

CONFIRMATION OF VERIFICATION OF IDENTITY INDIVIDUAL



Introduction by a Financial Conduct Authority authorised and regulated firm

IFA to complete

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Please complete this form in BLOCK CAPITALS and black ink and return it to: **TTS Team, Cater Allen Private Bank, 9 Nelson Street, Bradford BD1 5AN**. If you need any help to complete this form please call us on **0800 028 1200**.

1 Details of customer (see explanatory notes below)

Mr Mrs Ms Miss Other, if 'Other' please state

Full forename(s) including middle names of customer

Surname

Current address

Postcode

Previous address if permanent residential address has changed in the last 3 months

Postcode

Date of birth

2 Confirmation

I/We confirm that

(a) the information in Section 1 was obtained by me/us in relation to the customer;

(b) the evidence I/we have obtained to verify the identity of the customer (tick only one):

Meets the standard evidence set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (JMLSG); or

Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

Signature

Name

Position

Date

3 Details of Introducing Firm

Full name of regulated firm

FCA reference number

Explanatory Notes

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature.

Cater Allen Private Bank is able to provide literature in alternative formats. The formats available are: Large Print, Braille and Audio CD. If you would like to register to receive correspondence in an alternative format please contact us on **0800 092 3300** or **0330 123 0719** from a mobile. For the hard of hearing and/or speech impaired please use the Text Relay service. Further details can be found at <http://ngts.org.uk/>

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