the Micro SIP applications as a proof of identification, if the investors PAN Card details are not provided: (1) Voter Identity Card; (2) Driving License; (3) Government/Defense identification card; (4) Passport; (5) Photo Ration Card; (6) Photo Debit Card (Credit card not included because it may not be backed up by a bank account); (7) Employee ID cards issued by companies registered with Registrar of Companies (the database is available at the following link of the Ministry of Company Affairs - <http://www.mca.gov.in/DCAPortalWeb/>) (8) Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer /



# INSTRUCTIONS FOR FILLING UP THE COMMON APPLICATION FORM (Contd.)

Elected Representatives to the Legislative Assembly / Parliament; (9) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; (10) Senior Citizen / Freedom Fighter ID card issued by Government; (11) Cards issued by Universities / deemed Universities or institutes under statutes like ICAL, ICWA, ICSI; (12) Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); (13) Any other photo ID card issued by Central Government / State Governments / Municipal authorities/ Government organizations like ESIC/EPFO.

Investors (including joint holders) will submit a photocopy of any one of the above documents identified along with the Micro SIP application. Supporting document should be current and valid and copy shall be self attested by the investor/attested by the ARN holder mentioning the ARN. Micro SIP application without the supporting document will liable to be rejected.

This exemption will not be applicable to normal purchase transactions upto Rs. 50,000 which will continue to be subject to PAN requirement.

This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible for Micro SIPs.

In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect, however, redemptions shall be allowed.

## VI. MODE OF PAYMENT

- The cheque/demand draft should be drawn in favour of ICICI Prudential "Scheme Name" for example ICICI Prudential Liquid Plan, as the case may be and crossed "Account Payee Only". You may also refer to the Index on Page Number 2 for the same.
- Separate Cheques / Demand Drafts are required for each scheme in which an investor invests.
- Payments by Stock-invests, cash, postal orders, money orders and outstation cheques will not be accepted.
- The Fund will bear the demand draft charges subject to a maximum of Rs. 50,000/- per transaction for purchase of units by investors residing at locations where the Asset Management Company (AMC's) Customer Service Centers/Collection Centers are not located as mentioned in the table below:

Amount of Investment	Rate of Charges of Demand Draft(s)
Upto Rs.10,000/-	At actual, subject to a maximum of Rs. 50/-
Above Rs.10,000/-	Rs. 3/- per Rs. 1000/-
Maximum Charges	Rs. 50,000/-

However, the demand draft charges will not be reimbursed for ICICI Prudential Liquid Fund, ICICI Prudential Short Term Plan, Prudential ICICI Gilt Fund – Treasury Plan and ICICI Prudential Floating Rate Plan.

The AMC reserves the right to refuse the reimbursement of demand draft charges, in case of investments made by the same applicant(s) through multiple applications at its own discretion, which will be final and binding on the investor.

Investors residing at places other than where the AMC Customer Service Centers/ Collection Centers are located are requested to make the payment by way of demand draft(s) after deducting bank charges as per the rates indicated in the above table. It may be noted that additional charges, if any, incurred by the investor over and above the levels indicated above will not be borne by the Fund.

No demand draft charges will be reimbursed by the Fund for purchase of Units by investors residing at such locations where the Customer Service Centers/ Collection Centers of the AMC are located.

- Investment/subscription made through Third Party Cheque(s) will not be accepted.**

**Third party cheque(s) for this purpose are defined as:** (i) Investment made through instruments issued from an account other than that of the beneficiary investor, ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.

Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

- Payment by Parents/Grand-Parents/related persons for investment made on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP installment).
- Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions.
- Custodian on behalf of a Foreign Institutional Investor (FII) or a client.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- Verifying the source of funds to ensure that funds have come from the drawer's account only.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:

- If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such pre-funded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.
- If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form.

## f) NRI/FII/PIO Investors

- Repatriation basis:** Payments by NRIs / FIIs / Persons of Indian Origin (PIO's) residing abroad, may be made either by way of Indian Rupee demand drafts or cheques by means of (i) inward remittance through normal banking channels; (ii) or out of funds held in NRE/FCNR accounts payable at par and payable at the cities where the Customer Service Centre's are located. In case of Indian Rupee drafts purchased or cheques issued from NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed. In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.
- Non Repatriation basis:** NRIs or people of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques / demand drafts drawn on a Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centre's are located.

## VII. NOMINATION

- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of units and witnesses.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands withdrawn upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- Investors who want to make multiple nominations need to fill the separate Multiple Nomination Form on page 19 and submit it to the AMC.

**VIII. DIRECT CREDIT OF DIVIDEND/REDEMPTION:** ICICI Prudential AMC had entered into an arrangement with certain banks; such as Citibank N.A., HDFC Bank, HSBC and ICICI Bank, for direct credit of redemption and dividend proceeds if the investors have a bank mandate any of the specified banks. However, the Fund reserves the right to issue a payment instrument in place of this direct credit facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time / withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add / withdraw the name of the bank with which the direct credit facility arrangements can be introduced/ discontinued, as the case may be.

**IX. E-MAIL COMMUNICATION:** Delivering service through the internet & web-based services such as e-mail is a more efficient delivery channel. When an investor has communicated his / her e-mail address and provided consent for sending communication only through email, the Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The Investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor. Account statements will be sent via email, by default, to investors who have provided their email ID, unless specified otherwise. It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email.

¶ If investor selects any of the delivery frequencies after selecting "Post" as the delivery option for receiving the account statement, then the statement will be sent only through e-mail. If investor has not selected any of the frequencies or selected multiple frequencies for delivery option as e-mail, then the default frequency is monthly. For weekly option, AMC will endeavour to send the email statements on first business day of the week and for monthly, quarterly, half-yearly and annually options, on first business day after month end.

**X. KNOW YOUR CUSTOMER (KYC) NORMS:** With effect from 1st January, 2011, KYC (Know Your Customer) norms are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment.

Please see the KYC form for Individuals along with this document and refer to the website of AMC or CVL for Non-Individual KYC form.

**Non PAN based KYC applicability:** For certain nature of transactions and type of clients, PAN is not mandatory. In such cases common standard KYC through CVL will not apply and the client will have to submit certain documents as elaborated below and KYC will be handled by the AMCs/RTAs directly.

- Micro SIPs:** Micro schemes such as Micro SIPs upto Rs. 50,000 per year per investor.

### Documents required:

- Standard specified identification instruments like Voter ID card, Government/Defense ID card, Card of Reputed employer, Driving License, Passport in lieu of PAN.
- Proof of address copy. It is clarified that where photo identification documents contains the address of the investor, a separate proof of address is not required.
- Supporting documents copy shall be self attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority.

- Investments from Investors residing in Sikkim:**

### Documents required:

- Proof of address of Sikkim state and application form should mention the same address.
- Address proof shall be self attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority.

These new and revised guidelines are not applicable on following transactions:

- Existing SIP / STP / DTP registrations (and similar facilities) including those received till December 31, 2010
- Dividend reinvestment transactions of any amount.

**XI. Demat/Non-Demat Mode:** 1) Investors can hold units in Dematerialized (Demat)/Non-Demat mode. In case the investor has not provided his / her Demat account details or the details of DP ID / BO ID provided by the investor is incorrect, or Demat account is not activated or not in an active status, the units would be allotted in Non-Demat mode. 2) Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. 3) Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.

## INSTRUCTIONS FOR FILLING UP THE SMART FEATURES FORM

### XII. SYSTEMATIC TRANSFER PLAN (STP) - Instructions

#### Fund Instructions for Systematic Transfer Plan (STP)

- The minimum amount that can be transferred from source scheme to target scheme is Rs. 1,000 for a minimum of 6 installments.
- STP will be available at weekly, monthly and quarterly rests, as per the standing instructions of the Unit holder.
- For availability of STP facility and load structure under the scheme(s), please refer to the respective Scheme Information Document (SID)/Key Information Memorandum (KIM) or "Key Scheme Features" on pages 27-31 mentioned in the Common Application Form and Addenda of the Scheme(s).
- The unit holder can avail the STP facility for a maximum period of 10 years.
- All requests for registering or discontinuing Systematic Transfer Plans shall be subject to an advance notice of 7 (seven) working days.
- If the investor does not select any frequency or selects multiple frequencies, default frequency would be monthly
- The STP date is only applicable if the investor selects monthly transfer frequency.
- If the investor does not select or selects multiple dates in Monthly STP, then the default option would be the last business day of the month.

**Source Schemes (Eligible Schemes from which you can Transfer):** ICICI Prudential Income Opportunities Fund • ICICI Prudential Medium Term Plan • ICICI Prudential Ultra Short Term Plan • ICICI Prudential Flexible Income Plan • ICICI Prudential Floating Rate Plan • ICICI Prudential Liquid Plan • ICICI Prudential Sweep Plan • ICICI Prudential Income Plan • ICICI Prudential Gilt Fund – Treasury Plan & Investment Plan • ICICI Prudential Gilt Fund - Treasury Plan & Investment Plan (PF options) • ICICI Prudential Monthly Income Plan (An open ended fund. Monthly income is not assured and is subject to the availability of distributable surplus) • ICICI Prudential MIP 25 (Monthly Income is not assured and is subject to availability of distributable surplus) • ICICI Prudential Floating Rate Plan A • ICICI Prudential Banking & PSU Debt Fund • ICICI Prudential Child Care Plan - Study Plan (STP Facility is allowed only between Study Plan & Gift Plan)

**Target Schemes (Eligible Schemes into which you can Transfer):** ICICI Prudential Tax Plan • ICICI Prudential Growth Plan • ICICI Prudential Power • ICICI Prudential Dynamic Plan • ICICI Prudential FMCG Fund • ICICI Prudential Balanced Fund •

ICICI Prudential Technology Fund • ICICI Prudential Discovery Fund • ICICI Prudential Emerging S.T.A.R. Fund • ICICI Prudential Infrastructure Fund • ICICI Prudential Services Industries Fund • ICICI Prudential Index Fund • ICICI Prudential Equity & Derivatives Fund - Wealth Optimiser Plan • ICICI Prudential Indo Asia Equity Fund • ICICI Prudential Focused Bluechip Equity Fund • ICICI Prudential Banking & Financial Services • ICICI Prudential Equity Opportunities Fund • ICICI Prudential Nifty Junior Index Fund • ICICI Prudential Child Care Plan - Gift Plan (STP Facility is allowed only between Study Plan & Gift Plan)

### XIII. SYSTEMATIC WITHDRAWAL PLAN (SWP) - Instructions

- New investors who wish to enroll for the SWP facility are required fill in the details in the Smart Features Form in addition to the Common Application Form for Lumpsum / Systematic Investments.
- Existing investors need to provide their active Folio No. and SWP details in the Smart Features form only.
- You can opt to systematically withdraw on a Monthly/Quarterly basis. Withdrawals will be made / effected on the 1st Business Day of the month, for monthly and quarterly frequencies and would be treated as redemptions.
- The SWP will terminate automatically if all the units are withdrawn from the folio, or if the enrollment period expires; whichever is earlier.
- The applicant will have the right to discontinue the SWP facility at any time, if he / she so desires, by providing a written request at any ICICI Prudential Mutual Fund Customer Service Centre's. The Notice to Discontinue the SWP facility should be received 10 days prior to the month, which it is required to be discontinued from.

### XIV. DIVIDEND TRANSFER PLAN - Instructions

- An investor can avail this facility whereby the dividend declared will be automatically invested into any open-ended schemes of ICICI Prudential Mutual Fund.
- The amount to the extent of distribution will be automatically invested on the ex-dividend date into the scheme selected by the investor at the NAV of that scheme.
- This facility cannot be availed under Daily Dividend Plans and Weekly Dividend Plans.



## KEY SCHEME FEATURES

NAME OF THE SCHEME	GILT FUND - Treasury Plan		GILT FUND - Investment Plan	
TYPE	Open-ended Short-term Gilt Fund		Open-ended Medium-Term Gilt Fund	
INVESTMENT OBJECTIVE	To generate regular returns through investment made in gilts of various maturities.		This Plan is suitable for investors looking at avenues to invest surplus funds for medium to long periods, ideally greater than 1 year. It is proposed to invest such proceeds in Gilts (including Treasury Bills) with medium to long maturities, with the average maturity of the portfolio normally not exceeding 8 years.	
ASSET ALLOCATION PATTERN	Gilt Securities (incl. Treasury Bills). Average Maturity normally not to exceed 3 years.		Gilt Securities (incl. Treasury Bills). Average Maturity normally not to exceed 8 years.	
INVESTMENT STRATEGY	Please refer to page 23 for investment strategy.		Please refer to page 23 for investment strategy.	
RISK PROFILE OF THE SCHEMES	Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document (SID) carefully for details on risk factors before investment. Please refer to Foot Note No. 12 for summarised Scheme Specific Risk Factors.			
RISK MITIGATION FACTORS	Please refer to page 24 for Risk Mitigation Factors.		Please refer to page 24 for Risk Mitigation Factors.	
PLANS AND OPTIONS	<b>Growth/Growth-AEP<sup>1</sup></b> (Appreciation & Regular) and <b>Dividend<sup>6</sup></b> (Quarterly & Half Yearly) • Dividend less than Rs.500, will be reinvested.		<b>Growth/Growth-AEP<sup>1</sup></b> (Appreciation & Regular) and <b>Dividend</b> (Half Yearly)	
Default Option	<b>Growth &amp;</b> in Dividend <b>Dividend Reinvestment</b> with minimum available frequency.		<b>Growth &amp;</b> in Dividend, <b>Dividend Reinvestment</b> with minimum available frequency.	
Systematic Investment Plan	<b>Monthly:</b> Minimum Rs. 1,000 + 5 post-dated cheques for a minimum of Rs. 1,000 each. <b>Quarterly:</b> Minimum Rs. 5,000 + 4 post-dated cheques for a minimum of Rs. 5,000 each.		<b>Monthly:</b> Minimum Rs. 1,000 + 5 post-dated cheques for a minimum of Rs. 1,000 each. <b>Quarterly:</b> Minimum Rs. 5,000 + 4 post-dated cheques for a minimum of Rs. 5,000 each.	
Systematic Withdrawal Plan	Min. of Rs. 500 & in multiples of Re.1 thereafter.		Min. of Rs. 500 & in multiples of Re.1 thereafter.	
Switch Facility	Available		Available	
Systematic Transfer Plan	Available <sup>55</sup>		Available <sup>55</sup>	
APPLICABLE NAV	000		000	
MINIMUM APPLICATION AMOUNT/NUMBER OF UNITS Purchase	Rs.5,000 (plus in multiples of Re. 1)		Rs.5,000 (plus in multiples of Re. 1)	
Additional Purchase	Rs. 5,000 and in multiples thereof.		Rs. 5,000 and in multiples thereof	
Repurchase/Redemption	Rs. 5,000 and in multiples thereof.		Rs. 5,000 and in multiples thereof.	
DESPATCH OF REPURCHASE (REDEMPTION) REQUEST <sup>##</sup>	Generally within 1 Business Day for specified RBI locations and additional 3 Business Days for Non-RBI locations.		Generally within 1 Business Day for specified RBI locations and additional 3 Business Days for Non-RBI locations.	
BENCHMARK INDEX	I-Sec Si-Bex		I-Sec I-Bex	
DIVIDEND POLICY	The Trustee may approve the distribution of dividends by the AMC under the Dividend option, out of the net surplus of the Scheme. To the extent the net surplus is not distributed, the same will remain invested in the Scheme and be reflected in the NAV.			
NAME OF THE FUND MANAGER	Mr. Avnish Jain		Mr. Avnish Jain	
NAME OF THE TRUSTEE COMPANY	ICICI Prudential Trust Limited		ICICI Prudential Trust Limited	
NUMBER OF FOLIOS & AVERAGE ASSETS UNDER MANAGEMENT (AUM)	FOLIOS: 542 (As on 28-Feb-2011) AUM: Rs. 153.15 Crores (As on 31-Dec-2010)		FOLIOS: 2523 (As on 28-Feb-2011) AUM: Rs. 377.11 Crore (As on 31-Dec-2010)	
SCHEME PERFORMANCE	Please refer to page 24-25 for performance.		Please refer to page 24-25 for performance.	
EXPENSES OF THE SCHEME Entry Load	Not Applicable. In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.			
Exit Load on applicable NAV on the basis of the investment period from the date of allotment of units *\$\$\$	Nil		Nil	
Actual Recurring Expenses for the previous financial year ended March 31, 2010 (% of NAV)	1.90%		1.87%	
Waiver of Load for Direct Applications: Not applicable.	Tax treatment for the Investors (Unitholders): Refer to page 25	Daily Net Asset Value (NAV) Publication: Refer to page 25	For Investor Grievances please contact: Refer to page 25	Unitholders' Information: Refer to page 25

\* The Trustee reserves the right to change/modify the exit load at later date for the Schemes.

\$\$\$ Where as a result of a redemption / switch arising out of excess holding by an investor beyond 25% of the net assets of the scheme in the manner envisaged under specified SEBI circulars, such redemption / switch will not be subject to exit load.

¥ The scheme may invest in derivatives pursuant to SEBI Circular dated September 24, 2005, January 20, 2006 & September 22, 2006.

^ Under the Dividend Option, the Unit-holder may choose between Dividend Payout and Reinvestment Option. If not chosen, Default Option would be Dividend Payout.

## As per the Regulations, the Fund shall dispatch redemption proceeds within 10 Business days of receiving the redemption request. Investors are advised to refer to the sections titled "Suspension of sale and redemption of units"

and "Right to limit Redemption". The default option for payment of redemption/dividend proceeds would be Direct Credit into their bank account (in case the investor has provided his bank mandate as one of the banks participating in direct credit arrangement and if he fails to specify the mode of payment).

\$\$ STP Facility: The minimum amount that can be transferred from one scheme to another is Rs. 1000/- for a minimum of 6 installments. The unitholder can avail the STP facility for a maximum period of 10 years.

^^ In all open-ended schemes (except ICICI Prudential Flexible Income Plan, ICICI Prudential Floating Rate Plan B & Plan D, ICICI Prudential Short Term Plan, ICICI Prudential Ultra Short Term Plan and ICICI Prudential Monthly Income Plan (An open ended Fund. Monthly income is not assured and is subject to the availability of surplus) where dividend payout option is available and the dividend payout amount is less than Rs.100/-, the fund reserves the right to reinvest their payout in the same scheme/option.

## KEY SCHEME FEATURES

NAME OF THE SCHEME	GILT FUND - Treasury Plan - PF Option		GILT FUND - Investment Plan - PF Option	
TYPE	Open-ended Short-Term Gilt Fund		Open-ended Medium-Term Gilt Fund	
INVESTMENT OBJECTIVE	This Plan is suitable for investors looking at avenues to invest surplus funds for short periods, ideally 3-6 months. It is proposed to invest the proceeds of the Plan in Gilts (including Treasury Bills) with short to medium term residual maturities, with the average maturity of the portfolio normally not exceeding 3 years.		This Plan is suitable for investors looking at avenues to invest surplus funds for medium to long periods, ideally greater than 1 year. It is proposed to invest such proceeds in Gilts (including Treasury Bills) with medium to long maturities, with the average maturity of the portfolio normally not exceeding 8 years.	
ASSET ALLOCATION PATTERN	Securities created and issued by the Central Government and /or a State Government, repos/reverse repos and call money market or in an alternative investment for the call money market.		Securities created and issued by the Central Government and /or a State Government, repos/reverse repos and call money market or in an alternative investment for the call money market.	
INVESTMENT STRATEGY	Please refer to page 23 for investment strategy.		Please refer to page 23 for investment strategy.	
RISK PROFILE OF THE SCHEMES	Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document (SID) carefully for details on risk factors before investment. Please refer to Foot Note No. 12 for summarised Scheme Specific Risk Factors.			
RISK MITIGATION FACTORS	Please refer to page 24 for Risk Mitigation Factors.		Please refer to page 24 for Risk Mitigation Factors.	
PLANS AND OPTIONS	1. <b>Growth</b> ; 2. <b>Growth-AEP<sup>1</sup></b> (Appreciation) (Monthly, Quarterly, Half Yearly & Yearly)		1. <b>Growth</b> ; 2. <b>Growth - AEP (Appreciation)</b> (Monthly, Quarterly, Half Yearly & Yearly)	
Default Option	Growth option		Growth option	
Systematic Investment Plan	<b>Monthly:</b> Minimum Rs. 1,000 + 5 post-dated cheques for a minimum of Rs. 1,000 each. <b>Quarterly:</b> Minimum Rs. 5,000+4 post-dated cheques for a minimum of Rs. 5,000 each.		<b>Monthly:</b> Minimum Rs. 1,000 + 5 post-dated cheques for a minimum of Rs. 1,000 each. <b>Quarterly:</b> Minimum Rs. 5,000+4 post-dated cheques for a minimum of Rs. 5,000 each.	
Systematic Withdrawal Plan	Min. of Rs. 500 & in multiples of Re.1 thereafter.		Min. of Rs. 500 & in multiples of Re.1 thereafter.	
Switch Facility	Available		Available	
Systematic Transfer Plan	Not Available		Not Available	
APPLICABLE NAV	000		000	
MINIMUM APPLICATION AMOUNT/NUMBER OF UNITS Purchase	Rs.5,000 (plus in multiples of Re. 1)		Rs.5,000 (plus in multiples of Re. 1)	
Additional Purchase	Rs. 5,000 & in multiples thereof.		Rs. 5,000 & in multiples thereof	
Repurchase/Redemption	Rs. 5,000 and in multiples thereof.		Rs. 5,000	
DESPATCH OF REPURCHASE (REDEMPTION) REQUEST **	Generally within 1 Business Day for specified RBI locations and additional 3 Business Days for Non-RBI locations.		Generally within 1 Business Day for specified RBI locations and additional 3 Business Days for Non-RBI locations.	
BENCHMARK INDEX	I-Sec Si-Bex		I-Sec Li-Bex	
DIVIDEND POLICY	The Trustee may approve the distribution of dividends by the AMC under the Dividend option, out of the net surplus of the Scheme. To the extent the net surplus is not distributed, the same will remain invested in the Scheme and be reflected in the NAV.			
NAME OF THE FUND MANAGER	Mr. Avnish Jain		Mr. Avnish Jain	
NAME OF THE TRUSTEE COMPANY	ICICI Prudential Trust Limited		ICICI Prudential Trust Limited	
NUMBER OF FOLIOS & AVERAGE ASSETS UNDER MANAGEMENT (AUM)	FOLIOS: 82 (As on 28-Feb-2011) AUM: Rs. 23.43 Crore (As on 31-Dec-2010)		FOLIOS: 1061 (As on 28-Feb-2011) AUM: Rs. 119.58 Crore (As on 31-Dec-2010)	
SCHEME PERFORMANCE	Please refer to page 24-25 for performance.		Please refer to page 24-25 for performance.	
EXPENSES OF THE SCHEME Entry Load	Not Applicable. In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.			
Exit Load on applicable NAV on the basis of the investment period from the date of allotment of units *\$\$\$	Nil		(a) 1%, if the amount, sought to be redeemed or switched out, is invested for a period of upto one year; (b) Nil, if invested for a period of more than one year..	
Actual Recurring Expenses for the previous financial year ended March 31, 2010 (% of NAV)	1.63%		1.66%	
Waiver of Load for Direct Applications: Not applicable.	Tax treatment for the Investors (Unitholders): Refer to page 25	Daily Net Asset Value (NAV) Publication: Refer to page 25	For Investor Grievances please contact: Refer to page 25	Unitholders' Information: Refer to page 25

0000 **APPLICABLE NAV (Purchase transaction for an amount of Rs.1 crore & above) for all Income/Debt Oriented Schemes/Plans other than Liquid Schemes/Plans of the Fund:**

(i) Valid Applications received for an amount equal to or more than Rs.1 crore at the designated official point of acceptance upto cut-off time of 3.00 p.m. on a business day and the subscription amount shall be credited to the bank account of respective scheme/plan account before the cut-off time of 3.00 p.m. i.e. the subscription amount shall be available for utilisation before the cut-off time – The closing NAV of the day of receipt of application shall be applicable. (ii) Valid Applications received for an amount equal to or more than Rs. 1 crore at the designated official point of acceptance post cut-off time of 3.00 p.m. on a business day and the subscription amount shall be credited to the bank account of respective scheme/plan account before the cut-off time of the next business day i.e. the subscription amount shall be available for utilisation before the cut-off time of the next business day – The closing NAV of the next business day shall be applicable.(iii) Irrespective of the time of receipt of valid

application for an amount equal to or more than Rs. 1 crore at the designated official point of acceptance and the entire subscription amount is not credited to the bank account of respective scheme/plan before the cutoff time i.e. the subscription amount is not available for utilisation before the cut-off time – The closing NAV of the day on which the funds are available for utilisation before the cutoff time shall be applicable.

**For allotment of units in respect of switch-in transaction equal to and above Rs.1 crore to income/debt oriented schemes/plans other than liquid schemes/ plans of the Fund, it shall be necessary that:**

(i) Application for switch-in is received before the applicable cut-off.(ii) Funds for the entire amount of subscription/purchase as per the switch-in request are credited to the bank account of the respective switch -in liquid scheme before the cut-off. (iii) The funds are available for utilisation before the cut-off, by the respective switch-in schemes.

**There shall be no change in the cut-off timing for purchase & switch-in to income/debt scheme for amount less than 1 crore. The aforesaid**

**revision shall also be applicable to Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Daily STP, Trigger, Liquidity and Daily STP, wherever such provisions are applicable.**

- ¶ Minimum amount per AEP transaction will be Rs.100/-.  
 § Including derivatives instruments to the extent of 100% of the Net Assets as permitted vide SEBI Circular no. DNPDI/Cir-29/2005 dated September 14, 2005 and SEBI Circular No. DNPDI/Cir-30/2006 dated January 20, 2006 and SEBI circular No. SEBI/DNPDI/Cir-31/2006 dated September 22, 2006. The margin money requirement for the purpose of derivative exposure may be held in the form of term deposits. The Scheme shall not take leverage positions and total investments, including investments in equity and other securities and gross exposure to derivatives, if any, shall not exceed net assets under management of the scheme.

**Notes:**

- 1) Saturday is a Non-Business Day for all the Schemes.
- 2) For all web-based transactions, entered through the official web portal of the AMC viz. [www.icicpruamc.com](http://www.icicpruamc.com), the cut-off timings for arriving at applicable Net Asset Value (NAV) shall be as follows :  
 For purchase transactions through the website of the Fund, following rules will apply: (a) In respect of valid requests confirmed\* by the web server of AMC: Up to 3.00 p.m. - the closing NAV of the business day of confirmation\* of request; After 3.00 p.m. - the closing NAV of the next business day.  
 Redemptions including switch-outs  
 In respect of the valid requests confirmed\* by the web server of AMC  
 Up to 3.00 p.m. -the closing NAV of the business day of confirmation\* of request  
 After 3.00 p.m. - the closing NAV of the next business day.  
 (\*The time at which, the transaction is confirmed at the webserver of AMC, shall be considered as final and binding for determining the cut off timing.)
- 3) The fundamental attributes of a Scheme cannot be changed without the consent of not less than 75% of the unitholders.
- 4) In the interest of the investors and in order to protect the portfolio from market volatility, the Trustees reserve the right to discontinue subscriptions under the schemes for a specified period of time or till further notice.
- 5) Processing of Systematic Investment Plan (SIP) cancellation request(s): The AMC will endeavour to have the cancellation of registered SIP mandate within 30 days from the date of acceptance of the cancellation request from the investor. The existing instructions/mandate will remain in force till such date that it is confirmed to have been cancelled
- 6) Processing of Systematic Withdrawal Plan (SWP)/ Trigger facility request(s): Registration / cancellation of SWP and Trigger facility request(s) will be processed within 7 days from the date of acceptance of the said request(s). Any existing registration will continue to remain in force until the instructions as applicable are confirmed to have been effected.
- 7) Submission of separate forms /transaction slips for Trigger Option/ Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) facility: Investors who wish to opt for Trigger Option /Systematic Withdrawal Plan/Systematic Transfer Plan facility have to submit their request(s) in a separate designated forms/transaction slips. In case, if AMC do not receive such request in separate designated forms/transaction slips, it reserves the right to reject such request(s).
- 8) Processing of Transmission-cum-Redemption request(s) : If an investor submits redemption/switch out request(s) for transmission cases it will be processed after the units are transferred in the name of new unit holder and only upon subsequent submission of fresh redemption/switch-out request(s) from the new unit holder.
- 9) Processing of Redemption/Switch/Systematic transaction request(s) where realization status is not available : The Fund shall place the units allotted to investor on hold for redemption / switch/ systematic transactions till the time the payment is realized towards the purchase transaction(s). The Fund also reserves the right to reject / partially process the redemption / switch /systematic transaction request, as the case may be, based on the realization status of the units held by the investor.  
 In both the above cases, intimation will be sent to the investor accordingly. Units which are not redeemed/switched will be processed upon confirmation of realization status and on submission of fresh redemption/ switch request.
- 10) Reinvestment of dividend payout: In all open-ended schemes where dividend payout option is available and the dividend payout amount is less than Rs. 100/-, the fund reserves the right to reinvest the payout in the same scheme/option. However, the aforesaid provision shall not be applicable for ICICI Prudential Flexible Income Plan, ICICI Prudential Floating Rate Plan B & Plan D, ICICI Prudential Short Term Plan, ICICI Prudential Ultra Short Term Plan and ICICI Prudential Monthly Income Plan (An open ended fund. Monthly income is not assured and is subject to the availability of distributable surplus).
- 11) **Communication via Electronic Mail (e-mail).**  
 It is hereby notified that wherever the investor(s) has/have provided his/ their e-mail address in the application form or any subsequent communication in any of the folio belonging to the investor(s), the Fund/ Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication which include account statements for transactions done by the investor(s).  
 The investor(s) may request for a physical account statement by writing or calling the Fund's Investor Service Centre / Registrar & Transfer Agent. In case of specific request received from investor(s), the Fund shall endeavour to provide the account statement to the investor(s) within 5 working days from the receipt of such request.

- 12) **Significant risk factors for debt oriented schemes:** Investments in the Scheme(s) may be affected by risks relating to trading volumes, settlement periods, interest rate, liquidity or marketability, credit, reinvestment, regulatory, investment in unlisted securities, default risk including the possible loss of principal, derivatives, investment in securitised instruments and risk of Co-mingling etc.
- 13) In case, where a switch out request has been made from Liquid Scheme(s) to debt scheme(s) or vice-a-versa, on a day which is succeeded by a non-business day(s), the switched-out scheme(s) will compensate the switched-in scheme(s) at Mumbai Inter Bank Offer Rate (MIBOR) for the interim non business day(s).

**INVESTMENT STRATEGIES:**

**ICICI Prudential Gilt Fund - Investment Plan, ICICI Prudential Gilt Fund - Treasury Plan, ICICI Prudential Gilt Fund - Investment Plan - PF Option, ICICI Prudential Gilt Fund - Treasury Plan - PF Option:** The government securities market is the largest and the most liquid market in India. The Investment Manager believes that the various measures being initiated by RBI and the priority being accorded to the development of this market will lead to further deepening and widening of this market. The central and state governments raise large sums from the market every year to meet their revenue and capital expenditure. Banks, Non-Banking Finance Companies, insurance companies and provident funds are required by various statutes to invest in government securities and therefore are big investors in this market. The government securities market is expected to remain the most liquid market and provides an avenue for investment where safety is of paramount importance. The Plan will afford an opportunity to the retail investors to invest in the government securities.

**Risk Management Strategies / Risk Mitigation Factors:** The Fund by utilizing a holistic risk management strategy will endeavor to manage risks associated with investing in debt and equity markets. The risk control process involves identifying & measuring the risk through various risk measurement tools.

The Fund has identified the following risks of investing in equity and debt, and designed risk management strategies, which are embedded in the investment process to manage such risks.

Risk & Description Specific to Gilts	
Risks associated with investments in Government Securities	Risk mitigants / management strategy
<p><b>Market Risk:</b> As with all debt securities, changes in interest rates may affect the Scheme's Net Asset Value as the prices of securities generally increase as interest rates decline and generally decrease as interest rates rise. Prices of long-term securities generally fluctuate more in response to interest rate changes than do short term securities. Indian debt markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV.</p>	<p>In a rising interest rates scenario the scheme will increase its investment in securities with shorter maturity whereas if the interest rates are expected to fall the allocation to securities with longer maturity will be increased thereby mitigating risk to that extent.</p>
<p><b>Liquidity or Marketability Risk:</b> This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM). The primary measure of liquidity risk is the spread between the bid price and the offer price quoted by a dealer. Liquidity risk is today characteristic of the Indian fixed income market.</p>	<p>Liquidity risk is today characteristic of the Indian fixed income market. The fund will however, endeavor to minimise liquidity risk by investing in securities having a liquid market.</p>
<p><b>Reinvestment Risk:</b> This risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested. The additional income from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.</p>	<p>Reinvestment risks will be limited to the extent of coupons received on the securities, which will be a very small portion of the portfolio value.</p>
<p><b>Derivatives Risk:</b> As and when the Scheme trades in the derivatives market there are risk factors and issues concerning the use of derivatives that Investors should understand. Derivative products are specialized instruments that require investment techniques and risk analyses different from those associated with stocks and bonds. The use of a derivative requires an understanding not only of the underlying instrument but also of the derivative itself. Derivatives require the maintenance of adequate controls to monitor the transactions entered into, the ability to assess the risk that a derivative adds to the portfolio and the ability to forecast price or interest rate movements correctly. There is the possibility that a loss may be sustained by the portfolio as a result of the failure of another party (usually referred to as the "counter party") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mis-pricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.</p>	<p>The fund has provision for using derivative instruments for portfolio balancing and hedging purposes. Interest Rate Swaps will be done with approved counter parties under pre approved ISDA agreements. Mark to Market of swaps, netting off of cash flow and default provision clauses will be provided as per international best practice on a reciprocal basis. Interest rate swaps and other derivative instruments will be used as per local (RBI and SEBI) regulatory guidelines.</p>

## SCHEME PERFORMANCE SNAPSHOT

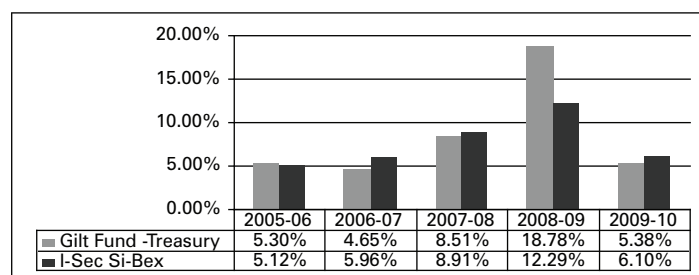
### ICICI Prudential Gilt Fund - Treasury Plan

**Performance Record:** Growth Option (As of 28-Feb-2011)

Period	Gilt Fund - Treasury	Benchmark Index
1 Year	4.21%	4.53%
3 Years	9.07%	7.65%
5 Year	8.25%	7.63%
Since Inception (19-Aug-99)	8.37%	-

Past performance may or may not be sustained in future. • Returns : CAGR • Benchmark is I Sec Si Bex • Benchmark start date : 30-03-2002 • For computation of returns the allotment NAV has been taken as Rs. 10.00.

**Absolute Returns for each financial year for the last 5 years:**



Past performance may or may not be sustained in future.

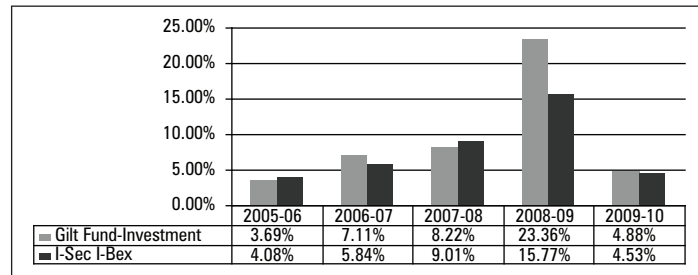
### ICICI Prudential Gilt Fund - Investment Plan

**Performance Record:** Growth Option (As of 28-Feb-2011)

Period	Gilt Fund - Investment	Benchmark Index
1 Year	6.23%	6.18%
3 Years	9.91%	7.62%
5 Years	9.79%	7.70%
Since Inception (19-Aug-99)	10.97%	10.32%

Past performance may or may not be sustained in future. • Returns : CAGR • Benchmark is I Sec I-Bex. • For computation of returns the allotment NAV has been taken as Rs. 10.00.

**Absolute Returns for each financial year for the last 5 years:**



Past performance may or may not be sustained in future.



# SCHEME PERFORMANCE SNAPSHOT

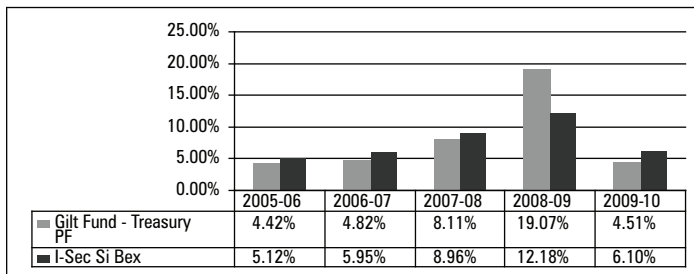
## ICICI Prudential Gilt Fund - PF Option - Treasury Plan

**Performance Record:** Growth Option (As of 28-Feb-2011)

Period	Gilt Fund - PF - Treasury	Benchmark Index
1 Year	5.67%	4.53%
3 Years	9.32%	7.65%
5 Years	8.35%	7.63%
Since Inception (11-Feb-04)	7.06%	6.62%

Past performance may or may not be sustained in future. • Returns : CAGR • Benchmark is I Sec Si Bex • For computation of returns the allotment NAV has been taken as Rs. 10.00.

**Absolute Returns for each financial year for the last 5 years:**



Past performance may or may not be sustained in future.

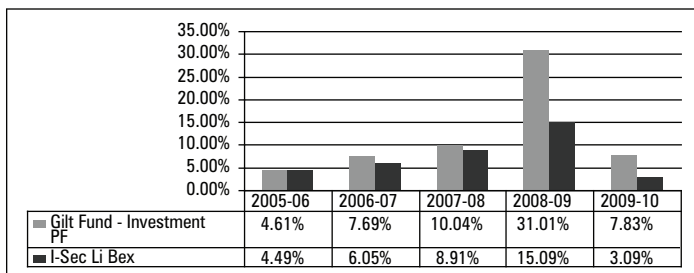
## ICICI Prudential Gilt Fund - Investment Plan

**Performance Record:** Growth Option (As of 28-Feb-2011)

Period	Gilt Fund - PF - Investment	Benchmark Index
1 Year	0.59%	3.77%
3 Years	14.50%	8.37%
5 Years	11.78%	7.52%
Since Inception (19-Nov-03)	9.62%	5.93%

Past performance may or may not be sustained in future. • Returns : CAGR • Benchmark is I Sec Li-Bex. • For computation of returns the allotment NAV has been taken as Rs. 10.00.

**Absolute Returns for each financial year for the last 5 years:**



Past performance may or may not be sustained in future.

**TAX BENEFITS OF INVESTING IN THE MUTUAL FUND:** Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz; [icicipruamc.com](http://icicipruamc.com)

**DAILY NET ASSET VALUE (NAV) PUBLICATION:** The NAV will be declared on all Business Days. The AMC will endeavour to have the NAV published in two daily newspapers and update on AMC's website [www.icicipruamc.com](http://www.icicipruamc.com). The AMC shall also endeavour to update the NAVs on the website of AMFI i.e. [www.amfiindia.com](http://www.amfiindia.com) by 9.00 p.m. every business day.

**For Investor Grievances please contact:**

Name and Address of Registrar	Name, address, telephone number, fax no., e-mail address of ICICI Prudential Mutual Fund
Computer Age Management Services Pvt. Ltd. Unit: ICICI Prudential Mutual Fund New No 10. Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H. Road), Chennai - 600 034.	Ms. Kamaljeet Saini – Investor Relations Officer ICICI Prudential Asset Management Company Ltd. 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063. Phone: (91)(22) 26852000, Fax: (91)(22) 2686 8313 e-mail: <a href="mailto:enquiry@icicipruamc.com">enquiry@icicipruamc.com</a>

**Unitholders' Information:** Under normal circumstances, an Account Statement will be mailed to the investor, indicating the number of Units purchased/allotted within 3 Business Days of the acceptance of a valid application for purchase of Units.

The Fund shall provide the account statements to the unit holders who have not transacted during the last six months prior to the date of generation of account statements. The account statements may be generated and issued along with the Portfolio Statement or Annual Report of the scheme. Account statement shall be despatched to the unitholder under STP once every quarter within 10 working days of the end of the respective quarter. However, the first account statement under STP shall be issued within 10 working days of the initial investment.

In accordance with SEBI Circular No. SEBI/IMD/CIR No.8/132968/2008 July 24, 2008, the Abridged Scheme wise Annual Report may be mailed to the investors' e-mail address if so mandated and the Schemewise Annual Report shall be displayed on the website of the mutual fund. However, as per regulation 56(3) of the Regulations, copy of Schemewise Annual Report shall be also made available to unitholder on payment of nominal fees. Further as per Securities and Exchange Board of India (Mutual Funds) (Third Amendment) Regulations, 2008 Notification dated September 29, 2008 & SEBI Circular No. SEBI/IMD/CIR No. 10 /141712/08 October 20, 2008, the schemewise Annual Report of a mutual fund or an abridged summary shall be mailed to all unitholders as soon as may be possible but not later than four months from the date of closure of the relevant accounts year. Further, the full text of the Annual Report will be available for inspection at the office of the Fund. A copy of the Annual Report will be sent to Unit holders, free of cost, on specific request.

The Fund shall before the expiry of one month from the close of each half year, that is as on March 31 and September 30, publish its unaudited financial results and scheme portfolios in one English daily newspaper having all India circulation and in a newspaper published in the language of the region where the Head Office of the Fund is situated and update the same on AMC's and AMFI's website at [www.icicipruamc.com](http://www.icicipruamc.com) and [www.amfiindia.com](http://www.amfiindia.com) respectively within 30 days from the close of half year, in the prescribed formats.

It is hereby notified that wherever the investor(s) has/have provided his/her e-mail address in the application form in any of the folio belonging to the investor(s), the Fund/Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication which include abridged annual reports, account statements for transactions done by the investor(s).

The investor(s) may request for a physical account statement by writing or calling the Fund's Investor Service Centre / Registrar & Transfer Agent. In case of specific request received from investor(s), the Fund shall endeavour to provide the account statement to the investor(s) within 5 working days from the receipt of such request.

The Fund shall comply with SEBI Circular No. IMD/CIR/12/80083/2006 dated November 20, 2006 with respect to despatch of the account statement.

**For ICICI Prudential Asset Management Company Limited**

Sd/-

Place : Mumbai  
Date : March 21, 2011

**Nimesh Shah**  
Managing Director





## Other Cities: Additional official transaction acceptance points (CAMS Transaction Points)

<p>3294202 • <b>Jhansi:</b> Opp SBI Credit Branch, Babu Lal Kharkana Compound, Gwalior Road, Jhansi 284001, Uttarpradesh. Tel: (0510) 3202399 • <b>Jodhpur:</b> 1/5, Nirmal Tower, 1st Chopasani Road, Jodhpur 342003, Rajasthan. Tel: (0291) 325 1357/3249144 • <b>Junagadh:</b> Circle Chowk, Near Choksi Bazar Kaman, Gujarat, Junagadh 362001, Gujarat. Tel: (0285) 3200909/3200908 • <b>Kadapa:</b> Door No.1-1625, DNR Laxmi Plaza, Opp. Rajiv Marg, Railway Station Road, Yerramukkappalli, Kadapa 516 004, Andhra Pradesh. Tel: (08562) 322469/322099 • <b>Kadapa:</b> Bandi Subbaramaiah Complex, D. No. 3/1718, Shop No. 8, Raja Reddy Street, Besides Bharathi Junior College, KADAPA-516001. Andhra Pradesh. Tel: (08562) 322469 • <b>Kakinada:</b> No.33-1, 44, Sri Sathya Complex, Main Road, Kakinada 533 001, A.P. Tel: (0884) 320 7474/320 4595 • <b>Kalyani:</b> A - 1/50, Block - A, Dist Nadia, Kalyani 741235, West Bengal. Tel: (033) 3242272/32422711 • <b>Kanchipuram:</b> New No. 38, (Old No. 50), Vallal Pachayappan Street, Near Pachayappan High School, Kanchipuram 631501, Tamil Nadu. Tel: (044) 37210001 • <b>Kannur:</b> Room No.14/435, Casa Marina Shopping Centre, Talap, Kannur 700004, Kerala. Tel: (0497) 324 9382/324 9147 • <b>Kanpur:</b> I Floor, 106 to 108, City Centre Phase II, 63/2, The Mall, Kanpur-208 001, Uttar Pradesh. Tel: (0512) 3918003 • <b>Karimnagar:</b> H.No.7-1-257, Upstairs S B H, Mangammahota, Karimnagar 505 001, A.P. Tel: (0878) 3205752/3208004 • <b>Karnal:</b> 7, Ist Floor, Opp Beta Showroom, Kunjapura Road, Karnal 132001, Haryana. Tel: 09813999809 • <b>Karur:</b> 126, GVP Towers, Kovai Road, Basement of Axis Bank, Karur 639002, Tel: (04324) 311329/310064 • <b>Katni:</b> NH 7, Near LIC, Jabalpur Road, Bargawan, Katni - 483 501, Madhya Pradesh. Tel: (07622) 322104 • <b>Kestopur:</b> AA 101, Prarfulla Kanan, Sreeparna Apartment, Ground Floor, Kolkata, Kestopur 700101, West Bengal. Tel: (033) 32415332/32415333 • <b>Khammam:</b> Shop No. 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam - 507 001, Andhra Pradesh. Tel: (08742) 323972 • <b>Kharagpur:</b> Shivhare Niketan, H.NO.291/1, Ward No-15, Malancha Main Road, Opposite UCO Bank, Kharagpur-721301, West Bengal. Tel: (03222) 323984 • <b>Kolhapur:</b> AMD Sofex Office No.7, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur 416001, Maharashtra. Tel: (0231) 3209 732/3209 356 • <b>Kolkata:</b> "LORDS BUILDING", 7/1, Lord Sinha Road, Ground Floor, Kolkata 700 071, West Bengal. Tel: (033) 32550760/30582285 • <b>Kolkata:</b> 33,C.R. Avenue, 2nd floor, Room No.13, Kolkata 700 012, West Bengal. Tel: 09339746915 • <b>Kollam:</b> Kochupilamoodu Junction, Near VLC, Beach Road, Kollam 691001, Kerala. Tel: (0474) 3248376/3248377/9847067534 • <b>Kota:</b> B-33 'Kalyan Bhawan, Triangle Part, Vallabh Nagar, Kota 324007, Rajasthan. Tel: (0744) 329 3202 • <b>Kottayam:</b> Building No.: KMC IX / 1331 A, Opp. Malayala Manorama, Railway Station Road, Thekkummootil, Kottayam - 686 001. Tel No. (0481) 320 7011, (0481) 320 6093 • <b>Kumbakonam:</b> Jailani Complex 47, Mutt Street, Tamil Nadu, Kumbakonam 612001. Tel: (0435) 3201333, 3200911, 2403747 • <b>Kurnool:</b> H.No.43/8, Upstairs, Uppini Arcade, N R Peta, Kurnool 518 004, A.P. Tel: (08518) 312 978/312 970 • <b>Latur:</b> Kore Complex, 2nd Cross Kapad Line, Near Shegau Patsanatha, Latur 413 512, Maharashtra. Tel: (02382) 341927/341507 • <b>Lucknow:</b> Off # 4, 1st Floor, Centre Court Building, 3/C, 5-Park Road, Hazratganj, Lucknow-226 001, Uttar Pradesh. Tel: (0522) 3918000 • <b>Ludhiana:</b> U/GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana 141 002, Punjab. Tel: (0161) 301 8000/301 8001 • <b>Madurai:</b> 86/71A, Tamsilangam Road, Madurai 625 001, Tamil Nadu. Tel: (0452) 325 1357/325 2468 • <b>Malda:</b> Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda 732 101, West Bengal. Tel: (03512) 329951/329952 • <b>Mangalore:</b> No. G 4 &amp; G 5, Inland Monarch, Opp. Karnataka Bank, Kadri Main Road, Kadri, Mangalore 575 003, Karnataka. Tel: (0824) 325 1357/325 2468 • <b>Manipal:</b> CAMS Service Centre - Manipal, Trade Centre, 2nd Floor, Syndicate Circle, Starting Point, Manipal-576104, Karnataka. Tel: (0820) 325 5827, 320 6435 • <b>Mapusa:</b> Office No.CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-op Bank, Angod, Mapusa 403 507, Goa, 9326126122 • <b>Margao:</b> Virginkar Chambers I Floor, Near Kamath Milan Hotel, New Market, Near Lily Garments, Old Station Road, Margao 403 601, Goa. Tel: (0832) 322 4761/3224658 • <b>Mathura:</b> 159/160 Vikas Bazar, Mathura 281001, Uttarpradesh. Tel: (0565) 3207007/3206959 • <b>Meerut:</b> 108 Ist Floor Shivam Plaza, Opposite Eves Cinema, Hapur Road, Meerut 250002, Uttarpradesh. Tel: (0121) 325 7278 • <b>Mehsana:</b> 1st Floor, Subhadra Complex, Urban Bank Road, Mehnsana 384 002, Gujarat. Tel: (02762) 323985/323117 • <b>Moga:</b> Ground Floor, Adjoining TATA Indicom Office, Dutt Road, Moga 142001, Punjab. Tel: (01636) 310088/310909/502994 • <b>Moradabad:</b> B-612 'Sudhakar', Lajpat Nagar, Moradabad 244001, Uttarpradesh. Tel: (0591) 329 7202/329 9842 • <b>Morbi:</b> 108, Galaxy Complex Opp. K.K. Steel, Sanala Road, Morbi-363641, Gujarat Tel.: (2822) 326910/326911 • <b>Mumbai:</b> Rajabhadur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai 400 023, Maharashtra. Tel: (022) 30282468 • <b>Muzaffarpur:</b> Brahman toli, Durgasthan, Gola Road, Muzaffarpur 842001, Bihar. Tel: (0621) 3207504/3207052 • <b>Mysore:</b> No.1, 1st Floor, CH.26 7th Main, 5th Cross, (Above Trishakti Medicals), Saraswati Puram, Mysore 570009, Karnataka. Tel: (0821) 3206991/3294503 • <b>Nadiad:</b> 8, Ravi Kiran Complex, Ground Floor Nanakbhnath Road, Nadiad 387001, Gujarat • <b>Nagpur:</b> 145 Lendra Park, Behind Indus Ind</p>	<p>Bank, New Ramdaspath, Nagpur 440 010, Maharashtra. Tel: (0712) 325 8275/3258272/2432447/9371432447 • <b>Nalgonda:</b> H.No : 6 - 2 - 1477, Samadhana Nagar, Beside HDFC Standard Life Office Lane Ramagiri, Nalgonda-508001, Nalgonda Dist, Andhra Pradesh. Tel.No.: (08682) 323499 • <b>Namakkal:</b> 156A/1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal 637001, Tamil Nadu. Tel: (04286) 234167 • <b>Nanded:</b> Shop No 7, 1st Floor Kothari Complex, Shivaji Nagar, Nanded-431602, Maharashtra Tel.: (2462) 315980/3125564 • <b>Nasik:</b> Rutarang Bungalow, 2 Godavari Colony, Behind Big Bazar, Near Boys Town School, Off College Road, Nasik 422005, Maharashtra. Tel: (0253) 329 7084/325 0202 • <b>Navsari:</b> Dinesh Vasani &amp; Associates, 103 -Harekrishna Complex, above IDBI Bank, Nr. Vasant Talkies, Chhimabai Road, Navasari 396445, Gujarat. Tel: (02637) 327709/329238/248745 • <b>Nellore:</b> 97/56, I Floor Immadisetty Towers, Ranganayakulapet Road, Santhapet, Nellore 524001, Andhra Pradesh. Tel: (0861) 329 8154/320 1042 • <b>New Delhi:</b> 304-305 III Floor, Kanchenjunga Building, 18, Barakhamba Road, Cannaugt Place, New Delhi 110 001. Tel: (011) 3048 2471 • <b>Nizamabad:</b> D. No. 5-6-209, Saraswathi Nagar, NIZAMABAD-503001 A.P. Tel.: (8462) 9369999414/9369999353 • <b>Nizamabad:</b> D. No. 5-6-209, Saraswathi Nagar, Nizamabad - 503001, Andhra Pradesh, Tel : 09369999353 • <b>Palakkad:</b> 10/688, Sreedevi Residency, Mettupalayam Street, Palakkad 678 001, Kerala. Tel: (0491) 3261114/3261115 • <b>Palanpur:</b> Jyotindra Industries Compound, Near Vinayak Party Plot, Deesa Road, Palanpur 385 001, Gujarat. Tel: (02742) 321810/321811 • <b>Panipat:</b> 83, Devi Lal Shopping Complex, Opp ABN Amro Bank, G.T. Road, Panipat 132103, Haryana. Tel: (0180) 325 0525/400 9802 • <b>Patiala:</b> 35, New Lal Bagh Colony, Patiala 147001, Punjab. Tel: (0175) 329 8926/222 9633 • <b>Patna:</b> Kamalaya Shobha Plaza, Ground Floor, Near Ashiana Tower, Exhibition Road, Patna-800 001, Bihar. Tel: (0612) 325 5284 • <b>Pondicherry:</b> S-8, 100, Jawaharlal Nehru Street, (New Complex, Opp. Indian Coffee House), Pondicherry 605001. Tel: (0413) 2421 0030/329 2468 • <b>Porbandar:</b> II Floor, Harikrupa Towers, Opp. Vodafone Store, M.G. Road, Porbandar 360575, Gujarat. Tel: (0286) 3207767/3205220 • <b>Pune:</b> Nirmiti Eminence, Off No. 6, I Floor, Opp Abhishek Hotel, Mehandale Garage Road, Erandawane, Pune 411 004, Maharashtra. Tel: (020) 3028 3005 • <b>Rae Bareli:</b> No.17, Anand Nagar Complex, Rae Bareli 229 001, Uttar Pradesh. Tel: (0535) 3203360/61 • <b>Raichur:</b> # 12 - 10 - 51/3C, Maram Complex, Besides State Bank of Mysore, Basaveswara Road, Raichur 584101, Karnataka. Tel: (08532) 323215/323006 • <b>Raipur:</b> HG,C-23, Sector - 1, Devendra Nagar, Raipur - 492004. Chhattisgarh. Tel: (0771) -3296404 • <b>Rajahmundry:</b> Cabin 101 D No 7-27-4, 1st Floor Krishna Complex, Baruvuri Street, T Nagar, Rajahmundry 533101, Andhra Pradesh. Tel: (0883) 325 1357 • <b>Rajapalayam:</b> D. No. 59 A/1, Railway Feeder Road (Near Railway Station), Rajapalayam - 626 117, Tel: (04563) 327520 /327521 • <b>Rajkot:</b> Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot 360001, Gujarat. Tel: (0281) 329 8158/329 8206 • <b>Ranchi:</b> 4, HB Road, No: 206, 2nd Floor, Shri Lok Complex, Ranchi - 834001, Jharkhand . Tel: (0651) 329 6202. • <b>Ratlam:</b> 18, Ram Bagh, Near Scholar's School, Ratlam - 457001, Madhya Pradesh. Tel: (07412) 324829, 324817 • <b>Ratnagiri:</b> Kohinoor Complex, Near Natya Theatre, Nachane Road, Ratnagiri 415 639, Maharashtra. Tel: (02352) 322940/322950 • <b>Rohtak:</b> 205, 2ND Floor, Blg. No. 2, Munjal Complex, Delhi Road, Rohtak 124001, Haryana. Tel: (01262) 318687/318589 • <b>Roorkee:</b> 399/1, Jadugar Road, 33 Civil lines, Roorkee - 247667, Uttarakhand, Tel: (01332) 312386, 3120111 • <b>Ropar:</b> SCF - 17, Zail Singh Nagar, Ropar-140001, Punjab. Tel.: (1881) 324 761 • <b>Rourkela:</b> 1st Floor, Mangal Bhawan, Phase II, Power House Road, Rourkela 769001, Orissa. Tel: (0661) 3290575 • <b>Sagar:</b> Opp. Somani Automobiles, Bhagwanjani, Sagar 470 002, Madhya Pradesh. Tel: (07582) 326711/326894 • <b>Salem:</b> No.2, I Floor Vivekananda Street, New Fairlands, Salem 636016, Tamil Nadu. Tel: (0427) 325 2271/320 0319 • <b>Sambalpur:</b> C/o Raj Tibrewal &amp; Associates, Opp.Town High School, Sansarkar, Sambalpur 768001, Orissa. Tel: (0663) 329 0591 • <b>Sangli:</b> Diwan Niketan, 313, Radhakrishna Vasahat, Opp. Hotel Suruchi, Near S.T. Stand, Sangli 416416, Maharashtra. Tel: 9326016616 • <b>Satara:</b> 117/A/3/22, Shukrawar Peth, Sargam Apartment, Satara 415002, Maharashtra. Tel: (02162) 320926/320989 • <b>Satna:</b> 1st Floor, Shri Ram Market, Besides Hotel Pankaj, Birla Road, Satna 485 001, Madhya Pradesh. Tel: (07672) 320896/320756 • <b>Saharanpur:</b> I Floor, Krishna Complex, Opp. Hath Gate, Court Road, Saharanpur-247001. Tel: (0132) 3255589 • <b>Secunderabad:</b> 208, II Floor, Jade Arcade, Paradise Circle, Secunderabad 500 003, Andhra Pradesh. Tel: (040) 3918 2471 • <b>Shahjahanpur:</b> Bijlipura, Near Old Distt Hospital, Jail Road, Shahjahanpur-242001, Uttar Pradesh. Tel: (05842) - 327901 • <b>Shimla:</b> I Floor, Opp. Panchayati Bhawan Main gate, Bus stand, Shimla 171001, Himachal Pradesh. Tel: (0177) 3204944/3204945 • <b>Shillong:</b> LDB Building, 1st Floor, G S Road, Shillong, Meghalaya-793001. Tel: (0364) 2222625 • <b>Shimoga:</b> Nethravathi, Near Gutti Nursing Home, Kuvempu Road, Shimoga 577 201, Karnataka. Tel: (08182) 322 966/322 980 • <b>Siliguri:</b> No 8, Swamiji Sarani, Ground Floor, Hakimpura, Siliguri 734001, West Bengal. Tel: (0353) 329 1103 • <b>Sirsa:</b> Gali No: 1, Old Court Road, Near Railway Station Crossing, Sirsa - 125055, Hararyana. Tel: (01666) 327248 • <b>Sitapur:</b> Arya Nagar, Near Arya Kanya School, Sitapur, Uttar Pradesh - 262 001. Tel: (05862) 324356, 324408 • <b>Solan:</b> 1st</p>	<p>Floor, Above Sharma General Store, Near Sanki Rest House, The Mall, Solan, Himachal Pradesh-173 212. Tel: (01792) 321074, 321075 • <b>Solapur:</b> 4, Lokhandwala Tower, 144, Sidheshwar Peth, Near Z.P. Opp. Pungal High School, Solapur 413001, Maharashtra. Tel: (0217) 3204201/3204200 • <b>Sonepat:</b> Shop No. 5, PP Tower, Ground Floor, Opp to Income Tax office, Sonepat - 131 001, Haryana. Tel: (0130) 3203021, 3203022 • <b>Sriganganagar:</b> 18 L Block, Sri Ganganagar 335001, Rajasthan. Tel: (0154) 3206580/3206295 • <b>Srikakulam:</b> Door No. 4-1- 62, Beside Idea Show Room, Palokonda Road, Srikakulam - 532001, A.P. Tel.: (08942) - 321900, 321901 • <b>Sultanpur:</b> 967, Civil Lines, Near Pant Stadium, Sultanpur - 228 001, Uttar Pradesh. Tel.: 09389403149 • <b>Surat:</b> Plot No-629, 2nd Floor, Office No- 2-C/2-D, Mansukhlal Tower, Beside Seventh Day Hospital , Opp Dhiraj Sons, Athwalsines, Surat - 395001, Gujarat. Tel. No. 0261-326 2267 • <b>Surendranagar:</b> 2 M I Park, Near Commerce College, Wadhwan City, Surendranagar 363035, Gujarat. Tel: (02752) 320231/320233 • <b>Tanjore:</b> 1112, West Main Street, Tanjore - 613 009, Tamil Nadu. Tel.: (0432) 319022 • <b>Thane:</b> 3rd Floor, Nalanda Chambers, "B" Wing, Gokhale Road, Near Hanuman, Temple, Naupada, Thane (West) - 400 602, Maharashtra. Tel: (022) 31920050 • <b>Thiruppur:</b> 1(1), Binny Compound, II Street, Kumaran Road, Thiruppur 641601, Tamil Nadu. Tel: (0421) 3201271/3201272 • <b>Thiruvalla:</b> Central Tower, Above Indian Bank, Cross Junction, Thiruvalla 689101, Kerala. Tel: (0499) 3200923, 3200921 • <b>Tinsukia:</b> Sanairan Lohia Road, 1st Floor, Tinsukia - 786125, Assam. Tel: (0374) 233674 • <b>Tirunelveli:</b> 1 Floor, Mano Prema Complex, 182 / 6, S.N High Road, Tirunelveli 627001, Tamil Nadu. Tel: (0462) 320 0308/320 0102/2333688 • <b>Tirupathi:</b> Shop No14, Boligala Complex, 1st Floor, Door No. 18-8-41B, Near Leela Mahal Circle, Tirumala Bypass Road, Tirupathi 517501, Andhra Pradesh. Tel: (0877) 3206887/3209257/9848877737 • <b>Trichur:</b> Adam Bazar, Room no.49, Ground Floor, Rice Bazar (East), Trichur 680001, Kerala. Tel: (0487) 325 1564 • <b>Trichy:</b> No 8, I Floor, 8th Cross West Extn, Thillainagar, Trichy 620018, Tamil Nadu. Tel: (0431) 329 6906/329 6909 • <b>Trivandrum:</b> R S Complex, Opposite of LIC Building, Pattom PO, Trivandrum 695004, Kerala. Tel: (0471) 324 0202/324 1357 • <b>Thuraiyarkkalam:</b> Old Mahabalipuram Road, Chennai, Ground Floor, 148 Old Mahabalipuram Road, Okkiyam, Thuraiyarkkalam, Chennai - 600097. Tel: (044) - 30407144 • <b>Tuticorin:</b> 1 - A / 25, 1st Floor, Eagle Book Centre Complex, Chidambaram Nagar Main, Palayamkottai Road, Tuticorin - 628 008. Tel: (0461) 3209960 &amp; 3209961 • <b>Udaipur:</b> 32 Ahinsapuri, Fatehpura Circle, Udaipur 313004, Rajasthan. Tel: (0294) 329 3202 • <b>Unjha:</b> 10/11, Maruti Complex, Opp. B R Marbles, Highway Road, Unjha 384 170, Gujarat • <b>Vadodara:</b> 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara-390 007 Gujarat. Tel: (0265) 301 8032 • <b>Valsad:</b> Gita Nivas, 3rd Floor, Opp. Head Post Office, Halar Cross Lane, Valsad 396001, Gujarat. Tel: 02632 - 324202 • <b>Vapi:</b> 215-216, Heena Arcade, Opp. Tirupati Tower, Near G.I.D.C, Char Rasta, Vapi 396195, Gujarat. Tel: (0260) 3201249/3201268 • <b>Varanasi:</b> C/27/249 - 22A, Vivekanand Nagar Colony, Maldhaya, Varanasi 221002, Uttarpradesh. Tel: (0542) 325 3264/325 3265 • <b>Vashi:</b> Mahaveer Centre, Office No:17, Plot No:77, Sector 17, Vashi 400703, Maharashtra. Tel: (022) 32598154/32598155 • <b>Vellore:</b> No:54, 1st Floor, Pillaiyar Koil Street, Thotta Palayam, Vellore 632004, Tamil Nadu. Tel: (0416) 3209017/3209018 • <b>Veraval:</b> Opp. Lohana Mahajan Wadi, Satta Bazar, Veraval-362265, Gujarat. Tel.: (2876) 322 900 • <b>Vijayawada:</b> 40-1-68, Rao &amp; Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Labbipet, Vijayawada 520 010, Andhra Pradesh. Tel: (0866) 329 9181/329 5202 • <b>Visakhapatnam:</b> 47/9/17, 1st Floor, 3rd Lane, Dwaraka Nagar, Visakhapatnam 530 016, Andhra Pradesh. Tel: (0891) 329 8397/329 8374/2554893 • <b>Warangal:</b> F13, 1st Floor, BVSS Mayuri Complex, Opp. Public Garden, Lashkar Bazaar, Hanamkonda, Warangal 506001, Andhra Pradesh. Tel: (0870) 320 2063/3209927 • <b>Wardha:</b> Opp. Raman Cycle Industries, Krishna Nagar, Wardha-442001, Maharashtra. Tel: (07152) 327735 / 327346 • <b>Yamuna Nagar:</b> 124-B/R Model Town, Yamunanagar, Yamuna Nagar 135 001, Haryana. Tel: (01732) 316880/316770 • <b>Yavatmal:</b> Pushpam Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal - 445 001, Maharashtra. Tel : (07232) 322780</p>
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