

**PRETREATMENT STREAMLINING RULE TPDES IMPLEMENTATION
NONSUBSTANTIAL MODIFICATION SUBMITTAL**

CONTROL AUTHORITY NAME _____

PERMIT NUMBER _____ **DATE OF SUBMISSION** _____

Pretreatment Streamlining Rule Required Elements Checklist	Yes	No	Section, Page No.	Comments
<p>1. The Control Authority (CA) is required to include slug control requirements in significant industrial user (SIU) control mechanisms, <i>i.e.</i> permits [40 CFR §403.8(f)(1)(iii)(B)(6)]</p> <p>Please fill out the CA Slug Control Checklist</p>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>2. The CA is required to evaluate SIUs for a plan, or other action, to control slug discharges within a year of becoming a SIU [40 CFR §403.8(f)(2)(vi)]</p> <p>Please fill out the CA Slug Control Checklist</p>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>3. The SIUs are required to notify the CA immediately of any changes at its facility affecting potential for a slug discharge [40 CFR §403.8(f)(2)(vi)]</p>	<input type="checkbox"/>	<input type="checkbox"/>		
<ul style="list-style-type: none"> • The CA is required to incorporate notification requirements into SIU permits [40 CFR §403.8(f)(1)(iii)(B)(6)] 	<input type="checkbox"/>	<input type="checkbox"/>		
<p>4. The CA is required to ensure that enforceable BMPs compliance information is in SIU reports [40 CFR §403.12(b), (e), and (h)]</p> <p>Please fill out the Best Management Practices Checklist</p>	<input type="checkbox"/>	<input type="checkbox"/>		

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5. The CA is required to revise significant noncompliance (SNC) criteria to include additional types of pretreatment standards and requirements <i>[40 CFR §403.8(f)(2)(viii)(A) – (C) & (H)]</i>	<input type="checkbox"/>	<input type="checkbox"/>		
• other numeric limits	<input type="checkbox"/>	<input type="checkbox"/>		
• instantaneous limits	<input type="checkbox"/>	<input type="checkbox"/>		
• narrative standards, which may include:	<input type="checkbox"/>	<input type="checkbox"/>		
○ operational standards	<input type="checkbox"/>	<input type="checkbox"/>		
○ narrative limits	<input type="checkbox"/>	<input type="checkbox"/>		
○ enforceable best management practices (BMPs)	<input type="checkbox"/>	<input type="checkbox"/>		
6. The CA is required to include enforceable BMPs, required by a pretreatment standard, local limits, State and local law, in SIU permits <i>[40 CFR §403.8(f)(1)(iii)(B)(3)]</i> Please fill out the Best Management Practices Checklist	<input type="checkbox"/>	<input type="checkbox"/>		
7. Documentation of enforceable BMP compliance is required to be maintained as part of the record keeping requirements <i>[40 CFR §403.12(o)]</i>	<input type="checkbox"/>	<input type="checkbox"/>		
• The SIU's record keeping requirements	<input type="checkbox"/>	<input type="checkbox"/>		
• The CA's record keeping requirements	<input type="checkbox"/>	<input type="checkbox"/>		
Please fill out the Best Management Practices Checklist				

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<p>8. Those CAs that perform sampling for SIUs are required to perform any required repeat sampling and analysis within 30 days of becoming aware of a violation <i>[40 CFR §403.12(g)(2)]</i></p> <p>Please fill out the Sampling Protocols Checklist</p>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>9. The CA shall require all SIUs (including noncategorical SIUs) to comply with sampling requirements for SIU periodic compliance reports <i>[40 CFR §403.12(g)(3)]</i></p> <p>Please fill out the Sampling Protocols Checklist</p>	<input type="checkbox"/>	<input type="checkbox"/>		
<ul style="list-style-type: none"> • The CA shall specify the number of samples required for baseline monitoring reports (BMRs), 90 day compliance reports, and SIU (including noncategorical SIUs) periodic compliance reports, including the specification of the number of grab samples <i>[40 CFR §403.12(g)(4)]</i> <p>Please fill out the Sampling Protocols Checklist</p>	<input type="checkbox"/>	<input type="checkbox"/>		
<ul style="list-style-type: none"> • All IUs subject to periodic reports on continued compliance (including noncategorical SIUs) are required to report all monitoring results to the CA <i>[40 CFR §403.12(g)(6)]</i> 	<input type="checkbox"/>	<input type="checkbox"/>		

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10. Noncategorical SIUs are also required to provide representative sampling during the reporting period in their periodic reports <i>[40 CFR §403.12(g)(3)]</i> Please fill out the Sampling Protocols Checklist	<input type="checkbox"/>	<input type="checkbox"/>		
11. Notifications of IU changed discharge are required to be sent to the following <i>[40 CFR §403.12(j)]</i>	<input type="checkbox"/>	<input type="checkbox"/>		
• the CA	<input type="checkbox"/>	<input type="checkbox"/>		
• the CA and to the POTW where the POTW is not a CA	<input type="checkbox"/>	<input type="checkbox"/>		
12. How and when the CA may designate a “duly authorized representative”	<input type="checkbox"/>	<input type="checkbox"/>		
• to sign CA reports to the Approval Authority according to 40 CFR §403.12(m)	<input type="checkbox"/>	<input type="checkbox"/>		
• to approve IU signatory revisions according to 40 CFR §403.12(l)(1)(ii)	<input type="checkbox"/>	<input type="checkbox"/>		
13. Although EPA published 13 required elements, the updated removal credits provisions relating to [combined sewer] overflows do not apply in Texas <i>[40 CFR §403.7(h)]</i>	<input type="checkbox"/>	<input type="checkbox"/>		
14. Please fill out the Legal Authority Checklist				
15. Please submit revised				
• Enforcement Response Plan				
• IU Compliance Evaluation				
• Inspection				

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Pretreatment Streamlining Rule Required Elements Checklist	Yes	No	Section, Page No.	Comments
• Forms/Procedures				
• Sampling Protocols – Self Monitoring and Compliance Monitoring				
• IU Permits				
• IU Reporting Requirements				
• CA Compliance and Sampling Tracking Database				
• Other Standard Operating Procedures				

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Certification Statement:

I, *Typed or printed name* _____
Title _____, certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware there are significant penalties for submitting false information, including the possibility of fine and imprisonment for known violations.

I further certify that I am authorized under 30 Texas Administrative Code Section 305.44 to sign this document and can provide documentation in proof of such authorization upon request.

Signature: _____ Date: _____

Required Elements Checklist	
The approved pretreatment program <u>may</u> need to be revised in one or more of the following components:	
X	Legal Authority
X	Enforcement Response Plan
X	IU Compliance Evaluation
X	Inspection Forms/Procedures
X	Sampling Protocols – Self Monitoring and Compliance Monitoring
X	IU Permits
X	IU Reporting Requirements
X	CA Compliance and Sampling Tracking Database
X	Other Standard Operating Procedures